

Effects of Fracture Connectivity on Rayleigh Wave Dispersion

Gabriel E. Quiroga¹, J. Germán Rubino², Santiago G. Solazzi¹, Nicolás D.
Barbosa¹, and Klaus Holliger¹

¹Institute of Earth Sciences, University of Lausanne, Switzerland.

²CONICET, Centro Atómico Bariloche - CNEA, San Carlos de Bariloche, Argentina.

Key Points:

- We study the impact of fracture density and connectivity changes on Rayleigh wave dispersion considering fluid pressure diffusion effects.
- We consider a stratified reservoir model in which a water-saturated fractured formation is represented following a poroelastic approach.
- Fracture connectivity, so far largely ignored, has a significant impact on Rayleigh wave dispersion, comparable to that of fracture density.

Abstract

Passive seismic characterization is an environmentally friendly method to estimate the seismic properties of the subsurface. Among its applications, we find the monitoring of geothermal reservoirs. One key characteristic to ensure a productive management of these reservoirs is the degree of fracture connectivity and its evolution, as it affects the flow of fluids within the formation. In this work, we explore the effects of fracture connectivity on Rayleigh wave velocity dispersion accounting for wave-induced fluid pressure diffusion (FPD) effects. To this end, we consider a stratified reservoir model with a fractured water-bearing formation. For the stochastic fracture network prevailing in this formation, we consider varying levels of fracture density and connectivity. A numerical up-scaling procedure that accounts for FPD effects is employed to determine the corresponding body wave velocities. We use a Monte-Carlo-type approach to obtain these velocities and incorporate them in the considered fractured reservoir model to assess the sensitivity of Rayleigh wave velocity dispersion to fracture connectivity. Our results show that Rayleigh wave phase and group velocities exhibit a significant sensitivity to the degree of fracture connectivity, which is mainly due to a reduction of the stiffening effect of the fluid residing in connected fractures in response to wave-induced FPD. These effects cannot be accounted for by classical elastic approaches. This suggests that Rayleigh wave velocity changes, which are commonly associated with changes in fracture density, may also be related to changes in interconnectivity of pre-existing or newly generated fractures.

Plain Language Summary

Low-intensity seismic energy generated by natural or anthropogenic sources is used to obtain a number of physical properties of the subsurface. Amongst a wide range of applications, this technique is increasingly employed to characterize fractured geothermal reservoirs and to monitor their evolution. The interconnectivity of fractures is a critical characteristic of such reservoirs as it enables preferential pathways for fluid flow. Con-

41 conventional models for interpreting such seismic data are based on linear elasticity and can-
42 not account for realistic effects related to the interactions of pore fluid pressure and frac-
43 ture connectivity. To alleviate this problem, we employ an advanced model that accounts
44 for these so-called wave-induced fluid pressure diffusion (FPD) effects. We find that changes
45 in the connectivity of fractures have a significant impact on seismic surface wave record-
46 ings. This opens the perspective of using such observations to monitor the hydraulic evo-
47 lution of fractured reservoirs during successive production and stimulation cycles.

48 **1 Introduction**

49 Fractured rock formations are of increasing interest and importance for a wide range
50 of applications throughout the Earth, environmental, and engineering sciences. Fractures
51 tend to constitute preferential pathways for fluid flow and, as such, the hydraulic prop-
52 erties of a formation are greatly affected by the presence and connectivity of fractures.
53 This, in turn, manifests itself in the need of new methods and techniques to detect frac-
54 tures and characterize their geometrical, mechanical, and hydraulic properties. In this
55 context, the use of passive seismic sensing to monitor the evolution of fracture networks
56 has established itself due to its efficiency, reliability, and non-invasive nature. Prominent
57 examples of scenarios where this technique has proven to be valuable include the mon-
58 itoring of volcanic activity (e.g., Brenguier et al., 2008; Obermann et al., 2013), CO₂ se-
59 questration (e.g., Boullenger et al., 2015; Gassenmeier et al., 2014), and geothermal en-
60 ergy production (e.g., Calò et al., 2013; Obermann et al., 2015; Taira et al., 2018).

61 Passive seismic methods comprise a vast range of approaches and techniques which
62 employ the energy of naturally occurring seismicity to gain information of the subsur-
63 face. In active seismic regions, the energy released from natural earthquakes in the area
64 can be used for this purpose. This method is known as local earthquake tomography (LET)
65 (e.g., Aki & Lee, 1976; Thurber, 1983). Conversely, ambient-noise correlation or passive
66 seismic interferometry is a passive seismic method based on surface wave analysis which
67 is also applicable outside seismically active zones. Ambient-noise correlation is based on

68 the inversion of Rayleigh wave velocity dispersion inferred from ambient seismic noise
69 measurements to obtain S-wave velocity profiles of the studied zone. Even though this
70 method initially started with pioneering works focused at the continental and regional
71 scale (e.g., Campillo & Paul, 2003; Shapiro & Campillo, 2004), it quickly evolved towards
72 smaller scales, proving its effectiveness as an exploration and monitoring tool for appli-
73 cations such as, for example, nuclear waste storage and CO₂ sequestration, which nat-
74 urally target zones with low natural seismicity(Planès et al., 2020). Notably, this tech-
75 nique was employed successfully in the characterization of geothermal reservoirs by em-
76 ploying time lapse observations. Obermann et al. (2015) employed ambient-noise cor-
77 relation in order to monitor the geothermal site of St. Gallen in Switzerland, which per-
78 mitted the identification of aseismic perturbations associated with gas infiltration. More
79 recently, Taira et al. (2018) used ambient-noise correlation to monitor the response of
80 the Salton Sea geothermal site in the U.S.A. to fluid extraction and local earthquake ac-
81 tivity. Interestingly, these authors attributed observed surface wave velocity reductions
82 to the opening of preexisting fractures due to induced stresses. In addition to this, it can
83 be expected that fluid pressure diffusion (FPD) effects play a role in this scenario, as in
84 the presence of fluid saturated fractures, such poroelastic effects have a significant im-
85 pact on the effective mechanical properties of the medium in response to seismic waves
86 (e.g., Rubino et al., 2013, 2014, 2017). To date, surface wave analyses do not, however,
87 account for wave-induced FPD.

88 When seismic waves travel through a fluid-saturated porous medium containing a
89 distribution of mesoscopic fractures, that is, fractures larger than the typical pore size
90 but much smaller than the prevailing seismic wavelengths, fluid pressure gradients are
91 induced between compliant fractures and the stiffer embedding background, as well as
92 between connected fractures (e.g., Rubino et al., 2013, 2014). The consequent pressure
93 equilibration processes, usually referred to as fracture-to-background (FB) and fracture-
94 to-fracture (FF) FPD, result in a frequency dependence of the effective mechanical mod-
95 uli of the medium. The prevalence of these mechanisms is dependent on the frequency

96 of the seismic waves. In low-permeability formations and in presence of centimeter- to
 97 meter-scale fractures, FB-FPD typically prevails at frequencies below the seismic frequency
 98 range ($\lesssim 0.01$ Hz), while FF-FPD occurs at frequencies above the seismic frequency range
 99 ($\gtrsim 10^3$ Hz). The effects of FPD on body wave velocities of fractured rocks were exten-
 100 sively studied, and it was demonstrated that the density, connectivity and orientation
 101 of fractures have a significant impact on the phase velocity dispersion and attenuation
 102 as well as on the anisotropy of body wave velocities (e.g., Gurevich et al., 2009; Vinci
 103 et al., 2014; Rubino et al., 2017; Solazzi et al., 2020). However, the corresponding im-
 104 pact on surface wave properties, such as, for example, their velocity dispersion charac-
 105 teristics, in the context of subsurface exploration and monitoring settings remains largely
 106 unexplored. Previous works associate surface wave velocity decreases in seismically ac-
 107 tive environments with the opening of fractures and the associated increases of fracture
 108 density (e.g., Silver et al., 2007; Taira et al., 2015, 2018). However, this interpretation
 109 ignores the possibility that changes in the fracture density may also be associated with
 110 changes in the connectivity between fractures and disregards the associated FPD effects
 111 on the properties of surface waves.

112 The aim of this work is to explore the importance of fracture-related FPD effects
 113 on surface wave velocity dispersion. Our main objective is to better understand the ef-
 114 fects that fractures in general, and their interconnectivity in particular have on this widely
 115 used observable. The paper proceeds as follows. We begin by explaining the method used
 116 to compute synthetic Rayleigh wave dispersion curves in elastic layered media. We then
 117 outline of the theoretical basis of poroelasticity and the associated upscaling procedure
 118 employed to compute the effective seismic properties of fractured formations. Then, we
 119 consider a canonical model to explore the effects of FPD for a wide range of pertinent
 120 parameters, which allow us to systematically explore the effects of fracture density and
 121 interconnectivity on Rayleigh wave phase and group velocities. To assure the represen-
 122 tativity of our results, we use a Monte Carlo approach to explore the corresponding pa-
 123 rameter space. Rayleigh wave dispersion curves are analyzed for fracture distributions

124 characterized by constant and variable length in order to determine if the multiplicity
 125 of scales prevailing in many natural settings has significant impact on the results.

126 **2 Methodology**

127 **2.1 Rayleigh Wave Dispersion**

128 Rayleigh waves propagate along the Earth’s free surface as a superposition of P-
 129 waves and vertically polarized S-waves. They are characterized by a counter-clockwise
 130 elliptical particle motion, whose amplitude decays exponentially with distance from the
 131 free surface. Conversely, geometrical spreading effects are very small compared to those
 132 of body waves, and, hence, Rayleigh waves tend to be prevalent in seismic recordings (e.g.,
 133 Stein & Wysession, 2003). In a stratified medium with varying seismic velocities, Rayleigh
 134 wave propagation is dispersive, which manifests itself in a prominent frequency depen-
 135 dence of their phase velocities. The reason for this is that different frequencies are as-
 136 sociated with different wavelengths and, thus, with different sensitivity to depth. Cor-
 137 respondingly, passive seismic approaches allow to characterize the subsurface through
 138 the inversion of Rayleigh wave dispersion curves extracted from ambient noise records
 139 (e.g., Socco et al., 2010; Wang & Yao, 2020).

140 We consider a layered medium whose axis of symmetry is normal to the surface and
 141 impose the following boundary conditions for waves travelling in a layered half-space in
 142 contact with a free surface: (i) no stress at the surface; (ii) no stress and strain at in-
 143 finite depth; (iii) continuity of stress and displacements at layer interfaces; (iv) plane strain
 144 field. In this context, the equation of motion can be written as a linear differential eigen-
 145 value problem (e.g., Aki & Richards, 1980)

$$146 \quad \frac{d\mathbf{f}(z)}{dz} = \mathbf{A}(z)\mathbf{f}(z), \quad (1)$$

147 where \mathbf{f} is a vector composed of two displacement eigenfunctions and two stress eigen-
 148 functions, \mathbf{A} is a 4x4 matrix depending on the vertical distribution of the of the subsur-
 149 face properties and z is the vertical coordinate. Equation 1 has nontrivial solutions for

150 certain values of the wavenumber. The associated equation is known as the Rayleigh sec-
 151 ular equation and in its implicit form is given by (e.g., Socco et al., 2010)

$$152 \quad F_R[\lambda(z), G(z), \rho(z), k_j, f] = 0, \quad (2)$$

153 where λ and G are the Lamé parameters, ρ is the density, k_j is the wavenumber of the
 154 mode of propagation j , and f is the frequency. The variables corresponding to the ma-
 155 terial parameters of the subsurface depend on z . For a stratified medium where each layer
 156 has homogeneous mechanical properties, this problem can be expressed using a matrix
 157 formulation, as shown by the works of Thomson (1950) and Haskell (1953). These au-
 158 thors introduced the so-called matrix propagator method which conceptualizes the sub-
 159 surface as a stack of layers overlying a semi-infinite half-space. These algorithms are com-
 160 monly employed for the computation of Rayleigh wave dispersion curves for a wide va-
 161 riety of applications. Buchen and Ben-Hador (1996) provide a review of the most sig-
 162 nificant propagator matrix algorithms and introduce the so-called “fast delta matrix”
 163 method, which we use in this study. The procedure to determine the associated Rayleigh
 164 wave phase and group velocities consists of finding the roots of the Rayleigh secular equa-
 165 tion (Equation 2), for which we use the secant method (e.g., Press et al., 1986). The fast
 166 delta matrix method employed here provides exact solutions for models consisting of a
 167 stack of horizontal, elastic, and isotropic layers.

168 The objective of this work is to assess the effects of FPD in porous media contain-
 169 ing fracture networks on Rayleigh wave dispersion. To this end, we will consider a lay-
 170 ered subsurface model in which one of the layers represents a fractured formation. In this
 171 context, various scenarios of fracture connectivity are considered for Rayleigh wave dis-
 172 persion modelling. The effective body wave velocities of the fractured formation required
 173 to compute Rayleigh wave dispersion are obtained by employing a numerical upscaling
 174 procedure, which is described in the following section.

175 **2.2 Effective Body Wave Properties of Fractured Rocks in a Poroelas-** 176 **tic Context**

177 In the following, we briefly describe the effects of FPD on the seismic signatures
 178 of fractured rocks. This is followed by a brief review of Biot’s poroelasticity theory (Biot,
 179 1962), which is subsequently employed to model FPD effects in fractured porous media.
 180 To do so, we employ the numerical upscaling procedure proposed by Rubino et al. (2016),
 181 which was recently implemented into a versatile finite-element package named “Parrot”
 182 and allows to consider stochastic fracture distributions of realistic complexity (Favino
 183 et al., 2020).

184 ***2.2.1 Fluid Pressure Diffusion Effects***

185 When a seismic wave propagates through a fluid-saturated porous medium contain-
 186 ing fractures in the mesoscopic scale range, FPD affects its phase velocity and amplitude.
 187 In presence of connected fractures, two manifestations of FPD can arise (Rubino et al.,
 188 2013): one is governed by FPD between compliant fractures and their stiffer embedding
 189 background and is referred to as FB-FPD; the other is associated with FPD between con-
 190 nected fractures and is referred to as FF-FPD. Figures 1a to 1c show a representative
 191 rock sample of a medium of interest being subjected to harmonic displacements applied
 192 on its boundaries, which allow us to obtain the associated effective frequency-dependent
 193 elastic moduli (Rubino et al., 2016). Figures 1d to 1g show schematic illustrations of FPD
 194 effects in terms of the pressure distribution in a subsection of a fractured sample sub-
 195 jected to vertical compression (Figure 1a), which emulates the strains produced by a ver-
 196 tically travelling P-wave. Orange-colored regions of the medium denote the fluid pres-
 197 sure build-up created by the harmonic deformation and black arrows indicate the direc-
 198 tion of the corresponding wave-induced fluid flow. The large stiffness contrast between
 199 fractures and background generates pressure gradients in response to the propagation
 200 of a seismic wave, which, in turn, generate oscillatory fluid flow between these regions
 201 and, thus, energy dissipation and velocity dispersion due to FB-FPD (Figure 1d). Fig-

202 ure 1f illustrates FF-FPD, where fluid flow and, thus, velocity dispersion and attenua-
 203 tion, is caused by local fluid pressure gradients occurring between intersecting fractures.
 204 Above the frequency range at which FF-FPD prevails, the sample behaves as if fractures
 205 were hydraulically isolated. This is the so-called no-flow limit, beyond which the medium
 206 essentially behaves elastically (Figure 1g). As mentioned before, for crystalline rocks, FB-
 207 FPD falls below the frequencies typical of passive seismic surveys, while FF-FPD cor-
 208 responds to frequencies higher than those of passive seismics. As illustrated in Figure
 209 1e, between these regimes we find a frequency range characterized by pressure equilib-
 210 rium between connected fractures, which substantially reduces the stiffening effect of the
 211 fracture fluid compared to the elastic case. Figure 1h then presents an illustration of the
 212 associated body wave phase velocity as a function of frequency for samples containing
 213 connected and unconnected fractures. The FB-FPD and FF-FPD dispersion ranges are
 214 highlighted in yellow. For frequencies higher than the FB-FPD regime and lower than
 215 the FF-FPD regime, there is a non-dispersive plateau in which the medium behaves ef-
 216 fectively as being elastic. Although there is neither attenuation nor velocity dispersion
 217 in this frequency range, FPD effects in presence of connected fractures produce a sig-
 218 nificant velocity drop. This means that, even though the body wave velocities in the plateau
 219 are representative of an elastic medium, this velocity change can only be modelled in the
 220 context of the theory of poroelasticity. For many applications of interest, the frequency
 221 range of approximately 0.1 to 10 Hz, at which passive seismic surveys are usually car-
 222 ried out (e.g., Obermann et al., 2015; Taira et al., 2018) is within the limits of this non-
 223 dispersive plateau. This implies that, as long as the frequencies considered correspond
 224 to those of the non-dispersive plateau, an elastic modelling such as the one described in
 225 Section 2.1 can be employed to evaluate Rayleigh wave dispersion in layered media.

226 *2.2.2 Numerical Upscaling Procedure*

227 The direct numerical simulation of FPD effects on wave propagation is a compli-
 228 cated task. This is mainly due to the fact that the dominant scales at which FPD takes

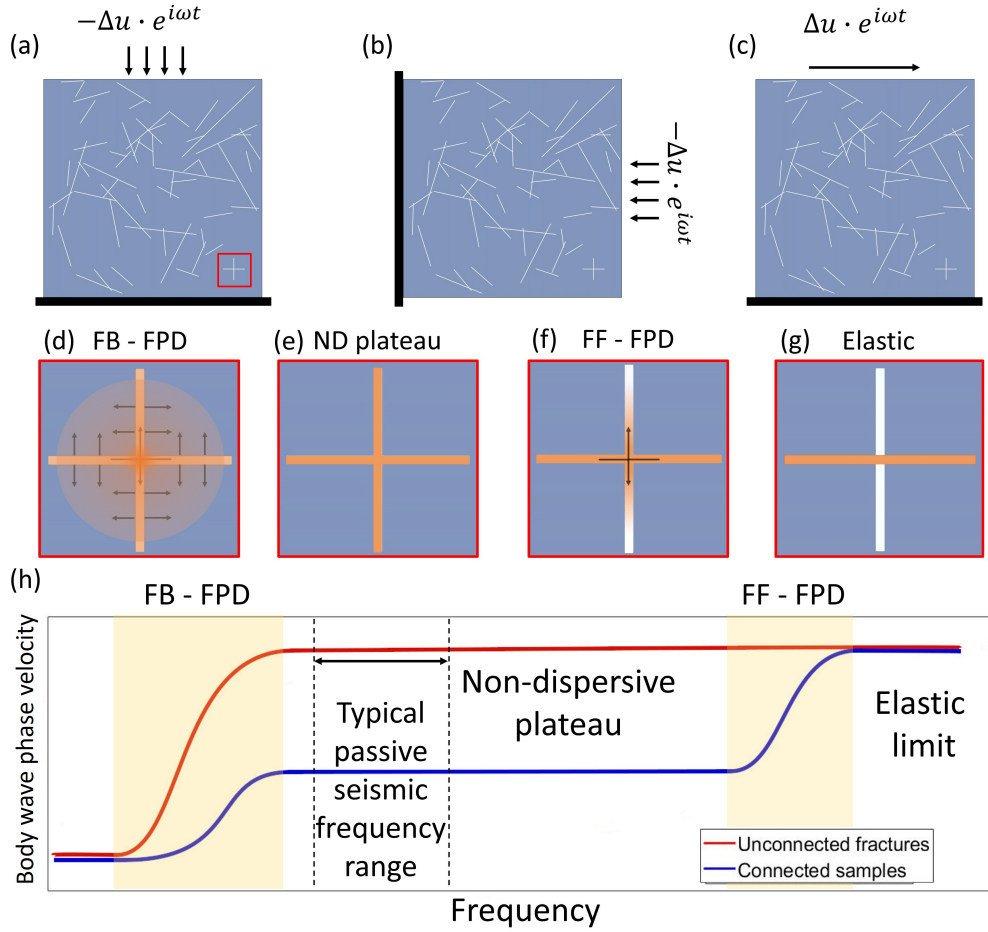


Figure 1. Schematic illustration of the (a) vertical, (b) horizontal, and (c) shear numerical oscillatory relaxation tests employed to obtain the equivalent stiffness matrix of the considered sample. (d, e, f, g) Fluid pressure distributions in a subsection of the sample highlighted in (a) subjected to a vertical compression for different dispersion regimes. Increasing pressure is denoted by progressive intensities of orange. (d) FB-FPD: pressure exchange between fractures and background rock, (e) non-dispersive (ND) plateau: pressure is equilibrated between connected fractures; (f) FF-FPD: pressure exchange between connected fractures; (g) elastic equivalent case: pressure confined to the horizontal fracture. (h) P- and S-wave velocities as functions of frequency for samples with unconnected fractures (red line) and connected fractures (blue line). The frequency ranges where body wave dispersion due to FB-FPD and FF-FPD prevails are highlighted in yellow. Typical frequency range of passive seismic studies is shown inside the ND plateau.

229 place are much smaller than the seismic wavelengths (Rubino et al., 2016). For this rea-
 230 son, numerical upscaling procedures are commonly employed to achieve an effective char-
 231 acterization of heterogeneous poroelastic media. In order to obtain the effective upscaled
 232 seismic response of a medium of interest, we solve Biot’s equations for a so-called rep-
 233 resentative elementary volume (REV) of the medium. An REV is defined as a subvol-
 234 ume that is structurally typical of the whole medium and for which the inferred prop-
 235 erties are independent of the applied boundary conditions (e.g., Milani et al., 2016). Frac-
 236 tures are conceptualized as highly porous, permeable, and compliant inclusions embed-
 237 ded in a much stiffer and much less porous permeable background (e.g., Nakagawa & Schoen-
 238 berg, 2007). As seismic attenuation and velocity dispersion due to FPD are governed by
 239 fluid pressure gradients, we can neglect inertial terms (e.g., Rubino et al., 2013). Hence,
 240 Biot’s poroelastic equations of motion (Biot, 1956b, 1956a) reduce to the so-called con-
 241 solidation equations (Biot, 1941), which, in the space-frequency domain are given by

$$242 \quad \nabla \cdot \boldsymbol{\sigma} = 0, \quad (3)$$

$$243 \quad \nabla p_f = -i\omega \frac{\eta}{\kappa} \mathbf{w}, \quad (4)$$

244 where $\boldsymbol{\sigma}$ is the total stress tensor, p_f the pore fluid pressure, η the fluid viscosity, κ the
 245 permeability, ω the angular frequency, and \mathbf{w} the relative fluid-solid displacement. These
 246 equations are coupled by the stress-strain constitutive relations (Biot, 1962)

$$247 \quad \boldsymbol{\sigma} = 2\mu_m \boldsymbol{\epsilon} + \mathbf{I}(\lambda_c \nabla \cdot \mathbf{u} - \alpha M \xi), \quad (5)$$

$$248 \quad p_f = -\alpha M \nabla \cdot \mathbf{u} + M \xi, \quad (6)$$

249 where \mathbf{I} is the identity matrix, \mathbf{u} the solid displacement, and $\xi = -\nabla \cdot \mathbf{w}$ a measure of
 250 the local change in the fluid content. The strain tensor is given by $\boldsymbol{\epsilon} = \frac{1}{2}(\nabla \mathbf{u} + (\nabla \mathbf{u})^T)$,
 251 where the superscript T denotes the transpose operator. The Biot-Willis parameter α ,
 252 the fluid storage coefficient M , and the Lamé parameter λ_c are given by

$$253 \quad \alpha = 1 - \frac{K_m}{K_s}, \quad (7)$$

$$254 \quad M = \left(\frac{\alpha - \phi}{K_s} + \frac{\phi}{K_f} \right)^{-1}, \quad (8)$$

258 and

$$259 \quad \lambda_c = K_m + \alpha^2 M - \frac{2}{3} \mu_m, \quad (9)$$

260 where ϕ denotes the porosity, μ_m the shear modulus of the bulk material, which is equal
 261 to that of the dry frame, and K_f , K_s , and K_m are the bulk moduli of the fluid phase,
 262 the solid grains, and the dry matrix, respectively. Please note that the dry frame mod-
 263 ulus K_m is related to the undrained saturated modulus K_u through Gassmann's equa-
 264 tion $K_m = K_u - \alpha^2 M$ (Gassmann, 1951). Due to computational constrains, we em-
 265 ploy a 2D characterization for our medium under the hypothesis of plane strain condi-
 266 tions. The plane strain assumption implies that the considered fractures are long enough
 267 in the direction perpendicular to the considered plane of wave propagation to neglect pres-
 268 sure gradients, as well as normal and shear strains along this direction. This also implies
 269 that the seismic waves are assumed to propagate along the plane of the sample. In or-
 270 der to characterize the full stiffness matrix of a 2D medium, we apply three oscillatory
 271 relaxation tests to a corresponding REV, whose boundary conditions are illustrated in
 272 Figure 1. The first test consists of a harmonic vertical compression (Figure 1a), performed
 273 by applying a time-harmonic homogeneous vertical displacement at the top boundary
 274 of the representative sample, while keeping the vertical displacement of the sample null
 275 at the bottom boundary. The second test is a harmonic horizontal compression test (Fig-
 276 ure 1b) and consists on applying a normal displacement at a lateral boundary of the sam-
 277 ple, while keeping the horizontal displacement null at the opposing boundary. The third
 278 and final test consist of applying a harmonic horizontal displacement at the top bound-
 279 ary of the sample, while keeping the bottom boundary fixed in place (Figure 1c). Fol-
 280 lowing Favino et al. (2020), the displacements and pressures obey periodic boundary con-
 281 ditions unless stated otherwise.

282 Given that a heterogeneous poroelastic medium can be represented by an effective
 283 homogeneous viscoelastic solid (e.g., Rubino et al., 2016; Solazzi et al., 2016), the vol-
 284 umetric average of stress and strain, in response to the three tests, can be related through

an equivalent frequency-dependent and anisotropic stiffness matrix (Rubino et al., 2016)

$$\begin{pmatrix} \langle \sigma_{11}(\omega) \rangle \\ \langle \sigma_{22}(\omega) \rangle \\ \langle \sigma_{12}(\omega) \rangle \end{pmatrix} = \begin{pmatrix} C_{11} & C_{12} & C_{16} \\ C_{12} & C_{22} & C_{26} \\ C_{16} & C_{26} & C_{66} \end{pmatrix} \begin{pmatrix} \langle \epsilon_{11}(\omega) \rangle \\ \langle \epsilon_{22}(\omega) \rangle \\ \langle 2\epsilon_{12}(\omega) \rangle \end{pmatrix}, \quad (10)$$

where $C_{ij}(\omega)$ are the components of the equivalent stiffness matrix in Voigt notation, and $\langle \epsilon_{ij}(\omega) \rangle$ and $\langle \sigma_{ij}(\omega) \rangle$ represent the volume-averages of the strain and stress components, respectively. A least-squares procedure is employed to obtain the best-fitting values of C_{ij} using the averaged stress and strain fields obtained from the three tests for each frequency. The resulting P- and S-wave phase velocities are angle- and frequency-dependent, and are given by (Rubino et al., 2016):

$$V_{P,S}(\omega, \theta) = \frac{\omega}{\Re(\kappa_{P,S}(\omega, \theta))}, \quad (11)$$

where \Re is the real part operator, $\kappa_{P,S}(\omega, \theta)$ denotes the complex-valued wavenumbers obtained by solving the elastodynamic equation in a medium defined by the stiffness matrix in equation (10). The reader is referred to the works of Rubino et al. (2016) and Favino et al. (2020) for the details of this upscaling procedure.

It is important to mention that these upscaling procedures allow us to obtain representative values of the rock physical properties of interest as long as the considered samples constitute an REV of the lithological unit of interest. In the presence of stochastic fracture distributions, identifying subvolumes that fulfill the criteria of an REV tends to be impractical due to the excessively large size of the samples that would be required for this purpose. To overcome this difficulty, we follow the approach of Rubino et al. (2009), who employ the previously outlined upscaling procedure in a Monte Carlo fashion on sub-REV-size samples. For this, we assume that the rock physical properties of the lithological unit of interest are statistically ergodic, and thus, stationary, such that spatial averages can be replaced by ensemble averages inferred through compressibility and shear tests to a multitude of random samples. This approach is equivalent to considering repeated applications of the upscaling procedure to randomly chosen samples as a repeated measurement of the rock physical properties of the lithological unit of interest. As such,

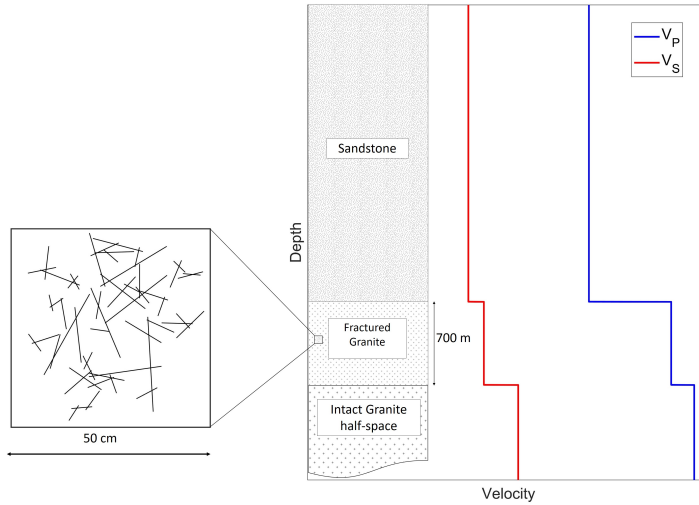


Figure 2. Schematic illustration of the canonical 1D model considered in this study showing the lithological column and the associated P- (blue) and S-wave (red) velocity profiles. The blow-up illustrates its detailed structure at the size of the samples considered in our upscaling procedure.

311 the representative mechanical properties can then be characterized by the correspond-
 312 ing mean values and variances inferred from a sufficiently large set of such measurements.
 313 Finally, please note that, while the velocities computed using the upscaling technique
 314 are in general frequency-dependent, in this work, we consider a frequency range in which
 315 the resulting velocities have no velocity dispersion.

316 **3 Results**

317 **3.1 Numerical Framework**

318 In order to assess the sensitivity of Rayleigh wave dispersion with regard to the ef-
 319 fects of fractures in general and their interconnectivity in particular, we consider a canon-
 320 ical model composed of two horizontal layers overlying a half-space (Figure 2). The sur-
 321 ficial layer corresponds to a 2500-m-thick sandstone formation, followed by a layer of frac-
 322 tured granite with a thickness of 700 m, and an underlying half-space consisting of in-

323 tact granite. The sandstone layer is homogeneous and, hence, seismic waves traversing
 324 it are not attenuated or dispersed due to FPD effects. Its seismic properties are: $V_P =$
 325 3500 m/s, $V_S = 2000$ m/s, and $\rho = 2500$ kg/m³ (Mavko et al., 1998). For the frac-
 326 tured granite layer, the fractures are represented using highly porous and permeable in-
 327 clusions. As mentioned above, we assume the statistical stationarity of the properties
 328 of the formation, which allows us to carry out the upscaling procedure previously described.
 329 This layer is characterized by its fracture density, quantified as the ratio of fracture area
 330 over the sample area, the length distribution of fractures, and the number of connections
 331 between fractures. These parameters have a significant impact on the resulting body wave
 332 velocities of saturated fractured samples (e.g., Hunziker et al., 2018). The underlying granitic
 333 half-space has the same material properties as the intact parts of the fractured granitic
 334 layer. As the surficial sandstone layer, it is homogeneous and hence devoid of FPD ef-
 335 fects. The P- and S-wave velocities of this layer are computed as $V_P = \sqrt{\frac{K_u + 4/3\mu_m}{\rho_b}}$
 336 and $V_S = \sqrt{\frac{\mu_m}{\rho_b}}$, respectively, where ρ_b is the bulk density of the medium. Note that
 337 one could alternatively obtain these velocities applying the upscaling procedure in the
 338 homogeneous layer. The physical properties of the granitic rocks and fractures are listed
 339 in Table 1. The granite properties correspond to those in Detournay and Cheng (1993)
 340 and the fracture and fluid properties to those from Rubino et al. (2017). The saturat-
 341 ing pore fluid is brine, and the grain-level properties of the fractures are assumed to be
 342 consistent with those of the intact granite.

343 In order to estimate the body wave velocities of the fractured layer, we follow the
 344 upscaling procedure described in Section 2.2 employing isotropic rock samples with ho-
 345 mogeneously oriented fractures. To explore the role played by the connectivity of the frac-
 346 tures, we consider two end-member-type scenarios: (i) fully connected and (ii) entirely
 347 unconnected fracture distributions. When generating a particular synthetic fractured sam-
 348 ple, the center positions of the fractures are assigned randomly and fractures not meet-
 349 ing the stipulated connectivity criteria are substituted. This process is repeated until the
 350 desired fracture density is obtained and fractures are either fully connected or fully un-

Table 1.*Properties of intact granitic background rock and embedded fractures.*

| Property | Background | Fracture |
|--------------------------|------------------------|------------------------|
| Solid grain density | 2700 kg/m ³ | 2700 kg/m ³ |
| Solid grain bulk modulus | 45 GPa | 45 GPa |
| Dry frame shear modulus | 19 GPa | 0.02 GPa |
| Dry Frame bulk modulus | 35 GPa | 0.04 GPa |
| Permeability | 1e-19 m ² | 1e-10 m ² |
| Porosity | 0.02 | 0.8 |
| Fluid viscosity | 1e-3 Pa.s | 1e-3 Pa.s |
| Fluid bulk modulus | 2.25 GPa | 2.25 GPa |
| Fluid density | 1090 kg/m ³ | 1090 kg/m ³ |

Note. Embedding background is assumed to correspond to intact granite (Detournay & Cheng, 1993). The pore fluid properties correspond to brine. Fractures are represented as highly compliant, porous, and permeable inclusions, whose grain-level properties correspond to those of the embedding background (Rubino et al., 2017).

351 connected. To avoid that the substitution process generates preferential orientations of
 352 the fractures, the original orientations are retained during substitution. For each con-
 353 nectivity scenario we consider three fracture densities: 0.25%, 0.50%, and 0.75%. These
 354 values were chosen based on the feasibility of generating completely connected and un-
 355 connected distributions. Finally, we consider two cases of fracture length distributions.
 356 We begin with fractures of constant length, in order to isolate the effects of fracture con-
 357 nectivity from those associated with fracture length variation. Later, we repeat the anal-
 358 ysis considering a more realistic scenario where fractures have varying lengths governed
 359 by a power law distribution, which allows us to assess the impact of effects related to frac-
 360 ture geometry. Recall that, in order to compute effective P- and S-wave velocities for a
 361 given fracture density and connectivity, we employ a Monte-Carlo-type approach in com-
 362 bination with the upscaling procedure. The corresponding convergence criterion is based
 363 on the stability of the standard deviation (Rubino et al., 2009). The convergence anal-
 364 ysis of the Monte Carlo approach is performed for a frequency of 1 Hz, which is typical
 365 of Rayleigh waves in passive seismic studies and is located within the non-dispersive plateau
 366 illustrated in Figure 1. As mentioned before, the fact that the frequencies of interest for
 367 Rayleigh wave monitoring fall within the non-dispersive plateau allows us to employ a
 368 purely elastic modelling of Rayleigh wave dispersion.

369 **3.2 Constant Length Fracture Distributions**

370 In the following, we consider square samples with a side length of 50 cm drawn from
 371 the fractured granite formation (Figure 2). The fractures are represented as rectangu-
 372 lar poroelastic features with an aperture of 0.4 mm and a length of 12 cm. We analyze
 373 the seismic response for fracture densities of 0.25%, 0.50%, and 0.75% for two end-member-
 374 type connectivities: (i) connected case, where all fractures have at least one connection
 375 with another fracture; (ii) unconnected case, where the fractures do not have any con-
 376 nections with each other. A single realization from each set of samples is illustrated in
 377 Figure 3. Recall that we infer effective body wave velocities for each fracture density and

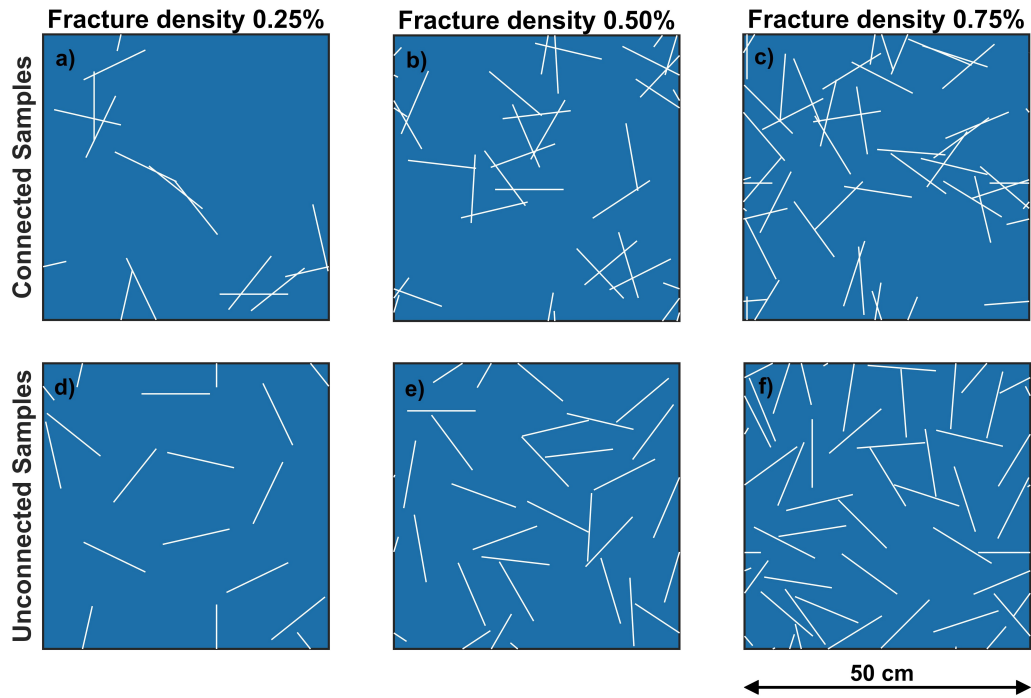


Figure 3. Examples of fracture distributions employed to derive effective body wave velocities of the fractured layer (Figure 2). We consider representative samples comprising (a, b, c) connected and (d, e, f) unconnected fracture distributions. Each column depicts a different fracture density: (a, d) 0.25%, (b, e) 0.50% and (c, f) 0.75%. The side length of the samples is 50 cm, and fractures are rectangular poroelastic features with a length of 12 cm and a width of 0.4 mm.

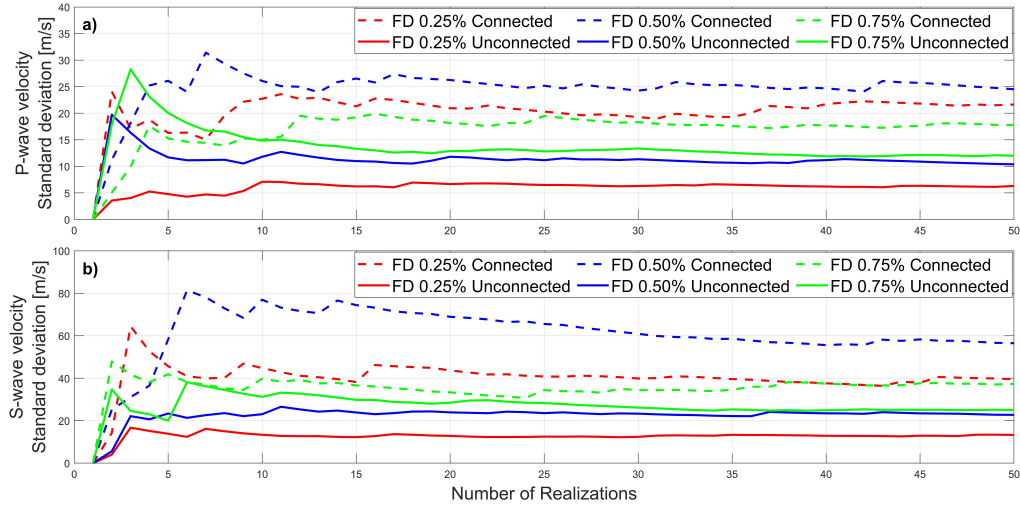


Figure 4. Standard deviations of (a) P- and (b) S-waves at 1 Hz as functions of the number of realizations for connected (dashed lines) and unconnected (solid lines) samples of constant fracture length and fracture densities of 0.25%, 0.50%, and 0.75%.

378 connectivity using a Monte Carlo approach. Figure 4 shows the results of the standard
 379 deviations as functions of the number of realizations for a frequency of 1 Hz, which is
 380 representative of Rayleigh wave studies and located within the non-dispersive plateau.
 381 We find that after 50 realizations, the standard deviations have stabilized and, thus, the
 382 average of the velocities of each sample set can be considered as being representative of
 383 the effective velocities of the corresponding fractured layers (Rubino et al., 2009).

384 Figure 5 shows the resulting effective P- and S-wave velocities as functions of fre-
 385 quency for the scenarios illustrated in Figure 3. In general, both P- and S-wave veloc-
 386 ities decrease with increasing fracture densities. However, we observe that, when con-
 387 sidering a constant fracture density, velocities for the unconnected case tend to be higher
 388 than those for the connected case. This velocity drop in presence of connected fractures,
 389 which is particularly prominent for frequencies around 1Hz, is due to FPD effects (Ru-
 390 bino et al., 2014, 2017). To reconcile this, it is important to account for the fact that,
 391 for such frequencies, there is not enough time in a half wave cycle to allow for hydraulic

392 communication between fractures and background and, thus, fractures behave as hydraulically
 393 sealed. Therefore, in presence of unconnected fractures, there is a significant pressure
 394 buildup in the fluid contained in the fractures in response to the passage of seismic
 395 waves, which in turn, opposes the deformation. Conversely, in the presence of connected
 396 fractures, there is enough time for the fluid pressure within connected fractures to equi-
 397 librate, the stiffening effect of the fracture fluid is correspondingly diminished and, hence,
 398 the medium behaves as if it was softer, which manifests itself in the form of the observed
 399 velocity drop (Figure 5). It is interesting to observe in Figure 5 that the body wave ve-
 400 locity drop is more significant for the case of S-waves than for the P-waves. The reason
 401 for this is that, in the case of P-waves, regardless of the orientation, the fluid contained
 402 in a given fracture will experience a pressure increase in response to the associated com-
 403 pression. Conversely, in the case of S-waves, the associated deformation of the fractures
 404 increases the fluid pressure in some fractures and diminishes it in others, depending on
 405 their orientation with respect to the direction of propagation of the seismic perturba-
 406 tion (Rubino et al., 2017). This particularity, in turn, implies that in the presence of con-
 407 nected fractures, the local fluid pressure gradients may be significantly higher for S-waves
 408 than for P-waves. Consequently, the associated reduction of stiffening effects and, thus,
 409 the magnitude of the associated velocity drop is much more significant in the case of S-
 410 waves (Figure 5). These effects are accounted for in the model within the framework of
 411 poroelasticity. It is, however, important to remark that, for the range of frequencies usu-
 412 ally employed for passive seismic surveys ($\sim 0.1 - 10$ Hz), dispersion in the resulting ef-
 413 fective velocities is almost non-existent (Figure 5). We have verified that the residual P-
 414 wave dispersion in the considered frequency range has no noticeable effect on the sim-
 415 ulations we performed. This allows, in turn, for the use of the upscaled effective body
 416 wave velocities to compute Rayleigh wave velocity dispersion curves employing an elas-
 417 tic model (Section 2). Table 2 summarizes the corresponding velocity values (Figure 5)
 418 which we consider in the following to study Rayleigh wave characteristics.

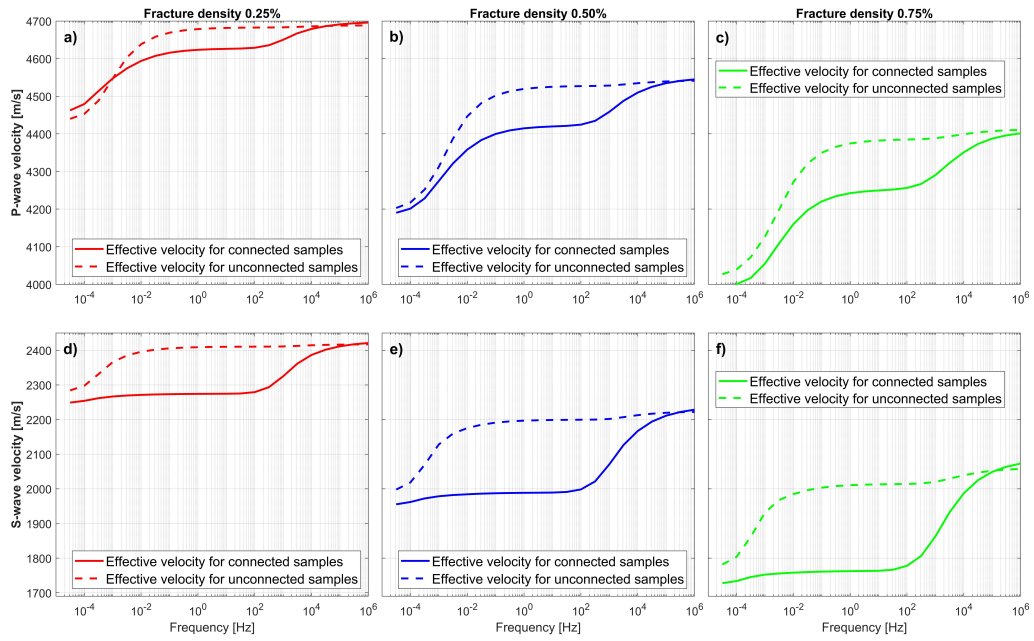


Figure 5. (a, b, c) Effective P- and (d, e, f) S-wave velocities inferred through a Monte Carlo approach for connected (solid lines) and unconnected (dashed lines) fractures of constant length and fracture densities of 0.25%, 0.50%, and 0.75%.

Table 2. Layer thicknesses and seismic properties of the considered model (Figure 2).

| Lithology | Thickness [m] | V_P [m/s] | V_S [m/s] | ρ_b [kg/m ³] |
|-------------------|---------------|-------------|-------------|-------------------------------|
| Sandstone | 2500 | 3500 | 2000 | 2500 |
| Fractured granite | 700 | See Below | See Below | See Below |
| Intact granite | Infinite | 4810 | 2620 | 2700 |

Properties of the fractured granite layer: constant length fracture distributions

| Fracture density | Connectivity | V_P [m/s] | V_S [m/s] | ρ_b [kg/m ³] |
|------------------|--------------|-------------|-------------|-------------------------------|
| 0.25% | Connected | 4623 | 2274 | 2694 |
| 0.25% | Unconnected | 4679 | 2409 | 2694 |
| 0.50% | Connected | 4415 | 1989 | 2690 |
| 0.50% | Unconnected | 4520 | 2197 | 2690 |
| 0.75% | Connected | 4242 | 1762 | 2687 |
| 0.75% | Unconnected | 4374 | 2011 | 2687 |

Note. The properties corresponding to the fractured granite layer are depicted in the lower half of the table and result from taking the velocities corresponding to the non-dispersive plateau (Figure 5).

419 The Rayleigh phase and group velocities obtained for the canonical model are shown
 420 in Figure 2 and the different characteristics for the fracture network, summarized in Ta-
 421 ble 2, are shown in Figure 6. In general, there is a distinct phase velocity behaviour for
 422 all scenarios considered (Figures 6a to 6c). This is due to the fact that different frequen-
 423 cies are sensitive to different depths of investigation, with low frequencies being dom-
 424 inated by the properties of intact granite and high frequencies by those of sandstone. Sen-
 425 sitivity to the fractured granite layer prevails for frequencies between ~ 0.1 Hz and ~ 1
 426 Hz. We note that differences between the Rayleigh wave phase velocities associated with
 427 the connected and unconnected cases increase with fracture density, which is expected
 428 from the body wave velocity results (Figure 5). We quantify the relative velocity vari-
 429 ation, computed as the ratio between the differences and the average of the connected
 430 and unconnected case for each frequency (black dashed line in Figures 6 a to f). The peak
 431 of the relative difference curve for phase velocities occurs around 0.3 Hz, with values of
 432 1%, 1.8%, and 2.7% for fracture densities of 0.25%, 0.50%, and 0.75%, respectively. Rayleigh
 433 wave group velocities (Figures 6 d to f) exhibit similar characteristics as the phase ve-
 434 locities. For intermediate frequencies, where the curves are sensitive to the fractured gran-
 435 ite layer, we note that the relative differences for the group velocities are twice of the phase
 436 velocities, with a peak located near 0.25 Hz and a notch near 0.3 Hz. Peak relative dif-
 437 ference values between the connected and unconnected cases are 2%, 3.8%, and 5.5% for
 438 fracture densities of 0.25%, 0.50% and 0.75%, respectively. These results indicate that,
 439 for the considered scenarios and for constant fracture lengths, both phase and group Rayleigh
 440 wave velocities are highly sensitive to changes in the fracture connectivity.

441 3.3 Stochastic Distribution of Fracture Lengths

442 As seen above, fracture connectivity greatly influences Rayleigh wave dispersion
 443 characteristics when the fracture lengths are constant. In the following, we consider a
 444 more realistic scenario based on a stochastic distribution of fracture lengths. Following
 445 pertinent previous works on this topic (e.g., de Dreuzy et al., 2001; Bonnet et al., 2001;

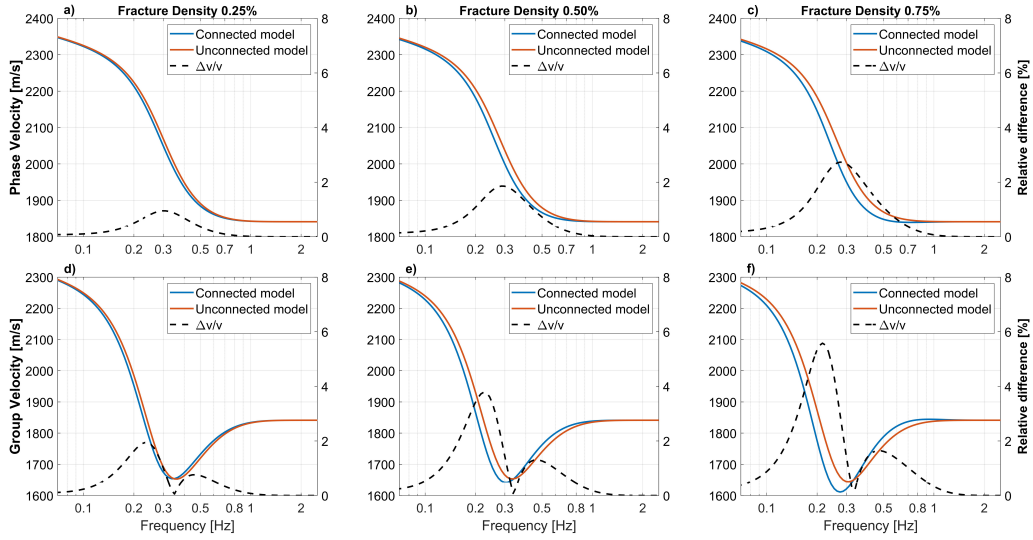


Figure 6. (a, b, c) Rayleigh wave phase and (d, e, f) group velocities for the connected (blue solid lines) and unconnected (orange solid lines) scenarios for different fracture densities. Given the small value of the absolute differences, we also illustrate relative velocity differences (dashed black lines) with scales depicted on the right-hand side of the corresponding plots. The latter are computed as the ratio between the differences and the average of the connected and unconnected case for each frequency.

446 Hunziker et al., 2018), we use a power law of the form

$$447 \quad n(L) = f_d(a - 1) \frac{L^{-a}}{L_{min}^{1-a}}; L \in [L_{min}, L_{max}], \quad (12)$$

448 where L is the fracture length, $n(L)$ is the number of fractures in the considered sam-
 449 ple with a length comprised between L and $L+dL$, f_d is the fracture density, a is the
 450 characteristic exponent of the fracture size distribution, and L_{min} and L_{max} are the bound-
 451 ing minimum and maximum values of the distribution, respectively. While earlier works
 452 (e.g., de Dreuzy et al., 2001) consider fracture density as the number of fracture centers
 453 per area, Hunziker et al. (2018) defines it as the ratio of the fracture area over the to-
 454 tal area of the studied medium. This allows to distinguish between the effects associated
 455 with changes of fracture volume and fracture length. The exponent a can take values be-
 456 tween 1.5 and 3 and controls the prevalence of shorter to longer fractures within the lim-
 457 its given by L_{min} and L_{max} . For this work, we choose L_{min} and L_{max} as 4 cm and 25
 458 cm, respectively. Together with a fixed aperture of 0.4 mm, results in fracture aspect ra-
 459 tios between 100 and 625, which is in agreement with corresponding observations of Vermilye
 460 and Scholz (1995) for real fractures. For the exponent a , we choose an intermediate value
 461 of 2.25, which implies that there is no predominance of neither shorter nor longer frac-
 462 tures on the seismic response of the medium (Hunziker et al., 2018).

463 The considered samples are generated in the same way as those characterized by
 464 constant length fractures and we employ the same physical properties for the fractures
 465 and background given in Table 1. Again, we consider three different fracture densities:
 466 0.25%, 0.50%, and 0.75%, and two end-member-type connectivity scenarios of fully con-
 467 nected and fully unconnected fractures. Figure 7 illustrates some examples of the frac-
 468 ture distribution realizations considered in this section. We again employ the upscaling
 469 procedure described in section 2 in combination with a Monte Carlo approach to obtain
 470 the effective mechanical properties of the fractured formation. Although not shown here
 471 for brevity, we found that 50 samples are sufficient to obtain a stable standard devia-
 472 tion and, thus, representative body wave velocities.

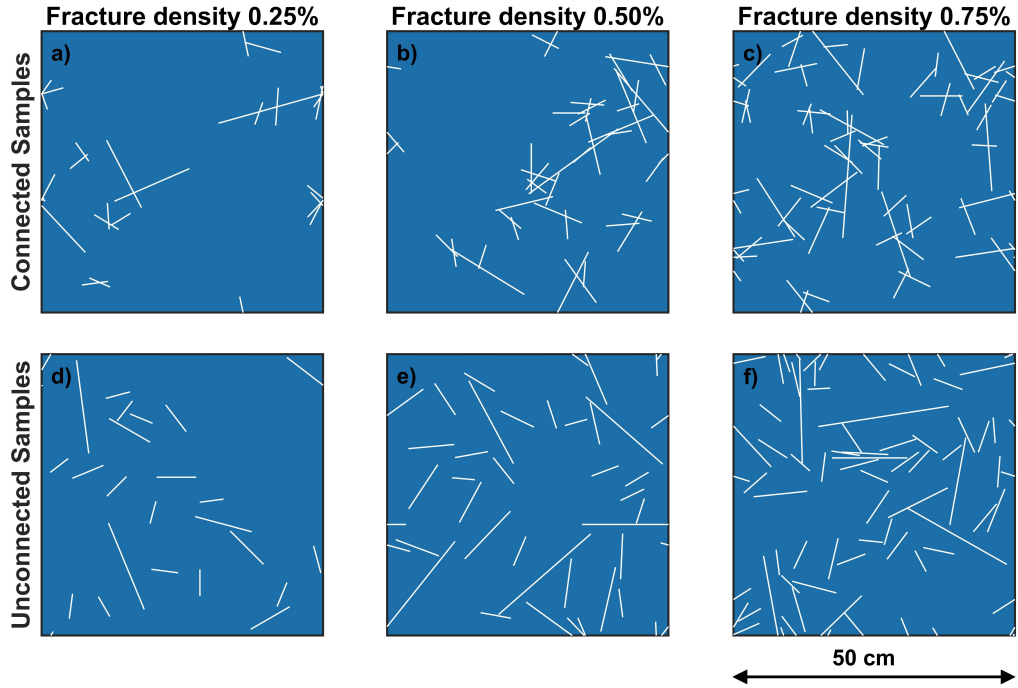


Figure 7. Examples of the variable length fracture distributions employed to derive the effective body wave velocities of fractured granite. We consider representative samples comprising (a, b, c) connected and (d, e, f) unconnected fractures. Each column depicts a different fracture density: (a, d) 0.25%, (b, e) 0.50%, and (c, f) 0.75%. Samples have a 50 cm side length. Fractures are rectangular features with a constant aperture of 4 mm and length drawn from a power law distribution (Equation 12).

Table 3. Properties of the fractured granite layer: Variable length fractures distributions

| Fracture density | Connectivity | V_P [m/s] | V_S [m/s] | ρ_b [kg/m ³] |
|------------------|--------------|-------------|-------------|-------------------------------|
| 0.25% | Connected | 4661 | 2337 | 2694 |
| 0.25% | Unconnected | 4701 | 2445 | 2694 |
| 0.50% | Connected | 4477 | 2093 | 2690 |
| 0.50% | Unconnected | 4551 | 2265 | 2690 |
| 0.75% | Connected | 4310 | 1855 | 2687 |
| 0.75% | Unconnected | 4416 | 2093 | 2687 |

Note. Characteristics of the fractured layer schematically illustrated in Figure 2 used for computing Rayleigh wave dispersion curves.

473 The results for the effective P- and S-body wave velocities as functions of frequency
474 are shown in Figure 8. The characteristics of the velocity dispersion curves are similar
475 to those for the constant fracture length scenario (Figures 6 and 8). Each fracture den-
476 sity shows the manifestations of FPD effects described in section 2, with a constant ve-
477 locity plateau for the frequencies of interest between ~ 0.01 and ~ 3 Hz. We note that
478 velocities for P-waves (Figures 8a to 8c) and S-waves (Figures 8d to 8f) decrease for in-
479 creasing fracture density. As observed previously the difference in body wave velocities
480 between connected and unconnected fracture distributions increases for larger fracture
481 densities and is more prominent for S-waves than for P-waves. This indicates that, re-
482 gardless of the fracture length distribution, velocity variations associated with changes
483 in fracture connectivity are strongly affected by the fracture density. The resulting ef-
484 fective velocities for each scenario are listed in Table 3.

485 Figure 9 illustrates the Rayleigh wave velocity dispersion for the variable fracture
486 length case. Phase and group velocities present limiting values at high and low frequen-
487 cies corresponding to the values of sandstone and intact granite, respectively. Sensitiv-

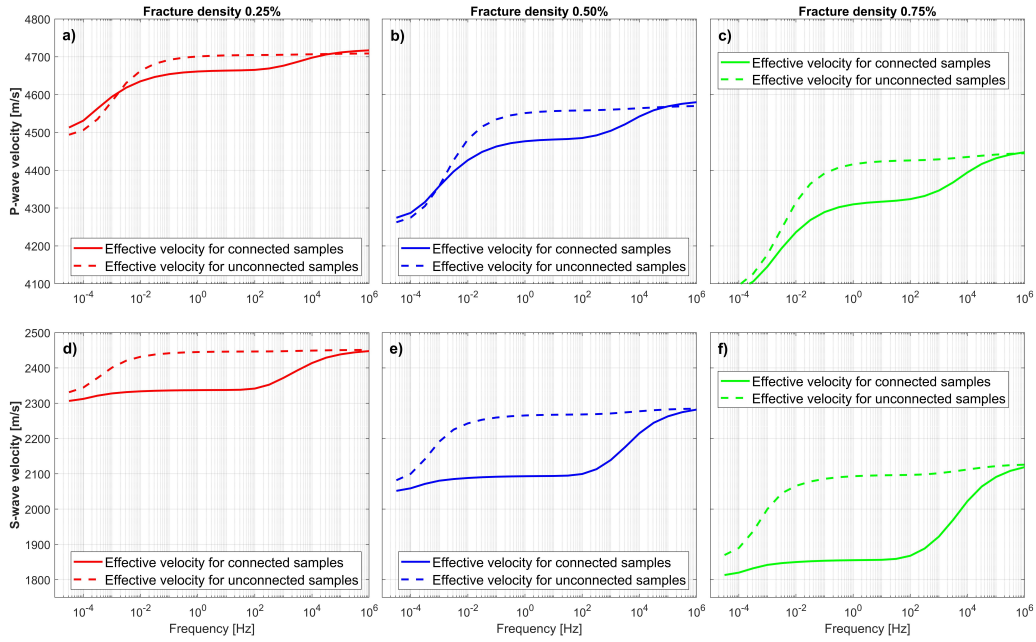


Figure 8. (a, b, c) Effective P- and (d, e, f) S-wave velocities for connected (solid lines) and unconnected (dashed lines) fractures of variable lengths (Figure 7). We illustrate the results for fracture densities of 0.25%, 0.50%, and 0.75%. The curves are obtained by averaging the responses of 50 fracture network realizations.

ity to the fractured layer prevails at frequencies between ~ 0.1 Hz and ~ 1 Hz. For Rayleigh wave phase velocities (Figures 9a to 9c), the maximum of the relative difference between connected and unconnected cases occurs near 0.3 Hz with values of 0.7%, 1.4%, and 2.3% for fracture densities of 0.25%, 0.50%, and 0.75%, respectively. For Rayleigh wave group velocities, maximum relative differences occur for a frequency close to 0.25 Hz with values of 1.5%, 2.9%, and 4.8% for fracture densities of 0.25%, 0.50%, and 0.75%, respectively. A comparison of Rayleigh wave velocities for the variable length case with the corresponding results obtained for the constant length fracture distributions show that the relative differences for the latter case are approximately 25% higher. However, the relative effect of changing fracture density or connectivity is the same for both variable and constant length fracture distributions. This implies that for the fracture length variations considered in this work, the controlling factors regarding FPD effects on Rayleigh waves are the fracture density and fracture connectivity rather than the length distribution of the fractures.

In order to obtain a clearer idea on the impact of FPD effects on Rayleigh wave dispersion, we repeat the analysis for additional values of fracture density ranging between 0.25% and 0.90% (Figure 10). Figures 10a and 10b show the results of the effective body wave velocities for a frequency of 1 Hz, which is representative of the non-dispersive plateau (dashed lines). In addition to the connected and unconnected scenarios, we also consider samples which have not been subjected to the previously outlined control of connectivity and, hence, have not undergone any fracture substitution. We refer to this case as randomly connected. As the end-member-type cases of fully connected and fully unconnected distributions are not likely to occur in real formations, the randomly connected scenario is expected to be more representative of the naturally-occurring degree of connectivity for a given fracture density. We again observe a clear trend of decreasing P- and S-wave velocities with increasing fracture density (Figures 10a and 10b). In particular, we observe that for a given fracture density, connected fracture distributions have the lowest velocities, unconnected fracture distributions have the highest velocities, and

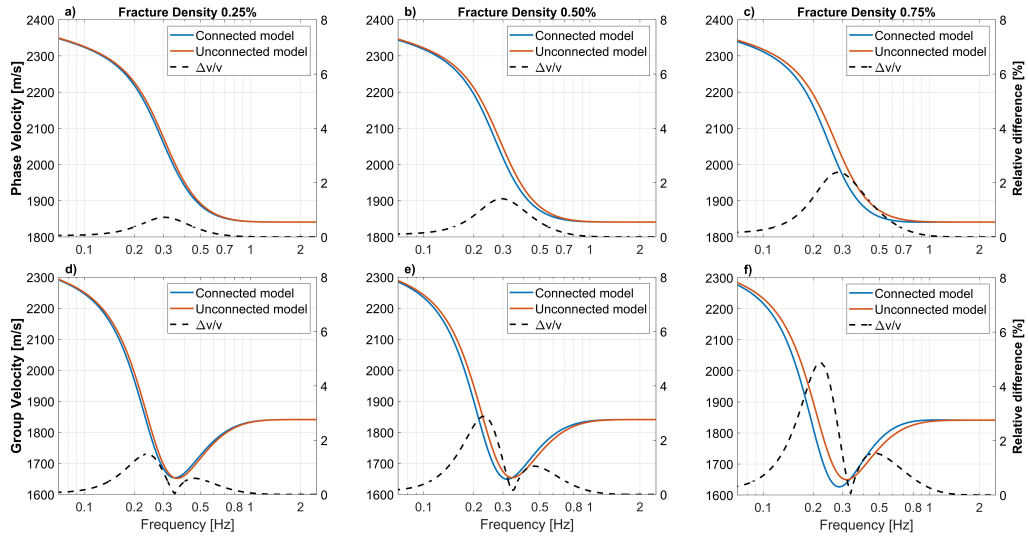


Figure 9. (a, b, c) Rayleigh wave phase and (d, e, f), group velocities for connected (blue solid lines) and unconnected (orange solid lines) fractures whose length distribution obey the power law given in Equation 12 (Figure 7). Dashed black lines indicate the relative velocity difference, computed as the ratio between the differences and the average of the connected and unconnected case for each frequency.

516 randomly connected fracture distributions (red dashed lines) have intermediate veloc-
 517 ities. The velocities of the randomly connected fracture distributions are closer to those
 518 of the unconnected fracture distributions for lower fracture densities and closer to those
 519 of connected fracture network for higher fracture densities. This is expected as the prob-
 520 ability of interconnections increases with the fracture density.

521 Figures 10a and 10b also show the velocities in the high-frequency or no-flow limit
 522 at 10^6 Hz, which corresponds to the elastic behaviour of the samples (solid lines). We
 523 observe that, while the trend of decreasing velocity with increasing fracture density is
 524 still present, the effect of fracture connectivity is largely negligible. This is consistent with
 525 works based on elastic approximations of fractured media (e.g., Grechka & Kachanov,
 526 2006), where FPD effects are neglected and, thus, suggest that fracture connectivity has
 527 no impact on the mechanical properties. Interestingly, P- and S-wave velocities for the
 528 randomly connected case considering FPD effects (red dashed lines) decreases more dras-
 529 tically with the fracture density than the corresponding high frequency estimates (red
 530 solid lines). Figures 10c and 10d show the maximum relative Rayleigh wave velocity dif-
 531 ference between connected and unconnected fractures for a given fracture density in the
 532 presence and absence of FPD. For the cases considering FPD effects, this corresponds
 533 to the analysis shown in Figure 9 extended for additional fracture densities. For the cases
 534 disregarding FPD effects, the relative difference corresponds to velocities in the high-frequency
 535 no-flow limit (10^6 Hz). Figure 10c corresponds to the maximum relative difference be-
 536 tween connected and unconnected fracture distributions for Rayleigh wave group veloc-
 537 ity, at a frequency of ~ 0.2 Hz, and Figure 10d shows the maximum relative difference
 538 for Rayleigh wave phase velocity, at a frequency of ~ 0.3 Hz. We note that, when con-
 539 sidering FPD effects, the difference between connected and unconnected cases is already
 540 significant for lower fracture densities and increases progressively with increasing frac-
 541 ture density. Conversely, in the absence of FPD effects, the difference between the con-
 542 nected and unconnected cases remains largely negligible for all fracture densities con-
 543 sidered. Overall, these results suggest that disregarding FPD effects in a velocity anal-

544 ysis, that is, considering the high frequency elastic representation, may lead to an over-
545 estimation of the fracture density changes required to explain a given velocity change.

546 Finally, we consider variations in the thickness and the depth of the fractured gran-
547 ite layer in our canonical model (Figure 2, Table 2) in order to assess whether and to what
548 extent such changes affect the sensitivity to variations in fracture connectivity. Figure
549 11 shows the effects of varying the depth and thickness of the fractured layer for a frac-
550 ture density of 0.50% (Table 3). Figures 11a and 11d document the Rayleigh wave phase
551 and group velocities after increasing the thickness of the surficial sandstone layer from
552 2500 m to 3500 m, while keeping the thickness of the fractured layer unchanged. Fig-
553 ures 11b and 11e show the results for reference model without modifications. For a deeper
554 location of the fractured layer (Figures 11a and 11d), we observe that the maximum rel-
555 ative differences between the connected and unconnected cases shift towards lower fre-
556 quencies, as longer wavelengths are sensitive to greater depths. We also see that the mag-
557 nitude of the relative difference decreases, as the increase of the thickness of the over-
558 laying formation diminishes the impact of the reservoir on the Rayleigh wave dispersion.
559 Figures 11c and 11f show the results after reducing the thickness of the fractured layer
560 from 700 m to 350 m. We observe no appreciable frequency shift but there is, as expected,
561 an important decrease of the relative differences, which, nevertheless, remain relevant
562 when compared to corresponding field evidence (e.g., Obermann et al., 2015; Taira et
563 al., 2018).

564 4 Discussion

565 We employed a numerical upscaling procedure based on the assumption of quasi-
566 static poroelasticity, which does not account for inertial effects to obtain the effective body
567 wave velocities of fractured samples. The transition frequency, at which inertial effects
568 become relevant, depends on the material properties. For all scenarios of practical in-
569 terest in the given context, this frequency is much higher than the frequency range used
570 in passive seismic exploration in general and Rayleigh wave studies in particular and,

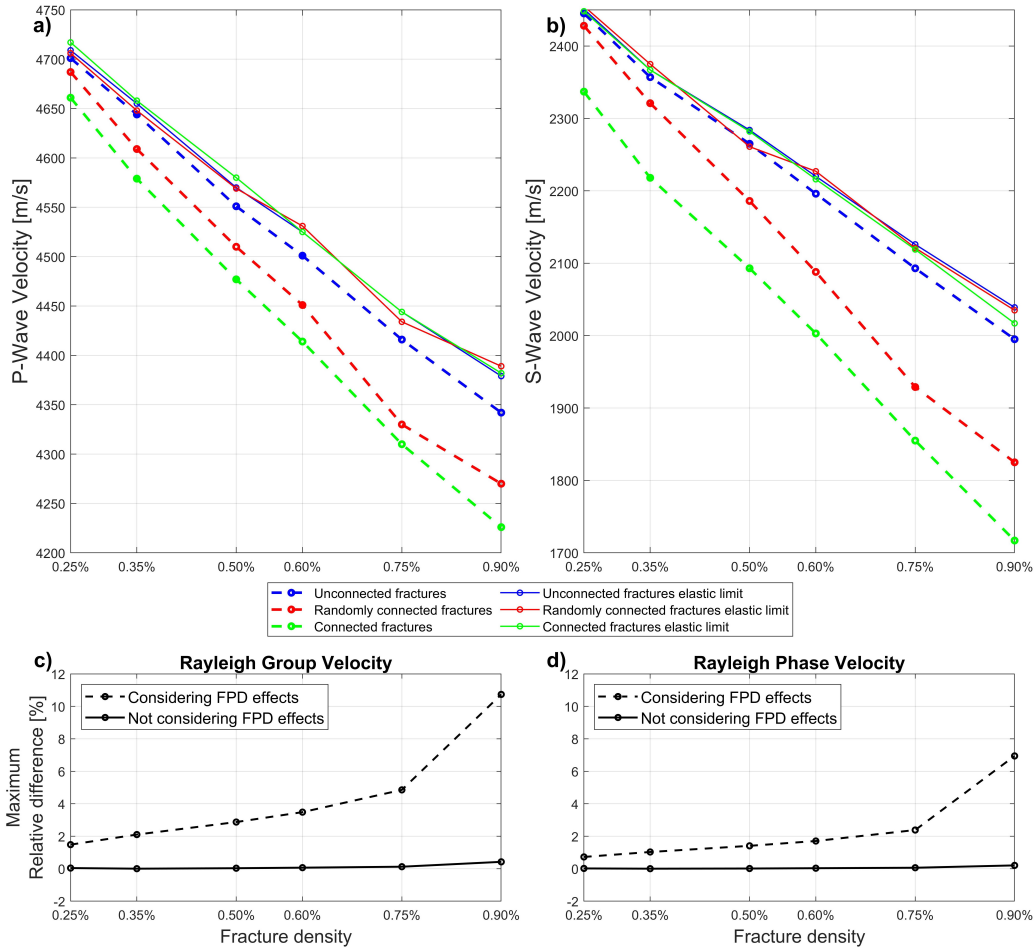


Figure 10. (a) Effective P- and (b) S-wave velocities as functions of fracture density for different degrees of fracture connectivity considering a stochastic distribution of the fracture lengths (Equation 12, Figure 7). Dashed lines correspond to a frequency of 1 Hz, which is representative for passive seismic studies, while solid lines are computed using a frequency of 10^6 Hz, thus resulting in elastic behaviour of the probed samples. Maximum relative difference for (c) Rayleigh wave group and (d) phase velocities between the connected and unconnected distributions computed for the elastic and poroelastic scenarios.

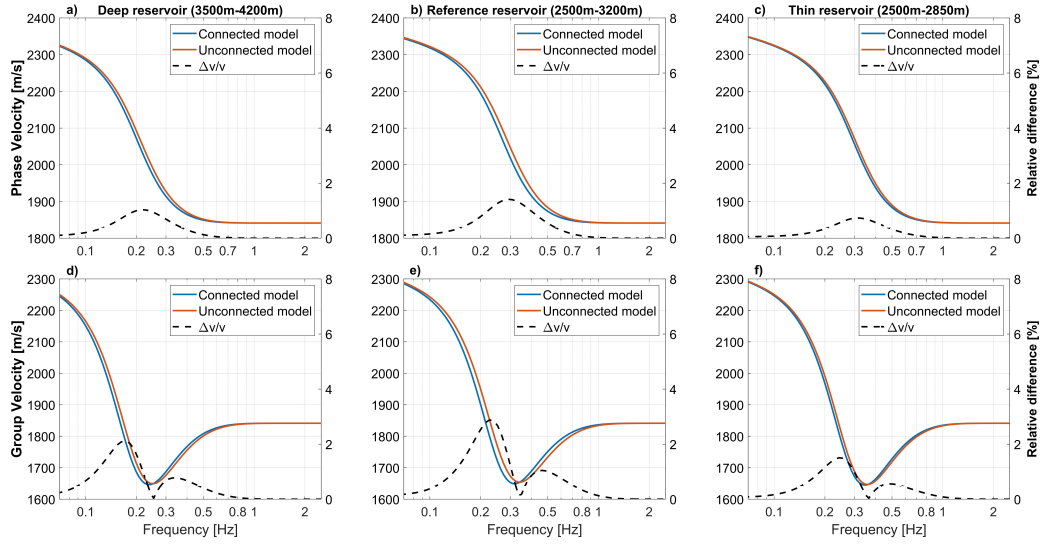


Figure 11. Effects of variations in the depth and thickness of the fractured layer in our canonical model (Figure 2, Table 2) for a fracture density of 0.50% and considering a stochastic distribution of lengths (Equation 12, Figure 7). (b, e) Phase and group velocities for the reference case (Table 3). Phase and group velocities (a, d) when the fractured layer is located 1000 m deeper and (c, f) for fractured layer with a thickness of 350 m as compared to one of 700 m used for the reference model.

571 hence, the poroelastic upscaling procedure used in this study is valid as long as fracture
 572 sizes remain much smaller than the predominant wavelength.

573 The observed fracture connectivity effects on Rayleigh wave velocities are signif-
 574 icantly higher than the velocity variations reported from passive seismic monitoring of
 575 geothermal sites (Obermann et al., 2015; Taira et al., 2018). This is likely due to the fact
 576 that in a natural environment, changes of fracture connectivity are likely to be small and
 577 gradual, while we are considering the end-member scenarios of entirely unconnected and
 578 connected fracture networks. Moreover, 2D simulations tend to overestimate FPD ef-
 579 fects on the seismic response of the samples (Hunziker et al., 2018). Another point of
 580 discrepancy may be the thickness of the fractured reservoir of our model, as natural and
 581 enhanced fractured reservoirs are likely to be thinner than 700 m. In addition, to com-
 582 pute Rayleigh wave dispersion curves, we adopted a model consisting of isotropic and
 583 homogeneous layers. It is known that in the case of fractures with preferential orienta-
 584 tions, FPD effects have significant impact on the velocity anisotropy of the probed sam-
 585 ples (Rubino et al., 2017). The corresponding effects in surface wave dispersion, in ad-
 586 dition to more complex model geometries including lateral variations of the material prop-
 587 erties and layer thicknesses should be addressed in future works.

588 We also considered distributions of fractures with constant aperture and material
 589 properties, and while the resulting aspect ratio distribution of the fractures is realistic
 590 (e.g., Vermilye & Scholz, 1995), the length variation ranges and sample sizes are governed
 591 by computational constraints. This raises the question regarding the scalability and rel-
 592 evance of our results for realistic fractures, which can be several orders-of-magnitude larger
 593 than the ones considered in our samples. Following the work of Guo et al. (2017), which
 594 considers fracture networks composed by two sets of orthogonal equal fractures, the char-
 595 acteristic frequency of FB-FPD, F_{fb} , can be expressed as

$$596 \quad F_{fb} = \frac{8D_b}{a_f^2}, \quad (13)$$

597 where a_f denotes the length of the fractures and D_b the diffusivity of the background
 598 medium. D_b is expressed as

$$599 \quad D_b = \frac{M_b L_b \kappa_b}{\eta L_b^{sat}}, \quad (14)$$

600 where M_b corresponds to the fluid storage coefficient of the background material, L_b and
 601 L_b^{sat} are the P-wave moduli for the dry and saturated cases, respectively, κ_b is the per-
 602 meability and η is the fluid viscosity. As background properties are not affected by changes
 603 in fracture size, F_{fb} is expected to decrease for increasing fracture size (Equation 13).

604 On the other hand, the characteristic frequency of FF-FPD effects, F_{ff} , corresponding
 605 to the maximum attenuation and dispersion due to this process, is given by (Guo et al.,
 606 2017)

$$607 \quad F_{ff} = \frac{8D_e}{a_f^2}, \quad (15)$$

608 where D_e denotes the diffusivity of an effective medium, which considers the fractures
 609 as the pore space and the background as the solid phase. D_e is expressed as

$$610 \quad D_e = \frac{M_e L_e \kappa_{fr} f_d}{\eta L_e^{sat}}, \quad (16)$$

611 where M_e corresponds to the effective medium fluid storage coefficient, L_e and L_e^{sat} are
 612 the P-wave moduli for the dry and saturated effective medium, respectively, κ_{fr} is the
 613 permeability of the fractures and f_d is the fracture density. As can be seen in Equations
 614 15 and 16, F_{ff} depends on the effective medium diffusivity and the fracture size. Ne-
 615 glecting possible changes in elastic properties of the fractures and considering that an
 616 increase in fracture length is associated with an increase in aperture (e.g., Vermilye &
 617 Scholz, 1995) and, therefore, in permeability (e.g., Brown, 1987), it can be shown that
 618 the impact of fracture size on F_{ff} tends to be counteracted by the associated increase
 619 in permeability. For this reason, we expect that the FF-FPD characteristic frequency
 620 will not be significantly affected by the scale of the fractures. This, together with the
 621 fact that F_{fb} decreases with increasing fracture size implies that the frequencies typi-
 622 cally employed in ambient seismic noise studies are likely to remain in the non-dispersive
 623 plateau. This, in turn, suggests that the effects of connectivity are expected to remain

624 significant regardless of the scale of the fractures considered. However, further work is
625 required in this direction to assess associated scaling characteristics for complex fracture
626 distributions and possible fracture compliance changes with scale. This would allow to
627 evaluate the corresponding impact not only on the characteristic frequencies but also on
628 the magnitude of the fracture connectivity effects.

629 **5 Conclusions**

630 We have employed a numerical upscaling procedure together with a Monte Carlo
631 approach to obtain effective body wave velocities of a fractured formation. This approach
632 allows to account for FPD effects between fractures and their embedding background
633 as well as between connected fractures. For the frequency range typical of ambient seis-
634 mic noise analysis, we have found that there is no body wave velocity dispersion or at-
635 tenuation due to FPD effects for our models. However, the presence of interconnections
636 between fractures produces a significant drop of the body wave velocities in comparison
637 with the corresponding unconnected scenario. This is an important poroelastic phenomenon,
638 which is generally referred to as pore fluid softening/stiffening and which cannot be ex-
639 plained from a purely elastic perspective. The effective body wave velocities we obtained
640 were employed to determine the effects of fracture connectivity on Rayleigh wave phase
641 and group velocities. Based on the prevailing elastic models, changes in Rayleigh veloc-
642 ities in fractured environments were so far largely attributed to changes in fracture den-
643 sity or aperture. Our results indicate that fracture connectivity plays an important role
644 in the seismic response of fractured formations due to FPD effects and that these effects
645 are appreciable when performing Rayleigh wave dispersion analysis.

646 We compared the results from distributions with constant fracture lengths and frac-
647 ture lengths drawn from a power law distribution. We found that, for the range of length
648 variations employed, fracture length distribution seems to be of subordinate importance
649 with respect to changes in connectivity or fracture density. Our results demonstrate the
650 importance of FPD effects for Rayleigh waves in fractured media, and notably, that ne-

651 neglecting FPD effects between connected fractures may lead to an overestimation of frac-
 652 ture density.

653 **Acknowledgments**

654 The data set used to plot the Figures presented in this paper can be downloaded from
 655 <https://doi.org/10.5281/zenodo.4788646>. This work is supported by grant number 200020-
 656 178946 from the Swiss National Science Foundation and was in part completed within
 657 the Swiss Competence Center on Energy Research - Supply of Electricity, with the sup-
 658 port of Innosuisse. J. G. R. gratefully acknowledges the financial support received from
 659 the Agencia Nacional de Promoción Científica y Tecnológica of Argentina (PICT 2017-
 660 2976).

661 **References**

- 662 Aki, K., & Lee, W. (1976). Determination of three-dimensional velocity anoma-
 663 lies under a seismic array using first P arrival times from local earthquakes:
 664 1. A homogeneous initial model. *Journal of Geophysical research*, *81*(23),
 665 4381–4399.
- 666 Aki, K., & Richards, P. G. (1980). *Quantitative Seismology: Theory and Practice*.
 667 New York: W.H. Freeman.
- 668 Biot, M. A. (1941). General theory of three-dimensional consolidation. *Journal of*
 669 *Applied Physics*, *12*(2), 155–164.
- 670 Biot, M. A. (1956a). Theory of propagation of elastic waves in a fluid-saturated
 671 porous solid. II. Higher frequency range. *The Journal of the Acoustical Society*
 672 *of America*, *28*(2), 179–191.
- 673 Biot, M. A. (1956b). Theory of propagation of elastic waves in a fluid-saturated
 674 porous solid. I. Low-frequency range. *The Journal of the Acoustical Society of*
 675 *America*, *28*(2), 168–178.
- 676 Biot, M. A. (1962). Mechanics of deformation and acoustic propagation in porous

- 677 media. *Journal of Applied Physics*, *33*(4), 1482–1498.
- 678 Bonnet, E., Bour, O., Odling, N. E., Davy, P., Main, I., Cowie, P., & Berkowitz, B.
679 (2001). Scaling of fracture systems in geological media. *Reviews of Geophysics*,
680 *39*(3), 347–383.
- 681 Boullenger, B., Verdel, A., Paap, B., Thorbecke, J., & Draganov, D. (2015). Study-
682 ing CO₂ storage with ambient-noise seismic interferometry: A combined
683 numerical feasibility study and field-data example for Ketzin, Germany. *Geo-*
684 *physics*, *80*(1), Q1–Q13.
- 685 Brenguier, F., Shapiro, N. M., Campillo, M., Ferrazzini, V., Duputel, Z., Coutant,
686 O., & Nercessian, A. (2008). Towards forecasting volcanic eruptions using
687 seismic noise. *Nature Geoscience*, *1*(2), 126–130.
- 688 Brown, S. R. (1987). Fluid flow through rock joints: the effect of surface roughness.
689 *Journal of Geophysical Research: Solid Earth*, *92*(B2), 1337–1347.
- 690 Buchen, P., & Ben-Hador, R. (1996). Free-mode surface-wave computations. *Geo-*
691 *physical Journal International*, *124*(3), 869–887.
- 692 Calò, M., Kinnaert, X., & Dorbath, C. (2013). Procedure to construct three-
693 dimensional models of geothermal areas using seismic noise cross-correlations:
694 application to the Soultz-sous-Forêts enhanced geothermal site. *Geophysical*
695 *Journal International*, *194*(3), 1893–1899.
- 696 Campillo, M., & Paul, A. (2003). Long-range correlations in the diffuse seismic coda.
697 *Science*, *299*(5606), 547–549.
- 698 de Dreuzy, J.-R., Davy, P., & Bour, O. (2001). Hydraulic properties of two-
699 dimensional random fracture networks following a power law length distribu-
700 tion: 1. Effective connectivity. *Water Resources Research*, *37*(8), 2065–2078.
- 701 Detournay, E., & Cheng, A. H.-D. (1993). Fundamentals of poroelasticity. In
702 C. Fairhurst (Ed.), *Analysis and design methods* (pp. 113–171). Oxford: Perga-
703 mon.
- 704 Favino, M., Hunziker, J., Caspari, E., Quintal, B., Holliger, K., & Krause, R. (2020).

- 705 Fully-automated adaptive mesh refinement for media embedding complex het-
 706 terogeneities: application to poroelastic fluid pressure diffusion. *Computational*
 707 *Geosciences*, *24*(3), 1101–1120.
- 708 Gassenmeier, M., Sens-Schönfelder, C., Delatre, M., & Korn, M. (2014). Monitoring
 709 of environmental influences on seismic velocity at the geological storage site
 710 for CO₂ in Ketzin (Germany) with ambient seismic noise. *Geophysical Journal*
 711 *International*, *200*(1), 524–533.
- 712 Gassmann, F. (1951). Elasticity of porous media. *Vierteljahrsschrder Naturforschen-*
 713 *den Gessellschaft*, *96*(1–23).
- 714 Grechka, V., & Kachanov, M. (2006). Effective elasticity of rocks with closely spaced
 715 and intersecting cracks. *Geophysics*, *71*(3), D85–D91.
- 716 Guo, J., Rubino, J. G., Glubokovskikh, S., & Gurevich, B. (2017). Effects of fracture
 717 intersections on seismic dispersion: theoretical predictions versus numerical
 718 simulations. *Geophysical Prospecting*, *65*(5), 1264–1276.
- 719 Gurevich, B., Brajanovski, M., Galvin, R. J., Müller, T. M., & Toms-Stewart, J.
 720 (2009). P-wave dispersion and attenuation in fractured and porous reservoirs–
 721 poroelasticity approach. *Geophysical Prospecting*, *57*(2), 225–237.
- 722 Haskell, N. A. (1953). The dispersion of surface waves on multilayered media. *Bul-*
 723 *letin of the seismological Society of America*, *43*(1), 17–34.
- 724 Hunziker, J., Favino, M., Caspari, E., Quintal, B., Rubino, J. G., Krause, R., &
 725 Holliger, K. (2018). Seismic attenuation and stiffness modulus dispersion in
 726 porous rocks containing stochastic fracture networks. *Journal of Geophysical*
 727 *Research: Solid Earth*, *123*(1), 125–143.
- 728 Mavko, G., Mukerji, T., & Dvorkin, J. (1998). *The Rock Physics Handbook*. New
 729 York: Cambridge University Press.
- 730 Milani, M., Rubino, J. G., Müller, T. M., Quintal, B., Caspari, E., & Holliger, K.
 731 (2016). Representative elementary volumes for evaluating effective seismic
 732 properties of heterogeneous poroelastic media. *Geophysics*, *81*(2), D169–D181.

- 733 Nakagawa, S., & Schoenberg, M. A. (2007). Poroelastic modeling of seismic bound-
 734 ary conditions across a fracture. *The Journal of the Acoustical Society of*
 735 *America*, *122*(2), 831–847.
- 736 Obermann, A., Kraft, T., Larose, E., & Wiemer, S. (2015). Potential of ambient
 737 seismic noise techniques to monitor the St. Gallen geothermal site (Switzer-
 738 land). *Journal of Geophysical Research: Solid Earth*, *120*(6), 4301–4316.
- 739 Obermann, A., Planès, T., Larose, E., & Campillo, M. (2013). Imaging preeruptive
 740 and coeruptive structural and mechanical changes of a volcano with ambient
 741 seismic noise. *Journal of Geophysical Research: Solid Earth*, *118*(12), 6285–
 742 6294.
- 743 Planès, T., Obermann, A., Antunes, V., & Lupi, M. (2020). Ambient-noise tomogra-
 744 phy of the greater geneva basin in a geothermal exploration context. *Geophysi-
 745 cal Journal International*, *220*(1), 370–383.
- 746 Press, W. H., William, H., Teukolsky, S. A., Saul, A., Vetterling, W. T., & Flannery,
 747 B. P. (1986). *Numerical Recipes: The Art of Scientific Computing*. New York:
 748 Cambridge University Press.
- 749 Rubino, J. G., Caspari, E., Müller, T. M., & Holliger, K. (2017). Fracture connec-
 750 tivity can reduce the velocity anisotropy of seismic waves. *Geophysical Journal*
 751 *International*, *210*(1), 223–227.
- 752 Rubino, J. G., Caspari, E., Müller, T. M., Milani, M., Barbosa, N. D., & Holliger,
 753 K. (2016). Numerical upscaling in 2-D heterogeneous poroelastic rocks:
 754 Anisotropic attenuation and dispersion of seismic waves. *Journal of Geophysi-
 755 cal Research: Solid Earth*, *121*(9), 6698–6721.
- 756 Rubino, J. G., Guarracino, L., Müller, T. M., & Holliger, K. (2013). Do seismic
 757 waves sense fracture connectivity? *Geophysical Research Letters*, *40*(4), 692–
 758 696.
- 759 Rubino, J. G., Müller, T. M., Guarracino, L., Milani, M., & Holliger, K. (2014).
 760 Seismoacoustic signatures of fracture connectivity. *Journal of Geophysical*

- 761 *Research: Solid Earth*, 119(3), 2252–2271.
- 762 Rubino, J. G., Ravazzoli, C. L., & Santos, J. E. (2009). Equivalent viscoelastic solids
763 for heterogeneous fluid-saturated porous rocks. *Geophysics*, 74(1), N1–N13.
- 764 Shapiro, N. M., & Campillo, M. (2004). Emergence of broadband Rayleigh waves
765 from correlations of the ambient seismic noise. *Geophysical Research Letters*,
766 31(7).
- 767 Silver, P. G., Daley, T. M., Niu, F., & Majer, E. L. (2007). Active source monitor-
768 ing of cross-well seismic travel time for stress-induced changes. *Bulletin of the*
769 *Seismological Society of America*, 97(1B), 281–293.
- 770 Socco, L., Foti, S., & Boiero, D. (2010). Surface-wave analysis for building near-
771 surface velocity models — Established approaches and new perspectives. *Geo-*
772 *physics*, 75, 91–110.
- 773 Solazzi, S. G., Hunziker, J., Caspari, E., Rubino, J. G., Favino, M., & Holliger, K.
774 (2020). Seismic signatures of fractured porous rocks: the partially saturated
775 case. *Journal of Geophysical Research: Solid Earth*, 125(8), e2020JB019960.
- 776 Solazzi, S. G., Rubino, J., Müller, T. M., Milani, M., Guarracino, L., & Holliger,
777 K. (2016). An energy-based approach to estimate seismic attenuation due
778 to wave-induced fluid flow in heterogeneous poroelastic media. *Geophysical*
779 *Journal International*, 207(2), 823–832.
- 780 Stein, S., & Wysession, M. (2003). *An introduction to seismology, earthquakes, and*
781 *earth structure*. Malden: Blackwell.
- 782 Taira, T., Brenguier, F., & Kong, Q. (2015). Ambient noise-based monitoring of
783 seismic velocity changes associated with the 2014 Mw 6.0 South Napa earth-
784 quake. *Geophysical Research Letters*, 42(17), 6997–7004.
- 785 Taira, T., Nayak, A., Brenguier, F., & Manga, M. (2018). Monitoring reservoir
786 response to earthquakes and fluid extraction, Salton Sea geothermal field,
787 California. *Science Advances*, 4(1), e1701536.
- 788 Thomson, W. T. (1950). Transmission of elastic waves through a stratified solid

- 789 medium. *Journal of Applied Physics*, *21*(2), 89–93.
- 790 Thurber, C. H. (1983). Earthquake locations and three-dimensional crustal structure
791 in the Coyote Lake area, central California. *Journal of Geophysical Research:*
792 *Solid Earth*, *88*(B10), 8226–8236.
- 793 Vermilye, J. M., & Scholz, C. H. (1995). Relation between vein length and aperture.
794 *Journal of Structural Geology*, *17*(3), 423–434.
- 795 Vinci, C., Renner, J., & Steeb, H. (2014). On attenuation of seismic waves associ-
796 ated with flow in fractures. *Geophysical Research Letters*, *41*(21), 7515–7523.
- 797 Wang, Q.-Y., & Yao, H. (2020). Monitoring of velocity changes based on seismic
798 ambient noise: A brief review and perspective. *Earth and Planetary Physics*,
799 *4*(5), 532–542.