

4 The Triumph of MALDI-TOF Mass Spectrometry and New Developments in
5 Tandem Mass Spectrometry for Clinical Microbiology

6

7 **Chapter 3:**

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9 **Applications of MALDI-TOF Mass Spectrometry in Clinical**
10 **Diagnostic Microbiology.**

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80 **Introduction**

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82 Laboratory results account for a large part in physicians' management of patients. While the majority of
83 the laboratory results are available the same day of hospital admission, microbiology analysis may be
84 associated with longer time to results. A suspicion of infection is generally based on clinical symptoms
85 and signs, some of them being highly unspecific (1; 2). Indeed, a similar clinical presentation can be
86 caused by different etiologies and different microorganisms. As a consequence empirical treatments made
87 of broad-range antibiotics are often started (3; 4). In bacteriology and mycology, a large part of the
88 diagnostic is culture-based, a sensitive approach (when the sampling is achieved before antibiotics
89 introduction) which is semi-quantitative or quantitative and provides a pure isolate for species
90 identification and antibiotic susceptibility testing (AST), which will allow adapting the antibiotic
91 treatment if needed. The main disadvantage of culture-based approaches is the time to positivity which
92 varies according to the microorganism growth. When a culture is positive, the identification of the
93 incriminated microorganism may rely on 1) colony morphology and microscopic appearance determined
94 by Gram staining or other specific staining, and 2) biochemical identification; some rapid enzymatic and
95 antigenic detection assays allow a rapid identification; however these methods are restricted to a limited
96 number of microorganisms. High throughput automated systems for subculture-based microorganism
97 identification, which represent a large majority of biochemical identifications are still time consuming
98 because of the need of a subculture.

99 Matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI-TOF MS)
100 represents one of the most accurate, reliable and fast method for the identification of bacterial strains from
101 positive cultures and therefore largely replaced all other previously used approaches for microbial
102 identification. It proves to be much cheaper than nucleic-acid based methods such as polymerase-chain
103 reaction plus sequencing, fluorescence in situ hybridization (FISH) or microarrays.

104 The principle of MALDI-TOF MS is to generate the mass spectrum profile of a sample that consists of a
105 mix of proteins with different masses. Matrix-assisted laser desorption ionization (MALDI), is a method
106 of proteins embedding in a specific matrix that will facilitate the ionization achieved by a laser. The
107 ionized proteins are subsequently separated according to their time of flight (TOF), a function of their
108 mass and charge. A detector will generate a mass spectrum (MS) according to the calculated time of
109 flight. MALDI-TOF MS has been initially applied for the identification of microorganisms directly from
110 whole cells which made it easy to implement in the routine work flow of clinical microbiology laboratory
111 from colony obtain on agar plates. MALDI-TOF MS success largely relies on easy to use instruments
112 with friendly software accessible to non-chemist. MALDI-TOF MS was further applied for

113 microorganism's identification from other samples, including positive blood cultures and for various
114 applications such as typing and antibiotic resistance determination. In this chapter, we intend to
115 summarize the main applications of MALDI-TOF MS in clinical microbiology.

116 **Principle of microorganisms identification using MALDI-TOF MS**

117 **Soft ionization and mass spectrometry applied to microorganisms identification**

118 MALDI-TOF MS identification includes three major steps: 1) the sample (whole cells or protein extract)
119 is deposited on a metal plate and embedded in a matrix that crystallizes the analytes. The sample is then
120 bombarded by brief laser pulses that achieved the ionization by proton transfer from the matrix, which
121 results in positively charged analytes (MALDI), 2) the ions formed by this process are accelerated in an
122 electrostatic field and directed in the flight tube in which they are separated according to their time of
123 flight (TOF), a function of their mass-to-charge ratio (m/z), which is the result of the square of the drift
124 time $m/z=2t^2K/L^2$ (m = mass, z = number of charges on ion, t = drift time, L = drift length, K =kinetic
125 energy of the ion) (Figure 1). To avoid ion collisions in the flight tube, a high vacuum is generated by a
126 pump, before laser pulses; this takes 1-2 minutes and 3) a mass-spectrum (MS) is eventually generated by
127 proteins detection at the exit of the flight tube. The mass spectrum is composed of peaks of specific mass
128 to charge ratio with different intensities, which corresponds to a reproducible fingerprint of a defined
129 microorganism (5). Some characteristic mass peaks are shared by phylogenetically related bacteria and
130 serve for the identification at the genus of species level (6; 7). The identification is achieved by the
131 comparison of the mass-spectrum with a database of reference mass-spectra generated with well-
132 classified bacterial strains.

133 **Biomarker proteins**

134 Mass spectrometry (MS) is a technology initially developed for the analysis of small molecules in
135 chemistry. The use of mass spectrometry for bacterial identification has been first proposed in 1975 due
136 to the fact that different bacterial extracts were associated with unique protein spectra (8). Rapid
137 identification of microorganisms from intact colonies using MS relies on the ability to generate ions from
138 various proteins including ribosomal proteins. Matrix-assisted laser desorption ionization (MALDI), a
139 soft ionization techniques that uses short UV laser pulses (generally around 337 nm), does not degrade
140 extracted proteins. Holland and colleagues reported for the first time that MALDI TOF MS could be
141 applied directly on whole cells to achieve identification by comparison of the MS obtained with either
142 archived reference spectra or with spectra co-generated with cultures of known bacteria (7). Batches of
143 blinded bacterial colonies from agar plate were mixed with the matrix and air-dried, before MALDI-TOF

144 MS. The obtained spectra were compared to blinded spectra. A number of high-mass ions corresponding
145 to bacterial proteins could be used for species-species matches (7). This method was confirmed by several
146 other studies and used for rapid bacterial identification from whole bacterial cells (6; 9; 10).

147 Biomarker proteins that constitute the mass spectrum have a molecular mass lower than 15 KDa. A large
148 majority (~50%) of the proteins used for identification are ribosomal proteins due to their abundance and
149 to the fact that the organic solvents and the acidic conditions that are used for cell lysis promote their
150 extraction (11; 12). The results proposed by the identification software correspond to the more closely
151 related species in the database and is associated with a score that integrates the number of concordant
152 peaks as well as the quality of the spectrum. This score enables to accept the identification at the genus or
153 at the species level or to reject it according to threshold established by the manufacturer.

154 **Current commercial MALDI-TOF MS instruments**

155 The success of MS in clinical microbiology relied on the commercialisation of instruments requiring
156 limited hands on time for the sample preparation and overall requiring limited skills for data analysis as
157 they integrated identification software and databases. This allowed the use of MS by clinical
158 microbiologist and not only by chemist or biochemist.

159 The BioTyper mass spectrometer systems (Bruker Daltonics) are the most largely used. They allow
160 identification from bacteria or fungal cells and provide the possibility to implement the database with
161 spectra generated with the users own isolates. The identification is associated with a log scale of 0 to 3. A
162 score above 2.3 corresponds to a high level of confidence of the identification at species level. A score
163 between 2.0 and 2.3 allows identification at the species level with a good probability. A score between 1.7
164 and 2.0 suggests a correct identification at the genus level. Score above 1.7 have a limited accuracy.

165 The Vitek MS (bioMérieux, France) is the former Axima Assurance system (Shimadzu Corporation,
166 Kyoto, Japan). The identification are rated as “ready to report”, “requiring further review” or “no
167 identification made” (13; 14).

168 The Autoflex II mass spectrometer (Bruker Daltonics) and the Axima Assurance system (Shimadzu
169 Corporation, Kyoto, Japan), which are the most widespread, display similar performances for routine
170 identification of microorganisms both in term of percentage of valid identifications and percentage of
171 correct identifications. A comparative study between the two systems performed on 720 consecutive
172 bacterial colonies obtained in routine clinical laboratory conditions and using the sequencing of the 16S
173 rRNA gene as gold standard, reported 99.1% (674/680) of correct identifications for the Bruker MS
174 system (Bruker) and 99.4% (635/639) for the Shimadzu MS system (15). In a similar study, Martiny et
175 al. reported, 92.7% and 93.2% of correct identification for the Biotyper and the Vitek MS systems,

176 respectively ($n = 986$) (16). Both systems displayed equivalent performances for yeasts identification;
177 93.0% (175/188) for the VITEK MS and 92.6% (174/188) for the Bruker Biotyper (17). Other studies,
178 comparing the VITEK MS and the Bruker systems, confirmed the equivalent performances of the two
179 instruments (18-21). The Andromas system (Andromas SAS, Paris, France) uses a software that
180 determine the percentage of similarity of the obtained spectrum with reference strains present in the
181 database which provide a “good identification”, an “identification to be confirmed”, or an “absence of
182 identification” (22-24). To date only a few number of studies have been published.

183 **Automated colony picking**

184 Manual colony picking is a major limitation of the throughput of MS identification and promote major
185 errors due to inversions which is estimated to occur at a rate of 0.25% (25). The throughput as well as the
186 reduction of major errors should be improved by the emergence of new automated systems for clinical
187 microbiology laboratory such as the Total Laboratory Automation (TLA) system from BD Kiestra (The
188 Netherlands) and the WASP-Lab from Copan Diagnostics’ (Italia), including automated colony picking
189 systems (26). Since MALDI-TOF MS is currently used to identify more than 95% of all isolated strains in
190 laboratories where it is implemented, such systems will undoubtedly have a major impact on the overall
191 laboratory technicians workload.

192 **Factors impacting the accuracy of MALDI-TOF MS identifications**

193 **The Importance of the database**

194 MALDI-TOF MS accuracy for microorganism’s identification largely relies on the representativeness and
195 the quality of the reference spectra database (27; 28). Commercial databases are made of reference spectra
196 expected to cover a maximum of clinically relevant isolates. These spectra are generally made of the
197 cumulated of several spectrum obtained for a single isolate or for different isolates. Reference spectra can also
198 be made with isolates from different origins as regards to potential geographic diversity. However a
199 comprehensive database does not exist yet.

200 Some misidentifications can be due to an insufficient number of reference spectra for a specific species or
201 to the absence of reference spectra. The databases need to be frequently updated with new spectra. Most
202 of the systems allow users to expand the commercial database with spectra generated with “local”
203 isolates. For instance one could implement the database with the spectrum of an isolate unsuccessfully
204 identified using MALDI-TOF MS but that has been undoubtedly identified using other approaches such
205 as 16S rDNA sequencing or other molecular approaches; this has been shown to significantly increase the
206 identification rate (29; 30). To reduce inter-analysis variability, new reference spectra should be

207 generated by the addition of several spectra obtain with a defined isolate. Errors in the database due to a
208 wrong identification of the strain that will serve as reference or taxonomic errors constitute a major source
209 of misidentifications. Thus implementing its own spectra with local isolates requires specific care of the
210 user, especially on the methods used for the identification.

211 Some BSL3 agents such as *Burkholderia pseudomallei*, *Brucella suis*, *Brucella melitensis*, *Francisella*
212 *tularensis* or *Bacillus anthracis* are not present in all commercial databases and are included in dedicated
213 databases (31).

214 **Quality of the spectrum and standardization of the pre-analytic**

215 Both for bacteria and fungi, the mass-spectrum is dependent on the age of the colony and the growth
216 medium. Therefore, it is recommended to perform MS identification from fresh colonies. In addition,
217 when implementing the database, it is important that the new reference spectra are made in similar
218 conditions than these used for routine identifications. The quality of the spectrum may also vary
219 according to the investigated species. For instance difficult-to-lyse bacteria such as *Klebsiella*
220 *pneumoniae*, which is encapsulated, can lead to poor identification score. Other organisms such as
221 *Mycobacteria* spp., *Nocardia* spp., Actinomycetes, yeasts and fungi need specific extraction protocols
222 (32; 33).

223 **Limit of detection**

224 A sufficient biomass is required to generate a spectrum which quality is sufficient to provide
225 identification. MALDI-TOF MS was initially restricted to colony obtained from culture on solid or liquid
226 media. A major improvement in the diagnostic of bloodstream infections and patient's management is the
227 identification of bacteria and fungi directly from positive blood cultures allowed by the validation of
228 specific protocols aimed to remove erythrocytes and non microbial cells elements and to concentrate
229 bacterial or fungal cells (34). Recent studies also support the potential use of MALDI-TOF MS for
230 microorganism's identification directly from clinical samples such as urines (27).

231 **Errors and misidentifications**

232 Absence of identification can be due to incomplete databases however, a large number of the
233 identification failures result of a poor quality of the mass spectrum obtained for the microorganism of
234 interest that can impair the identification of concordant spectrum in the database. This can be due to a
235 poor biomass or to an inefficient cell lysis or inefficient protein extraction. Erroneous identifications of
236 the reference spectra in the database can be a cause of major errors (25; 28). Misidentifications often
237 come from similar analytes displaying different TOF as a result for instance of an incomplete ionisation.
238 This can be avoided by using a fresh matrix. Finally, the routine identification procedure has to be similar

239 to the procedure used to obtain the MS for the database as these could impact the quality and quantity of
240 the peaks and impair identification.

241 **Mixed bacterial populations**

242 MALDI-TOF MS has been developed for microorganism's identification from pure culture; identification
243 of a mixed bacterial population can lead to unexpected results. The Gram staining that remains mandatory
244 could disclose a mixed infection. Indeed, identification from mixed populations can generate an inexistent
245 mass spectrum resulting of the sum of 2 or more spectrum from phylogenetically distinct bacteria.
246 Alternatively, the identification software could propose two distinct strains that are not genetically
247 related. Generally the identifications score hardly reach the threshold for high confidence identification at
248 the species level. It is essential that the MALDI-TOF MS identification matches the microorganisms
249 morphotype revealed by the Gram staining and/or suggested by phenotypic characteristics. Thus,
250 identifications obtained from mixed populations should be considered as not definitive; a subculture is
251 recommended for the isolation of the microorganisms and further identification on mono-bacterial
252 colonies.

253 **Closely related species**

254 Some closely related species cannot be differentiated using MALDI-TOF MS even with high quality
255 spectrum using routine procedures (Table 1). This is the case of *Streptococcus* spp. of the "viridians"
256 group. For *Streptococcus pneumoniae* identification, additional phenotypic tests such as the Optochin
257 susceptibility test or bile solubility test are required for definitive identification (35-40). Some strategies,
258 based on the analysis of specific peaks (6949, 9876 and 9975 m/z), allow discrimination between *S.*
259 *pneumoniae* and group mitis *Streptococcus* species; however, they are hardly applicable in routine (39).
260 The same issue is true for the distinction of *Escherichia coli* and *Shigella* spp. for which even using
261 specific peaks, misidentifications are still occurring (16; 41-43). In the absence of phenotype confirmation
262 such as lactose fermentation or lysine decarboxylase activity the identification of *E. coli* should be
263 presumptive. In practice, one may report the identification of some bacteria as "complex" or "group" of
264 bacteria when the clinical relevance of differentiating some species is questionable and more than one
265 species of these complex are generally obtained with a identification score superior at 2 (Table 1) (44).
266 Nevertheless, such strategies should be updated according to the improvement of the database
267 availability, of new bioinformatic algorithms and of taxonomy evolutions. Based on empirical
268 observations some authors proposed an alternative acceptance criteria for Gram-negative bacteria, which
269 consist in the acceptance of the identification at species level when at least a 0.200 log difference is
270 observed between multiple species present besides a log score ≥ 2.0 (45).

271 **Identification of microorganisms from positive cultures**

272 **Identification from positive cultures on solid media**

273 The main application of MALDI-TOF MS in clinical microbiology laboratories is the identification of
274 bacteria from colonies recovered from solid culture media (Figure 2). A standard procedure is suitable for
275 a large majority of the bacteria, which is a convenient for routine identification. Specific identification
276 procedures, that will be discussed later in this chapter and presented in details in dedicated chapter of this
277 book, are needed for some bacteria, such as *Actinomyces* and *Mycobacteria*.

278 For routine identification of bacteria, colonies are picked from agar plates using a sterile loop, deposited
279 on the metal MALDI plate and overlaid with a matrix (27; 46; 47). The mixture is air-dried (a step that
280 can be accelerated using a warming plate) and then introduced in the device and submitted to laser pulses.
281 Matrices with different properties can be used: 2,5-dihydroxybenzoic acid (DHB), a-cyano-4-
282 hydroxycinnamic acid (CHCA), sinapinic acid (SA), ferulic acid (FA), and 2,4-hydroxy-phenyl benzoic
283 acid (27; 46). Each device is generally associated with a specific matrix recommended by the
284 manufacturers. This procedure is straightforward, simple and fast: less than 5 minutes from the colony
285 picking to the final identification. To improve the quality of the spectrum and to increase the accuracy
286 (confidence score) of the identification, the analysis can be achieved following protein extraction using an
287 acetonitrile/formic-acid procedure that promotes the generation of positive ion and increases the number
288 of peaks available for the analysis (25; 48). The formic acid can be added directly on the colonies on the
289 MALDI plate and air-dried before the embedding into the matrix (27). If no identification is obtained, the
290 third option is to achieve a full protein extraction using ethanol and formic acid.

291 The performance of MALDI-TOF MS identification relies on (i) the number of mass spectra that reach
292 the quality allowing identification and (ii) the number of correct identification. For these two parameters
293 all the commercial devices available display similar performances. The percentage of correct
294 identification ranges from 95% to 99% with the Bruker system (15; 25; 28) whereas Vitek MS system
295 provided 96.2% of correct identifications at the species level (49). It is recommended to avoid working
296 with old colonies and to minimize the number of passages.

297 **Identification from positive blood cultures**

298 Bloodstream infection (BSI) is suspected by physicians on the basis of non specific criteria, which lead to
299 the introduction of empiric antimicrobial treatments. The time to identification of the etiologic agent of a
300 BSI is crucial as the mortality and morbidity of patients is directly dependant on the introduction of the
301 first efficient anti-infectious treatment. Empiric treatments, introduced prior the identification of the

302 incriminated microorganism and its susceptibility profile, are generally based on broad range antibiotics
303 with a deleterious impact on the profitable microbiota.

304 *Identification from positive blood cultures via a (short) subculture*

305 Positive blood cultures are not suitable for direct identification using MALDI-TOF MS as the bacterial
306 concentration is generally too low (10^6 to 10^9 cells/ml) and because of the presence of an excess of non
307 bacterial proteins that would impair MS identification (50). A first strategy is to perform a subculture of
308 the positive BC in order to obtain a pure culture on agar-based medium, on which the standard MALDI-
309 TOF MS identification methods described above can be applied. However this is a time consuming
310 methods. Indeed, considering that MALDI-TOF MS identification turnaround time (TAT) is lower than 5
311 minutes, it is regrettable that the time to result since the positive BC is detected is delayed of several
312 hours because of a long subculture. To accelerate the time to result from the detection of the positive BC,
313 an alternative strategy is to achieve a short subculture on agar plate. The efficiency of this procedure is
314 however dependent on the bacterial strain growth rate (27). This approach is particularly suitable for
315 Gram-negative bacteria for which sub-cultures of ≤ 4 , ≤ 6 , ≤ 8 and ≤ 12 h yielded 95.2%, 97.6%, 97.6%,
316 97.6% of correct identifications; in contrast the same incubation time yielded to only 18.6%, 64.0%,
317 96.5%, 98.8% of correct identifications for Gram-positive bacteria (51). With an incubation period of 5
318 hours, Verroken et al. reported 81.1 % (727/896) for monomicrobial cultures with misidentifications
319 mainly occurring for yeasts and anaerobes (52). Subculture is especially suitable for laboratories with a
320 large volume of positive BC and with limited technicians as so far subculture independent methods that
321 will be presented below require a lot of hands-on time (Table 2) (34).

322 *Directly from the positive blood-culture vial*

323 In order to reduce the turnaround time (TAT) to final identification from positive BC, several subculture-
324 independent strategies, which principle is to remove non-microbial material (erythrocytes, cells debris)
325 and to concentrate the microorganisms, have been developed and reported in numerous publications. A
326 first method consists in bacterial enrichment from the positive blood culture by centrifugation and
327 erythrocyte lysis with an ammonium chloride solution. This procedure gives a successful identification
328 for 78.7% of the bacterial pellet tested with 99% of correct identifications (53; 54). A method using
329 collection tubes with separator gels in which 1.5 mL from the positive BC are injected before bacterial
330 protein extraction and MALDI-TOF MS analysis gives more than 90% of correct identification (35). A
331 similar method using a tube containing a separator gel and a clot activator leads to 95.3% of correct
332 identification (36). Equivalent results can be obtained with commercial pellet preparation kit (37; 55-57).

333 The pellet enriched in bacterial cells generated from positive BC for MALDI-TOF MS identification can
334 also be used for antibiotic susceptibility tests (AST) using disc-diffusion methods, E-test (bioMérieux,

335 France) or automated systems. Prod'hom et al reported 99% and 74% of correct identification for
336 *Enterobacteriaceae* and for staphylococci respectively and less than 1% of errors for AST using the
337 VITEK 2 automated system (58). More recently, another group obtained similar results using saponin and
338 chloride ammonium. Such a strategy has been transposed to the BD Phoenix automated system with
339 97.9% of correct AST (59). Using a commercial Lysis-Filtration method of bacterial enrichment from
340 positive BC associated with the VITEK MS system, Machen et al. reported a TAT of 11.4 hours until
341 AST result when conventional methods TAT was 56.3 hours (60).

342 **Identification of microorganisms directly from samples**

343 **Urines**

344 Ferreira et al., first reported the possibility to diagnose urinary tract infections by direct detection of
345 bacteria in urinary samples (61). The procedure consisted of an initial centrifugation of 4 ml of urine at
346 low-speed (2,000 g) to remove leukocytes, followed by a high speed centrifugation (15,500 g) to obtain a
347 bacterial pellet suitable for MALDI-TOF MS analysis. The performance of MALDI-TOF MS were
348 compared to the performance of culture for the detection and identification of 260 positive urine samples
349 using the automated screening device UF-1000i (bioMérieux, France) based on flow cytometry as
350 reference. Among the 260 samples, 235 corresponded to mono-bacterial cultures with more than $> 10^5$
351 CFU/ml. MALDI-TOF MS correctly identified 91.8% (202/235) microorganisms at the species level and
352 92.7% (204/235) at the genus level (61). In another study, March et al. also reported a threshold of 10^5
353 CFU/ml for successful identification directly from urine (62). In contrast, Kohling et al. reported that the
354 identification of bacteria directly from urine samples is possible for concentrations as low as 10^3 CFU/ml.
355 The authors also noticed that the presence of human defensin that inserts into the membrane of bacteria
356 and cannot be removed by washing had a negative impact on MALDI-TOF MS identification (63). A
357 screening of positive urines using the automated system UF-1000i followed by direct analysis using
358 MALDI-TOF MS, has been reported to give 94.8% (1381/1456) of correct identifications (64).
359 Altogether, these studies demonstrate the potential of MALDI-TOF MS to detect pathogens directly from
360 urine. However, given the workload associated with such procedures and the number of urines to
361 investigate, this approach is not widely used. Moreover, the impact of such approach on patients
362 management still need to be investigated and might be limited if MALDI-TOF MS rapid identification is
363 not associated with rapid antibiotic susceptibility testing.

364 **Cerebrospinal fluid**

365 To date, no study has addressed the reliability and usefulness of MALDI-OF MS for the detection of
366 pathogens directly from cerebrospinal fluid (CSF). Nevertheless a case report discussed the feasibility of
367 such strategy. In this report, a CSF from an unconscious febrile patient who presented with a nuchal
368 rigidity, was sent to the clinical microbiology laboratory and analysed by MALDI-TOF MS using the
369 same protocol that was described above for urines: low speed centrifugation (2000 rpm for 30 seconds) to
370 remove leucocytes and then high speed centrifugation (13,000 rpm for 5 min) to obtained a bacterial
371 pellet deposited onto the MALDI-plate for analysis. This approach proposed *Streptococcus pneumoniae*
372 as the aetiological agent (65). There is a need of additional studies to determine the reliability and the
373 added value of such approaches.

374 **Microorganisms requiring a specific processing for MALDI-TOF MS**
375 **identification**

376 While most of the bacteria can be identified from positive cultures using MALDI-TOF MS, some of them
377 would require a specific processing mainly aimed to promote cell lyses. In addition, some
378 microorganisms require an inactivation step for bio-safety reasons. Several chapter of this book are
379 dedicated to the identification of specific bacteria using MALDI-TOF MS, nevertheless in this paragraph,
380 we selected and underlined some of these aspects.

381 **Nocardia and Actinomycetes**

382 *Nocardia* spp. and Actinomycetes have a cell wall difficult-to-lyse requiring a specific processing prior
383 MALDI-TOF MS identification. Verroken et al., developed a method for identification of *Nocardia* spp.
384 that consists in 30 min of boiling, followed by a full protein extraction using ethanol and formic acid (66).
385 Using this procedure together with an extended homemade database made of 110 isolates, the authors
386 could accurately identify 88% (38/43) of the *Nocardia* strains including 34/43 correct identifications at
387 species level (66). In contrast, only 44% (19/41) of correct identifications, including 10 correct
388 identifications at species level, were obtained using the MALDI BioTyper commercial database (66).

389 **Mycobacteria**

390 The identification of *Mycobacterium tuberculosis* from positive culture is generally achieved by antigen
391 detection and by PCR. Similarly, the identification of nontuberculosis mycobacteria (NTM) can also be
392 achieved by PCR or other nucleic acid-based methods such as DNA-DNA hybridization. Mycobacteria
393 identification using MALDI-TOF MS has been proposed to decrease turn-around time, labor, and cost
394 compared to nucleic-acid based methods. Several procedures including bacteria inactivation and cell

395 disruption have been developed for the different MALDI-TOF MS systems commercially available with
396 similar performances (67). However, the concordance of *Mycobacterium* species identification using
397 MALDI-TOF MS with molecular-based methods varies according to the studies and the protocols. This is
398 due to the fact that some closely related strains can hardly be distinguished using this method (68).
399 However MALDI-TOF MS may present an interest for laboratories devoid of a molecular diagnosis
400 platform for nucleic acid extraction, amplification, and sequencing or for nucleic-acids hybridization.

401 Prior MS identification, *Mycobacterium* species need specific sample processing to inactivate the
402 microbes and to achieve cell disruption. Inactivation can be achieved by incubating the bacteria at 95°C
403 for 30 min in 70% ethanol. A sonication step is necessary to optimise cell disruption before protein
404 extraction. Using this procedure on cells collected from 7H11 agar medium, Machen et al. reported
405 82.2% of correct identification at species level and 88.8% at genus level (69). Kodana et al., proposed a
406 slightly different procedures in which the heat inactivation is achieved in water with a subsequent ethanol
407 treatment. Alternatively, El Khechine et al. proposed a heat inactivation performed in the presence of
408 Tween-20 followed by a mechanic cell lysis and a full protein extraction; using this method, the authors
409 reported 100% of agreement with conventional molecular methods for 124 clinical isolates including, 87
410 *M. tuberculosis* strains and 37 NTM strains (70). Cell inactivation and disruption can also be achieved
411 simultaneously by vigorous vortex of the cells during 15 min in 70% ethanol in the presence of glass bead
412 which gave 88.8% of correct identification (69). A heat-independent inactivation/extraction method has
413 been proposed by Lotz et al. in which a cell pellet obtained from positive MGIT or Lowenstein-Jensen
414 culture was inactivated in 70% ethanol, which was sufficient to work outside the biosafety level 3
415 laboratory (71). The cell suspension was deposited on the MS plate and air-dried before the addition of
416 the matrix (sinapinic acid, 20 mg/ml; acetonitrile, 30%; trifluoroacetic acid, 10%). After the addition of 10
417 mM ammonium phosphate on the crystallized mixture, the sample was analysed by MS giving 97% of
418 correct identification from Lowenstein-Jensen and 77% of correct identification from MGIT (71). Very
419 recently, another group demonstrated that a 5 min 70% ethanol inactivation may be sufficient for
420 mycobacterial identification (33).

421 In conclusion, MALDI-TOF MS can provide a same day result from positive mycobacteria cultures.
422 Inactivation of the cells should be ensured in order to perform the analysis outside BSL3 laboratories. The
423 inactivation procedure is generally not sufficient for cell disruption, which requires a specific step.
424 However, misidentifications can occur for closely related strains or for rare species for which there are
425 not enough reference mass-spectra in the database (68; 71). This is the case for *M. abscessus*, *M.*
426 *massiliense*, and *M. bolletii* and for *M. tuberculosis*, *M. bovis*, *M. bovis BCG*, *M. microti*, and *M.*
427 *africanum*. In conclusion, *Mycobacteria* spp. can accurately be identified using MALDI-TOF MS.

428 **Yeast and Fungi**

429 Yeast identification using MALDI-TOF MS can be achieved directly from whole cells using a procedure
430 similar to the standard bacterial identification procedure from agar plates. The two main commercial
431 MALDI-TOF MS systems display similar performances for the identification of yeast at species level
432 with up to 89.8% for the Bruker Biotyper MS system and 84.3% for the VitekMS system (17; 72). These
433 performances are comparable to the VITEK 2 system (17). A full extraction method that consists in a pre-
434 treatment in 70% ethanol and formic acid/acetonitrile protein extraction gave 99% of correct
435 identification using the Bruker Daltonics MALDI BioTyper software (73). The age of the colony is
436 expected to impact the accuracy yeast identification; however Goyer *et al.*, reported similar results for
437 colonies grown, 48h or 72h, with respectively 95.1% and 96.6% of correct identification from
438 chromogenic agar media (74). Nevertheless, caution is warranted for much older colonies (≥ 5 days).

439 The identification of invasive filamentous fungi using protein MALDI-TOF MS is more complex than for
440 bacteria and yeast, because of their complex life cycle. Indeed, different life stages, spores, conidiophores
441 and mycelium, can be present on the same colony. A first strategy is to mix the different growth stages
442 present in a single colony, and then to perform protein extraction for MALDI-TOF MS analysis (22).
443 Specific sample preparation methods are also required to achieve cell lysis and to improve protein
444 extraction. In contrast to yeast identification, the accuracy of MALDI-TOF MS for the identification of
445 filamentous fungi is largely dependent on the growth medium, the age of the colony and the growth stage.
446 Repeated identification can be necessary. Using a simplified extraction method and an extended database
447 generated with 55 species of *Aspergillus* spp, *Fusarium* spp and *Mucorales* spp, De Carolis *et al.* reported
448 96.8% (91/94) of correct identification (75). Samples were prepared by placing 1 μ l of a suspension of
449 mycelium and conidia onto the MALDI-plate from which direct protein extraction was achieved using
450 ethanol/alpha-cyano-4-hydroxycinnamic acid in 50% acetonitrile/2.5% trifluoroacetic acid (75).

451 Dermatophytes identification at species level is generally not necessary because antibiotic susceptibility
452 profile is generally shared by all the members of a defined group. If identification at species level is
453 required, an *in situ* identification by microscopy and PCR is possible. The identification of dermatophytes
454 using MALDI-TOF MS is impaired by the fact that microorganisms are difficult to recover after culture.
455 Dermatophytes require a long (4 to 5 days) culture, with a significant impact on protein expression, which
456 can impact the MALDI-TOF MS analysis. Nevertheless some protocols have been proposed. As for other
457 filamentous fungi, it is recommended to pool the different growth stages present in the same colony or to
458 multiply the identifications within the same colony. Using an extended database, De Respinis *et al.*
459 reported 60 to 100% of correct identification of Dermatophytes depending on the species (76). More

460 recently, Wang et al., also successfully used MALDI-TOF MS, not only to identify yeasts at species level,
461 but also dermatophytes (77).

462 **Detection of antimicrobial resistance**

463 **Carbapenemase detection**

464 Carbapenemases are enzyme produced by some *Enterobacteriaceae* and some non-fermenting Gram
465 negative bacteria that can hydrolyse carbapenems, a class of broad spectrum antibiotics. The first
466 approach for the identification of carbapenemases producing strains using MALDI-TOF MS is based on
467 the detection of ertapenem hydrolysis corresponding to a shift or a disappearance of the antibiotic specific
468 peak on the mass spectrum. Kempf et al. focused on *Acinetobacter baumannii*, a bacterium that mainly
469 produces 3 families of carbapenemases OXA-23-like, OXA-24-like and OXA-58-like. On the mass
470 spectrum obtained by MALDI-TOF MS, carbapenemases production resulted in the reduction of a 300.0
471 m/z peak corresponding to native imipenem and the increase of a 254.0 m/z peak corresponding to the
472 natural metabolite of imipenem in the supernatant of bacteria incubated with imipenem during 4 h (78).
473 This method displayed 100% of sensitivity and 100% of specificity for the detection of carbapenemase
474 producing strains. Carvalhaes et al. monitored ertapenem degradation through the disappearance of the
475 475 and 497 m/z peaks corresponding to the native form and to the monosodium salt form of the
476 molecule. This strategy identified 72.4% (21/29) of the carbapenemase-producing strains including 100%
477 of the KPC-2 and 100% of the SPM-1 (100%) but hardly OXA-23-producing *Acinetobacter baumannii*
478 strains (79). A similar method described by Vogne et al., monitoring ertapenem degradation, showed
479 100% of sensitivity and 100% of specificity for the detection of carbapenemase producing strains among
480 *Klebsiella pneumoniae*, *Escherichia coli*, *Enterobacter cloacae*, *Providencia stuartii*, *Serratia*
481 *marcescens*, *Enterobacter aerogenes*, *Hafnia alvei*, *Klebsiella oxytoca*, *Proteus vulgaris*, *Morganella*
482 *morganii*, *Pseudomonas aeruginosa*, *Acinetobacter baumannii* and *Aeromonas* species (80). The simple
483 approach proposed by Vogne et al. exhibited a short turnaround time (~60 minutes) as it used
484 commercially available antibiotic disc, which reduced the hands on time. In addition this approach could
485 benefit from the high stability of the antibiotic molecule in such commercial formulation.

486 **Methicillin-resistant *S. aureus***

487 Approaches, more related to typing, aims to identify genotype associated with specific resistance profiles
488 using MALDI-TOF MS. They have been mainly used for the distinction between methicillin-
489 susceptibility *S. aureus* (MSSA) and methicillin-resistant *S. aureus* (MRSA) (81; 82). These approaches
490 correspond to the detection of biomarker proteins associated with resistance strains. However, Edwards-

491 Jones et al., suggested that the identification of some MALDI-TOF MS characteristic peaks could be used
492 for the distinction between methicillin susceptibility and methicillin resistant *S. aureus* (83).

493 **Vancomycin Resistant Enterococci**

494 By comparing spectra obtained from vancomycin sensitive *Enterococcus faecium* and vancomycin
495 resistant isolates (VRE) Griffin et al., demonstrated that a 5,945 Da peak could distinguish sensitive and
496 resistant strains. Another peak at 6,603 Da could distinguish vanA- and vanB-positive isolates (84). This
497 likely represents strains-type identification rather than antibiotic susceptibility markers and should be
498 taken with caution.

499 Other aspects of the antibiotic resistance detection based on strains typing will be addressed in the next
500 chapter. Altogether these studies suggest that MALDI-TOF MS is suitable for antibiotic resistance
501 determination. However these studies need to be extended to more bacterial isolates and need to be
502 reproduced in other location to determine if the accuracy of these approaches is impacted by the local
503 epidemiology of resistance isolates.

504 **Detection of bacterial virulence factors**

505 Because of its accuracy, MALDI-TOF MS has the potential to detect specific peaks corresponding to
506 virulence factors such as toxins. Gagnaire et al., demonstrated that MALDI-TOF MS applied to *S. aureus*
507 whole cells could detect two peak at 3005 Da and 3035 Da corresponding to the *S. aureus* delta-toxin, a
508 biomarker of the activity of the *agr* (accessory gene regulator) system (85). In *S. aureus*, the *agr* system
509 regulates the expression of numerous virulence factors and pathogenesis-associated determinant, which
510 represents a therapeutic target (86; 87).

511 MALDI-TOF MS has also been proposed for *S. aureus* strains typing or for the detection biomarkers of
512 the most virulent toxigenic isolates. The Panton-Valentine leukocidin (PVL), a toxin produced by some
513 strains of MSSA and MRSA is associated with recurrent skin and soft tissue infections as well as
514 necrotizing pneumonia. The gene encoding the PVL brought by phages has a high potential to spread
515 among isolates. Bittar et al. initially reported the identification of two peaks of 4448 and 5302 Da
516 associated with PVL positive isolates (88). However, a second study revealed that they were no reliable
517 association between the 4448 and 5302 Da peaks and the presence of PVL; PVL positive strains were
518 identified by chance because of similar mass profile due to their high relatedness (89).

519 Konrad et al. reported the correct identification of 99.1% (116/117) of toxigenic *Corynebacterium* sp. (*C.*
520 *diphtheriae*, *C. ulcerans* and *C. pseudotuberculosis*) among non toxigenic species using the MALDI-TOF

521 MS system Microflex LT mass spectrometer (Bruker Daltonics) and the BioTyper 2.0 identification
522 software. This result was not obtained directly from colonies but after rapid protein extraction using
523 ethanol and formic acid. The discordant result was a *C. tuberculostearicum* isolate that could not be
524 identified at species level neither using the MALDI-TOF MS (log (score) of 1.8), or by sequencing of the
525 *rpoB* gene or using the API Coryne gallery (90). When the score was above 2.0 the negative predictive
526 value and the positive predictive value were both 100% attesting the high reliability for the detection of
527 potential toxigenic *Corynebacterium* species.

528 **Typing and Clustering**

529 At present, the reference methods for isolates typing are nucleic acid based methods such as multi-locus
530 sequence typing (MLST) and pulsed-field gel electrophoresis (PFGE) because of their excellent
531 discriminatory power that only whole genome sequencing can surpass. However, these methods can be
532 time consuming and expensive which makes more rapid and cost-effective methods needed.

533 **MRSA typing**

534 *S. aureus* typing by MLST or PFGE generally relies on the *spa* gene (*S. aureus* protein A gene). Wolters
535 et al., reported that the analysis of 13 characteristic peaks allow the distinction of the major *S. aureus*
536 MRSA lineages by MALDI-TOF MS with a discriminatory indices of 0.770 (95% CI 0.671–0.869)
537 comparable to the discriminatory indices of genotyping using the *spa* gene 0.818 (95% CI 0.721–0.915)
538 (82). Lu et al., proposed an approach based on peak allowing the distinction between *S. aureus* SCCmec
539 types IV and V isolates that are mainly community-associated (CA) MRSA and SCCmec types I–III
540 isolates that are hospital-associated (HA) MRSA (81). Josten et al. analysed the mass spectrum profile of
541 401 MRSA and MSSA strains and identified peaks that differentiate the main *S. aureus* MRSA and
542 MSSA clonal complexes that could be used for the distinction of sensitive and resistant strains (91).
543 Altogether these studies suggest that MALDI-TOF MS is a reliable method for the typing

544 **Enterobacteriaceae typing**

545 Noteworthy typing using MALDI-TOF MS requires a precise calibration of the instrument using highly
546 conserved peaks and generally requires a database of references spectra representing the major lineages of
547 the species of interest. However, Christner et al. developed an approach that includes the identification of
548 biomarkers peaks for MALDI-TOF MS typing during an outbreak. This approach identified two peaks,
549 one at m/z 6711 and one at m/z 10883, that correctly classified 292/293 isolates of Shiga-toxin producing
550 *E. coli* during the 2011's outbreak that occurred in northern Germany (92). The reliability of the
551 MALDI-TOF MS for typing isolates directly from whole cells has also been demonstrated for *Yersinia*

552 *enterocolitica* (93) and *Salmonella enterica* (94). Interestingly, strain typing using MALDI-TOF MS
553 generally relies on the analysis of selected specific biomarker only.

554 **Mycobacteria typing**

555 By comparing the mass spectra of *M. abscessus*, *M. massiliense* and *M. bolletii* isolates, Suzuki et al.,
556 identified a cluster of *M. massiliense* strains closely related to *M. abscessus* due to the similarity of their
557 mass spectra indicating that MALDI-TOF MS could also be used for mycobacteria strain typing and
558 clustering (95).

559 **Application of MALDI-TOF Mass Spectrometry in Clinical Virology**

560 Clinical virology aim at: i) viruses detection, ii) identification (including typing and epidemiology) and
561 iii) resistance detection. For these purposes, the clinical virology laboratories rely on cell culture, electron
562 microscopy, serology and PCR with satisfy results. Nevertheless, several groups have addressed the
563 possibility to use the MALDI-TOF MS in these different aspects of clinical virology, and when possible,
564 they address the added value (96).

565 The first approach is the detection of biomarker viral proteins from infected cell cultures. Using this
566 strategy, Calderaro et al., reported the detection of *Picornaviridae* from infected cell culture using the
567 viral protein VP4 as biomarker (97)

568 La Scola et al., demonstrated that giant viruses (capsid sizes of 150 to 600 nm) could be characterized,
569 using the MALDI-TOF MS analysis of viral particles. The results obtained correlated with results
570 provided by the sequencing of the *polB* gene (98). However, this method requires specific equipment and
571 is time consuming (cell lysis, filtration, concentration by centrifugation, rinsing, ultracentrifugation),
572 which impairs its use in routine.

573 Another approach applied for influenza viruses detection consisted in the capture of viral particles using
574 magnetic nanoparticles functionalized with H5N2 specific antibodies with subsequent MALDI-TOF MS
575 analysis of the complexes nanoparticles/viruses to detection of the hemmaglutannin protein HA (99). This
576 allowed H5N2 detection with no cross reactivity with H5N1 viruses.

577

578 **PCR-Mass assay**

579 MS technologies have been applied to the analysis of nucleic acid post amplification. This approach that
580 combine PCR and MALDI-TOF MS, also known as PCR-Mass assay, allows the simultaneous detection
581 of several amplicons at the same. In addition, this technology is sensitive enough to detect a single
582 nucleotide polymorphisms or a nucleic acid modification such as methylation.

583 **Application of PCR-Mass assay in clinical bacteriology**

584 The Sequenom MassARRAY platform (Sequenom) is an instrument dedicated for nucleic acid analysis
585 using the PCR-Mass assay approach (100). The amplification is achieved in the presence of deoxyuridine
586 triphosphate (dUTP) instead of deoxythymidine triphosphate (dTTP). The amplicons are then fragmented
587 with uracil-DNA-glycosylase and the obtained fragments are analyzed by MALDI-TOF MS (101). The
588 mass pattern is then compared with a database or with a reference spectrum. Bacterial identification can
589 be achieved by using PCR targeting the 16S rDNA (101). This technique is also reliable for microbes
590 typing or to detect mutations at the level of a single nucleotide that are associated with antibiotic
591 resistance (102). The sensitivity also allows detecting methylation variations (103).

592 This technology can be applied to the detection uncultivable microorganism directly from clinical
593 samples as demonstrated for *Bordetella* species detection by targeting the 16S rRNA gene (101).

594 **Application of PCR-Mass assay in clinical virology**

595 PCR-Mass assay is an extremely sensitive and specific approach that has been applied to clinical virology
596 for the detection, identification and quantification of viruses as well as for the genotyping and the
597 detection of drug resistance (96). Noteworthy, this technology allows simultaneous analysis of several
598 amplicons. Using two multiplex PCRs followed by MALDI-TOF MS analysis of the amplicon, Sjöholm
599 et al., could detect and identify any herpesviruses directly from various body fluid including
600 bronchoalveolar lavage, conjunctival fluid, sore secretion, blister material, plasma, serum, and urines with
601 an average concordance of 95.6% (86.4-97.2%) with PCR results. (104). Piao et al. reported the
602 simultaneous detection and identification of 8 enteric viruses (enterovirus 71, coxsackievirus A16,
603 reovirus, poliovirus, hepatitis E virus, norovirus, astrovirus, and hepatitis E virus) with a detection limit of
604 100-1000 copies per reaction (105). Discrepant results during the validation of these methods have been
605 associated with false negative results due RNA degradation (105).

606 The Mass assay technology was also demonstrated to be useful for the high throughput diagnosis of HPV
607 infectious with simultaneous genotyping to differentiate between the high-risk and low-risk types (106;
608 107). Mass assay has been successfully used for the detection of CMV resistance to ganciclovir in patient

609 treated with this drug by detecting a single point mutation of the viral phosphotransferase (UL97) or the
610 viral polymerase UL54 associated with, ganciclovir resistance (108).

611 **PCR-ESI MS**

612 PCR electrospray ionisation mass spectrometry (PCR-ESI MS) is a technology that associates DNA
613 amplification by PCR and consecutive analysis of the obtained amplicon by MS (109; 110). Basically,
614 nucleic acids extracted from clinical samples are amplified using multiple broad-range PCRs. Then, the
615 precise molecular mass of the amplicon(s) is/are determined by ESI/MS. This mass integrated to the
616 amplicon length and to the DNA complementary rule provide the exact base composition (%A, %C, %G
617 and %T) of the amplicons. Pathogens identification is then achieved by comparison with a database (110;
618 111). This technology allows the detection of a broad range and a high number of pathogens: more than
619 750 bacteria and fungi, more than 200 fungi and more than 130 viruses. The bacterial panel include
620 primers for the detection of the resistance genes *mecA*, *bla_{KPC}*, *vanA* and *vanB*. Compared to current
621 methods of clinical microbiology, PCR-ESI MS have a short turnaround time (~8h) because: i) it can be
622 applied directly on clinical samples without culture due to its high sensitivity and ii) the analysis of the
623 amplicon is achieved by ESI-MS rather than Sanger sequencing. PCR-ESI MS has been tested on various
624 clinical samples such as CSF (112-114) and respiratory tract samples (115-117) with an increased
625 sensitivity when compared to culture. The last platform that has been developed, allow diagnosis of
626 bloodstream infections as it can accept up to 5ml of sample and because of the optimisation of the nucleic
627 acid extraction and the amplification step (118). This device known as the PLEX-ID (Abbott Molecular,
628 Des Plaines, IL) has recently been commercialized under the name Iridica. The Iridica system can detect
629 more than 800 hundred pathogens directly from blood with a sensitivity of 50-91% and a specificity of
630 98-99% (50). PCR-ESI/MS can detect poly-microbial infections and provide informations on the
631 abundance of each micro-organism based on a semi-quantitative analysis. The broad spectrum of this
632 technology together with its extremely low detection limit makes it highly sensitive to contamination.
633 Interventional studies will determine the exact clinical impact of this new technology; especially the
634 impact on antibiotic stewardship.

635 **Impact of MALDI-TOF MS in clinical microbiology and infectious disease**

636 **Time to result**

637 A challenge for clinical microbiology is to accelerate the diagnostic when an infection is suspected,
638 especially when most of the other laboratory results are obtained within the first hours of hospitalization

639 (119). Despite recent developments especially in the domain of molecular diagnostic, culture-based
640 methods remain predominant. Thus, MALDI-TOF MS dramatically reduces the time-lapse between the
641 positive culture and the final identification when compared to subculture-based phenotypic
642 identifications. In a large prospective study, Seng et al., estimated that MALDI-TOF MS identification
643 took approximately 6 to 8.5 minutes for when the time to result was 5 to 8 hours for the Vitek system
644 (BioMérieux, France) and 5 to 20h for the Phoenix system (BD Diagnostic) that rely on phenotypic
645 methods (28). Tan et al. also reported a reduction of the time to result for a set of 20 bacteria, with a mean
646 time gain of 1.45 days (120). In particular, the reduction monitored by the authors was ~1.35 days for *S.*
647 *aureus* and *Enterobacteriaceae* identification. The maximum gain was observed for Gram positive rods,
648 with a reduction of 4.13 days.

649 **Impact on patient management**

650 When a bloodstream infection is occurring, delaying the introduction of an efficient anti-infectious
651 treatment directly impacts patient survival. Despite the emergence of culture independent methods, blood
652 cultures remain the gold standard to determine the etiologic agent of a bloodstream infection (34; 50). A
653 prospective observational study performed in a tertiary hospital that evaluated the impact of MALDI-TOF
654 MS on BC positive with Gram-negative bacteria using a rapid bacterial pellet method reported that the
655 empirical therapy was often inappropriate or too broad. In 35.1% of cases, the rapid identification led to a
656 modification of the empirical therapy (121). In this study, a correct identification at the genus level was
657 obtained in 86.7% (143/165) of mono-microbial infection (121). In their study, Martiny et al.
658 demonstrated that MALDI-TOF MS was helpful to confirm suspected contamination in 37.50% of cases
659 for paediatric patients (122).

660 **Impact on rare pathogenic bacteria and organisms difficult to identify**

661 Rare microorganisms can be defined as microorganisms with less than 10 reports designating them as
662 human pathogens on the Pubmed database (123). Alternatively, some organisms were difficult to identify
663 accurately because of the phenotypic proximity with other organisms (124). The identification of these
664 pathogens is generally time- and labor-consuming as often requires gene sequencing. MALDI-TOF MS
665 allows us to reported the first case of bacteremia caused by *Comamonas kerstersii*, a nonfermenting
666 microorganisms that was difficult to distinguish from other *Comamonas* species or closely-related *Delftia*
667 spp. in the pre-MALDI era (125). For both rare microorganisms and difficult-to identify microorganisms
668 MALDI-TOF MS significantly reduces the use of DNA sequencing as we reported in a study where we
669 obtained nearly 50% of accurate identification for this type of organisms (126).

670 The accuracy of MALDI-TOF MS identification might reveal the real incidence and the pathogenic role
671 of some rare/difficult to identify microorganisms (20; 123; 124).

672 **Anaerobes**

673 The diagnosis of anaerobic bacteria is generally long because of their slow growth and its accuracy is
674 often limited due to their limited biochemical activity. In addition anaerobes are often occurring in
675 polymicrobial infection resulting in multiple different species in the same sample. In a study conducted
676 between 2010 and 2011 on 283 anaerobic bacteria, Nagy *et al.* reported 77 % of correct identification at
677 species level and 10.95% of correct identification at genus level using the MALDI-TOF MS (127).
678 Moreover, among 544 anaerobic bacteria isolated from clinical samples, La Scola *et al.* reported 61% of
679 successful identification at species level using the MALDI-TOF MS compared to 39% of identification by
680 16S rRNA gene sequencing (128). This demonstrated the potential of the MALDI-TOF to reduce the
681 number of time consuming and expensive 16S rRNA gene sequencing for anaerobes identification as
682 reported for rare microorganisms' identification. Noteworthy, the rate of successful identification of
683 anaerobes using the MALDI-TOF MS, meaning the percentage of identification that obtain a sufficient
684 confidence score is dependent on the representativeness of the database (129-132).

685 **Identification of protozoan parasites**

686 While waiting for confirmatory studies, several publications suggest that MALDI-TOF MS may be used
687 for the identification of protozoa. The analysis of mass spectra obtained with intact *Giardia lamblia* and
688 *Giardia muris* cysts identified common peaks as well as species specific peaks that could be used for
689 MALDI-TOF MS identification of these two microorganisms (136). Cassagne *et al.*, demonstrated that
690 *Leishmania* promastigotes could successfully be identify at the species level, from in vitro culture using a
691 homemade reference mass spectra database made of the main *Leishmania* species known to cause
692 infection in human. This strategy correctly identified correctly identified 66 of the 69 *Leishmania*
693 promastigotes isolates tested with log score values superior to 2. Two isolates failed to generate
694 interpretable mass spectra while one isolate identified a *Leishmania braziliensis* isolate as the closely
695 related *Leishmania peruviana* isolate (137). To evaluate the ability of MALDI-TOF MS to determine the
696 subtype of enteric *Blastocystis*, Martiny *et al.*, constructed a database of specific protein signatures of five
697 *Blastocystis* subtypes. This approach gave correct subtype determination for 19 axenic cultures of various
698 *Blastocystis* subtypes used to challenge the database (138). By analyzing the MALDI-TOF MS spectra of
699 *Entamoeba histolytica* and *Entamoeba dispar*, Calderaro *et al.*, identified five peaks that could be used to
700 discriminate the two species (139).

701 **Identification of ticks and fleas**

702 Yssouf et al., demonstrated that mass spectra obtain from leg extracted hemolymph was reliable for the
703 identification of ticks at species level. The proof of concept was achieved using a homemade database
704 made of 5 *Rickettsia*-free tick species (*Rhipicephalus sanguineus*, *Hyalomma marginatum rufipe*, *Ixodes*
705 *ricinus*, *Dermacentor marginatus* and *Dermacentor reticulatus*), 1 infected tick species (*Amblyomma*
706 *variegatum* infected by *Rickettsia africae*), and other arthropods, including mosquitoes, lice, triatomines
707 and fleas (140). Using a second database enriched in hemolymph from *Rickettsia africae*-infected ticks
708 the authors could reliably distinguish non-infected and infected specimens (140-142). The same group
709 also demonstrated that fleas could be identified based on MS generated from various body part (143).

710 **Costs**

711 So far, the price and maintenance expenses of MALDI-TOF MS instruments are high. Nevertheless
712 MALDI-TOF MS was shown to be inexpensive in comparison with phenotypic and genotypic methods of
713 identification. Indeed, the cost for the identification of a given isolate can be less than 1.5 euros per
714 identification using MALDI-TOF MS versus 5.9-8.23 euros for the Vitek System (28; 133). A
715 prospective costs analysis study compared MALDI-TOF MS protocol with standard identification
716 protocol. For this study the supplementary tests necessary for the identification of some bacteria such as
717 *Streptococcus pneumoniae* and *Shigella*, the additional cost due to repeated MALDI-TOF MS analyse,
718 the instrument maintenance expenses and microorganism prevalence were including (120). By integrating
719 all these data, the authors anticipated a 56% of reduction of reagent and labour costs for one year. In
720 another retrospective cost assessment study after MALDI-TOF MS implementation, an overall 89.3%
721 cost saving was obtained (134). This group mentioned also an important decrease in waste disposal and a
722 reduction in subculture medium expense. As we also reported, MALDI-TOF MS has the potential to
723 reduce the expense due to DNA sequencing for some difficult-to-identify isolates (126). In another
724 prospective economical study, we reported that microbial identification was 2.43 fold less expensive with
725 MALDI-TOF MS than standard methods. However, this ratio varied from 0.70 to 7.0 according to the
726 bacterial species. Some identifications (for example, urinary *Escherichia coli* identified on chromogenic
727 culture media and confirmed by a simple spot indole test) were cheaper with standard identification than
728 by MALDI-TOF MS. The cost savings will thus depend on the epidemiology and the prevalence of each
729 species encountered in the clinical laboratory and the laboratory habits and procedures (Heiniger et al.
730 unpublished data). Using a mathematical model, it was calculated that implementation of MALDI-TOF
731 equipment and identification would be cost effective if more than 5'300 to 8'000 strains were identified
732 yearly. With more than 20'000 identifications/year, Tran et al. estimated that the initial cost of the

733 instrument would be neutralizer after about three years (120). Martiny et al. have shown that the
734 implementation of the MALDI-TOF MS technology is an opportunity for the mutualisation of processes
735 such as analytical platforms with important cost savings (135). Further cost savings may occur in a near
736 future with the automation of the preparation of MALDI-TOF target plates.

737 **Conclusions**

738 MALDI-TOF MS is one of the major revolutions that occurred in clinical microbiology laboratory in the
739 last decades, nearly rendering obsolete all biochemical identification galleries, since more than 95% of all
740 bacterial identification are nowadays performed using MALDI-TOF MS. At the exception of some body
741 fluids such as urines, MALDI-TOF MS is still dependant on positive culture (agar plate or blood-culture
742 for instance) because more than 10^4 cells (approximately 10^7 cells/mL) are required to generate a good
743 quality spectrum suitable for identification (144). Further development such as microorganism enrichment
744 by affinity or increasing the sensitivity limit of MALDI-TOF MS might make it reliable for identification
745 directly from samples. In the meantime, new approaches such as PCR-MALDI-TOF MS and PCR-ESI
746 MS will extend mass spectrometry applications in clinical diagnostic microbiology as these nucleic-
747 amplification based methods are suitable for the diagnosis directly from clinical samples including blood
748 (50). MALDI-TOF MS also proved its reliability for other applications such as antibiotic resistance
749 detection and typing; however most of the procedures developed so far are still difficult to achieve in
750 routine and are restricted to specialized laboratories. Because MALDI-TOF MS is reliable at determining
751 bacterial biomass, one could expect that in the future this could represents a reliable read-out for rapid
752 antibiotic susceptibility testing based on the impact of an antibiotic on bacterial growth. As it is an
753 innovation with increased accuracy and undisputed convenience impacting the identification of rare
754 microorganisms and allowing the discrimination between closely related organisms, MALDI-TOF MS
755 might reveal the real incidence and the pathogenic role of some organisms.

756

757

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760

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1296 **Tables and Figures**1297 **Table 1: Example of interpreted results as currently reported in our laboratory**

Complex of bacteria	Species
<i>Acinetobacter baumannii</i> complex	<i>A. baumannii</i> <i>A. calcoaceticus</i> <i>A. nosocomialis</i> <i>A. pittii</i>
<i>Bacteroides fragilis</i> complex	<i>B. caccae</i> <i>B. eggerthii</i> <i>B. fragilis</i> <i>B. ovatus</i> <i>B. stercoris</i> <i>B. thetaiotaomicron</i> <i>B. uniformis</i> <i>B. vulgatus</i>
<i>Burkholderia cepacia</i> complex (according to clinical situation, identification to species level may be clinically relevant)	<i>B. ambifaria</i> <i>B. anthina</i> <i>B. arboris</i> <i>B. contaminans</i> <i>B. cenocepacia</i> <i>B. diffusa</i> <i>B. dolosa</i> <i>B. lata</i> <i>B. latens</i> <i>B. metallica</i> <i>B. multivorans</i> <i>B. pyrrocinia</i> <i>B. seminalis</i> <i>B. stabilis</i> <i>B. vietnamiensis</i> <i>B. ubonensis</i>
<i>Citrobacter freundii</i> complex	<i>C. .brakii</i> <i>C. freundii</i>

	<i>C. gillenii</i> <i>C. murlinae</i> <i>C. rodentium</i> <i>C. sedlakii</i> <i>C. serkmanii</i> <i>C. youngae</i>
<i>Enterobacter cloacae</i> complex	<i>E. asburiae</i> <i>E. cancerogenus</i> <i>E. cloacae</i> <i>E. dissolvens</i> <i>E. hormaechei</i> <i>E. kobei</i> <i>E. ludwigii</i> <i>E. nimipressuralis</i>
<i>Haemophilus influenzae</i> complex	<i>H. haemolyticus</i> <i>H. influenzae</i>
<i>Klebsiella oxytoca</i> complex	<i>K. oxytoca</i> <i>Raoultella ornithinolytica</i> <i>Raoultella planticola</i> <i>Raoultella terrigena</i>
<i>Proteus vulgaris</i> complex	<i>P. hauseri</i> <i>P. penneri</i> <i>P. vulgaris</i>
<i>Streptococcus bovis</i> complex	<i>S. equinus</i> <i>S. gallolyticus</i> <i>S. infantarius</i> <i>S. lutetiensis</i> <i>S. pasteurianus</i>
<i>Streptococcus mitis</i> complex	<i>S. gordonii</i> <i>S. infantis</i> <i>S. massiliensis</i> <i>S. mitis</i> <i>S. oralis</i>

	<i>S. peroris</i> <i>S. parasanguinis</i> <i>S. sanguinis</i> <i>S. tigurinus</i>
<i>Streptococcus salivarius</i> complex	<i>S. salivarius</i> <i>S. vestibularis</i> <i>S. thermophilus</i>

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1300 **Table 2: MALDI-TOF MS applications in clinical microbiology and references**

Application	Comments	Selected references
Standard identification from colonies	Fast, accurate; misidentification associated with absence or incorrect identification of the reference spectra in the database.	(25; 27; 28; 49)
Identification from positive blood culture via a short subculture	Suitable for Gram negative bacteria; not suitable for Gram positive bacteria, anaerobes and yeast.	(51; 52)
Identification from positive blood culture via bacterial pellet	Positive impact on patients managements; misidentification or absence of identification is generally associated with insufficient biomass or mixed bacterial population. High hands-on time.	(34-37; 144-146)
Identification from urines	For culture positive with more than 10 ³ -10 ⁵ CFU/ml depending on the study; high workload, unknown impact on patients managements.	(61-63; 147)
Identification from cerebrospinal fluid	Proof of concept provided for a meningitidis case	(65)
Alpha-hemolytic streptococci	Misidentification of group mitis streptococci and <i>S. pneumoniae</i>	(39; 148)
Beta-hemolytic streptococci	Fastest and more accurate than conventional methods. Errors can occur with rare species	(133)
Aerobic Gram positive bacilli	Accuracy depend on the database	(23; 90)
<i>Shigella spp./E. coli</i>	Routine distinction methods with 100% of sensitivity and specificity are not available	(16; 41-43)
Anaerobes	Important added value due to the long incubation time required for these microorganisms.	(127-132)
Mycobacteria	Rapid for the identification of NTM. No added value for MTBC complex identification. Need specific inactivation and cell lysis procedure, no added value for laboratory with a molecular diagnosis platform.	(69-71; 149)
Yeast	Accurate, same procedure than bacteria	(17; 24; 150-154)
Fungi	Requires specific extraction procedure to achieve cell lysis. Impacted by the age of the colony and the growth stage.	(22; 75)
Dermatophytes	Variable sensitivity according to the species, limited clinical impact of identification at the species level	(76)
Protozoan parasites	Amoeba <i>Leishmania</i> species <i>Giardia</i> species	(139) (137) (136)
Ticks and fleas	Proof of concept from hemolymph (ticks) and various body parts (fleas)	(140; 143; 155; 156)
Typing	Can be accurate but still time consuming	(81; 82; 91-93)
Resistance	Essentially for carbapenemase-producing strain identification	(78-80)
Toxin	Requires the identification of the exact virulence factor-associated peak. Misidentification can be due to type associated peaks rather than toxin associated peaks	(85; 88; 89)
Viruses	Detection, identification (including simultaneous detection), typing, nucleic-	(96; 97; 106-108; 157)

acid methylation

PCR-ESI MS

Universal (>800 pathogen), detection of mixed bacterial populations, semi quantitative. (50; 111; 118; 158)

Low throughput (8 samples/8h), expensive, no interventional studies

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1303 **Table 3: Added value of MALDI-TOF MS in clinical microbiology**

	Comment	Selected references
Reduced time to results	Gain of 24h and more over conventional methods	(15; 28)
Reduced cost	Cost effective due to reduced cost of identification of a given isolate	(15; 120; 134)
Improved therapeutic management of sepsis	Adaptation of the antibiotic treatment in ~35% of bacteremia involving Gram negative bacteria	(34; 121)
Increasing accuracy	Less than 2% of errors at species level	(25; 27; 28; 49)
Improved identification of specific pathogenic agents	Reduced need for 16S rRNA gene sequencing Discovery of new pathogens	(20; 123; 124; 126)

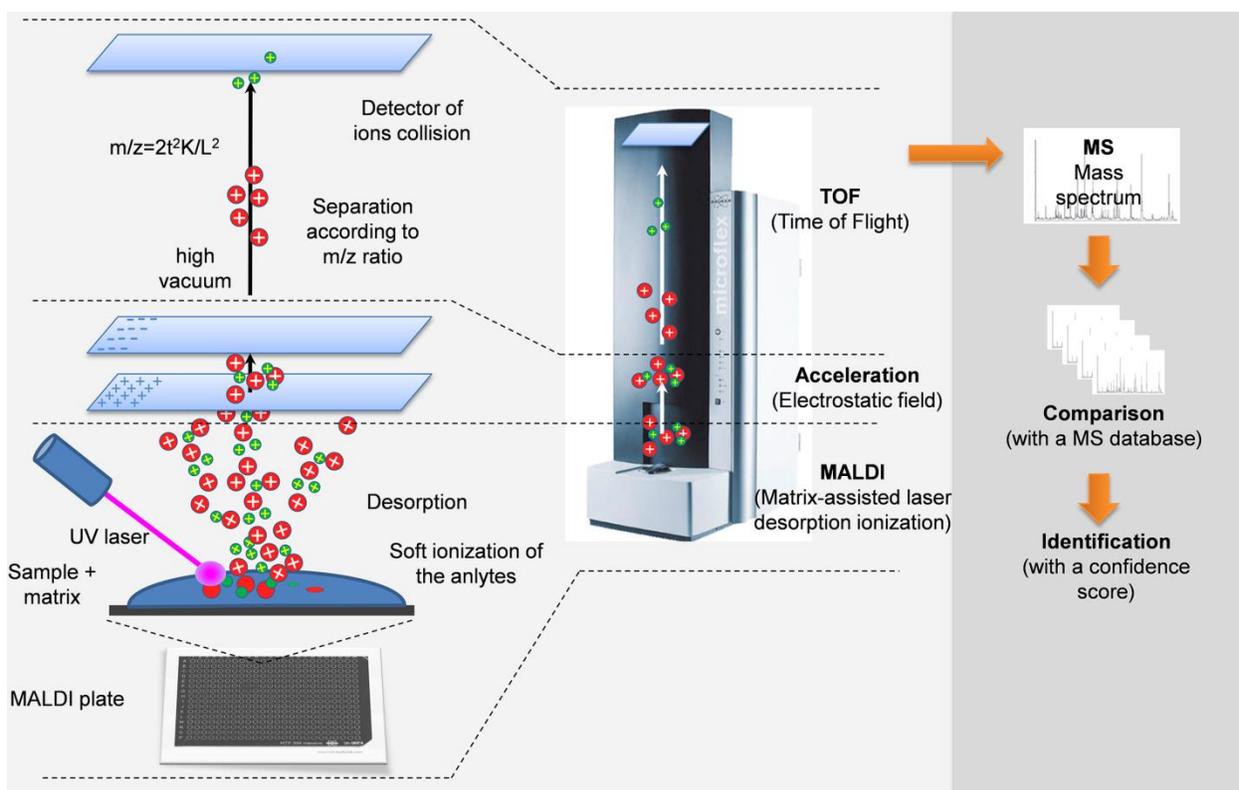
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1307 **Figure 1: Principle of MALDI-TOF MS identification of microorganisms**

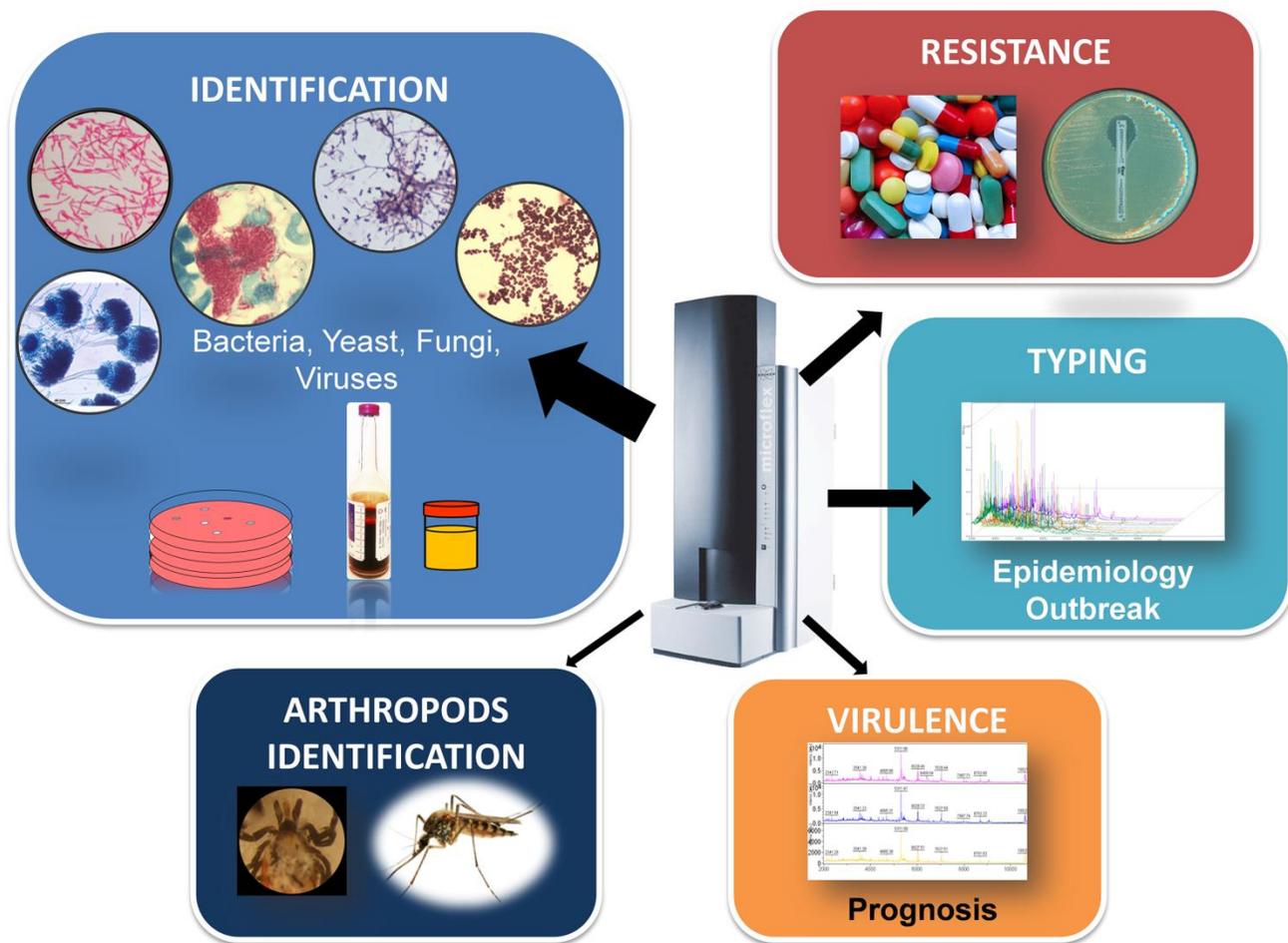
1308 The sample is first deposited on a metal plate and embedded in the matrix that crystallizes the analytes
1309 and bombarded by brief laser pulses that achieved the ionization (MALDI), by proton transfer from the
1310 matrix, which results in positively charged analytes. Then the desorbed ions are accelerated by an
1311 electrostatic field and directed in the flight tube in which they are separated according to their time of
1312 flight (TOF) in the flight tube in which a high vacuum is generated by a pump. Ions are detected at the
1313 exit of the flight tube and a software generates a mass-spectrum. The identification is achieved by the
1314 comparison of the mass-spectrum with a database of reference mass-spectra (adapted from Croxatto et al.,
1315 FEMS Microbiol Rev. 2012 Mar;36(2):380-407).



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1318 **Figure 2: Application of MALDI-TOF MS in clinical microbiology**



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