



1 **Abstract**

2 Mechanisms underlying acute mountain sickness (AMS) remain unclear. Corticosteroids are  
3 effective for prevention and treatment suggesting a role for deficient endogenous cortisol. The  
4 cortisol awakening response (CAR), the increase in cortisol secretion over the first 30-45  
5 minutes after morning awakening, better reflects the hypothalamic–pituitary–adrenal (HPA)  
6 axis than single cortisol measurements. We hypothesized that CAR may be altered in AMS-  
7 prone persons. Upon arrival at 4,554 m (HA), 81 mountaineers agreed to participate. The  
8 following morning, they gave 3 saliva samples after awakening (S1: 0, S2: 30, S3: 45 min).  
9 AMS was scored with the 1993 Lake Louise Score (LLS, cut-off  $\geq 5$ ). Minimally 4 weeks  
10 after descent saliva was recollected by 58 of 81 participants at low altitude (LA);  $382 \pm 309$ m,  
11 mean  $\pm$  SD). Cortisol was quantified by immunoassay. Three cortisol indices were analyzed:  
12 first sample on awakening (S1), CAR (area under curve with respect to S1) and total post  
13 awaking cortisol (AUC-G; area with respect to ground). AMS prevalence was 30%. At HA  
14 compared to LA, S1 ( $450 \pm 190$  vs  $288 \pm 159$  ng/dl,  $p < 0.001$ ) and AUC-G ( $387 \pm 137$  vs  $276$   
15  $\pm 114$  ng/dl·min,  $p < 0.001$ ) were greater, but CAR was not ( $50 \pm 100$  vs  $60 \pm 81$  ng/dl·min,  $p$   
16  $= 0.550$ ). AMS+ compared to AMS- participants had higher S1 both at HA ( $495 \pm 209$  vs  $384$   
17  $\pm 176$ ng/dl,  $p = 0.016$ ) and LA ( $354 \pm 160$  vs  $253 \pm 142$ ng/dl,  $p = 0.015$ ) and lower CAR at  
18 LA ( $24 \pm 87$  vs  $79 \pm 72$  ng/dl·min,  $p = 0.013$ ). AUC-G was similar in both groups at HA and  
19 LA. Some indices of salivary cortisol response upon awakening differ between AMS+ and  
20 AMS-, both at HA and LA, suggesting a link between HPA axis homeostasis and AMS.

## 1 **Introduction**

2 Non-acclimatized persons ascending to altitudes >2,500 m risk developing acute mountain  
3 sickness (AMS) (Bärtsch and Swenson 2013; Basnyat and Murdoch 2003; Hackett and Roach  
4 2001). AMS is characterized by headache, nausea, fatigue, dizziness and insomnia (Meier and  
5 others 2017). Symptoms can be incapacitating and AMS can progress to life threatening high  
6 altitude cerebral edema. The mechanisms underlying AMS remain unclear (Bärtsch and  
7 Swenson 2013; Basnyat and Murdoch 2003; Hackett and Roach 2001; Imray and others 2010).

8

9 Oral synthetic corticosteroids such as dexamethasone and prednisone work well for prevention  
10 and treatment of AMS (Basu and others 2002a; Ferrazzini and others 1987; Levine and others  
11 1989; Rock and others 1989; Tang and others 2014) while inhaled synthetic corticosteroids  
12 such as budesonide do not (Berger and others 2017; Lipman and others 2018). Cortisol  
13 deficiency can present with symptoms similar to those of AMS (fatigue, weakness, gastric pain,  
14 nausea, vomiting, dizziness, insomnia) (Arlt and Allolio, 2003). Therefore, impaired cortisol  
15 homeostasis could be involved in AMS (Panesar 2004). Prior studies reported inconsistent  
16 results, perhaps related to the use of single samples taken at some time of the day while cortisol  
17 levels show circadian swings (Sutton 1977; Woods and others 2012).

18

19 The cortisol awakening response (CAR) describes the marked increase in cortisol levels during  
20 the first 30-45 min following morning awakening (Clow and others 2010a; Clow and others  
21 2004; Kudielka and Wüst 2010). It combines a reactivity index (response to awakening,  
22 (Wilhelm and others 2007) with aspects tied to circadian regulation (Clow and others 2010b).  
23 Two main components can be distinguished: a) the first sample (S1), ideally synchronized with  
24 the moment of awakening, representing the endpoint of a pre-awakening increase; and b) the  
25 actual CAR, representing the dynamic of the cortisol increase after awakening. The two  
26 components (S1 and CAR) are inversely related (Stalder and others 2016) and can be combined  
27 as an index of overall cortisol secretion over the post-awakening period by calculating the area  
28 under the curve from ground (AUC-G) (Pruessner and others 2003). Deviations from a typical  
29 CAR pattern are thought to be indicative of hypothalamic–pituitary–adrenal (HPA) axis  
30 perturbations (Chida and Steptoe 2009; Kudielka and others 2012). Using the CAR we explored  
31 the HPA-axis in the context of AMS in conditions of acute exposure to high altitude. We  
32 hypothesized that the cortisol awakening response would be impaired in AMS-prone persons.

33

## 1 **Methods**

### 2 *Participants*

3 We recruited 102 mountaineers sequentially upon arrival at a mountain hut on the Swiss-Italian  
4 border (Capanna Regina Margherita, 4,554 m) between the 7<sup>th</sup> and the 22<sup>nd</sup> of August 2015.  
5 After receiving oral and written information in Italian, French, English or German participants  
6 gave written informed consent. The study was approved by the research ethics commission of  
7 the Canton Vaud in Switzerland and complied with the current version of the Helsinki  
8 declaration.

9

### 10 *Procedures*

11 In the evening after arrival at the hut participants received instructions with emphasis on  
12 respecting the saliva collection procedure and its precise time points (0, 30 and 45 min post-  
13 awakening). They then completed a general questionnaire in their preferred language about  
14 personal information, health and medication, acclimatization, history of altitude illness and  
15 physical activity habits. Upon awakening the following morning the participants first sampled  
16 their saliva and then reported to the experimenter. They then scored AMS symptoms with  
17 validated translations of the 1993 Lake Louise Score (LLS) questionnaire (Roach and others  
18 1993). It scores 5 symptoms: 1) headache, 2) gastrointestinal symptoms, 3) fatigue or weakness,  
19 4) dizziness or light-headedness and 5) difficulty sleeping. Each is scored from 0 (not present)  
20 to 3 (severe or incapacitating) and scores are added up for the total score. AMS was defined as  
21 presence of headache and a score  $\geq 5$ . Presence or absence of AMS is presented by AMS+ and  
22 AMS-, respectively. Resting heart rate (HR) and peripheral oxygen saturation (SpO<sub>2</sub>; CMS50F  
23 pulse oximeter watch, Contec, Qinhuangdao, China) were measured with the participant quietly  
24 sitting. After receiving instructions and material for saliva sampling at their homes the  
25 participants then left the hut.

26

### 27 *Saliva sampling, handling and analysis*

28 Before saliva sampling the participants were asked to rinse their mouth with water. They then  
29 soaked cotton swabs with saliva by moving them around in the mouth for 2 min without  
30 chewing (Salivette, Sarstedt, Nümbrecht, Germany). They had to avoid smoking, eating and  
31 drinking any beverages other than water during the sampling period, and to report on the sample  
32 form if the expected behavior had not been respected (Stalder and others 2016). At HA, upon  
33 awakening the following morning (12 to 18 hours after arrival at 4,554 m), they collected three  
34 saliva samples (S1 at 0, S2 at 30 and S3 at 45 min). The home samples (LA, 382  $\pm$  309m, mean

1 ± SD) were to be taken at the earliest 4 weeks after descent and at the same wake-up time as at  
2 HA. HA samples were stored in a freezer at -15°C and then transported to the laboratory on ice.  
3 LA samples were sent to the laboratory on the day of sampling by priority mail in a pre-stamped  
4 envelope. Upon reception by the laboratory all saliva samples were stored at -20°C until  
5 analysis. Saliva cortisol concentration was quantified by enzyme immunoassay using a  
6 commercially available kit according to the manufacturer's recommended protocol  
7 (Salimetrics, State College, PA, USA). On the day of the assay, samples were thawed, vortexed,  
8 and centrifuged at 1,500 × g (3,000 rpm) for 15 minutes. Clear samples were then pipetted in  
9 duplicate into test wells using a 96-wells plate. Measures were performed in duplicate with the  
10 average of each duplicate used as final value. Three cortisol indices were calculated: first  
11 sample on awakening (S1), cortisol awakening response (area under curve with respect to S1,  
12 CAR:  $\{[(S1 + S2)/2] \times 30\} + \{[(S2 + S3)/2] \times 15\} - [S1 \times 45]$ ) and total post awakening cortisol  
13 levels (area with respect to ground, AUC-G= $\{[(S1 + S2)/2] \times 30\} + \{[(S2 + S3)/2] \times 15\}$ )  
14 (Khoury and others 2015).

15

#### 16 *Data analysis and statistics*

17 Data were analyzed with Stata (version 15, StataCorp, College Station, Texas, USA) using t-  
18 test, Pearson chi<sup>2</sup>, ANOVA and linear regression, as appropriate; (non-)normality of data  
19 distribution was accounted for. Aggregate data are reported as means ± SD unless indicated  
20 otherwise. The level of significance was set at p < 0.05.

21

## 22 **Results**

### 23 *Study population*

24 At HA 102 participants accepted to participate to the study of whom 69 also collected cortisol  
25 samples at LA. Nine of the participants were experienced mountain guides. Four participants  
26 were excluded from analysis; three because of acetazolamide use and one because of  
27 dexamethasone use. Other participants were excluded due to incomplete AMS data (n = 3) or  
28 technically unmeasurable cortisol levels (HA: n = 15, LA: n = 7). Therefore, data from 81  
29 participants at HA and 58 participants at LA were analyzed. Their characteristics are shown in  
30 Table 1. In this group of mountaineers AMS prevalence at 4,554 m was 30%.

31

32

>>> Table 1 about here <<<

33

1 *High altitude vs low altitude*

2 Post-awakening cortisol levels (S1 and AUC-G) were significantly higher at HA compared to  
3 LA while CAR was similar between the two altitudes (Figure 1). There were no significant  
4 correlations between HA cortisol indices, AMS compound score, HR or SpO<sub>2</sub>. There was a  
5 positive correlation between AMS score and heart rate ( $R = 0.27$ ,  $p = 0.014$ ) and a negative  
6 correlation between AMS score and SpO<sub>2</sub> ( $R = -0.25$ ,  $p = 0.025$ ). There were no correlations  
7 between cortisol indices at LA and AMS scores at HA, except a trend for a slight negative  
8 correlation between CAR and AMS scores ( $R = -0.25$ ,  $p = 0.063$ ).

9

10 >>> Figure 1 about here <<<<

11

12 *AMS+ vs AMS- at high altitude*

13 At high altitude, AMS+ participants displayed significantly higher S1 compared to AMS-  
14 participants ( $495 \pm 209$ ,  $n = 24$ , vs  $389 \pm 173$  ng/dl,  $n = 57$ ,  $p = 0.020$ ). AUC-G tended to be  
15 higher in AMS+ compared to AMS- participants ( $423 \pm 142$ ,  $n = 24$ , vs  $367 \pm 138$  ng/dl·min,  $n$   
16  $= 57$ ,  $p = 0.097$ ) while CAR was similar between the two groups ( $52 \pm 119$ ,  $n = 24$ , vs  $75 \pm 104$   
17 ng/dl·min,  $n = 57$ ,  $p = 0.389$ ) (Figure 2a).

18

19 No differences in cortisol indices were found between the individual LLS symptom scores (0,  
20 1, 2 or 3) for headache, gastrointestinal symptoms, fatigue and/or weakness and dizziness/ light-  
21 headedness. Difficulty of sleeping scores (0, 1, 2 or 3) were accompanied by an overall  
22 significant increase in S1 (0:  $380 \pm 167$ ,  $n = 9$ , 1:  $411 \pm 190$ ,  $n = 30$ , 2:  $401 \pm 170$ ,  $n = 37$ , 3:  
23  $695 \pm 193$ ,  $n = 5$ ,  $p = 0.008$ ).

24

25 >>> Figure 2 about here <<<<

26

27 Mountain guides, who presented lower AMS scores compared to all other participants ( $1.4 \pm$   
28  $1.5$ ,  $n = 9$ , vs  $3.7 \pm 2.0$ ,  $n = 72$ ,  $p = 0.002$ ), displayed higher CAR ( $140 \pm 79$ ,  $n = 9$ , vs  $59 \pm 108$   
29 ng/dl·min,  $n = 72$ ,  $p = 0.032$ ) at HA, while S1 and AUC-G were equal (S1:  $388 \pm 190$ ,  $n = 9$ ,

1 vs  $425 \pm 190$  ng/dl,  $n = 72$ ,  $p = 0.591$ , AUC-G:  $431 \pm 94$ ,  $n = 9$ , vs  $378 \pm 145$  ng/dl·min,  $n =$   
2  $72$ ,  $p = 0.286$ ).

3

#### 4 *AMS+ vs AMS- at low altitude*

5 Cortisol indices assessed at LA were different between participants having suffered or not from  
6 AMS at HA. AMS+ participants displayed significantly higher S1 ( $357 \pm 164$ ,  $n = 20$ , vs  $253$   
7  $\pm 142$  ng/dl,  $n = 38$ ,  $p = 0.014$ ) and lower CAR ( $24 \pm 87$ ,  $n = 20$ , vs  $79 \pm 72$  ng/dl·min,  $n = 38$ ,  
8  $p = 0.013$ ) compared to AMS- participants, while AUC-G ( $292 \pm 116$ ,  $n = 20$ , vs  $268 \pm 109$   
9 ng/dl·min,  $n = 38$ ,  $p = 0.448$ ) was similar in the two groups (Figure 2b). No differences were  
10 found in HA minus LA (delta) cortisol indices (S1, CAR and AUC-G) between AMS+ and  
11 AMS-.

12

13 When LA cortisol indexes were compared between participants suffering (i.e. score  $\geq 1$ ) or not  
14 (score = 0) from each LLS symptom at HA, we found that difficulty sleeping at HA was  
15 associated with a tendency of higher S1 ( $p = 0.083$ ) but similar AUC and CAR at LA. Suffering  
16 of dizziness at HA was associated with a significantly higher S1 at LA ( $p = 0.005$ ) and a  
17 tendency for higher AUC ( $p = 0.079$ ). Cortisol indexes at LA were similar in participants  
18 suffering or not from all other LLS symptoms at HA.

19

20 At LA mountain guides, compared to all other participants, had lower S1 ( $138 \pm 109$ ,  $n = 5$ , vs  
21  $303 \pm 153$  ng/dl,  $n = 53$ ,  $p = 0.023$ ), equal CAR ( $88 \pm 99$ ,  $n = 5$ , vs  $57 \pm 79$  ng/dl·min,  $n = 53$ ,  
22  $p = 0.421$ ) and a tendency for lower AUC-G ( $191 \pm 108$ ,  $n = 5$ , vs  $284 \pm 108$  ng/dl·min,  $n = 53$ ,  
23  $p = 0.072$ ).

24

## 25 **Discussion**

26 We measured the CAR in mountaineers at high and low altitude and compared it between  
27 AMS+ and AMS- participants. We found that S1 and AUC-G but not CAR were increased at  
28 HA compared to LA. In contrast to our initial hypothesis, post-awakening cortisol levels were  
29 increased in AMS+ participants. Even more interestingly, increased S1 and blunted CAR were  
30 also present in AMS+ participants when assessed at low altitude.

31

### 32 *Cortisol and altitude*

33 Studies of cortisol and adrenocorticotrophic hormone (ACTH) levels at HA have reported  
34 inconsistent results because of varying altitudes, exposure times, cortisol collection timing and

1 physical exertion levels. Invariant cortisol levels and similar (Bartsch and others 1991) or  
2 increased ACTH levels (Bouissou and others 1988) have been reported after 1 to 5 hours  
3 exposure to hypobaric hypoxia compared to normoxia. Increased cortisol levels have been  
4 observed from the day upon arrival at HA (Park and others 2014) up to 21 days (Basu and  
5 others 2002b; Humpeler and others 1980; Moncloa and others 1968; Richalet and others 1989;  
6 Zaccaria and others 1998), with a return to LA levels after 30 days (Benso and others 2007;  
7 Sawhney and others 1991). Decreased cortisol levels have been reported 15-16 days after  
8 arrival at HA (McLean and others 1989), perhaps due to a different sampling time. Our study  
9 extends these findings by identifying an increased AUC-G but similar CAR at HA compared  
10 to LA. The only other study to have described post-awakening cortisol indices at HA showed  
11 both increased AUC-G and CAR (Park and others 2014).

12

### 13 *Increased cortisol in AMS+*

14 Only few studies looked at (plasma) cortisol levels in relation with AMS. Increased (Bartsch  
15 and others 1988; Richalet and others 1989) or unchanged (Spliethoff and others 2013; Woods  
16 and others 2012) HA cortisol levels have been found in AMS+ compared to AMS- participants.  
17 At LA, these studies reported similar cortisol levels between AMS+ and AMS-, but only single  
18 measures of (plasma) cortisol were reported. The increased S1 and S3 saliva cortisol levels in  
19 AMS+ compared to AMS- participants, about 12 to 18 hours after arrival at HA in our study,  
20 are consistent with previous findings at the same altitude (4,554 m). Increased cortisol plasma  
21 levels at 6 h and 18 h after arrival at HA and similar levels at 42 h were reported in AMS+  
22 compared to AMS- participants (Bartsch and others 1988). In that study the largest differences  
23 between cortisol levels of both groups were observed 6 hours after arrival at HA. In a later  
24 study, after  $5 \pm 1$  hours upon arrival at HA, those who would develop AMS initially had the  
25 same ACTH and cortisol levels compared to those who would remain healthy, yet they  
26 displayed more pronounced exercise-induced ACTH and cortisol increases (Bartsch and others  
27 1991). In contrast, no differences in (plasma) cortisol were reported by Spliethoff and others  
28 (2013) about 18 hours after arrival at 4,559 m between AMS+ and AMS- participants. No  
29 significant differences between AMS severity and cortisol levels were found in a study  
30 comparing cortisol levels at rest and following 6 hour day treks during ascent from 1,300 to  
31 5,150m (Woods and others 2012). Our present study extends these previous findings by  
32 reporting a tendency for an increased AUC-G and similar CAR between AMS+ and AMS- at  
33 HA. At HA, we further found blunted cortisol awakening curves in AMS+ participants, while



1 in our mountain guides their tendency for AMS resistance ( $p = 0.074$ ) was associated with a  
2 higher CAR ( $p = 0.032$ ).

3

4 Our present study, exploring a different aspect of cortisol homeostasis as compared to plasma  
5 levels at some time point, suggest a significant difference between AMS+ versus AMS-  
6 participants. But in contrast to our initial hypothesis, post-awakening cortisol levels were  
7 increased in participants that developed AMS. On the other hand, the data collected from  
8 experienced mountain guides, less prone to AMS suggest that higher CAR at altitude may be  
9 associated with this reduced risk of AMS.

10 Taken together, these observations suggest that an altered post-awakening cortisol response is  
11 associated with the development of AMS but do not allow us to determine whether altered  
12 cortisol homeostasis is a consequence or a cause leading to AMS. Assessment performed at low  
13 altitude may contribute to solve this question.

14

#### 15 *Cortisol differences at LA*

16 Indeed, we found that, at low altitude and arguably sufficiently distant (minimal 4 weeks) from  
17 the previous altitude exposure, participants having suffered from AMS displayed increased S1  
18 and decreased CAR compared to AMS- participants. Mountain guides, who had lower AMS  
19 scores compared to all other participants at high altitude, displayed lower S1 and AUC-G at  
20 low altitude. Furthermore, increased post-awakening cortisol at low altitude was associated  
21 with development of severe insomnia (and possibly dizziness and headache) at high altitude  
22 suggesting a causal role of altered cortisol homeostasis in the pathogenesis of AMS.

23

24 Others reported no LA cortisol differences between AMS+ and AMS- (Bartsch and others  
25 1991; Bartsch and others 1988; Spliethoff and others 2013). However, only single plasma  
26 cortisol measures were obtained. We found at LA, similar to HA, increased S1, blunted saliva  
27 cortisol awakening curves and additionally decreased CAR for AMS+ compared to AMS-  
28 participants. As associations between CAR indices at LA and AMS were observed it is tempting  
29 to speculate that HPA-axis alterations not only accompany AMS symptoms but also play a  
30 causal role in its development. Autonomic nervous system (ANS) dysregulation is thought to  
31 be involved in AMS (Karinen and others 2012). Increased activation of the sympathetic nervous  
32 system was found in AMS+ participants at HA (Bartsch and others 1991; Bartsch and others  
33 1988; Panesar 2004; Woods and others 2011). ANS and HPA-axis responses to stressors are  
34 highly coordinated (Rotenberg and McGrath 2016). In accordance, higher trait-anxiety and

1 higher levels of anxiety before a mountain ascent were reported in climbers susceptible to AMS  
2 and higher trait-anxiety at low altitude was found predictive for severe AMS at high altitude  
3 (Boos and others 2018; Missoum and others 1992).

#### 4 5 *AMS and insomnia*

6 We observed increased S1 values in participants reporting severe insomnia at HA. Increased  
7 S1 levels for severe insomnia in our study agree with elevated 24-h urine cortisol excretions in  
8 poor sleepers (Vgontzas and others 1998) and with increased evening and night cortisol levels  
9 found in insomniacs compared to healthy sleepers (Rodenbeck and others 2002; Vgontzas and  
10 others 2001). In sum, insomnia and AMS seem both associated to increased S1. However, it  
11 remains unclear whether it is the sleep loss that drives the cortisol abnormalities or whether a  
12 primarily hyperactive HPA-axis contributes to the development of insomnia (Riemann and  
13 others 2010). There is ongoing discussion on including insomnia when assessing AMS  
14 (MacInnis and others 2013) and the 2018 version of the LLS questionnaire revision excluded  
15 the sleep item (Roach and others 2018). We therefore also repeated our analysis without the  
16 insomnia item: LLS-3-NS (cut-off  $\geq 3$ ). Prevalence of AMS+ was now 34 %. At HA no cortisol  
17 index differences were observed (S1:  $p = 0.655$ , S2:  $p = 0.636$ , S3:  $p = 0.333$ , CAR:  $p = 0.502$ ,  
18 AUC-G:  $p = 0.843$ ). At LA a tendency for an increased S1 ( $p=0.083$ ) and a decreased CAR  
19 ( $p=0.056$ ) were observed for AMS+ compared to AMS-, but no other cortisol index differences  
20 were seen (S2:  $p = 0.793$ , S3:  $p = 0.903$ , AUC-G:  $p = 0.6413$ ). This suggests that sleep  
21 perturbation played an important role in the relationship we found between cortisol and AMS  
22 risk.

#### 23 24 *Cortisol or CRF?*

25 Contrary to our hypothesis AMS+ participants had higher cortisol levels than AMS-  
26 participants. Our findings are thus indicative of a difference between AMS+ and AMS-  
27 participants with regard to HPA-axis regulation, but a mechanistic explanation is lacking.  
28 Recent findings suggest that corticotrophin releasing factor (CRF) and the CRF-receptor-1  
29 might be implicated in AMS and high altitude cerebral edema (HACE) (Chen and others 2014;  
30 Song and others 2016). We speculate that AMS+ participants might have a stronger stress  
31 response to altitude exposure (for unknown reasons), secreting higher levels of CRF, leading  
32 to greater ACTH release in turn leading to higher cortisol levels. These cortisol levels would  
33 be secondary to the stimulation of CRF-receptor-1 and its manifold other effects. Such a  
34 mechanism would allow to understand why dexamethasone works to prevent and treat AMS

1 and HACE, namely by decreasing CRF secretion via negative feedback (Joyce and others  
2 2018).

3

#### 4 *Limitations*

5 Several limitations of the present study must be acknowledged. First, we used saliva cortisol as  
6 an index of circulating free cortisol in plasma. Even though the two are strongly related, saliva  
7 sampling procedure and timing can introduce bias (El-Farhan and others 2017). Inaccurate  
8 sampling varies with psychosocial and health factors (Broderick and others 2004; Dimatteo  
9 2004; DiMatteo and others 2000; Golden and others 2014; Kudielka and others 2007). Such  
10 sampling inaccuracy generally induces overestimated S1 and underestimated CAR. Thus  
11 AMS+ may have influenced sampling accuracy. Furthermore, during 1-day studies up to 70%  
12 of CAR is attributable to trait-like covariates (Almeida and others 2009; Hellhammer and others  
13 2007; Ross and others 2014). Second, one third of HA participants did not send in the LA study  
14 material. Our results are therefore not necessarily representative. Also, our sample was not  
15 homogeneous with regard to prior acclimatization. Finally, the connections between sleep  
16 perturbations, HPA-axis regulation and acute exposure to hypoxia need to be better described.  
17 Further studies with larger populations, cortisol sampling monitoring, and strict variable control  
18 are needed to further study HPA axis differences between AMS+ and AMS- persons.

19

#### 20 *Conclusions*

21 Our finding of differing morning cortisol dynamics upon awakening between AMS-prone and  
22 AMS-resistant mountaineers, both at low and at high altitude, suggests a potential link between  
23 HPA-axis homeostasis and AMS risk.

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3 the intendants of the mountain hut Capanna Regina Margherita for their help and hospitality  
4 and the participants to the study for their kind participation.

5

6 **Authorship Confirmation Statement**

7 BK and JE designed the study. BK and JE collected the field data. BL processed the saliva  
8 samples. PV and JE did the statistical analysis. All authors participated in data interpretation.  
9 JE wrote the first draft. BK, JE and CS finalized the manuscript. All authors have reviewed and  
10 approved of the manuscript prior to submission.

11

12

13 **Author disclosures**

14 The authors have no conflicts of interest to report

15

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6  
7

1 **Table 1**

Population characteristics

	General	AMS+	AMS-	P-Value
A) General				
Sex: N (%)				
Men	67	22 (32.8)	45 (67.2)	0.17
Women	14	2 (14.3)	12 (85.7)	
AMS score: N (%)				
LLS $\geq$ 5		24 (29.6)	57 (70.4)	
Age group: N (%)				
0-24 yr	11	4 (36.4)	7 (63.6)	0.29
25-49 yr	56	14 (25)	42 (75)	
50-75 yr	13	6 (46.2)	7 (53.8)	
Age: mean $\pm$ SD	36 $\pm$ 12	36 $\pm$ 13	36 $\pm$ 11	0.91
BMI: mean $\pm$ SD (kg/m <sup>2</sup> )	23 $\pm$ 2	23 $\pm$ 2	23 $\pm$ 3	0.45
Smoker: N (%)	7	1 (14.3)	6 (85.7)	0.33
Home altitude: mean $\pm$ SD (kg/m <sup>2</sup> )	391 $\pm$ 321	348 $\pm$ 219	410 $\pm$ 356	0.34
B) Acclimatization				
Medication: N (%)				
Aspirin, paracetamol, ibuprofen, sumatriptan	19	7 (36.8)	12 (63.2)	0.43
Cumulated altitude slept last 2 nights: N (%)				
6-10 km	55	15 (27.3)	40 (72.7)	0.50
< 6 km	26	9 (34.6)	17 (65.4)	
Cumulated altitude slept last 2 nights: mean $\pm$ SD	6 $\pm$ 1.7	5.8 $\pm$ 1.7	6.1 $\pm$ 1.6	0.44
Last time over 2000 m: N (%)				
0 - 14 days	61	15 (24.6)	46 (75.4)	0.04
$\geq$ 15 days	18	9 (50)	9 (50)	
Last time over 2000 m: <b>days</b> , mean $\pm$ SD	44 $\pm$ 132	114 $\pm$ 221	14 $\pm$ 36	0.01
Mountain guide: N (%)	9	1 (11.1)	8 (88.9)	0.20
C) History				
Altitude illness: N (%)	14	4 (28.6)	10 (71.4)	0.92
Disease: N (%)	26	5 (19.2)	21 (80.8)	0.16
Circulatory problems	6	2 (33.3)	4 (66.7)	
Respiratory problems	13	1 (7.7)	12 (92.3)	
Neurological problems	7	2 (28.6)	5 (71.4)	
Other disease	8	2 (25)	6 (75)	
D) Health parameters				
Heart rate	87 $\pm$ 13	90 $\pm$ 16	85 $\pm$ 11	0.12
SpO <sub>2</sub>	79 $\pm$ 5	78 $\pm$ 5	80 $\pm$ 5	0.09

2

3 Means  $\pm$  SD. P values are for the test of the hypothesis of equality among AMS+ vs AMS-.

4 Uncompleted questionnaires explain the varying number in group age (n = 80) and last time

5 over 2000 m (n = 79).

6

7

1 **Figure legends**

2

3 **Figure 1:** Post-awakening cortisol levels are higher at high altitude compared to low altitude

4

5 Post-awakening cortisol levels at high altitude (HA) and low altitude (LA). Sample 1 = 0 min  
6 post awakening, sample 2 = 30 min, sample 3 = 45 min. Each symbol represents mean  $\pm$  SEM.

7 \*\*\* =  $p < 0.001$ . Data are matched between HA and LA (n=55).

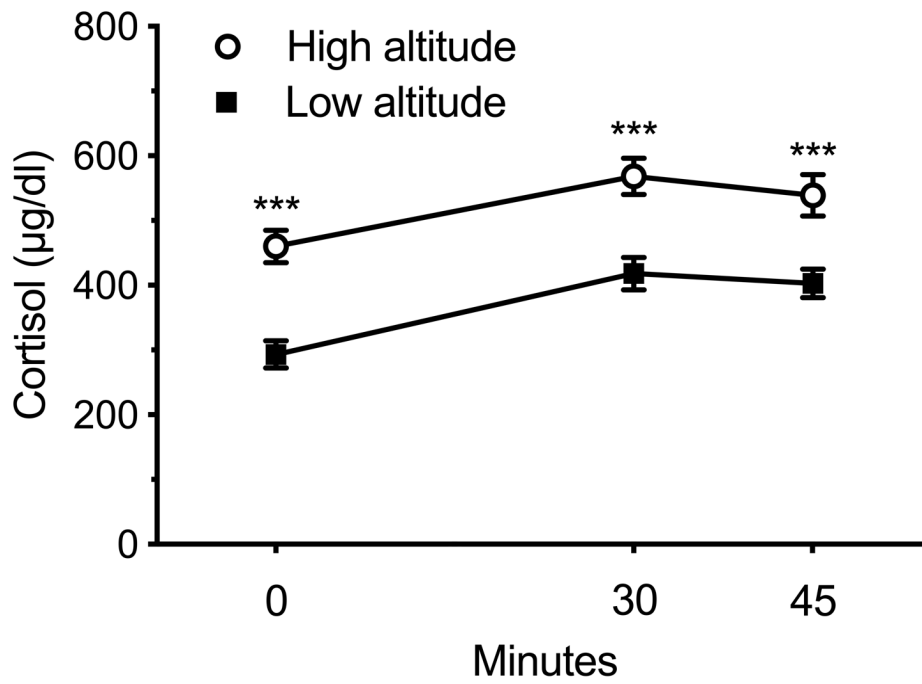
8

9 **Figure 2:** Differences in post-awakening cortisol **indices** between AMS+ and AMS- at high  
10 altitude and low altitude

11

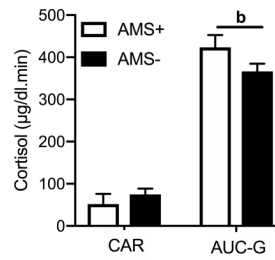
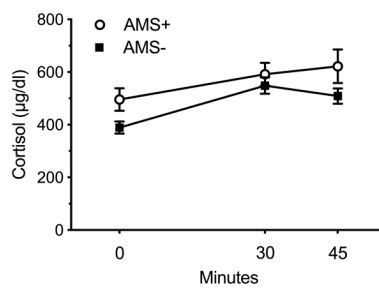
12 Post-awakening cortisol **indices**; S1, S2, S3, CAR and AUC-G at high altitude and low altitude  
13 between AMS+ and AMS- at HA (Lake Louise Score, cut-off  $\geq 5$ ). Sample 1 = 0 min post  
14 awakening (S1), sample 2 = 30 min (S2), sample 3 = 45 min (S3). CAR: cortisol awakening  
15 response, area under curve with respect to S1. AUC-G: total post awakening cortisol, area under  
16 curve with respect to ground. Each symbol or bar represents the mean  $\pm$  SEM. b =  $p < 0.1$ , \* =  
17  $p < 0.05$ . HA (n=81), LA (n=58).

18

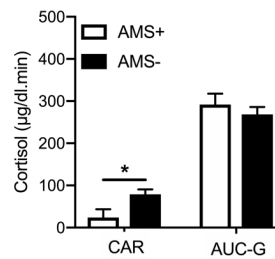
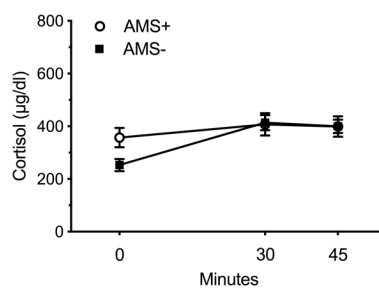


1

a) High altitude



b) Low altitude



2