

## Blockade of programmed cell death protein 1 (PD-1) in Sézary syndrome reduces Th2 phenotype of non-tumoral T lymphocytes but may enhance tumor proliferation

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### ABSTRACT

Sézary syndrome (SS) is an aggressive leukemic variant of cutaneous T-cell lymphoma (L-CTCL) that arises from malignant clonally derived skin-homing CD4<sup>+</sup> T cells. Based on advancements in our understanding of the mechanisms underlying L-CTCL, boosting the suppressed immune response emerges as a promising strategy in SS management. Immune checkpoint inhibitory molecules have already demonstrated efficacy in a wide spectrum of malignancies. Currently, agents targeting the programmed death-1 (PD-1) axis are under evaluation in L-CTCL. Here we investigated the expression of PD-1 and its ligands, PD-L1 and PD-L2 in blood and skin from patients with L-CTCL. We demonstrate that PD-1 expression is markedly increased on tumor T cells compared to non-tumor CD4<sup>+</sup> T cells from SS patients and to CD4<sup>+</sup> cells from healthy individuals. In contrast, PD-L1 shows decreased expression on tumor T cells, while PD-L2 expression is low without significant differences between these groups. Functional PD-1 blockade *in vitro* resulted in reduced Th2 phenotype of non-tumor T lymphocytes, but enhanced the proliferation of tumor T cells from SS patients. Our study sheds some light on the PD-1 axis in both peripheral blood and skin compartments in SS patients, which may be relevant for the treatment of L-CTCL with immune checkpoint inhibitor.

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## Introduction

Leukemic cutaneous T-cell lymphoma (L-CTCL), comprising Sézary Syndrome (SS) and mycosis fungoides (MF) with blood involvement, are common representatives of the heterogeneous group of primary cutaneous T-cell lymphomas,<sup>1–3</sup> which arise from malignant T cells homing to (SS) or residing in (MF) the skin.<sup>4</sup> In contrast to early stage skin limited MF, SS and patients with advanced MF with blood and/or organ involvement are characterized by poorer prognosis.<sup>5,6</sup> Apart from stem cell transplantation, there is no curative treatment for CTCL.<sup>7–9</sup> In the recent years, a major breakthrough in the field of cancer therapy was the implementation of immune checkpoint inhibitors.<sup>10</sup> Monoclonal antibodies (mAbs) targeting the programmed cell death protein 1 (PD-1), one of its ligands PD-L1 as well as the cytotoxic T cell antigen 4 (CTLA-4) have demonstrated efficacy in different types of cancer, mainly solid tumors and particularly melanoma.<sup>11–15</sup> PD-1 acts as immune checkpoint inhibitor and is a key player in promoting self-tolerance.<sup>16,17</sup> Its binding to one of its two ligands, PD-L1 and PD-L2 lead to cell cycle arrest together with suppressed activation and function of effector T cells.<sup>18</sup>

On the other hand, PD-1 axis downstream signaling is known to prevent apoptosis in regulatory T cells (Tregs).<sup>19</sup> Overexpression of PD-1 ligands by malignant cells promotes immune evasion and acts as a “molecular shield” conferring resistance to cytotoxic T cell response.<sup>20–22</sup> Expression of PD-1 and its ligands by the tumor milieu contributes also to acquisition of an exhaustion phenotype by the effector cells.<sup>23</sup> Thus, targeting PD-1 axis aims at abrogating this inhibitory signaling and eliminating malignant cells by boosting the immune response. Moreover, these agents may act far beyond direct action on T cells, as it has been demonstrated in multiple myeloma, where targeting PD-1/PD-L1 improved skewed NK-cell mediated cytotoxicity.<sup>24</sup>

In hematocology, PD-1 and PD-L1 first gained attention in B-cell malignancies, where antibodies blocking this axis effectively abrogated inhibitory signaling from the tumor microenvironment.<sup>25</sup> In classical Hodgkin lymphoma, anti-PD-1 immunotherapy was associated with remarkable overall response rates (ORR)<sup>26</sup> accompanied by prolonged remission after therapy discontinuation.<sup>27</sup> Efficacy of this therapeutic approach emerged also in non-Hodgkin lymphoma (NHL)

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aggressive subtypes – diffuse large B-cell lymphoma (DLBCL) and follicular lymphoma (FL).<sup>28</sup> In T-cell-derived malignancies, the therapeutic potential of anti-PD-1/PD-L1 agents is still unclear. In peripheral T-cell lymphoma, where PD-1 immunoreactivity was observed in 62% and PD-L1 expression in 17% of patients, anti-PD-1 mAb nivolumab induced a 40% ORR in a small subset of heavily pretreated patients.<sup>11</sup> In anaplastic large cell lymphoma (ALCL), PD-1 blockade has been reported effective for patients with both ALK-negative and ALK-positive ALCL.<sup>29–31</sup>

There is an accumulating body of evidence showing the impact of the expression of PD-1 axis members in predicting the response to anti-PD-1 directed therapies.<sup>32–37</sup> Preliminary data from clinical trials in solid tumors and Hodgkin lymphoma suggested PD-L1 expression to be crucial for the response to PD-1/PD-L1 blockade.<sup>26,38</sup> However, reports on successful clinical outcome in PD-L1 negative cases<sup>39</sup> and unsuccessful therapy in a subset of PD-L1<sup>+</sup> patients suggest that using PD-L1 as a single predictor has serious limitations. Recently, PD-L2 status emerged as a prediction factor of progression-free survival with pembrolizumab in a large cohort study of head and neck squamous cell carcinoma (HNSCC) patients.<sup>40</sup>

Evaluation of PD-1 and its ligands PD-L1 and PD-L2 role in L-CTCL in large patient cohorts to assess their potential as prognostic markers is still limited due to the rarity of the disease and the diverse clinical spectrum determined by the long duration of the disease.<sup>11,41–43</sup> By now, most of the studies utilized immunohistochemistry, however this method may not be sensitive enough to detect cell surface expression of immune checkpoint molecules. In this study, we assessed the expression profile of PD-1, PD-L1 and PD-L2 on malignant and nonmalignant T cells in peripheral blood and skin derived from patients with L-CTCL and compared it with the expression on T cells from healthy individuals.

Our analysis presents a detailed image of PD-1 axis expression in L-CTCL and may contribute to a better understanding of the role of PD-1 and its ligands in L-CTCL and initiation of novel therapeutic strategies.

## Materials and methods

### Patients and human samples (skin and blood)

Patients from the Department of Dermatology of the University Hospital of Zurich, Switzerland, with previously diagnosed L-CTCL (SS) and with unequivocally identifiable V $\beta$  clonal T-cell population in their blood and/or skin were included in the study. Blood samples from healthy individuals served as control. The diagnosis of CTCL was established in all patients according to the World Health Organization–European Organization for Research and Treatment of Cancer criteria for SS.<sup>44</sup> Peripheral blood and skin from the patients with SS were collected in the context of the University of Zürich Biobank, funded by the University of Zurich University Research Priority Program (URPP) in translational cancer biology. All patients signed an informed consent agreeing to the use of samples, including the generation of cell cultures according to the Biobank project (EK No. 647). Blood from healthy individuals was obtained from the blood

bank of the University Hospital Zurich. Healthy skin (surgical remnants and control margins) was obtained as discarded tissue through the University of Zürich Biobank. The study was conducted in accordance with the principles of the Declaration of Helsinki and was approved by the Institutional Review Board of the University of Zurich (KEK-ZH-Nr. 2015–0209).

### Isolation of T cells from human skin

Skin T cells from patients with L-CTCL and healthy donors were isolated from skin biopsies by short-term explant technique. Briefly, skin biopsies cut into small pieces were cultured on collagen-coated Cellfoam matrices in Iscove's modification of Dulbecco's media supplemented with 20% heat-inactivated FBS, 1% antibiotic-antimycotic (Gibco™), 2 mM L-glutamine, 100 IU/mL interleukin IL-2 and 10 ng/mL IL-15 (Peprotech) as previously reported.<sup>45</sup>

### Flow cytometry

Peripheral blood mononuclear cells (PBMC) were isolated via Ficoll centrifugation. V $\beta$  clonal T-cell populations were assessed using IOtest® Beta Mark TCR V beta Repertoire Kit. (Beckman Coulter) according to the manufacturer's instructions. Based on V $\beta$  clonality assessment, patients with unequivocally identifiable clonal T-cell population in the blood and/or skin were subjected to further analysis.

For flow cytometry anti-human monoclonal antibodies were used as listed: anti-CD3 (clone BW264/56 labeled with PerCP, Miltenyi Biotec #130-096-910), anti-CD4 (clone VIT4 labeled with APC-Vio770, Miltenyi Biotec #130-098-153), anti-CD26 (clone BA5b labeled with PE-Cy7, BioLegend #302714), anti-CD279/PD-1 (clone J105 labeled with APC, ThermoFisher), anti-CD274/PD-L1 (clone MIH1 labeled with PE-Cy7, ThermoFisher), anti-CD273/PD-L2 (clone MIH18 labeled with APC, ThermoFisher), anti-KIR3DL2/CD158 k in Alexa Fluor® 700 (R&D systems, #FAB2878 N), anti CD160 in APC (Biolegend, #341207), and anti-TCR V $\beta$  antibodies specific for the malignant clone of each patient (labeled with PE, Beckman Coulter). Samples were acquired on Becton Dickinson FACSCanto or BD LSR II Fortessa flow cytometer. FCS Express 5 Flow Cytometry RUO, FlowJo V10.0.8, Origin Pro 9.1 G and GraphPad Prism 5.0 Software were used for data analysis.

### Intracellular flow cytometry for IFN- $\gamma$ and IL-4

Ficoll purified PBMCs were seeded 2 × 10<sup>6</sup> cells per well in 96-well plates, and cultured in RPMI 1640 medium (Gibco, #12633-012) supplemented with 10% fetal bovine serum (Sigma-Aldrich, #F0804), 1 mM sodium pyruvate (Gibco, #11360070), 2 mM L-glutamine (Gibco, #25030081), and 100  $\mu$ g/ml Normocin (Invivogen). The PBMCs were stimulated with 50 ng/ml PMA (Sigma-Aldrich, #16561-29-8), 750 ng/ml ionomycin (Sigma-Aldrich, #56092-82-1), 1% PHA (Sigma-Aldrich, #5662-75-9), and 50  $\mu$ g/ml poly I:C (Sigma-Aldrich, #26301-44-0). The same cells were re-stimulated with 50 ng/ml PMA and 750 ng/ml ionomycin after 24 h of incubation,

and left for another 12 h in culture with added to the medium 10 µg/ml Brefeldin A (Sigma-Aldrich, #B6542). Two conditions were assessed for each patient: stimulated PBMC with and without PD-1 blockade (Nivolumab, 10 µg/mL). After the treatments, the lymphocytes were stained with anti-CD3 in PerCP (clone BW264/56, Miltenyi Biotec, #130-096-910), anti-CD4 in APC-Vio770 (clone REA623, Miltenyi Biotec, #130-113-223), anti-CD8 in PE-Vio770 (clone REA734, Miltenyi Biotec, #130-110-680), anti-IL-4 in APC (clone MP4-25D2, BD Pharmingen, #554486), anti-IFN-γ in FITC (clone 45-15, Miltenyi Biotec, #130-091-641) and anti-TCR Vβ antibodies in PE specific for the malignant clone of each patient (Beckman Coulter). BD LSR II Fortessa flow cytometer was used for data acquisition. The analysis was done in FlowJo V10.0.8.

### **T cell proliferation assay**

Peripheral blood mononuclear cells (PBMC) were isolated via Ficoll centrifugation. The cells were seeded  $2 \times 10^6$  per well in 96-well plates, and cultured in RPMI 1640 medium (Gibco, #12633-012) supplemented with 10% fetal bovine serum (Sigma-Aldrich, #F0804), 1 mM sodium pyruvate (Gibco, #11360070), 2 mM L-glutamine (Gibco, #25030081), and 100 µg/ml Normocin (Invivogen). The PBMCs were initially stimulated with a cocktail of 50 ng/ml PMA (Sigma-Aldrich, #16561-29-8), 750 ng/ml ionomycin (Sigma-Aldrich, #56092-82-1), 1% PHA (Sigma-Aldrich, #5662-75-9), and 50 µg/ml poly I:C (Sigma-Aldrich, #26301-44-0). On day 2 after the seeding, the PBMCs were re-stimulated with 50 ng/ml PMA and 750 ng/ml ionomycin, and 10 µM 5-bromo-2'-deoxyuridine (BrdU) was added to each sample. After an overnight incubation, the cells were stained with BrdU staining kit for flow cytometry (ThermoFisher, #8811-6600-42) according to the manufacturer's protocol. Additional staining with anti-CD3 in PerCP (clone BW264/56, Miltenyi Biotec, #130-096-910), anti-CD4 in APC-Vio770 (clone REA623, Miltenyi Biotec, #130-113-223), anti-CD8 in PE-Vio770 (clone REA734, Miltenyi Biotec, #130-110-680), and anti-TCR Vβ antibodies in PE was applied. The T cell proliferation rate for each individual was investigated in stimulated PBMC with and without PD-1 blockade (Nivolumab, 10 µg/mL). The data were acquired on BD LSR II Fortessa flow cytometer, and subsequently analyzed with FlowJo V10.0.8.

### **Immunohistochemistry (IHC)**

Immunohistochemistry (IHC) was performed on 3–5 µm thick paraffin sections as previously described. The sections were deparaffinized and rehydrated in graded series: X-TRA-Solv 8 (Mediate, #41-5212-00) – 15 min at 68°C; Xylol – 5 min room temperature (RT), 100% EtOH – 5 min RT; 96% EtOH – 5 min RT; 80% EtOH – 5 min RT; distilled water – 2 min RT. For antigen retrieval, the slides were heated in a Dako Cytomation Pascal Pressure Cooker (115°C; 10 min) and after that endogenous peroxidase activity was blocked using 3% hydrogen peroxide in distilled water (RT; 10 min). Normal goat serum was used to block nonspecific epitopes (30 min) and after that, the sections

were incubated with the following primary antibodies: mouse anti-human PD-1 (R&D systems, #AF1086, dilution 1:20; 60 min; RT), PD-L1 (Cell signaling, clone: E1L3 N, dilution: 1:25; 60 min; RT), PD-L2 (Cell signaling, clone: D7U8 C, dilution: 1:25, 60 min, RT) as well as the corresponding biotinylated anti-goat IgG secondary antibody (1:100 dilution, 30 min, RT). Following manufacturer's protocol (Dako), visualization was achieved via application of streptavidin conjugated to alkaline phosphatase. Additional Mayer's hematoxylin staining was applied in order to depict the cell nuclei. The staining rate (percentage of cells showing positive staining, 0-100%) was determined. PD-1 expression on >5% of lymphocytes was counted as positive. Expression was evaluated as negative (-) when the expression was 0-5%, moderate (+) when 5–50% cells showed positive staining and high expression (++) when more than 50% cells were positive per visual field. Graphic visualization of PD-1 expression in skin evaluated in three visual fields for each immunohistochemistry slide. As a control served discarded healthy surplus skin.

### **Statistical analysis**

Differences in clinicopathological parameter distributions and PD-1, PD-L1 and PD-L2 expression were assessed with Fisher's exact test. Data were tested for normal distribution with Shapiro–Wilk test. Dependent on the distribution pattern, for comparison among three groups non-parametric Kruskal-Wallis ANOVA or one-way ANOVA tests were used. When two groups were compared, a standard two sample Student's t test or two sample Kolmogorov–Smirnov test was utilized. *P* values ≤0.05 were considered significant.

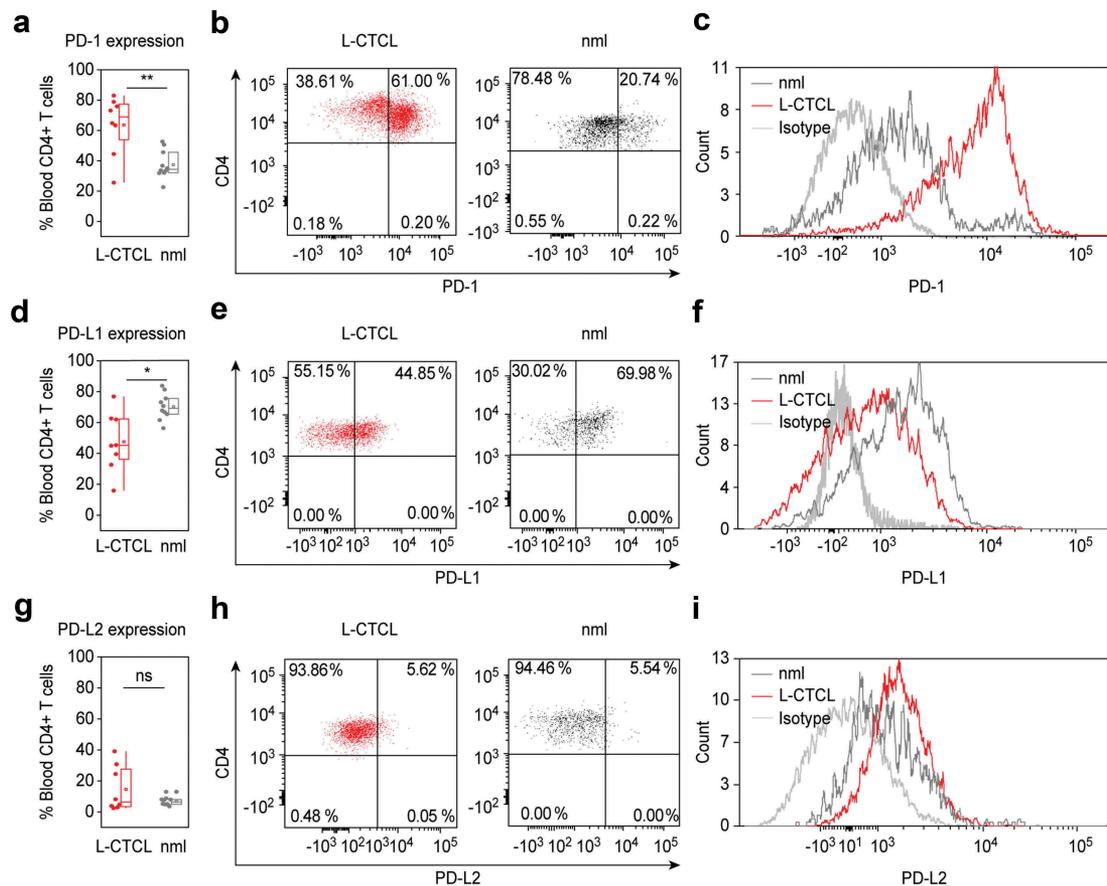
## **Results**

### **PD-1 is up – while PD-L1 is downregulated in peripheral blood T cells of L-CTCL patients**

First, we compared PD-1 expression in CD4<sup>+</sup> T cells in the blood of L-CTCL patients and healthy individuals regardless of the clonal and non-clonal cell populations. Suppl. Table 1 summarizes the patients' clinical characteristics. In all cases, we detected a population of CD4<sup>+</sup> T cells expressing PD-1 and the percentage of PD-1 expressing CD4<sup>+</sup> T cells was significantly upregulated in blood of L-CTCL patients (*p* = .006; **Figure 1(a)**). The percentage of PD-1<sup>+</sup> CD4<sup>+</sup> T cells in blood from L-CTCL patients ranged from 25.28% to 83.03%, with mean value of 63.65%. In healthy individuals, the percentage of PD-1 expressing CD4<sup>+</sup> T cells ranged between 22.59%–52.67%, with mean value of 37.43% (**Figure 1(a–c)**).

On the contrary, the percentage of PD-L1<sup>+</sup> cells was significantly higher in healthy CD4<sup>+</sup> T cells (range 56.33%–83.75%; mean 70.24%) compared to CD4<sup>+</sup> T cells from L-CTCL patients (range 15.94%–76.82%; mean 47.48%) (*p* = .012; **Figure 1(d–f)**).

The percentage of PD-L2 expressing peripheral blood CD4<sup>+</sup> T cells was low in both L-CTCL (range 2.27%–38.94%; mean 14.38%) and healthy individuals (range 3.44%–12.82%;



**Figure 1.** PD-1 is up – while PD-L1 is downregulated in peripheral blood T cells of L-CTCL patients. Percentage of PD-1, PDL-1 and PDL-2 positive cells upon staining with fluorochrome-conjugated monoclonal antibodies was assessed in double CD3- and CD4-positive cells. (a) T helper subset in L-CTCL individuals ( $n = 8$ ) was characterized with significantly upregulated PD-1 expression compared to the healthy volunteers ( $n = 10$ ). Representative dot blot (b) and histogram (c) demonstrate increased PD-1 expression on CD4<sup>+</sup> T cells in blood from patients with L-CTCL, as compared to healthy donors. In contrast to PD-1, PD-L1 (d) showed decreased expression on CD4<sup>+</sup> T cells in blood from patients with L-CTCL in comparison to healthy donors. Representative dot blot (e) and histogram (f) further visualize the lower PD-L1 expression on CD4<sup>+</sup> T cells in L-CTCL. The percentage of peripheral blood CD4<sup>+</sup> T cells positive for PD-L2 was low and did not differ significantly between L-CTCL patients and healthy donors (g). Mean values of percentage PD-L2 positive T lymphocytes (h) and median fluorescent intensity for the same marker (i) were in similar range for the patient and control cohort. Abbreviations: ns:  $P > .05$ ; \*:  $P \leq 0.05$ ; \*\*:  $P \leq 0.01$ ; nlm: healthy donors.

mean 6.68%) and the differences were not statistically significant ( $p = .18$ ; Figure 1(g-i)).

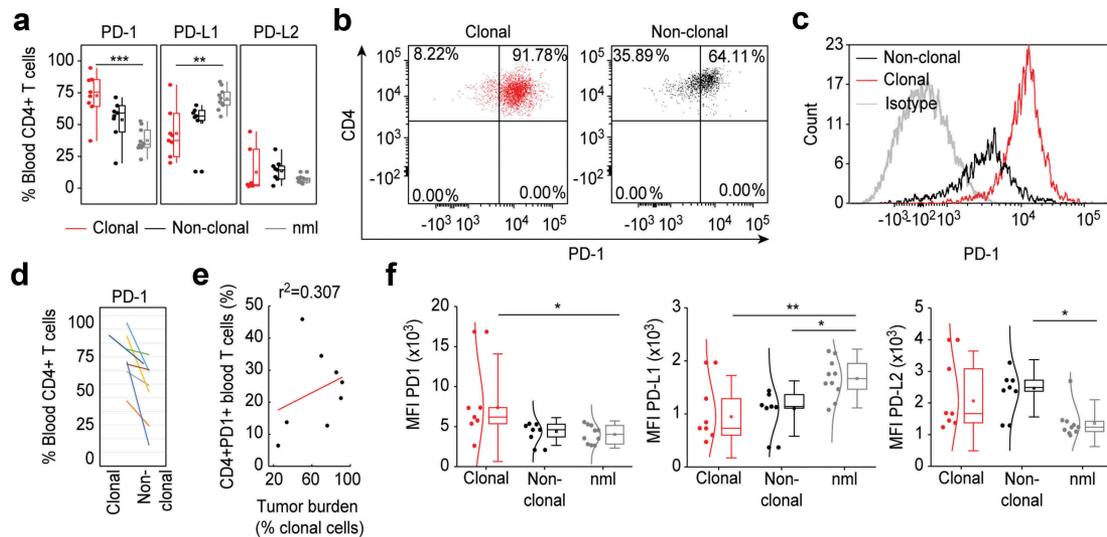
### **PD-1 is predominantly expressed on tumor T cells in the blood of L-CTCL patients**

In L-CTCL patients, the peripheral CD4<sup>+</sup> T cells compartment contains the clonally expanded tumor T cells as well as the non-clonal bystander CD4<sup>+</sup> T cells. To analyze the pattern distribution and fluorescence intensity of PD-1 and PD-L1 expression on tumor and bystander T cells, we identified patients with conclusively identifiable specific TCR V $\beta$  malignant T-cell clone. Interestingly, the high percentage of PD-1 expressing cells in L-CTCL blood (Figure 1(a)) was largely due to increased PD-1 expression within the fraction of the tumor CD4<sup>+</sup> T cells (Figure 2(a)). The PD-1 expression on CD4<sup>+</sup> T cells varied between the different patients, but the mean value of 72.68% PD-1<sup>+</sup> tumor lymphocytes was significantly higher than the mean value of 53.73% PD-1<sup>+</sup> non-tumor bystander lymphocytes in CTCL blood ( $p = .03$ ; Figure 2(a-c)). Interestingly, the percentage of PD-1<sup>+</sup> T cells was higher in clonal tumor lymphocytes than in non-clonal

bystander lymphocytes for every individual patient without exception (Figure 2(d)) but did not correlate with the total tumor burden in patients' blood ( $r = 0.307$ ;  $r^2 = 0.09$ ) (Figure 2(e)). Moreover, while increased PD-1 expression was strongly related to tumor lymphocytes (Figure 2(a)), we found no significant differences in the percentage of neither PD-L1 nor PD-L2 expressing tumor or bystander lymphocytes in the blood of L-CTCL patients (Figure 2(a)). Assessment of the fluorescence intensity confirmed increased PD-1 expression specifically on tumor but not bystander or healthy lymphocytes ( $p = .052$ ), and general decrease in PD-L1 but not PD-L2 on both tumor ( $p = .007$ ) and bystander lymphocytes ( $p = .003$ ) in blood of L-CTCL patients (Figure 2(f)). PD-L2 is significantly upregulated in non-clonal T-cells of L-CTCL patients compared to healthy controls (Figure 2(f)).

### **PD-1 expressing tumor T cells in the blood of L-CTCL patients are preferentially skin tropic**

The glycoprotein cutaneous lymphocyte-associated antigen (CLA) is expressed on the cell surface of tumor lymphocytes and can be used for the identification of skin-homing



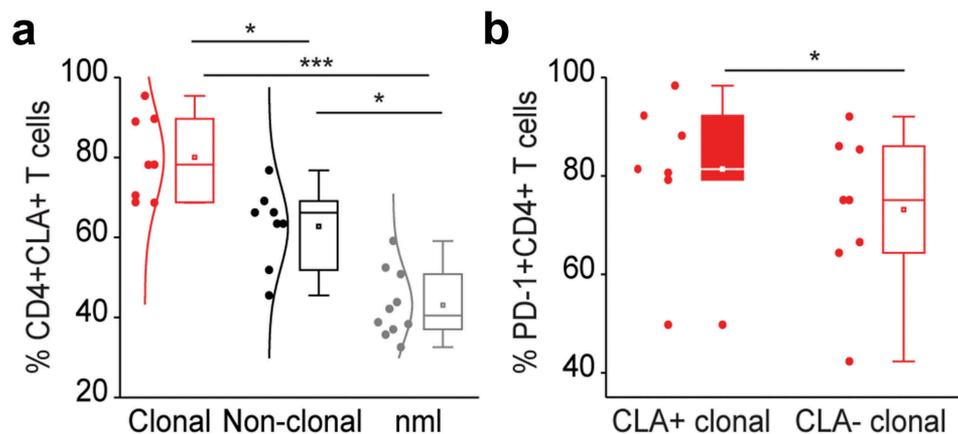
**Figure 2.** PD-1 is upregulated specifically on tumor T cells in the blood of L-CTCL patients. (a) Expression of PD-1, PDL-1 and PDL-2 was analyzed in malignant (clonal) compared to bystander (non-clonal) CD4<sup>+</sup> T cells from peripheral blood of L-CTCL patients (n = 8) vs. T cells from healthy individuals (n = 10) using flow cytometry. Clonal malignant T cells were identified upon staining with T-cell receptor V $\beta$  antibodies specific for each patient's malignant clone. (b) and (c) Representative dot blots and histograms demonstrate the increased PD-1 expression in clonal vs. non-clonal CD4<sup>+</sup> T cells from patients with SS. (d) Pair-wise comparison of the expression of PD-1 on clonal CD4<sup>+</sup> T cells compared to non-clonal CD4<sup>+</sup> T cells within the same individual patients' blood with L-CTCL. Each color-indexed pair represents a data set from an individual patient with L-CTCL. (e) Linear regression and correlation analysis to measure the strength of association between PD-1 expressing CD4<sup>+</sup> T cells and tumor burden, defined by % clonal T cells of all CD4<sup>+</sup> T cells. (f) Mean fluorescent intensities (MFIs) of the stainings for PD-1 and its ligands in malignant vs. bystander and normal peripheral blood CD4<sup>+</sup> T cells were assessed. Clonal malignant T cells were definitively identified by staining with T-cell receptor V $\beta$  antibodies specific for each patient's malignant clone and showed significant increase of PD-1 expression compared to the control CD4<sup>+</sup> T lymphocytes. On the contrary, PD-L1 was downregulated in L-CTCL patients on both clonal and non-clonal CD4<sup>+</sup> T cell subsets. Abbreviations: ns:  $P > .05$ ; \*:  $P \leq 0.05$ ; \*\*:  $P \leq 0.01$ ; \*\*\*:  $P \leq 0.001$ ; nlm: health donors.

T cells.<sup>46</sup> Skin-resident CD4<sup>+</sup> T cells express NK receptors, CD160 and CD158 k (KIR3DL2) being amongst the most stably observed and relative ones for CTCL.<sup>47</sup> In our study cohort, CLA was expressed on 71.36% of all lymphocytes in L-CTCL patients and on 42.6% of all lymphocytes in healthy individuals ( $p = .00000068$ , Figure 3(a)). Within the subset of TCR V $\beta$  clonal tumor cells, 77.26% of the cells expressed CLA. In particular, PD-1 expressing tumor T cells were preferentially CLA positive and thus skin tropic ( $p = .03$ ; Figure 3 (b)). Further, tumor cells showed a tendency for enhanced CD160 expression (Suppl. Figure 1a), especially within the fraction of skin-homing (Suppl. Figure 1b) or PD-1<sup>+</sup> (Suppl. Figure 1c) T cells. CD158 k is known to be often expressed on

malignant T-cells.<sup>48,49</sup> In the limited number of patients, from which samples were available for this analysis, expression of CD158 k did not significantly differ depending on clonality (Suppl. Figure 1d) or skin-homing capacity (Suppl. Figure 1e) of the T cells but was strikingly and almost exclusively restricted to PD-1<sup>+</sup> T cells only (Suppl. Figure 1f).

### ***T lymphocytes from L-CTCL skin lesions overexpress PD-1, have significantly lower PD-L1 and almost negligible PD-L2 expression***

To analyze the expression of PD-1 and its ligands on skin-derived T cells directly, we isolated T cells from biopsy



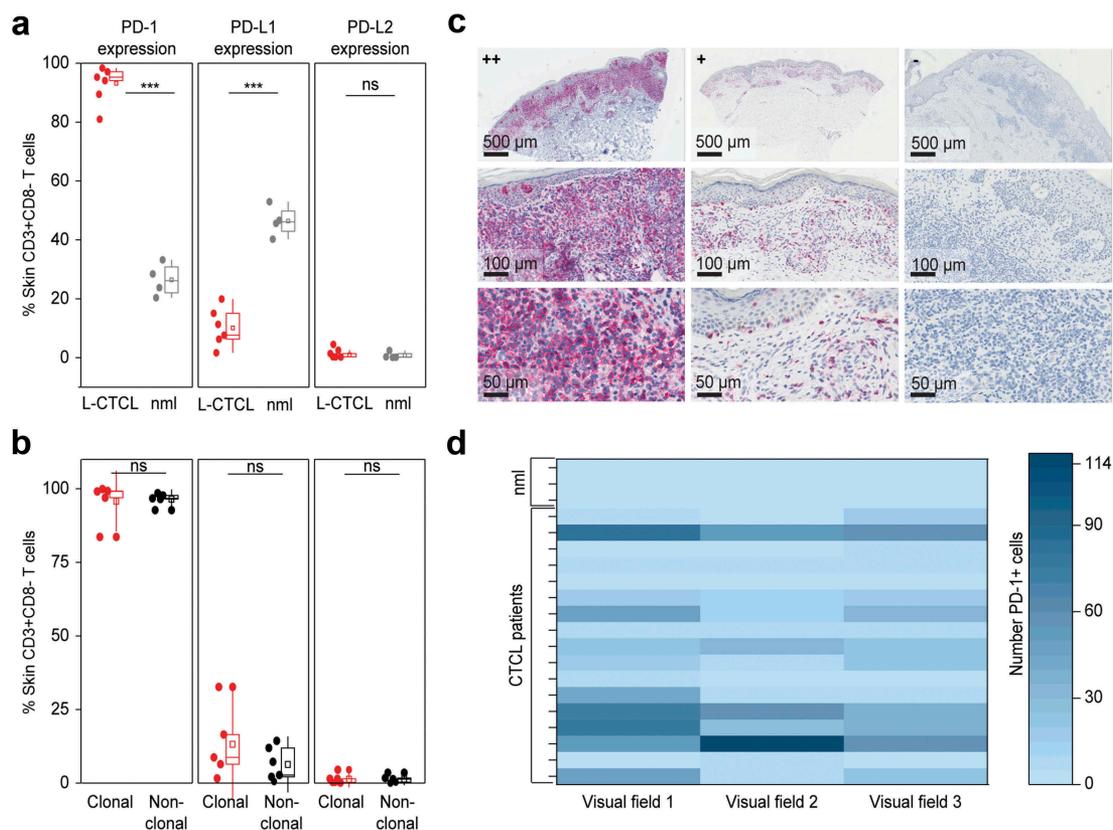
**Figure 3.** PD-1 expressing tumor T cells in the blood of L-CTCL patients are preferentially skin tropic. (a) CLA expression was significantly higher in L-CTCL patients (n = 8), especially within the subset of TCR V $\beta$  clonal tumor cells compared to healthy individuals (n = 10). (b) PD-1 expressing tumor T cells were preferentially CLA positive and thus skin tropic. Abbreviations: ns:  $P > .05$ ; \*:  $P \leq 0.05$ ; \*\*:  $P \leq 0.01$ ; \*\*\*:  $P \leq 0.001$ ; nlm: healthy donors.

specimens of L-CTCL patients and healthy individuals using short-term explant technique<sup>45,50</sup> and subjected them to flow cytometry. Interestingly, in flow cytometry, almost all T cells isolated from patients with L-CTCL homogeneously expressed high levels of PD-1. The percentage of PD-1 expressing CD3<sup>+</sup>CD8<sup>-</sup> T cells from L-CTCL skin lesions ranged from 80.96% to 98.34%, with mean value of 93.14%, while only less than one-third of T cells isolated from healthy skin expressed PD-1 (range 20.34%-33%, medium 27.0%) ( $p = .005$ , **Figure 4(a)**). Interestingly, and in contrast to the blood, PD-1 expression on L-CTCL skin T cells was very high and equally upregulated both within the fraction of the tumor (95.78%) and the non-tumoral (96.46%) T cells ( $p$ -value = 0.84, **Figure 4(b)**). In parallel, T lymphocytes in L-CTCL skin lesions expressed significantly less PD-L1 (mean 10.1%; range 1.66% to 15.06%) compared to T lymphocytes in healthy skin (mean 48.64%; range 40.24%- 57.72%) ( $p = .001$ , **Figure 4(a)**).

Expression of PD-L2 was low in all cases and did not differ significantly between T lymphocytes isolated from L-CTCL skin lesions (mean 0.94%; range 0.24% to 2.53%) and T lymphocytes isolated from healthy skin (mean 0.06%; range 0.04%-2.44%) ( $p = .62$ , **Figure 4(a)**). Finally, the percentage of PD-L1 expressing skin T cells showed a tendency for higher expression (mean 13.18%) on tumor skin T cells than

on non-tumoral T cells isolated from the same lesion (mean 6.32%); however, the difference did not reach statistical significance ( $p = .31$ , **Figure 4(b)**). PD-L2 was low and did not differ significantly between clonal (mean 1.33%; range 0.17--4.53%) and non-tumoral (non-clonal) (mean 1.37%; range 0--3.5%) skin T cells ( $p = .97$ , **Figure 4(b)**).

We also applied immunohistochemistry (IHC) on formalin-fixed skin biopsies as a less sensitive but easily applicable routine method to detect PD-1 expressing lymphocytes in both MF- and SS-CTCL skin lesions ( $n = 18$ , Suppl. Table 2). IHC confirmed high (++) PD-1 expression on lymphocytes in 17% of the lesional CTCL skin biopsies. In 56% of the cases, PD-1 expression was moderate (+) and in 27% of the cases IHC-staining for PD-1 remained negative (-) (**Figure 4(c,d)** and Suppl. Table 2). In IHC, the expression of PD-1 was significantly higher in the L-CTCL patient group compared to the non-leukemic patient group. As L-CTCL, we considered MF or SS patients with blood involvement B2 (recommendations from the EORTC cutaneous lymphoma task force<sup>51</sup>). As non-leukemic, we considered MF patients without blood involvement (B0) or MF patients with borderline blood involvement B1. High PD-1 expression was observed in 27% of the lesional biopsies in L-CTCL in contrast to 0% in the non-leukemic patients. No correlation



**Figure 4.** PD-1 is significantly overexpressed, PD-L1 significantly decreased and PD-L2 is low on T cells in the skin of patients with L-CTCL when compared with T cell population in healthy individuals. (a) PD-1, PD-L1 and PD-L2 expression analyzed by flow cytometry in skin-derived CD3<sup>+</sup>CD8<sup>-</sup> T cells isolated from biopsies of L-CTCL patients ( $n = 6$ ) and healthy individuals ( $n = 4$ ) using short-term explant technique. (b) Expression of PD-1, PD-L1 and PD-L2 in the tumor (clonal) and non-tumoral bystander (non-clonal) T cells from L-CTCL skin lesions. In at least one of the samples analyzed in (a) and (b), there was a partial aberrant loss of CD4 on the tumor cells. For consistency throughout all the samples, a gating strategy on CD3<sup>+</sup> CD8<sup>-</sup> T cells was applied. (c) Representative images of paraffin-embedded CTCL (MF and SS) skin biopsies with high expression of PD-1 (++)/≥50%, with moderate expression of PD-1 (+/5-50%), and negative for PD-1 (-/ <5%). (d) Graphic visualization of PD-1 expression in CTCL skin lesions ( $n = 18$ ). Evaluation of three visual fields of each slide demonstrates variable positivity for PD-1 in CTCL on immunohistochemistry. Abbreviations: ns:  $P > .05$ ; \*\*\*:  $P \leq 0.001$ ; nlm: healthy donors.

between disease duration and PD-1 expression could be detected (data not shown).

### PD-1 blockade reduces Th2 phenotype of non-tumoral bystander T lymphocytes in Sézary patients

Recently Samimi et al. reported that blockade of PD-1 downstream signaling by the use of anti-PD-1 or anti-PD-L1 antibodies increased IFN- $\gamma$  secretion *in vitro* in total peripheral blood lymphocytes in three out of six patients with Sézary syndrome.<sup>43</sup> These data suggest that PD-1 targeting may abolish suppressive phenotype of SS cells. We evaluated the impact of PD-1 blockade (nivolumab 10  $\mu$ g/mL) on the Th1/Th2 phenotype of separate T cell subpopulation by intracytoplasmic flow cytometry for IFN- $\gamma$  and IL-4. For functional studies, peripheral blood from five SS patients was available (suppl. Table 3).

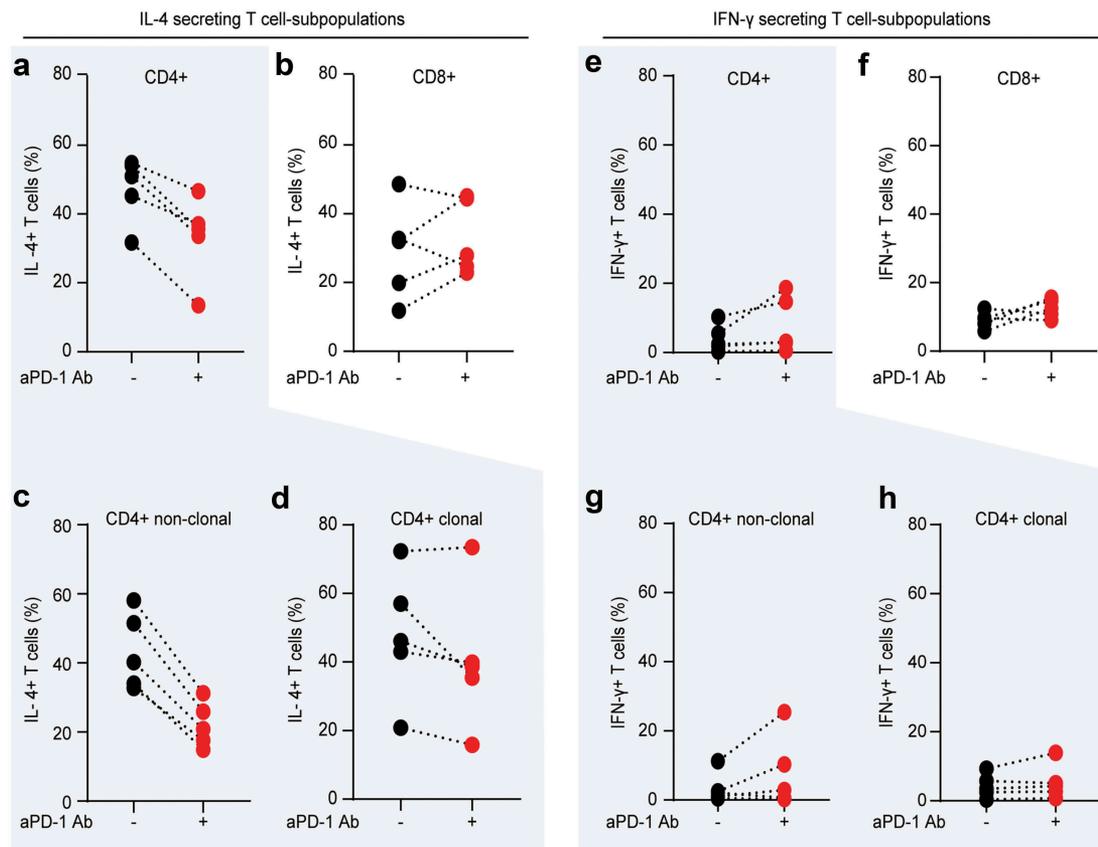
Within the fraction of total blood CD4<sup>+</sup> T cells, PD-1 blockade resulted in decreased percentage of IL-4 producers in five out of five Sézary patients (Figure 5(a)). In contrast, IL-4 production of CD8<sup>+</sup> T cells remained largely unaffected (Figure 5(b)). Cumulative analysis revealed no statistically significant differences in the percentage of IL-4 producing CD3<sup>+</sup> and CD8<sup>+</sup> T cells and confirmed a significant decrease

by approximately 30% of IL-4-producing total CD4<sup>+</sup> T cells upon PD-1 blockade ( $p = .0042$ , Suppl. Figure 2(a-c)). Further analysis clearly demonstrated that PD-1 blockade reduced the frequency and intensity of IL-4 production exclusively in the fraction of non-clonal bystander CD4<sup>+</sup> T lymphocytes ( $p = .0006$ ), while tumor T cells retained their high levels of IL-4 (Figure 5(c,d) and Suppl. Figure 2d-g).

As previously reported,<sup>50,52-54</sup> we observed a global Th2-bias also in this cohort of Sézary patients with a frequency of IL-4 producing cells at least two times higher (>30%) than the frequency of IFN- $\gamma$  producing cells (<15%), regardless of the T cell subpopulation (Figure 5 and suppl. Figure 2). Upon PD-1 blockade, there was a tendency for increased frequency and/or intensity of IFN- $\gamma$  producers in all T cell fractions, with the highest consistency (three out of five patients) for the fraction of CD8<sup>+</sup> T cells (Figure 5(e-h)). Nevertheless, cumulative analysis failed to reveal statistically significant impact of PD-blockade on IFN- $\gamma$  in our cohort of Sézary patients (suppl. Figure 3).

### PD-1 blockade leads to enhanced proliferation of T cells, but affects also the clonal tumor T cells

PD-1 signaling efficiently inhibits T cell activation and its blockade results in enhanced T cell proliferation, which is of



**Figure 5.** PD-1 blockade reduces Th2 phenotype of non-clonal bystander T lymphocytes in Sézary patients. The impact of *in vitro* PD-1 blockade on the Th1/Th2 phenotype of separate T cell subpopulation was evaluated by intracytoplasmic flow cytometry for IL-4 and IFN- $\gamma$ . Percentage of IL-4 producing CD4<sup>+</sup> T cells (a) and percentage of IL-4 producing CD8<sup>+</sup> T cells (b) stimulated in the absence (blue dots) or presence (red dots) of 10  $\mu$ g/mL nivolumab. Further gating demonstrates the change in percentage of IL-4 producers upon PD-1 blockade in non-clonal, bystander CD4<sup>+</sup> T cells (c) versus clonal malignant T cells identified upon staining with T-cell receptor  $\beta$  antibodies, specific for each individual patient, as described in Fig. 2 (d). (e-h) Distribution of IFN- $\gamma$  producers amongst the same T cell fractions and stimulating conditions as described in (a-d). Data from five SS patients. Each dot represents data from one individual patient. The data obtained from the same patient are connected with dotted line.

advantage in the treatment of solid tumors.<sup>55</sup> It is not yet sufficiently elucidated if in the setting of a tumor arising in T cells themselves, PD-1 blockade strengthens more anti-tumor T cell immunity or rather facilitates tumor progression.<sup>50,56-60</sup> We evaluated the impact of PD-1 blockade (nivolumab 10  $\mu\text{g}/\text{mL}$ ) on T cell proliferation by measuring non-radioactive 5-bromo-2'-deoxyuridine (BrdU) incorporation. PD-1 blockade resulted in enhanced proliferation of T cells upon stimulation; however, we observed the strongest enhancement of proliferation within the fraction of the clonal tumor T cell as compared to their benign non-clonal counterparts (Figure 6).

## Discussion

Agents targeting the PD-1 axis are established in the treatment of solid tumors and are emerging as novel options in the management of hematological malignancies. In general, their action relies on boosting the impaired immune response by abrogating immunosuppressive mechanisms. The particularity of CTCL in the context of implementation of immune checkpoint inhibitors is based on the fact that the tumor itself arises from  $\text{CD4}^+$  T cells, a population of lymphocytes responsible for the priming of cytotoxic response. In CTCL both malignant and bystander T helper cells are characterized with Th2 bias (that results in skewed anti-tumor and anti-pathogen response) and have an exhausted phenotype.<sup>50</sup>

Studies in solid tumors suggest that blocking PD-1 may be effective in abrogating Th2 bias.<sup>61-66</sup> However, the exact role of PD-1 and its two known ligands, PD-L1 and PD-L2, in the tumor microenvironment of patients with CTCL is not fully understood and may differ from those in tumors arising from non-T cells.<sup>36,37,42,43,61-68</sup>

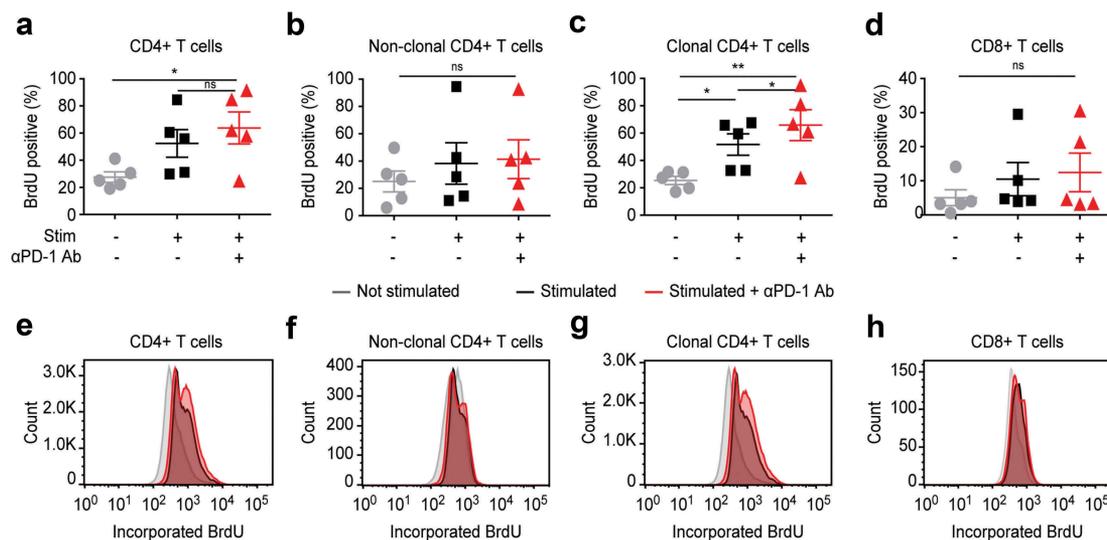
A recent single-arm, multicenter phase II study showed an overall response of 38% to pembrolizumab in patients with

relapsed/refractory MF and SS (stages IB-IV;  $n = 24$ ). Six out of nine responders had  $\geq 90\%$  improvement in skin disease as determined by mSWAT score. In this study, IHC expression of PD-1, PD-L1, or PD-L2 could not predict response to treatment, but increased PD-L1 expression by both IHC and nanoString analysis upon administration of pembrolizumab was observed.<sup>69</sup>

To our knowledge, our study provides the first analysis that consecutively addresses the expression of PD-1 and its ligands in  $\text{CD4}^+$  malignant and bystander cells in peripheral blood and skin lesions in L-CTCL. Our results demonstrate high heterogeneity in the expression of immune checkpoint molecules, PD-1, PD-L1 and PD-L2, on malignant and nonmalignant  $\text{CD4}^+$  T cells in the blood and skin of patients with L-CTCL. Despite this heterogeneity, we show a particular immune checkpoint pathway signature in patients with L-CTCL.

Our study indicates that PD-1 is significantly overexpressed on malignant T cells in the peripheral blood and skin of patients with L-CTCL when compared with the non-malignant bystander T cells in L-CTCL patients and healthy  $\text{CD4}^+$  T cells, which is in line with previous studies.<sup>43,70</sup> We observed also a significantly increased expression of PD-1 in skin-homing  $\text{CD4}^+$  cells in L-CTCL patients that again was more pronounced in clonal malignant cells. Our data further support the assumption suggested by other authors<sup>42,43,67</sup> that PD-1 is a contributor to the immunosuppression and dysregulated immune response, characteristic for L-CTCL patients.

Several reports reveal diverse expression of PD-1 axis in CTCL subtypes.<sup>20,42</sup> A study by Wilcox *et al.* demonstrated that PD-L1 was expressed in peripheral blood  $\text{CD4}^+$  T cells in the majority of patients with L-CTCL; however, only 27% of the patients' biopsies were evaluated with immunohistochemistry.<sup>20</sup> Its expression, however, was high in the tumor environment, particularly in monocyte-derived compartment, where PD-L1



**Figure 6.** *In vitro* PD-1 blockade leads to enhanced proliferation of T cells, but affects most strongly the clonal tumor T cells in blood from SS patients.

*In vitro* treatment with nivolumab 10  $\mu\text{g}/\text{mL}$  and subsequent detection of cell proliferation upon stimulation with PMA/ionomycin in total  $\text{CD4}^+$  T cells (a), non-clonal bystander T cells (b), clonal tumor T cells (c) or  $\text{CD8}^+$  T cells (d). Cell proliferation was assessed by flow cytometry via measurement of the incorporation of non-radioactive 5-bromo-2'-deoxyuridine (BrdU) in newly synthesized DNA. (e-f) Representative histograms depicting how PD-1 blocked affects BrdU incorporation in the different T cell fractions, as described in (a-d). Data are from five individual SS patients. Abbreviations: ns:  $P > .05$ ; \*:  $P \leq 0.05$ ; \*\*:  $P \leq 0.01$

was expressed by 73% of cells. A study by *Wada et al.*, where PD-1 expression was assessed in a cohort of 15 MF and 11 SS patients, showed high positivity in PD-1 and thus proposed PD-1 as a factor responsible for drug resistance in SS.<sup>70</sup>

In fact, PD-1 expression is linked to T cell exhaustion and hypoproliferation and Sézary cells have documented resistance to conventional mitogens and apoptotic stimuli.<sup>71,72</sup> In an analysis by *Kantekure et al.* PD-1 was frequently expressed in the early stages – patch and plaque of mycosis fungoides, but diminished in the tumor stage and in cases of large cell transformation.<sup>73</sup> On the other hand, more advanced stages were characterized by high expression of PD-L1.<sup>73</sup> Reports by others suggest that PD-1 expression correlates with disease progression. *Samimi et al.* showed significantly increased expression of PD-1 in CD4<sup>+</sup> T cells in the blood of SS patients when compared to MF patients and healthy volunteers.<sup>43</sup> Increased expression of PD-1<sup>+</sup> cells was observed both in CD26<sup>-</sup> and CD26<sup>+</sup> (a surrogate marker for malignant cells) cells.<sup>43</sup> Blockade of PD-1 downstream signaling by the use of anti-PD-1 and anti-PD-L1 antibodies increased IFN- $\gamma$  secretion in a subset of patients, suggesting that PD-1 targeting may abolish suppressive phenotype of SS cells. Another study analyzed expression of PD-1 in skin biopsies in a large cohort of 27 SS and 60 MF patients by immunocytochemistry and showed strong expression in 89% of SS, but only 13% of MF.<sup>42</sup> Recently an elegant study by *Querfeld et al.* assessing the expression profile of immune checkpoints by flow cytometry in CTCL skin samples has been published; however, no clear distinction between their expression in MF and SS has been made.<sup>63</sup> The study showed that CD4<sup>+</sup> cells in MF and SS population had higher percentage of PD-1<sup>+</sup> cells, compared to healthy skin. However, most of the T cells did not express PD-L1. Analysis of PD-L1 on DC revealed that they harbored high PD-L1 expression regardless of disease status.

Importantly, it has been observed that therapies blocking the PD-1 axis are also effective in low immunogenic tumors, especially in cases where those molecules are expressed also by tumor cells due to an activation of the mTOR pathway.<sup>43,74,75</sup> Activation of mTOR has been recently demonstrated as characteristic for mycosis fungoides<sup>74-77</sup> and may possibly explain the increased PD-1 expression also in our subset of patients. However, given that both malignant clonal and benign non-clonal T cells express PD-1, further studies exploring the mechanisms that lead to PD-1 overexpression in patients with L-CTCL are needed. In our study, the percentage of PD-1<sup>+</sup> T cells was higher in clonal tumor lymphocytes than in non-clonal bystander lymphocytes for every individual patient, but did not correlate with the total tumor burden in patients' blood, in contrast to other studies, which reported on higher numbers of PD-1 positive cells in L-CTCL patients with a low or medium tumor burden and decreased expression of PD-1 in those with a high tumor burden.<sup>43</sup>

In the current study, the percentage of PD-L1<sup>+</sup> cells was decreased on malignant T cells in blood when compared with nonmalignant T cells in patients with L-CTCL. Although individual variability of the expression in this group was high, PD-L1 expression was detected in all patients with L-CTCL, which

is in contrast to previously reported negative expression of PD-1 ligands.<sup>43</sup> Generally, a correlation between high PD-L1 expression and clinical response to therapies targeting the PD-1 pathway has been observed in patients with a broad spectrum of malignancies.<sup>78,79</sup> Although PD-L1 is not an unequivocal biomarker to predict clinical responses, the expression profile of T-cell checkpoint molecules seems to be valuable not only for confirming the presence or absence of the therapeutic target but also for providing characteristics of tumor-directed T-cell responses in individual patients.<sup>80</sup> The expression levels of PD-L1 on CD4<sup>+</sup> T cells in blood and skin from L-CTCL patients were markedly lower compared to healthy individuals, but generally higher on clonal CD4<sup>+</sup> T cells in individuals with higher percentage of clonal cells. Thus, we suggest that targeting PD-L1 may be a rational strategy in the management of patients with high tumor burden. This is especially interesting, as an anti-PD-L1 agent (atezolizumab) is currently being tested in CTCL and trials with durvalumab are actively recruiting patients. Given the fact that PD-1 has been recently demonstrated to act as a tumor suppressor in T-cell malignancies<sup>81</sup> and taking into consideration a case report on a patient developing an epidermotropic peripheral T cell lymphoma when treated with pembrolizumab for melanoma,<sup>82</sup> targeting PD-1 ligands may potentially offer safer option in CTCL. It has been suggested by some authors that high PD-L1 expression in tumors reflects a preexisting anti-tumor immunity in patients when compared to patients with tumors that lack PD-L1 expression.<sup>78</sup> Therefore, the observed low levels of PD-L1 expression in the patients that we examined could indicate an attenuated, preexisting antitumor immunity, that may benefit from immune checkpoint inhibition.

We observed low levels of PD-L2 in L-CTCL CD4<sup>+</sup> cells both in skin and blood, that did not differ significantly from the levels observed in healthy individuals. By now, the role of PD-L2 expression both as a tumor-promoting factor as well as a prognostic marker has not been sufficiently clarified.<sup>83-85</sup> Novel studies suggest that it may also serve as a marker of clinical response to pembrolizumab, as demonstrated in patients with head and neck squamous cell carcinoma, where PD-L2 positivity correlated with greater objective response rate and longer median progression-free survival time.<sup>85</sup> This study showed 37% objective response rate in MF patients treated with nivolumab, however little is known about the role of PD-L2 in CTCL and whether it correlates with the clinical outcome of anti-PD-1/PD-L1 therapies. Further studies on a molecular level as well as clinical trials are needed to fully elucidate its relevance as a biomarker.

Altogether, our study offers a complex image of PD-1 axis signature in L-CTCL patients. However, studies investigating the expression of these negative checkpoint regulators on other populations of immune cells, e.g., dendritic cells are also needed to provide even better understanding of the clinical outcome of anti-PD-1 targeting therapies. Moreover, as reports on the development of resistance to PD-1/PD-L1 blocking antibodies arise, e.g., by upregulation of CD38,<sup>86</sup> expression and regulation of other molecules contributing to the success of immune checkpoint inhibitors should also be addressed.

## Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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