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1 **Persistent unequal sex ratio in a population of grayling (Salmonidae) and**
2 **possible role of temperature increase**

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13
14 **Keywords:** Salmonidae, operational sex ratio, monitoring, sex-biased mortality,
15 environmental sex reversal, effective population size

22
23 **Abstract**

24 Environmental changes can influence major demographic parameters by affecting
25 individual behavior, growth, or survival. In some fish, water chemistry or temperature
26 can influence sex determination or create sex-specific selection pressures. The
27 resulting population sex ratios are hard to predict from laboratory studies if the
28 environmental triggers interact with other factors, while in field studies, sex ratios are
29 often determined as part of another study and singular extra-ordinary observations
30 may hence be particularly prone to selective reporting. By conducting long-term
31 monitoring, these problems can largely be avoided. We studied a population of
32 grayling (*Thymallus thymallus*) that has been monitored since 1948. We found a shift
33 in the operational sex ratio from around 65% males before 1993 to around 85% from
34 1993 to 2011. The observed sex ratios correlated with the water temperatures that the
35 fish experienced in their first year of life. A life stage-specific analysis revealed that
36 the sex ratios were best explained by the average temperature that juvenile fish
37 experienced during their first summer. The abundance of grayling is declining, but we
38 found no evidence for a strong genetic bottleneck that could explain the apparent lack
39 of an evolutionary response to the unequal sex ratio. Other studies found no evidence
40 of endocrine disruptors in the study area. Our findings therefore suggest that
41 temperature-sensitive development affects population sex ratio and thereby
42 contributes to population decline.

43 **Introduction**

44 River-dwelling fish often have little possibility of moving to avoid non-optimal
45 environmental conditions and can hence be sensitive to changes in water temperature
46 or chemistry. If tolerance to stressors is sex-specific (e.g. Perry et al. 2005), or if
47 genetic sex determination is reversed by environmental conditions (Baroiller et al.
48 2009), environmental changes can have demographic and evolutionary effects that
49 may sometimes be counterintuitive (Cotton & Wedekind 2009). Such effects may not
50 be detected easily in natural fish populations if, for example, non-random exploitation
51 obscures demographic effects of environmental changes. However, laboratory-based
52 studies on the possible demographic effects of, for example, temperature can be
53 misleading (Ospina-Alvarez & Piferrer 2008).

54 We analyzed 63 years of monitoring data (1948-2011) on sex ratio in a
55 population of grayling (*Thymallus thymallus*; Salmonidae) whose spawning area has
56 been protected since 1952. The temperature in the region encompassing the spawning
57 area increased abruptly in 1987/1988 (Hari et al. 2006). We observed a shift in the
58 operational sex ratios (OSR = the proportion of males among all breeders during the
59 time when egg-bearing females with mature eggs could be caught at the spawning
60 site) that may have contributed to an otherwise unexplained decrease in abundance
61 (Wedekind & Küng 2010), and we tested for possible links between the OSR and the
62 water temperature.

63 If a population cannot change its geographic distribution, phenotypic plasticity
64 or evolutionary adaptation might be the only way a threatened population can persist
65 (Hoffmann & Sgrò 2011). Magerhans et al. (2009) found significant paternal and
66 maternal effects on temperature-dependent sex determination in aquaculture stocks of
67 another salmonid species, and analogous genetic effects on sex-specific tolerance to
68 environmental stressors seem possible (Perry et al. 2005; Hutchings 2006). A genetic
69 bottleneck could therefore reduce the adaptive capacity of the population and
70 contribute to changes in demography, for instance in OSR. We therefore also tested
71 whether genetic bottlenecks could have occurred in recent years.

72
73 **Methods**

74 The study population has its main feeding territory in Lake Thun in Switzerland and
75 spawns in its outlet, the river Aare (between the lake and a weir 1.3 km downstream).
76 Since 1948, samples of spawning fish have been caught with nets about three times
77 per week around the spawning season in March and April. Yearly catches during
78 spawning season range from 51 to 2085 grayling (median = 630), were low before
79 fishing efforts were standardized in 1962, and have been declining from the 1970s to
80 2009 (Wedekind & Küng 2010). The large majority of these fish were released back
81 to the spawning site after their gametes were collected (by stripping), but in some
82 years, especially in the first years of the program, some males (range 0 – 95%) and
83 some females (range 0 – 85%) were lost due to handling or released downstream of
84 the weir and hence separated from their original spawning population (Fig. 1a). In
85 1992, 2006, and 2008, fish were marked by clipping the adipose fin or removing
86 scales in order to determine whether the same individuals were captured multiple
87 times. On average, 15.0% of the males (range 3.8%-22.3%) and 0.4% of the females
88 (range 0%-1.9%) were caught twice during the same spawning period. We used these
89 averages to correct the OSR estimates for all years. Using instead the lowest or the
90 highest observed number of multiple captures would not change our conclusions and
91 would only slightly shift the OSR estimates (e.g. in 2011 from 0.861 to 0.877 or
92 0.851, respectively). We used Spearman rank order correlation coefficients (r_s) to test

93 for changes over time, and to compare yearly OSR with the number of sampled
94 females from 1948 to 2009 (as reported in Wedekind & Küng 2010), also including
95 2010 and 2011.

96 The water temperature at the spawning site of our study population has been
97 continuously recorded at 1-min intervals since April 1970, and the timing of spawning
98 is known for each year. We could therefore determine the average temperature that
99 embryos and juveniles were exposed to at different developmental stages, here
100 defined in intervals of 500 ATU (accumulated temperature units = degree days).
101 Wedekind and Küng (2010) found two major changes in the temperature environment
102 of embryos and juveniles during the last decades. First, because of a temperature-
103 linked shift in the timing of spawning and the fact that water temperatures rose more
104 slowly in early spring than in late spring, embryos and hatchlings have been exposed
105 to increasingly colder temperatures during their first 500 ATU. Second, summer
106 temperatures, i.e. the temperatures that juveniles are exposed to during 1500-2000
107 ATU, have been increasing. Most grayling in our samples are four, five, or six years
108 old (mean = 5.0, SD = 1.2; Wedekind & Küng 2010). We therefore conducted a
109 multiple regression analysis (in JMP 8.0.1) relating the OSR of each year to the
110 average temperature that embryos and juveniles had experienced five years earlier
111 during each of the four intervals of their first 2000 ATU of development (starting
112 every year with the day when half the spawners of that year had been sampled). We
113 also performed a forward step-wise regression predicting OSR from the average water
114 temperatures of the current or the six previous years to evaluate the significance of the
115 temperature during the first year of life as compared to later years. Because
116 extraordinarily high temperatures were recorded in 2003 (Fig. 1b), we estimated the
117 OSR of the 2003 cohort on 712 spawners that were sampled in 2008 and 2009 and
118 aged on the basis of growth rings on scales. Age was estimated independently by two
119 observers and analyzed separately per observer.

120 We determined the genotypes of 169 spawners collected in 2007 (Table 1) by
121 polymerase chain reactions (PCR) to test whether genetic bottlenecks occurred in the
122 past and to estimate the effective population size (N_e) of the population in 2007. Each
123 PCR reaction (10 μ l) was composed of 2.5 μ l of DNA template (extracted from fin
124 samples with DNeasy Tissue Kit, Qiagen), 1 \times PCR buffer, 1.5 mM MgCl₂, 0.2 mM
125 dNTPs, 0.5 μ M of each primer and 0.25 units of Taq DNA polymerase (Qiagen). We
126 used the following PCR profile: initial denaturation at 94°C for 3 min, 30 cycles of 30
127 s at 94°C, 30 s at the annealing temperature described in Gum et al. (2003), 30 s at
128 72°C, and a final extension at 72°C for 5 min. We ran PCR templates on an ABI 3100
129 automated DNA sequencer, used GENEMAPPER (Applied Biosystems) to score alleles,
130 analyzed number of alleles and gene diversity (H_S) in FSTAT 2.9.3 (Goudet 1995), and
131 used BOTTLENECK (Piry et al. 1999) to test whether recent bottlenecks had occurred.
132 We assumed a two-phase mutation model with the settings recommended by Piry et
133 al. (1999) for microsatellite data (95% single-step mutations and 5% multiple-step
134 mutations with variance among multiple steps = 12).

135 To estimate N_e we used a method based on linkage disequilibrium
136 implemented in the software LDNE (Waples & Do 2008). Because we did not sample
137 all the cohorts from the population, we only used the individuals from the cohort with
138 the greatest number of individuals (the 5 years old, $n = 60$). This analysis provided an
139 estimate of the effective number of male and female breeders (N_b) that we multiplied
140 by the generation time (estimated as the average age of sampled fish, i.e. 4.97) to
141 calculate N_e (Fraser et al. 2007). We applied the rule proposed by Waples and Do
142 (2010) when sample size is > 25 by not considering alleles at frequency < 0.02 . We

143 did not include the locus Str73 in these analyses because it significantly deviated from
144 Hardy-Weinberg equilibrium (Table 1).

145

146 **Results**

147 Both, the OSR and the average spring and summer temperature increased over the
148 observational period (Fig. 1a, b). The increase in OSR was correlated to the decline in
149 the number of females ($r_s = -0.63$, $n = 58$, $p < 0.0001$). The OSR was best explained
150 by the average temperatures during the early developmental stages of these spawning
151 fish, i.e. around 5 years ago (Fig. 1c). Our OSR estimates of the 2003 cohort were
152 84.9% and 88.5% and corresponded to the OSR observed after 1992 (Fig. 1a). The
153 average temperature that juveniles experienced during 1500 and 2000 ATU, i.e.
154 during summer, was the covariate most strongly associated with the OSR five years
155 later (Table 2).

156 Genotypes of 168 individuals were successfully identified. A significant
157 deviation from Hardy-Weinberg equilibrium was detected for only one locus (Str73,
158 Table 1), probably due to the occurrence of null alleles. Average allele number was
159 4.78 and average gene diversity was 0.42 (Table 1). We found no evidence for a
160 significant recent bottleneck (using the criteria of Piry et al. (1999); both including the
161 locus Str73 in analysis or not). N_b for the year 2007 was estimated to be 158.6, and N_e
162 = 788.2.

163

164 **Discussion**

165 Male grayling stay longer at the spawning place than females and are hence more
166 likely to be caught by our sampling methods. Therefore, OSR estimates of > 0.5 can
167 be expected even when sex ratios are equal. However, we found a distinct shift in
168 water temperatures followed by high OSRs that persisted over 19 years (1993 to
169 2011). The ability of populations to genetically adapt to such unequal OSR depends
170 on the selection coefficient, the population size and its genetic variability, and the
171 reproductive excess (e.g. the number of eggs per female; Hoffmann & Sgrò 2011).
172 Unequal operational sex ratios are likely to cause strong selection, and the
173 reproductive excess is large (thousands of eggs per female and year). The current
174 genetic diversity of the study population is moderate but within the range of European
175 grayling populations (Gum et al. 2003; 2009). The apparent lack of an evolutionary
176 response to unequal OSR supports the view that many salmonid populations may not
177 be able to adapt to changing temperature (Fraser et al. 2007).

178 Consuegra and de Leaniz (2007) studied another salmonid and found
179 significant variation in the sex ratios of populations that were in close geographic
180 proximity. They suggested that spatial and temporal variation in OSR within
181 populations would lead to sex-specific dispersal. However, connectivity between
182 populations of many river-dwelling fish has been reduced. This is also true for our
183 study population that is largely separated from a neighboring one by a weir. Both the
184 observed low N_e and low genetic exchange between populations may contribute to the
185 observed lack of an evolutionary response to unequal OSR.

186 In fish, OSR can be changed by temperature or toxicants that either affect sex
187 determination or induce sex-biased mortality. Our study population shares its main
188 feeding area, Lake Thun, with several whitefish (*Coregonus* sp.) populations that
189 displayed an extraordinarily high prevalence of gonadal deformations in the early
190 2000s (Bernet et al. 2009) (so far, graylings of our study population have not been
191 inspected for gonadal deformations). Because the lake serves as drinking water
192 reservoir for the region and cities downstream, several studies then focused on the

193 occurrence and trends of potential endocrine disruptors in the water, the sediments,
194 and in the tissue of affected and non-affected whitefish (e.g. Liedtke et al. 2009;
195 Bogdal et al. 2010). So far, no study has found contamination levels that could
196 explain the malformations. Moreover, Bernet et al. (2009) raised whitefish from a
197 population with gonadal deformation in spring water and on artificial food and found
198 similar gonadal abnormalities as observed in the wild. These findings suggest that
199 toxicants are not responsible for the unequal OSR we observed.

200 The average water temperature at the outlet of Lake Thun, i.e. the temperature
201 that embryos and juveniles are exposed to, has increased by about 1.5°C from 1970 to
202 2011. Wedekind and KÜng (2010) observed a shift to earlier spawning in the study
203 population from 1948 to 2009. This shift has several effects: first, it leads to a
204 decelerated temperature increase during the first 500 ATUs. It is therefore
205 increasingly colder for embryos and hatchlings. At these developmental stages,
206 salmonids are typically exposed to microbial pathogens and show behavioral and
207 immunological reactions (Jacob et al. 2010) that are often temperature-dependent
208 (CW, unpublished results) and could be sex-specific (Perry et al. 2005). This critical
209 period also seems to be the time at which gonads go through the labile period of sex
210 determination that can, in some salmonids, be affected by temperature (Baroiller et al.
211 2009; Magerhans et al. 2009). However, our analyses suggest that OSR is not
212 significantly affected by environmental changes during these early developmental
213 stages, and recent experiments suggest that temperature does not affect sex
214 determination in grayling (MP and CW, unpublished results). Instead, the increasing
215 summer temperatures seem to affect OSR, probably by sex-specific survival or sex-
216 specific growth that influences later survival (Hutchings 2006). Not much is known
217 about sex-specific behavior or growth and their potential temperature-dependence
218 during that time, and it is unclear what kind of evolutionary response could be
219 expected in response to the shifted OSR. At least, the temperature effect does not
220 seem to be linear, as the extraordinarily warm summer in 2003 did not further skew
221 the sex ratio.

222 Increased temperatures may contribute to the observed decline of many
223 salmonid populations (Clews et al. 2010). Our findings suggest that sex-specific
224 tolerance to high summer temperatures directly or indirectly accelerate extinction
225 rates. The persistence of an unequal OSR suggests that probability of extirpation can
226 be reduced by focusing on evolutionary processes in population management, e.g. by
227 improving the connectivity between populations.

228

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235

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298
299

300 **Table 1.** Properties^a of nine microsatellite loci^b used to estimate the genetic diversity
 301 in the study population of grayling in Lake Thun, Switzerland.

	<i>A</i>	<i>H_S</i>	<i>F_{IS}</i>
SSOSL311	2	0.09	-0.05
BFRO005	2	0.31	-0.002
BFRO006	5	0.47	-0.07
BFRO0011	7	0.73	-0.02
Ogo2	8	0.43	-0.01
BFRO004	2	0.18	-0.05
BFRO0010	6	0.42	0.11
One9	4	0.37	0.07
Str73	7	0.79	0.26 ^c

302 ^a *A* = number of alleles per locus; *H_S* = gene diversity; *F_{IS}* = inbreeding coefficient

303 ^b See Gum et al. (2003) and references therein for details on the primers.

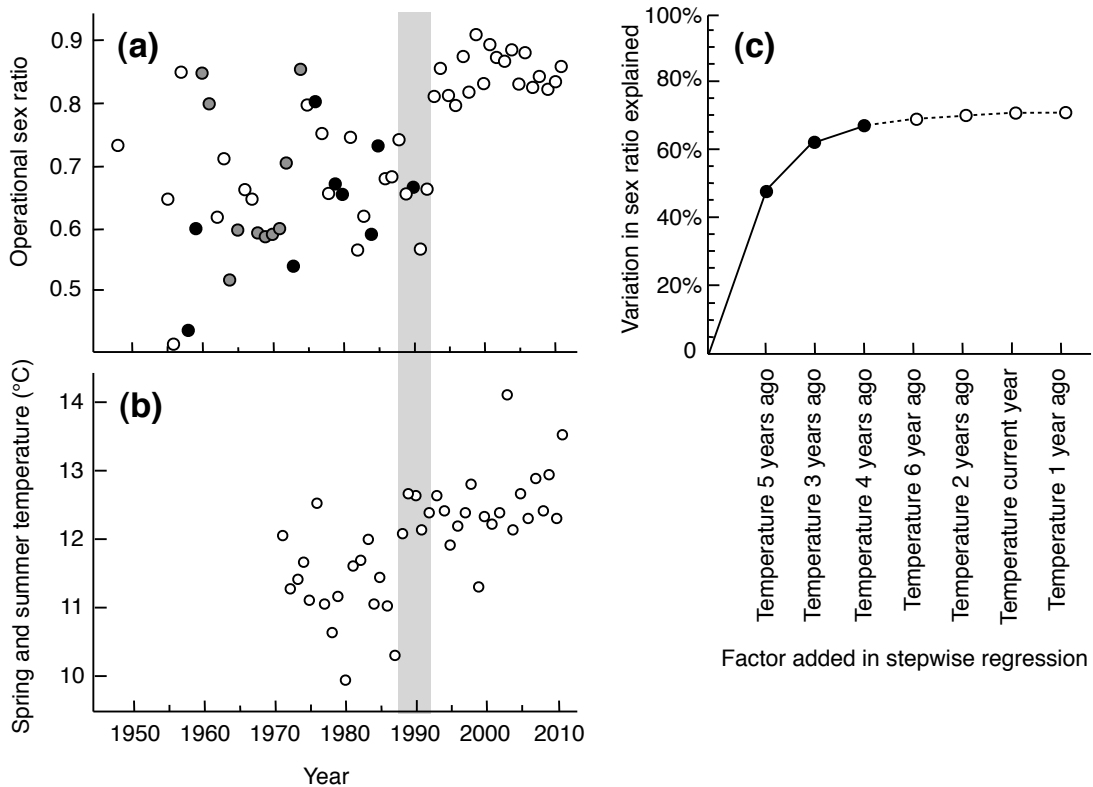
304 ^c *p* < 0.05 as tested in FSTAT 2.9.3 (Goudet 1995) with 1000 permutations.

305 **Table 2.** Multiple regression analysis of the average temperatures experienced by
 306 naturally spawned embryo and fry on the operational sex ratio that was recorded 5
 307 years later (full model: $F_{4,31} = 5.6, p = 0.002$).
 308

	Parameter estimate (SE)	<i>t</i>	<i>p</i>
Intercept	-0.07 (0.32)	-0.21	0.84
0-500 ATU*	0.001 (0.03)	0.05	0.96
500-1000 ATU	-0.01 (0.02)	-0.66	0.52
1000-1500 ATU	-0.002 (0.01)	-0.13	0.90
1500-2000 ATU	0.06 (0.01)	4.26	<0.001

309 * Developmental stages are separated into consecutive periods of 500 accumulated
 310 thermal units (ATU).

311 Figure 1. Operational sex ratio (OSR) and water temperature at the spawning place of
 312 the study population. (a) The OSR since 1948 (increasing over time: $r_s = 0.62$, $p <$
 313 0.001). Grey and black points indicate years in which at least 30% and 50%,
 314 respectively, more males than females were lost or released downstream of a weir
 315 after stripping. (b) The average water temperature at the spawning ground between
 316 March 1st and August 31st (increase over time: $r_s = 0.65$, $p < 0.0001$). The shaded area
 317 marks the first five years after an abrupt change to higher temperatures in 1987/88 (as
 318 observed all over Switzerland, see Hari et al. 2006). During the marked 5 years,
 319 temperatures stayed high but OSR were relatively low. (c) The amount of variation in
 320 the observed OSR explained by the average temperatures of the current and previous
 321 years, as determined in a forward stepwise regression analysis. All models explain
 322 significant variance in the OSR (model including only the mean temperature five
 323 years ago: $F_{1,34} = 32.7$, $p < 0.0001$; model including all factors: $F_{7,27} = 8.6$, $p <$
 324 0.0001). Filled symbols indicate models that are significantly improved (F always $>$
 325 4.8 , p always < 0.05) by adding the latest covariate, open points and dashed lines
 326 indicate no statistically significant model improvement.
 327
 328



329

Figure 1