



**Measuring the diurnal pattern of leaf hyponasty and growth in Arabidopsis – a novel phenotyping approach using laser scanning**

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1 **Measuring the diurnal pattern of leaf hyponasty and growth in**  
2 ***Arabidopsis* – a novel phenotyping approach using laser**  
3 **scanning**

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10 **Summary (50 to 80 words, three sentences for non Scientists)**

11 Increased leaf elevation angle (hyponasty) and leaf elongation in *Arabidopsis* are  
12 caused by different environmental stimuli such as shading by surrounding vegetation.  
13 Here we report on a phenotyping approach based on laser scanning to measure the  
14 diurnal pattern of these two growth responses. Individual plants can be monitored  
15 during several days under different light conditions, with high temporal resolution, in  
16 high-throughput and non-invasively.

17

18 **Abstract (200)**

19 Plants forming a rosette during their juvenile growth phase, such as *Arabidopsis*  
20 *thaliana*, are able to adjust size, position and orientation of their leaves. These growth  
21 responses are under the control of the plants circadian clock and follow characteristic  
22 diurnal rhythms. For instance, increased leaf elongation and hyponasty -defined here as  
23 the increase in leaf elevation angle- can be observed when plants are shaded. Shading is  
24 either caused by a decrease in the fluence rate of photosynthetically active radiation  
25 (direct shade) or a decrease in the fluence rate of red compared to far-red radiation  
26 (neighbor detection).

27 In this paper we report on a phenotyping approach based on laser scanning to measure  
28 the diurnal pattern of leaf hyponasty and increase in rosette radius. In short days, leaves  
29 showed a constitutively increased leaf elevation angles compared to long days, but the  
30 overall diurnal pattern and the magnitude of up and downward leaf movement was  
31 independent of day length. Shade treatment led to elevated leaf angles during the first

1 day of application, but did not affect the magnitude of up and downward leaf movement  
2 in the following day.

3 Using our phenotyping device, individual plants can be monitored during several days  
4 under different light conditions, with low temporal resolution, in high-throughput and  
5 non-invasively. It hence represents a proper tool to phenotype light- and circadian  
6 clock-mediated growth responses in order to understand the underlying regulatory  
7 genetic network.

8

9

## 10 **Key words**

11 *Arabidopsis*, phenotyping, laser scanning, image processing, hyponasty, petiole angle,  
12 leaf elongation, diurnal, circadian clock

13

14

## 15 **Introduction**

16 Being sessile, higher plants need to adapt to their ever-changing environment to  
17 optimize primary production and warrant good conditions for their offspring. A way to  
18 achieve this is through phenotypic plasticity of the aerial plant body in response to  
19 stimuli such as day length, light intensity, solar angle, water/nutrient availability or  
20 temperature (de Kroon *et al.* 2009; Novoplansky 2009; Sultan 2010). Adjusting leaf  
21 angle is an important aspect of plant plasticity that optimizes the interception of  
22 incoming light (Ehleringer 1988) or avoids temperature-related stress (Medina *et al.*  
23 1978).

24 Plants forming a rosette during their juvenile growth phase, such as *Arabidopsis*  
25 *thaliana*, are able to alter the position, orientation, length, width and shape of their  
26 leaves as well. Numerous stimuli can cause these growth responses such as radiation,  
27 touch, chemicals, gravity, water or temperature. For instance an upward movement of  
28 leaves (referred to as leaf hyponasty), which is the increase in the leaf elevation angle,  
29 can be observed in response to submergence (Cox *et al.* 2003; Voesenek *et al.* 2006)  
30 or increased temperatures (Koini *et al.* 2009). Hyponasty enables plants to position  
31 their leaves above the water surface in case of submergence or to increase transpiration  
32 for cooling in case of high temperature. Changes in light quantity, quality or direction  
can

1 also trigger leaf movements and increased leaf elongation. *Arabidopsis* leaf blades are  
2 usually oriented perpendicular to the incoming light (phototropism). A decrease in the  
3 fluence rate of photosynthetic active radiation ( $E_{\text{PAR}}$ ) by direct shading leads to leaf  
4 hyponasty and increased petiole elongation (Keller *et al.* 2011) or hypocotyl elongation  
5 (Keuskamp *et al.* 2011). This shade avoidance response is not only triggered by a low  
6  $E_{\text{PAR}}$ , but also by a decrease in the fluence rate of red (R) compared to far-red (FR) light  
7 (Mullen *et al.* 2006; Sasidharan *et al.* 2010). The reduced R-FR ratio arises from an  
8 increased scattering of FR radiation by surrounding vegetation and thus indicates the  
9 presence of neighbor plants, which constitute potential future competitors. Leaf  
10 hyponasty and increased petiole elongation in response to low  $E_{\text{PAR}}$  and low R-FR ratio  
11 aim at positioning leaves in the upper part of the canopy, where light conditions are  
12 more favorable. In addition to external stimuli, leaf hyponasty and elongation also  
13 depend on the internal circadian clock (Millar *et al.* 1995; Salter *et al.* 2003). In  
14 *Arabidopsis* grown in regular day-night cycles, leaves move and grow according to a  
15 rhythmic diurnal pattern. Leaf growth rate is highest in the early morning and rapidly  
16 declines thereafter (Wiese *et al.* 2007). The leaf elevation angle increases during the day  
17 followed by a decrease at the end of the night before dawn (Millenaar *et al.* 2005;  
18 Mullen *et al.* 2006; Sasidharan *et al.* 2010).

19 In spite of these dynamic aspects of plant growth, measurements of leaf or petiole  
20 elevation angle and length in *Arabidopsis* are quite challenging. Most studies have  
21 relied on ruler (Mullen *et al.* 2006), protractor (Keller *et al.* 2011), or photogrammetry  
22 (Millenaar *et al.* 2005) as measurement technique. However, plants need to be  
23 sampled or illuminated with visible light applying these methods, which perturbs  
24 the plants internal circadian clock, and does not allow monitoring of diurnal leaf  
25 growth over several days. Our approach based on laser-scanning and infra-red  
26 photography allows to overcome this obstacle. In addition, laser-scanning as three-  
27 dimensional (3D) imaging technique allows to non-destructively measure the whole  
28 3D surface of leaves and opens up exciting possibilities to study architectural traits  
29 of different leaves during several days. 3D imaging techniques have already been  
30 applied in plant phenotyping, including laser scanning (Kaminuma *et al.* 2004),  
31 phase-shifting projected fringe profilometry (Dornbusch *et al.* 2007), X-ray  
32 computed tomography (Kaminuma *et al.* 2008; Dhondt *et al.* 2010) or optical  
projection tomography (Lee *et al.* 2006). The most

1 advanced approach for *Arabidopsis* phenotyping using these techniques has been  
2 developed by Kaminuma *et al.* (2004) who proposed to use laser scanning to extract  
3 morphological traits of leaves from measured 3D data. They succeeded in measuring  
4 traits such as the orientation of the blade surface and epinasty in a detailed and  
5 automated way. Measurements were done on *Arabidopsis* plantlets with two true leaf  
6 blades (plus two cotyledons), where leaves were almost horizontally oriented and did  
7 not overlap. However, the architecture of *Arabidopsis* plants is usually more complex.  
8 Leaves can be oriented more vertically and overlap in later growth stages and are  
9 thus not fully captured by the laser scanner. Hence an automated 3D image analysis  
10 does not seem applicable. Indeed, to our knowledge, there has been no follow-up  
11 work reported using the approach by Kaminuma and co-workers (2004) so far.  
12 Here, we take up the laser scanning approach and propose a phenotyping pipeline using  
13 laser scanning and subsequent image analysis. Our goal is to extract traits from these  
14 images that can be reliably linked to leaf elevation angle and plant size to measure these  
15 growth responses. In this paper, we present our phenotyping pipeline, which includes: i)  
16 technical specifications of the Scanalyzer HTS, ii) plant growth conditions (mainly  
17 light and daylength) and iii) and image processing algorithm implemented in Matlab  
18 (The MathWorks Inc., Natick, USA). We furthermore give examples of possible  
19 applications of our phenotyping method by presenting data on diurnal leaf hyponastic  
20 responses caused by different day-length, light intensities ( $E_{PAR}$ ), light quality (R-FR  
21 ratio) and genetic background.

22

## 23 **Materials and Methods**

### 24 **Plant growth**

25 The *Arabidopsis thaliana* accession Columbia (Col-0) and the *sav3-2* mutant (Tao *et al.*  
26 2008) were used. Seeds were stratified three days on moist filter paper in the dark at  
27 4°C and sown on a mixture (50:50) of peat-rich soil and vermiculite. Deionized water  
28 was added to saturate the growth medium. The soil surface around plants was covered  
29 with a thin layer of charcoal to minimize laser reflection. Prior to scanning, plants were  
30 kept in a Percival CU-36L4 incubator (Percival Scientific, Inc., Perry, USA) at 21°C for  
31 15 days at long day (16h photoperiod) or 20 days at short day (8h photoperiod). Plants  
32 were transferred to the Scanalyzer HTS 24h before scanning at growth stage 1.05

1 (Boyes *et al.* 2001) maintaining the entrained photoperiod. The device is  
2 Fluorescence tubes were 100 cm above plant level and emitted cool white light (light  
3 color 865) at a fluence rate  $E_{\text{PAR}} = 180 \mu\text{mol m}^{-2} \text{s}^{-1}$  in the incubator.

#### 4 5 Light conditions

6 The spectral composition of the light in the Scanalyzer HTS (Lemnatec GmbH,  
7 Würselen, Germany) and the Percival incubator was measured using a USB2000+ UV-  
8 VIS spectrometer (Ocean Optics, Inc., Dunedin, USA). For each measurement, 50  
9 spectra were recorded at plant level and different horizontal positions, and averaged to  
10 a mean spectrum. The fluence rate of photosynthetically active radiation ( $E_{\text{PAR}}$ ) was  
11 computed as the integral from 400nm to 700nm. Likewise, the fluence rate of far-red  
12 radiation ( $E_{\text{FR}}$ ) was calculated by integrating the spectrum from 680nm to 760nm (see  
13 Supplemental Figure 1). Four different light setups were used in our experiments (Tab.  
14 1). In the Scanalyzer HTS,  $E_{\text{PAR}}$  was decreased installing a gray plexiglas filter panel 6  
15 cm underneath the fluorescence tubes. Likewise,  $E_{\text{FR}}$  was altered switching on the  
16 dimmable built-in FR diodes. Different light treatments did neither influence  
17 temperature nor humidity inside the measurement chamber due to its large volume ( $\sim 2$   
18  $\text{m}^3$ ) and high air-exchange rate with the surrounding air-conditioned room (21°C, 70%  
19 rel. humidity).

#### 20 21 22 Technical specifications of the Scanalyzer HTS

23 Our custom-built version of the Scanalyzer HTS is equipped with fluorescence tubes  
24 providing cool white light (light color 865) and dimmable FR diodes ( $\lambda = 740 \text{ nm}$ ). The  
25 imaging unit entails a NIR Laser ( $\lambda = 905\text{nm}$ ,  $P \approx 10 \text{ mW}$ ) pointing nadir, a camera to  
26 record the laser beam Vosskühler CMC-1300 (Allied Vision Technologies GmbH,  
27 Stadtroda, Germany) mounted 45° in relation to the laser and a further charged coupled  
28 device camera (CCD) camera (Basler scA1400-17gc, Basler AG, Ahrensburg,  
29 Germany) mounted nadir to record RGB images (Fig. 1a). The CCD camera is equipped  
30 with a ring of near infrared (NIR) diodes ( $\lambda = 940\text{nm}$ ) around the lens to record images  
31 in darkness.

1 The imaging unit can be programmed to move to specific locations within the  
2 measurement chamber approximated 15 cm above plant level. Images can be recorded  
3 at a specified times or time-intervals. The measurement space in the Scanalyzer HTS is  
4 divided into 6 slots. Each slot holds a tray containing a maximum of 12 pots (12.3cm-  
5 by-7.9cm in size) allowing a maximum imaging capacity is 72 pots. A full scan of 72  
6 pots (laser scan + RGB image) takes approximately 80 minutes. For the data presented  
7 here we have usually scanned less 12-36 pots per experiments at a time interval of 40 or  
8 60 minutes.

9

#### 10 Conversion of laser scanner images to 3D point clouds

11 The output of the laser scanner is a 2.5D height-scaled image, 1024-by-1728 pixels in  
12 size (Fig. 1b). Each pixel represents a measured point. In the image, the column index  
13 ( $i$ ) is linked to the  $x$ -coordinate and the row index ( $j$ ) to the  $y$ -coordinate of a measured  
14 point. The RGB color triplet ( $c$ ) of each pixel encodes its distance from the reference  
15 plane ( $z$ ) and thus represents the  $z$ -coordinate of a measured point (Fig. 1b).

16 In image processing,  $i$  and  $j$  are normally multiplied with a scale factor to obtain the  $x$   
17 and  $y$ -coordinate. In our case, images have a perspective distortion caused by the shape  
18 of the lens and the focal length. Therefore, each  $[i, j]$  doublet has a specific  $x$ - and  $y$ -  
19 coordinate, which are assigned using two distinct look-up tables (matrices). In 2.5D  
20 images, the distortion of the  $x$ - $y$  plane in the image even depends on  $z$ . Therefore we  
21 need to assign an  $x$ - and an  $y$ -coordinates to each  $[i, j, c]$  triplet. The corresponding  
22 look-up tables  $K_x$  and  $K_y$  are hence 3D matrices (see Supplemental Material).

23 To obtain  $K_x$  and  $K_y$ , a black panel (12.3cm-by-7.9cm) with a rectangular grid (1cm  
24 spaced and 1mm wide grid lines) was scanned at seven positions ( $0\text{cm} \leq z \leq 6.58\text{cm}$ ).

25 Intersections of grid lines in all seven scanned images were manually selected. Thus for  
26 672  $[i, j, c]$  triplets we obtained a  $x$ -coordinate and a  $y$ -coordinate, respectively, which  
27 yielded a sparse matrix for  $K_x$  and  $K_y$ . The full matrices were computed by linear  
28 interpolation. Scanning the grid at each  $z$ , we obtained seven mean values for the color  
29 index  $c$  and the corresponding  $z$ -coordinate. These values were used to fit a second  
30 order polynomial to obtain a look-up table, in which for each  $c$  is related to  $z$ .

31 The maximum dimensions of the calibrated measurement space are 13.56cm x 8.92cm x  
32 6.58cm. The described algorithm was implemented in Matlab R2009b (MathWorks

1 Inc., Natick, USA). Source code to convert images to point clouds, the matrices  $K_x$  and  
2  $K_y$  and a sample image (Fig. 1b) are given in the Supplemental material.

3

4 Test of image conversion

5 First, we tested whether the proposed image conversion of pixel coordinates in 2.5D  
6 height-scaled images ( $i, j, c$ ) to Cartesian coordinates ( $x, y, z$ ) was precise enough. To  
7 do this, we scanned a panel of 23 circles at five different heights ( $z$ ) and three different  
8 inclination angles (Fig. 2a,b). Circles had a predefined area ( $0.0020\text{cm}^2 \leq A_{\text{org}} \leq$   
9  $3.46\text{cm}^2$ ). Background discrimination in binary images was done by choosing a gray  
10 threshold of 2 ( $0 \leq \text{gray} \leq 255$ ). Hence all pixels with  $\text{gray} > 0$  are belong to measured  
11 circles. In the following cluster analysis (Matlab function `bwlabel`), 23 clusters of  
12 interconnected pixels were detected, each corresponding to a scanned circle. Boundary  
13 pixels for each cluster were identified and pixel coordinates transformed to Cartesian  
14 coordinates using the matrices  $K_x$  and  $K_y$ . Points computed this way were connected to  
15 triangles using Delaunay triangulation.

16 The sum of the triangle area corresponded to the measured area of the circle  $A_{\text{scan}}$ .

17 Comparing measured circle area  $A_{\text{scan}}$  to predefined values  $A_{\text{org}}$  allows to demonstrate  
18 the precision of our image conversion. For circles scanned at different height or  
19 inclination (Fig. 4c), values for  $A_{\text{scan}}$  deviated little from  $A_{\text{org}}$  with a minor  
20 overestimation of  $A_{\text{scan}}$ . All points fell close to the optimal 1:1 line. The mean relative  
21 error (MRE) was 0.094 was relatively, which could be attributed to the improper area  
22 estimation of very small circles. For circles with a size similar to *Arabidopsis* leaves ( $A$   
23  $> 0.5 \text{ cm}^2$ ), the MRE was 0.038.

24

25 Processing of 3D point clouds

26 First, we segmented the whole measured point cloud (PC) into  $m$  subsets  $\text{PC}_{\text{plant}}$  that  
27 include all points measured for each plant. First we selected  $P_0$  for each plant, which is  
28 geometrically the position of the shoot apical meristem (Fig. 3a). For time-lapse images,  
29 the selection of  $P_0$  was done once per day for each plant at zeitgeber time  $t = [3, 27, 51]$ .  
30 For PCs measured in between two manual selections (*e.g.*  $3 > t > 27$ ),  $P_0$  was computed  
31 using linear interpolation. Next,  $P_0$  was subtracted from PC, shifting the origin of the  
32 coordinate system of PC to  $P_0$ . Points were assigned to  $\text{PC}_{\text{plant}}$ , which had a distance  $r \leq$

1 1.8cm to  $P_0$  and  $z > -0.1$ cm (see Fig. 3a). In our experiment leaves were always shorter  
 2 than the chosen value for  $r$  (Fig. 3d).

3 The distribution of the spherical coordinates azimuth angle ( $\theta$ ), elevation angle ( $\varphi$ )  
 4 and radius ( $r$ ) of  $PC_{\text{plant}}$  is given in Fig 3c-d. They are related to plant traits as follows:  
 5  $\theta$  = phylotaxis,  $\varphi$  = leaf elevation angle and  $r$  = rosette radius.

6

7 Data processing

8 For the data presented here we used mean values for  $\varphi_{\text{mean}}$  and  $r_{\text{mean}}$  of all points in  
 9  $PC_{\text{plant}}$ . Since we recorded time-lapse images of individual plants, we can also display  
 10 time-courses  $\varphi_{\text{mean}}(t)$  and  $r_{\text{mean}}(t)$  illustrated in Figure 4 as:

11

$$12 \quad \phi_{\text{mean}}(t) = \frac{1}{n_{\text{plant}}} \sum_{n=1}^{n_{\text{plant}}} \phi_{\text{mean}}(t, n) \quad \text{Eq.1}$$

$$13 \quad r_{\text{mean}}(t) = \frac{1}{n_{\text{plant}}} \sum_{n=1}^{n_{\text{plant}}} r_{\text{mean}}(t, n) \quad \text{Eq.2}$$

14

15 where  $t$  is the zeitgeber time and  $n_{\text{plant}}$  is the total number of measured plants.

16 Hence values for  $\varphi_{\text{mean}}$  and  $r_{\text{mean}}$  measured for 15-30 individual plants were

17 averaged at a given time  $t$ .

18 We further aggregate  $\varphi_{\text{mean}}(t)$  was used to compute:

19

$$20 \quad \Delta\phi_{\text{mean}} = \frac{1}{n_{\text{plant}}} \left( \sum_{n=1}^{n_{\text{plant}}} \phi_{\text{mean}}(t_{\text{max}}, n) - \sum_{n=1}^{n_{\text{plant}}} \phi_{\text{mean}}(t_{\text{min}}, n) \right), \quad \text{Eq.3}$$

21

22 where  $\Delta\varphi_{\text{mean}}$  is the daily amplitude of leaf movement (Fig. 4),  $t_{\text{max}}$  is the time during  
 23 the 24h period, where leaves show the steepest elevation angle (around dusk) and  $t_{\text{min}}$  is  
 24 the time during the 24h period, where leaves show the lowest elevation angle (usually  
 25 3h after dawn). Likewise, for  $r_{\text{mean}}(t)$  we applied:

26

$$\Delta r_{\text{mean}} = \frac{1}{n_{\text{plant}}} \left( \sum_{n=1}^{n_{\text{plant}}} r_{\text{mean}}(27, n) - \sum_{n=1}^{n_{\text{plant}}} r_{\text{mean}}(3, n) \right), \quad \text{Eq.4}$$

2 where  $\Delta r_{\text{mean}}$  represents a measure for the daily increase in rosette radius (Fig. 4).  
 3 The non-parametric Wilcoxon Rank-Sum Test was used to test differences of  
 4 measured traits between treatments, where small  $P$ -values indicate that independent  
 5 samples follow continuous distributions with different medians.

6

## 7 **Results**

8 Diurnal pattern of  $\varphi_{\text{mean}}$  for the different treatments

9 In the following section we provide examples for leaf hyponasty in *Arabidopsis* for  
 10 different light conditions and genotypes using our phenotyping approach. The analysis  
 11 of time-lapse images yielded  $\varphi_{\text{mean}}$  as a function of zeitgeber time  $t$  measured in hours  
 12  $\varphi_{\text{mean}}(t)$  (Eq. 3; see Fig. 5). Leaves moved up (increase in leaf elevation angle) during  
 13 the day and moved down during the night approaching a minimum elevation angle 2-3h  
 14 after dawn. An unexpected abrupt upward movement of leaves was observed under  
 15 high  $E_{\text{PAR}}$  and long day conditions, immediately after switching off the light (Fig. 5a).  
 16 This phenomenon was observed throughout all experiments under these conditions  
 17 (data not shown).

18 In short days, leaves showed a constitutively increased  $\varphi_{\text{mean}}$  compared to long day  
 19 conditions (Fig. 5a). Plants were already entrained in the respective photoperiod prior to  
 20 measurements and had already elevated leaves in short days upon transfer to the  
 21 phenotyping device. Surprisingly,  $\varphi_{\text{mean}}(t)$  were virtually parallel for long and short  
 22 day, indicating that the overall diurnal pattern of up and downward leaf movement was  
 23 independent of day length (Fig. 5a). Moreover, the amplitude of leaf movement  $\Delta\varphi_{\text{mean}}$   
 24 was not different between both treatments in the two days (Fig. 5d, Column I,II,  
 25  $P=0.022$ ).

26 Next, we investigated how shade treatments using low  $E_{\text{PAR}}$  or high  $E_{\text{FR}}$  affected the  
 27 pattern of  $\varphi_{\text{mean}}(t)$ . Both led to increased values for  $\varphi_{\text{mean}}$  during the first hours ( $3 < t <$   
 28 16; Fig. 5b), whereas the kinetics differed between the two treatments. Hyponastic  
 29 movement of leaves started right after the shade treatment had been initiated at  $t = 3$ .

1 The amplitude of leaf movement  $\Delta\varphi_{\text{mean}}$  was increased upon shade treatments  
 2 compared to the control during the first day (Fig. 5d; Column II-IV,  $P<0.001$ ). After  
 3 the phase of downward movement (night and early morning), at  $t = 27$  leaves did not  
 4 return to the initial elevation angle, which they had previously adopted at  $t = 3$  the day  
 5 before. During the second day,  $\Delta\varphi_{\text{mean}}(t)$  followed the typical diurnal pattern, but values for  
 6  $\varphi_{\text{mean}}$  remained constitutively increased (Fig. 5b). Compared to the control, estimated  
 7 values for  $\Delta\varphi_{\text{mean}}$  were bigger at high  $E_{\text{FR}}$  (Fig. 5d; Column II,III,  $P<0.001$ ), but the  
 8 same for  $E_{\text{PAR}}$  (Fig. 5d; Column II,IV,  $P=0.812$ ).  
 9 Lastly, we compared the response of the *sav3-2* mutant, affected in shade-induced (low  
 10  $E_{\text{PAR}}$ ) hyponasty, compared to Col-0 under low  $E_{\text{PAR}}$  (Fig. 5c). Initial values for  $\varphi_{\text{mean}}$  at  
 11  $t=3$  were the same magnitude for both genotypes. In the *sav3-2* mutant,  $\varphi_{\text{mean}}$  did not  
 12 increase to the same extent as for the Col-0 control after shade treatment using low  
 13  $E_{\text{PAR}}$  (Fig. 5c). This decreased response is also expressed in smaller values for  $\Delta\varphi_{\text{mean}}$   
 14 in both days measured (Fig. 5d, Column IV-V,  $P<0.001$ ). Thus, hyponastic response of  
 15 leaves to low  $E_{\text{PAR}}$  was impaired but not completely absent in the *sav3-2* mutant.

16

17 Diurnal pattern of  $r_{\text{mean}}$  for the different treatments

18 The second trait we have measured is  $r_{\text{mean}}$  for the different light conditions and  
 19 genotypes. The apparent increase in  $r_{\text{mean}}$  (Fig. 6) during the day coincides with the  
 20 increase in  $\varphi_{\text{mean}}$  and is a measurement artifact, which is discussed below. To compare  
 21 between treatments we only used values for  $r_{\text{mean}}$  are given for zeitgeber time  $t=3, 27,$   
 22 51 (highlighted as open circles in Fig. 6), where leaves were at their most horizontal  
 23 position. These values were used to compute  $\Delta r_{\text{mean}}$  (Eq. 4).

24 Values for  $r_{\text{mean}}$  were smaller in short days compared to long days at the beginning of  
 25 measurements at  $t=3$  (Fig. 6a) and the difference between the two treatments increased  
 26 towards  $t=51$ . Likewise, values for  $\Delta r_{\text{mean}}$  were smaller in short day compared to long  
 27 day (Fig. 6d, Column I-II,  $P<0.001$ ), which in turn indicates a decreased leaf elongation  
 28 in response in short day compared to long day conditions.

29 Shade treatment using low  $E_{\text{PAR}}$  had no impact on the magnitude of  $r_{\text{mean}}$  (Fig. 6b).

30 Differences in  $\Delta r_{\text{mean}}$  were not significant ( $P>0.01$ ). Shade treatment using high  $E_{\text{FR}}$  led

1 to increased values for  $r_{\text{mean}}$  at  $t=27$  and  $t=51$  compared to control (Fig. 6b). However,  
2  $\Delta r_{\text{mean}}$  during the first day was significantly increased compared to the control (Fig.  
3 6d, Column II-III,  $P<0.001$ ).  
4 Furthermore, a clear phenotype regarding  $r_{\text{mean}}$  was observed for the *sav3-2* mutant,  
5 which had smaller values for  $r_{\text{mean}}$  (Fig. 6c) and for  $\Delta r_{\text{mean}}$  compared to Col-0 control  
6 (Column IV-V,  $P<0.001$ ). This indicates that leaf elongation was impaired in the same  
7 way as leaf hyponasty in the *sav3-2* mutant compared to Col-0 under the investigated  
8 conditions. Our phenotyping approach is able to quantify this effect.

9

## 10 Discussion

11 We are interested in studying light-mediated growth responses in *Arabidopsis* and  
12 understanding the underlying regulatory cellular and molecular processes. Previous  
13 work has mainly focused on hypocotyl length as a phenotypic marker, but other traits  
14 such as light-mediated leaf hyponasty or elongation have received less attention  
15 (Millenaar *et al.* 2005; Mullen *et al.* 2006; Lorrain *et al.* 2008; Sasidharan *et al.* 2010;  
16 Keller *et al.* 2011; Keuskamp *et al.* 2011; Polko *et al.* 2012). Our phenotyping method  
17 based on laser scanning provides a non-invasive tool to measure the diurnal kinetics  
18 of leaf hyponasty with high-throughput and high temporal resolution (<1h). Individual  
19 plants can be subjected to two distinct fluence rates of PAR and different R/FR ratios  
20 (Tab. 1). Entrained day-night cycles can be maintained, which allows monitoring of  
21 plants during several days.

22 In this paper, we provide an initial characterization of our phenotyping device and the  
23 developed image processing pipeline. The principal outputs of our method are time-  
24 courses of two traits: i)  $\varphi_{\text{mean}}(t)$  -a marker for leaf hyponasty- and ii)  $r_{\text{mean}}(t)$  -a marker  
25 for rosette radius or average leaf length. Both traits have been measured at a temporal  
26 resolution of less than or equal to 1h during several days in *Arabidopsis* in an early  
27 growth stage 1.05 (Boyes *et al.* 2001), where leaf length was usually smaller than 1.8  
28 cm. This way 5-6 plants could be grown in one pot without overlapping of leaves  
29 between plants. The maximum leaf length of plants is 3.9 cm to be entirely scanned.  
30 In this case only two plants per pot can be scanned. The plant size limitation is clearly  
31 a disadvantage of our approach, since care need to be taken that leaves of neighboring  
32 plants do not overlap within the filter radius (here 1.8 cm) specified in the image

1 analysis. In case of overlapping measured points from neighboring plants perturb the  
2 measurements.

3 The geometric assembly of the laser scanner is optimized to measure horizontally  
4 oriented leaves (Fig. 1a). However, leaf hyponasty leads to a situation, such that only  
5 the upper part of leaves can be measured. In this case, certain parts of the plant are  
6 masked by leaves and are therefore not visible to the camera or not lit by the laser. This  
7 does not affect the correct assessment  $\varphi_{\text{mean}}$ , since leaves are relatively straight, but the  
8 correct assessment of  $r_{\text{mean}}$  (Fig. 6) The apparent increase in  $r_{\text{mean}}$  -as leaves move up- is  
9 caused by the incapacity of the laser scanner to record the lower parts of leaves (with  
10 small values for  $r$ ) at high leaf elevation angles. To compare between treatments, we  
11 therefore only used values  $r_{\text{mean}}$  3h after dawn to compute  $\Delta r_{\text{mean}}$  (Eq. 4), when leaves  
12 were oriented most horizontally, which was the optimal position for scanning.

13 Another potential application for our plant phenotyping method is the measurement of  
14 architectural traits for individual leaves, such as petiole angle or leaf blade area.

15 Assuming the ideal case, where the complete leaf surface can be scanned and converted  
16 into a 3D point cloud, automated surface triangulation can be applied as proposed by  
17 Kaminuma *et al.* (2004). The resulting 3D surface could then be analyzed to directly  
18 derive the traits of interest. In experimental conditions, leaves overlap or obscure  
19 certain parts of the plant rendering the measured plant surface incomplete (see Fig. 4a  
20 and 3D data in Supplemental material). To overcome this obstacle, a model based  
21 reconstruction of individual leaf architecture could be applied as has been already  
22 proposed for cereal leaves (Dornbusch *et al.* 2007).

23 Presented data on *Arabidopsis* leaf hyponasty in response to different shade treatments  
24 agrees with data obtained in similar studies. High  $E_{\text{FR}}$  or low  $E_{\text{PAR}}$  leads to leaf  
25 hyponasty starting shortly after the application of the shade treatment (Mullen *et al.*  
26 2006; Millenaar *et al.* 2009; Sasidharan *et al.* 2010; van Zanten *et al.* 2010) and the  
27 *sav3-2* mutant is impaired in this shade-avoidance response (Tao *et al.* 2008; Moreno *et*  
28 *al.* 2009; Keller *et al.* 2011). However, these studies usually have measured the leaf  
29 responses to shade during one day and constant light, or at a single time point.

30 According to our data, the diurnal magnitude of up- and down leaf movement follows  
31 the pattern of the control in the second day after the shade treatment, except that leaves  
32 remain constitutively elevated (Fig. 5).

1 Mullen *et al.* (2006) made measurements of the diurnal pattern of leaf hyponasty in 12h  
2 day-night cycles, which looks very similar to our data. They also show an abrupt  
3 upward movement of leaves immediately after switching off the light (Fig. 5a).  
4 However the underlying mechanisms have not been discussed. A mutant screen could  
5 help to identify genes involved in this growth response. It seems that long days ( $\geq 12$ h)  
6 and high  $E_{PAR}$  promote this effect, whereas short day and/or low  $E_{PAR}$  inhibit it.  
7 To our knowledge, hyponastic movements of single leaves have not been measured  
8 following several days. Thus it is not known how long leaves do follow diurnal up- and  
9 down movements and whether cell elongation in the petiole is a prerequisite. These  
10 questions could be addressed with our phenotyping method.  
11 Wiese *et al.* (2007) have measured the diurnal pattern of leaf growth in *Arabidopsis* in  
12 12h day-night cycles. They show a peak growth rate shortly after dawn, which coincides  
13 with the phase of rapid downward movement of leaves in our data (Fig. 5). There is thus  
14 a correlation between the rapid downward movement of leaves and increased leaf  
15 growth. This leads to the unanswered question of whether the regulation of leaf  
16 hyponasty and elongation rely on the same genetic regulatory network. On the one  
17 hand, leaf hyponasty is mainly driven by differential cell elongation between the abaxial  
18 and adaxial epidermis cells at the very base of the petiole (Polko *et al.* 2012). On the  
19 other hand, petiole growth by cell proliferation and elongation is biggest towards the  
20 blade-petiole junction (Ichihashi *et al.* 2011). Thus both growth processes are spatially  
21 separated. One may further speculate that the regulatory mechanisms leading to leaf  
22 hyponasty and elongation are somewhat different because of this spatial separation. We  
23 would like to address this issue in future work and apply the phenotyping method that  
24 has been presented in this paper.

25

26

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1

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27

Tab. 1: The four light setups (I-IV) adjustable in our phenotyping device characterized by mean values (standard deviation) of the fluence rate of photosynthetically active radiation ( $E_{\text{PAR}}$ ) or far-red radiation ( $E_{\text{FR}}$ ) for each setup. Arrows indicate high ( $\uparrow$ ) or low ( $\downarrow$ )  $E_{\text{PAR}}$  and  $E_{\text{FR}}$ , respectively. Red color highlights deviation of light setup from control conditions.

Light setup	$E_{\text{PAR}}$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$E_{\text{FR}}$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )
I. $\uparrow E_{\text{PAR}}$ $\downarrow E_{\text{FR}}$	150 (10)	4.5 (0.3)
II. $\uparrow E_{\text{PAR}}$ $\uparrow E_{\text{FR}}$	150 (10)	7.5 (0.3) to 150 (10)
III. $\downarrow E_{\text{PAR}}$ $\downarrow E_{\text{FR}}$	35 (3)	1.5 (0.2)
IV. $\downarrow E_{\text{PAR}}$ $\uparrow E_{\text{FR}}$	35 (3)	2.5 (0.3) to 50 (4)

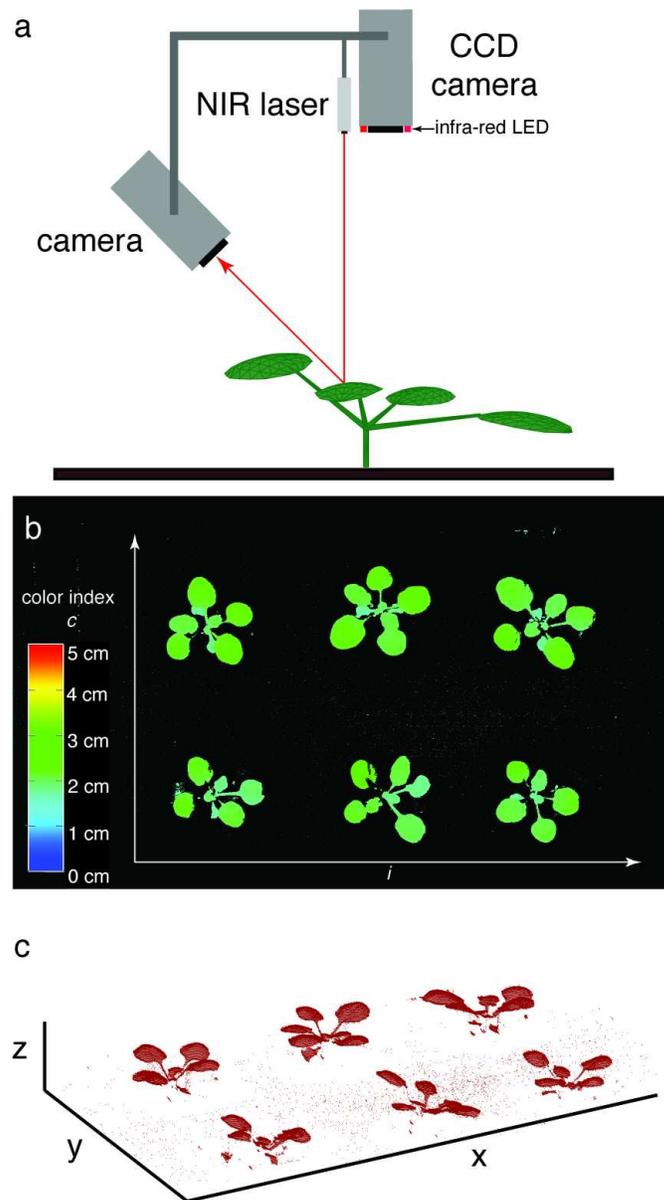


Fig. 1: (a) Laser scanner and charged coupled device (CCD) camera mounted on the imaging unit in the Scanalyzer HTS phenotyping device; (b) 2.5D height scaled image (1024-by-1728 pixels) containing six Arabidopsis plants (image provided as Supplemental Material). For a better visualization, the color in (b) was adjusted compared to the recorded original image. (c) 3D point cloud computed from the recorded 2.5D image using our developed image conversion. The Matlab script and required data for image conversion are available in the Supplemental material.  
320x548mm (72 x 72 DPI)

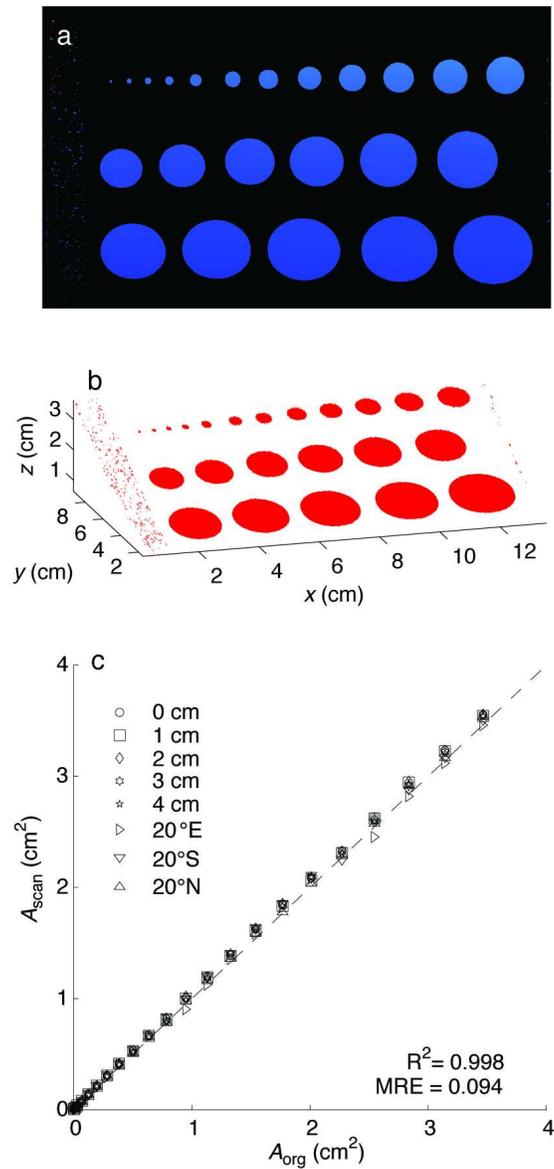


Fig. 2: (a) Panel with 23 circles scanned with the Scanalyzer HTS at an angle of 20°, (b) point cloud representing these circles in 3D after image conversion, (c) circle area computed from measured 3D points  $A_{scan}$  vs. predefined circle area  $A_{org}$  on the panel. The dashed line is the 1:1 line. Values for the coefficient of determination ( $R^2$ ) and mean relative error (MRE) are given.

332x642mm (72 x 72 DPI)

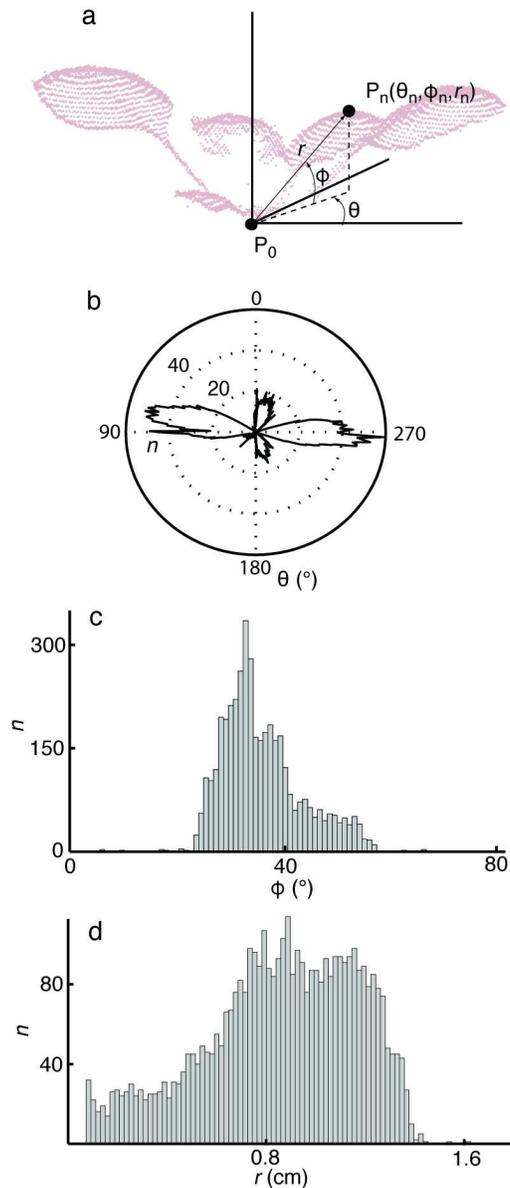


Fig. 3: (a) Measured 3D point cloud of an Arabidopsis plant (PCplant);  $P_0$  = selected basal plant point, which coincides with the position of the shoot apical meristem of that plant;  $P_n$  = sample point to illustrate the definition of its spherical coordinates ( $\theta_n$ ,  $\phi_n$ ,  $r_n$ ); (b-d) Histograms illustrating the distribution of  $\theta$ ,  $\phi$  and  $r$  for points in PCplant given in (a): b)  $\theta$  vs.  $n$ , c)  $\phi$  vs.  $n$ , d)  $r$  vs.  $n$ ; where  $n$  = number of points per bin.

305x661mm (72 x 72 DPI)

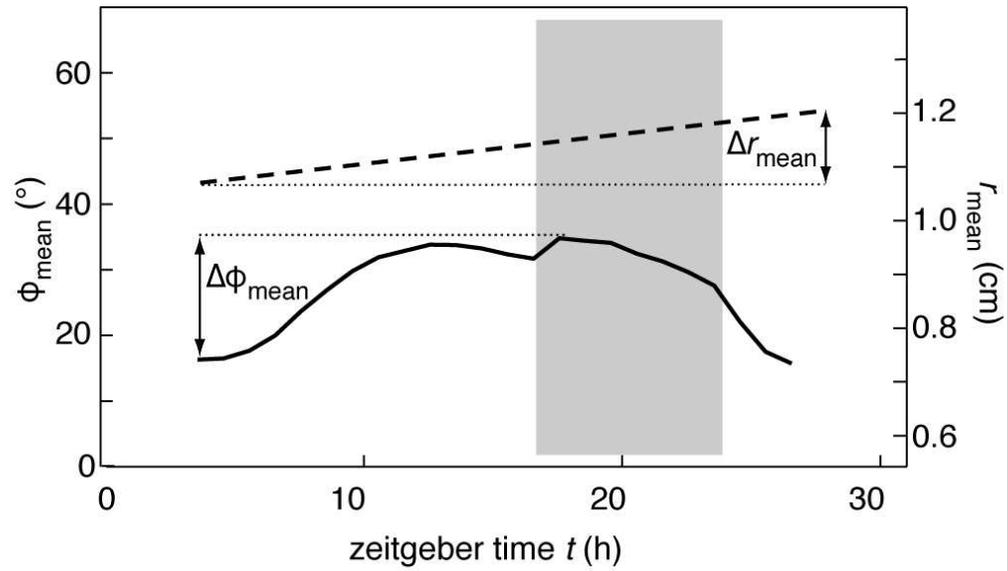


Fig. 4: Diurnal pattern of the  $\phi_{\text{mean}}(t)$  -a marker for mean elevation angle- as solid line and  $r_{\text{mean}}(t)$  - a marker for mean rosette radius- as dashed line. The gray box indicates the entrained night period, which was maintained during measurements.  $\Delta\phi_{\text{mean}}$  as the measure for the daily amplitude of leaf movement;  $\Delta r_{\text{mean}}$  as the measure for the daily increase in rosette radius.  
357x204mm (72 x 72 DPI)

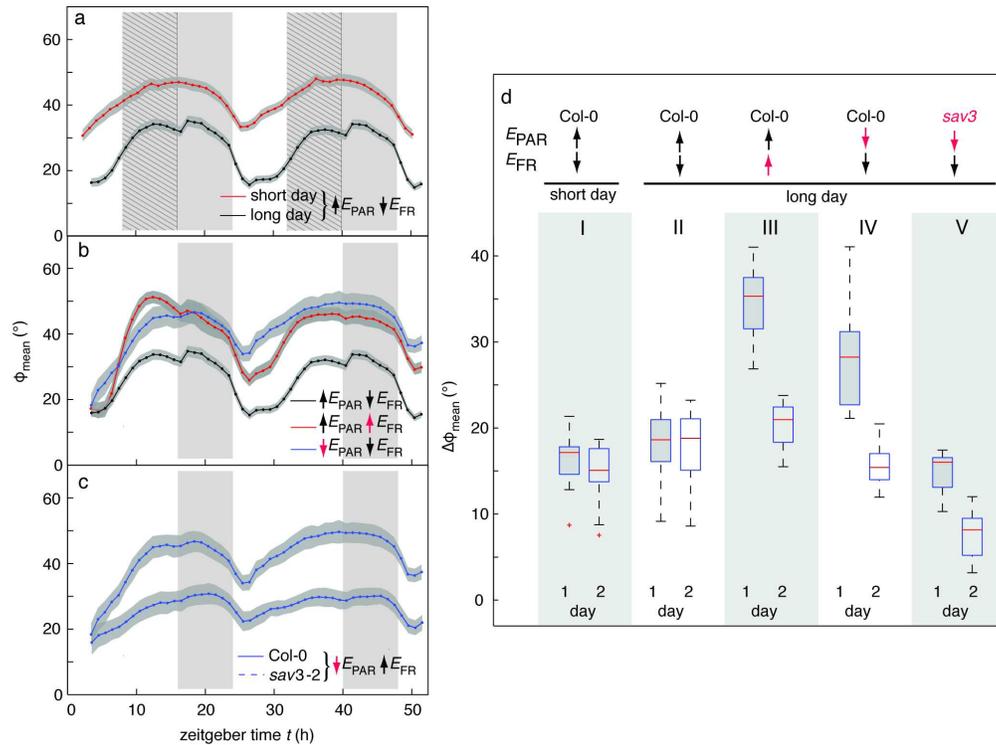


Fig. 5: (a-c) Diurnal pattern of  $\phi_{\text{mean}}$  as a marker the mean elevation angle of plants. Dots represent mean values for  $\phi_{\text{mean}}$  computed from 15-30 individual plants. The grayish band around mean values for  $\phi_{\text{mean}}$  represent the 95% confidence interval of mean estimate. Vertical gray boxes indicate the entrained night period in long day and the hatched part the supplemental night time in short day conditions. Plants were grown in a separate incubator at 21°C,  $E_{\text{PAR}}=180 \mu\text{mol m}^{-2} \text{s}^{-1}$  and photoperiod for 15 days (long day) or 20 days (short day) prior to measurements. Specific treatments: (a) black line: long day; red line: short day; for both treatments: Col-0 at normal  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2} \text{s}^{-1}$  and normal  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; (b) black line: normal  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2} \text{s}^{-1}$ ,  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; red line: normal  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2} \text{s}^{-1}$  and supplemental  $E_{\text{FR}}=22.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; blue line: reduced  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; reduced  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; (c) solid line: Col-0; dashed line: *sav3-2* mutant; reduced  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ;  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; (d) boxplots of the daily amplitude of leaf movement  $\Delta\phi_{\text{mean}}$  measured for two consecutive days for different treatments presented in (a-c); from left to right: (I) Col-0,  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2}$ ,  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , short day; (II) Col-0,  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2}$ ,  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day, (III) Col-0,  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2}$ ,  $E_{\text{FR}}=22.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day; (IV) Col-0,  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ;  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day, (V) *sav3-2* mutant;  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ;  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day.  
724x539mm (72 x 72 DPI)

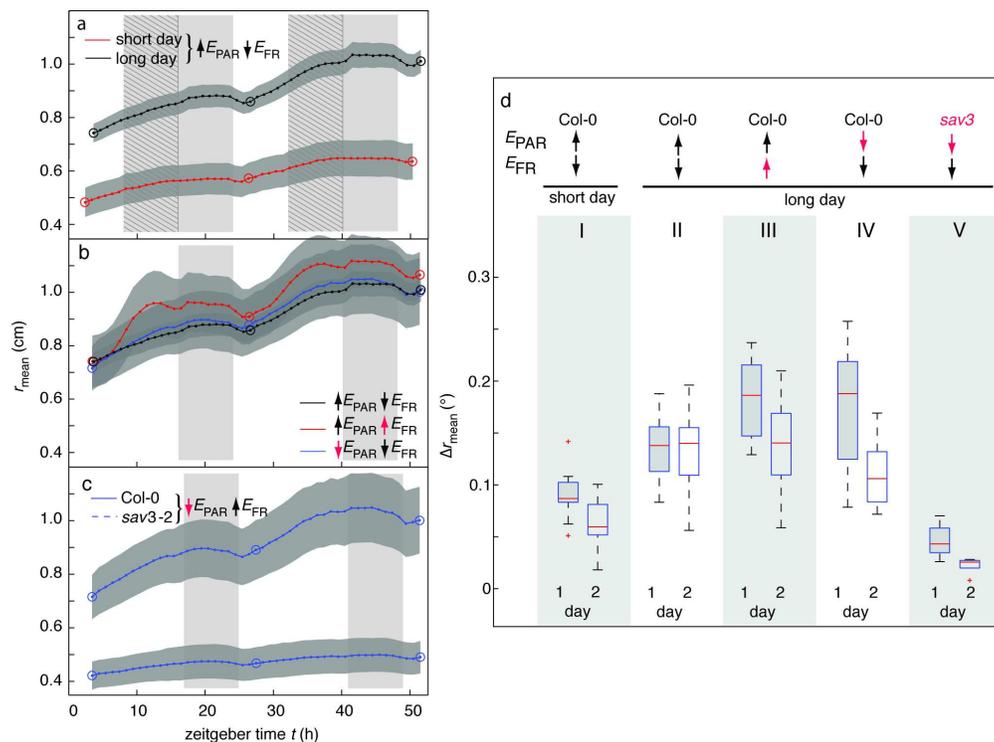


Fig. 6: (a-c) Diurnal pattern of  $r_{\text{mean}}$  as a marker for the mean rosette radius or average leaf length of plants. Dots represent mean values for  $r_{\text{mean}}$  computed from 15-30 individual plants. The grayish band around mean values for  $r_{\text{mean}}$  represent the 95% confidence interval of mean estimate. Vertical gray boxes indicate the entrained night period in long day and the hatched part the supplemental night time in short day conditions. Plants were grown in a separate incubator at 21°C,  $E_{\text{PAR}}=180 \mu\text{mol m}^{-2} \text{s}^{-1}$  and photoperiod for 15 days (long day) or 20 days (short day) prior to measurements. Specific treatments: (a) black: long day; red: short day; for both treatments: Col-0 at normal  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2} \text{s}^{-1}$  and normal  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; (b) black: normal  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2} \text{s}^{-1}$ ,  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; red: normal  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2} \text{s}^{-1}$  and supplemental  $E_{\text{FR}}=22.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; blue: reduced  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; reduced  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ; (c) solid line: Col-0; dashed line: *sav3-2* mutant; reduced  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ;  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ . (d) boxplots of the daily increase in rosette radius  $\Delta r_{\text{mean}}$  measured for two consecutive days for different treatments presented in (a-c); from left to right: (I) Col-0,  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2}$ ,  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , short day; (II) Col-0,  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2}$ ,  $E_{\text{FR}}=4.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day, (III) Col-0,  $E_{\text{PAR}}=150 \mu\text{mol m}^{-2}$ ,  $E_{\text{FR}}=22.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day; (IV) Col-0,  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ;  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day, (V) *sav3-2* mutant;  $E_{\text{PAR}}=39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ;  $E_{\text{FR}}=1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ , long day.

726x538mm (72 x 72 DPI)