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Contributions of pitch and bandwidth to sound-induced enhancement of visual cortex excitability in humans

Running head: *sound-induced visual excitability*

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1 **Abstract**

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4 Multisensory interactions have been documented within low-level, even primary, cortices and at
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6 early post-stimulus latencies. These effects are in turn linked to behavioural and perceptual
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8 modulations. In humans, visual cortex excitability, as measured by transcranial magnetic stimulation
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10 (TMS) induced phosphenes, can be reliably enhanced by the co-presentation of sounds. This
11
12 enhancement occurs at pre-perceptual stages and is selective for different types of complex sounds.
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14 However, the source(s) of auditory inputs effectuating these excitability changes in primary visual
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16 cortex remain disputed. The present study sought to determine if direct connections between low-
17
18 level auditory cortices and primary visual cortex are mediating these kinds of effects by varying the
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20 pitch and bandwidth of the sounds co-presented with single-pulse TMS over the occipital pole. Our
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22 results from ten healthy young adults indicate that both the central frequency and bandwidth of a
23
24 sound independently affect the excitability of visual cortex during processing stages as early as 30ms
25
26 post-sound onset. Such findings are consistent with direct connections mediating early-latency, low-
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28 level multisensory interactions within visual cortices.
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36 **Keywords:**

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39 multisensory, cross-modal, transcranial magnetic stimulation, phosphene
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1. Introduction

Responses to auditory and visual stimuli have been shown to interact in humans at early stages post-stimulus onset (i.e. within the initial 100ms; Giard and Peronnet, 1999; Molholm et al., 2002; Cappe et al., 2010; Raji et al., 2010) and within a network of regions including primary auditory as well as primary visual cortices (Martuzzi et al., 2007; Cappe et al., 2010; Raji et al., 2010). Moreover, there have been some demonstrations of the behavioral relevance of such early-latency and low-level multisensory interactions in terms of being linked to reaction time speed, perceptual outcome, or discrimination abilities (e.g. Romei et al., 2007, 2009; van der Burg et al., 2011; Cappe et al., 2012; Murray et al., 2012).

Whereas support for the latency and locus of these effects is reasonably convincing, establishing the extent to which early-latency effects within primary visual cortex are the consequence of either direct projections from primary or near-primary auditory cortex and/or inputs from higher-level association cortices (e.g. the superior temporal sulcus and/or parietal structures) has been less forthcoming and was our focus here. To address this question, the tactic in the present study was to vary low-level acoustic features using a within-subject factorial design so as to draw inference regarding the putative source(s) of auditory inputs that are effectuating modulations in visual cortex excitability as indexed by TMS-induced phosphene perception. Specifically, we manipulated the bandwidth and center frequency (pitch) of sounds. This design was predicated on observations in non-human primates that the sharpness of tuning of neurons to frequency and bandwidth progressively decreases from core to belt and to parabelt auditory cortices (e.g. Kosaki et al., 1997; Rauschecker and Tian, 2004; Lakatos et al., 2005; Petkov et al., 2006; Hackett, 2011). Any differential efficacy of either or both of these features in modulating visual cortex excitability (viz. phosphene induction) would therefore be taken as an indication of the extent to which low-level auditory cortices contribute to (and perhaps mediate) such effects.

1 Anatomical studies in non-human primates have identified monosynaptic projections to
2 primary visual cortex from both primary auditory cortex as well as the superior temporal polysensory
3 region (Falchier et al., 2002; Rockland and Ojima, 2003; Clavagnier et al., 2004; Cappe et al., 2005;
4 reviewed in Falchier et al., 2012), making it feasible for direct information transfer between primary
5 cortices (in addition to established indirect, poly-synaptic pathways). Corresponding anatomical data
6 in humans are currently unavailable, though diffusion-based imaging has recently provided evidence
7 for fiber tracts between the superior temporal gyrus and the calcarine sulcus (i.e. low-level auditory
8 regions and primary visual cortex, respectively) (Beer et al., 2011). Additional efforts have been made
9 to apply dynamic causal modelling and effective connectivity to functional magnetic resonance
10 imaging data so as to infer relevant pathways (Lewis and Noppeney, 2010; Noesselt et al., 2010;
11 Powers et al., 2012; Werner and Noppeney, 2010). Despite such evidence, to our knowledge no data
12 have been published associating specific anatomic pathways and early-latency multisensory effects
13 within primary visual cortex.

14 TMS has contributed to these efforts by allowing for more causal inference on the role of
15 specific brain regions at specific latencies in multisensory interactions (Bolognini and Maravita,
16 2011). For example, several laboratories have shown that the excitability of primary visual cortex, as
17 indexed by phosphene induction¹, is enhanced by the co-presentation of a sound (Romei et al., 2007,
18 2009; Bolognini et al., 2010; Leo et al., 2011) or a touch (Ramos-Estabenez et al., 2007). In an effort
19 to reveal likely sources of auditory inputs into human primary visual cortex, the authors of these
20 studies identified variations in the efficacy of different sound features (in combination with the
21 latency of observed effects) to modulate visual cortex excitability. Romei et al. (2007) furthermore
22 showed that TMS over the occipital pole over the 60-90ms post-sound onset period had opposing
23 effects on the simple detection of auditory and visual stimuli (facilitation and slowing, respectively).
24 In fact, the facilitation of simple detection obtained by combining occipital TMS with external
25 auditory stimuli was as great as and correlated with the facilitation of reaction times observed when
26 presenting participants with external auditory-visual stimuli. It has additionally been demonstrated

1 that not all sounds are equally effective in modulating visual cortex excitability. Romei et al. (2009)
2 showed that structured looming sounds selectively and pre-perceptually enhanced visual cortex
3 excitability, and Bolognini et al. (2010) provide evidence for maximal enhancement of visual cortex
4 excitability when the sounds were co-localized at the position of the induced phosphenes.
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10 **2. Methods**

11 **2.1 Participants**

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13 Ten healthy volunteers participated in the study (five women, one left-handed; mean age =
14 23.1 years; range 20–28 years). All participants reported normal hearing and had normal or
15 corrected-to-normal vision. The study was approved by the Ethics Committee of the Faculty of
16 Biology and Medicine at the University Hospital Center and University of Lausanne. All participants
17 provided written informed consent.
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30 **2.2 Stimuli**

31 The stimuli were 300ms tones and bandpass-filtered noise bursts (22kHz digitization, 16bits,
32 10ms linear rise/fall time). These sounds were generated according to a 2x2 design with factors of
33 center frequency (250Hz (low) and 6000Hz (high)) and bandwidth (1Hz (narrow) and 460Hz range
34 (broad)). This resulted in four conditions: 250Hz (low/narrow, LN) condition); 6000Hz (High/Narrow,
35 HN)); 20-480Hz (Low/Broad, LB); and 5770-6230Hz (High/Broad, HB)). These auditory stimuli were
36 presented through two loudspeakers located on each side of the computer monitor at a level judged
37 comfortable by the participant. Because all data were analyzed according to a within-subject design,
38 differences in the intensity of sound presentation across participants cannot influence the statistical
39 outcome. The two center frequencies were chosen to be perceived with comparable loudness
40 according to the revised [ISO 226:2003](#) equal-loudness-level contours standard between 50 and
41 90 dB SPL.
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2.3 TMS apparatus and determination of phosphene threshold

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2 A 70mm figure of eight coil (maximum field strength, 2.2T) and a Magstim Rapid2Transcranial
3
4 Magnetic Stimulator were used (Magstim Company, Spring Gardens, UK). Phosphene threshold (PT)
5
6 was determined with the following procedure (see also Romei et al., 2007, 2009). Each participant
7
8 wore a bathing cap to allow for marking of the site at which phosphenes could be elicited and to
9
10 ensure stimulation of the same site across experimental blocks. The lights were turned off, and
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12 participants sat comfortably in a Brainsight Gen3 TMS chair with their chin and forehead supported
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14 (<http://www.rogue-research.com>). Participants kept their eyes open throughout the procedure to
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16 determine PT (though were allowed to blink). Stimulator output was initially set at 50% of maximal
17
18 output. We then positioned the TMS coil approximately 3cm above the inion with the handle
19
20 pointing upwards. Single-pulse TMS was then applied at this site and participants were asked to
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22 report phosphene if a phosphene was perceived. If a phosphene was not reported, then stimulator
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24 intensity was increased 2% and the procedure was repeated. If a phosphene was reported, then 10
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26 trials at that stimulator intensity were completed. If phosphenes were reported on more than 5 of
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28 these 10 trials then stimulator intensity was reduced, and the procedure was repeated. If
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30 phosphenes were reported on 5 or less trials then TMS intensity was again increased until TMS
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32 elicited phosphenes on exactly 5 out of 10 trials. If this site proved ineffective in eliciting phosphenes
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34 after stimulator intensity was increased to 70% of maximal output, then the coil was moved leftward
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36 by approximately 5mm and the above procedure repeated with stimulator output initially reduced to
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38 50%. If this position was likewise unsuccessful in identifying PT then the coil was moved leftward an
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40 additional 5mm. If this second leftward position was unsuccessful, then the coil was moved
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42 approximately 5mm to the right of midline, and the procedure repeated. Two participants in addition
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44 to those reported in this study were evaluated, but were excluded because they never reported
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46 perceiving phosphenes. On average, the PT was of $48.9 \pm 1.6\%$ (mean \pm s.e.m.) of maximum stimulator
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48 output. The coil position at which PT was determined as well as the features of the reported
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50 phosphenes (i.e. their shape, size, and location) varied slightly across participants, but were constant
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2 for each participant across the experimental blocks. For the experimental blocks the single-pulse TMS
3 was applied at 80% of the individually adjusted PT.
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6 7 **2.4 Procedure and Task** 8

9 Participants were seated in Brainsight Gen3 TMS chair in a sound-attenuated booth in front of
10 a 19" LCD screen and instructed to report when they perceived a phosphene by pressing a response
11 button with their right index finger. All trials consisted in the presentation of one of the four sounds
12 paired with the delivery of a single TMS pulse centered over the occipital pole at a delay of 30, 90, or
13 150ms post-sound onset. Then, a response window opened and closed as soon as a response was
14 recorded. In case of no response, the window closed after 4000ms. The inter-trial interval (i.e. the
15 interval between the closure of the response window and onset of the next trial) was varied pseudo-
16 randomly from 2000 to 3000ms to avoid anticipation of stimulus onset. The text "Phosphene?" and a
17 fixation cross were presented written in white on a black background during the response window
18 and the inter-trial interval, respectively. Each participant completed 5 blocks, including 4 repetitions
19 of each experimental condition and 8 randomly intermixed trials involving TMS stimulation in the
20 absence of any sound to establish a baseline measure of visual cortex excitability. Each block thus
21 consisted in a total of 56 trials (4 repetitions × 4 sound conditions × 3 TMS delays + 8 baseline control
22 trials). After the completion of each block, a rest period was provided to participants to maintain high
23 concentration and minimize fatigue. Stimulus presentation, TMS pulse delivery, and behavioral
24 response collection were controlled by E-prime (E-Prime 1.1; Psychology Software Tools, Pittsburgh,
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51 **3. Results** 52

53 The percentage of trials when phosphenes were reported in the absence of sounds was taken
54 as a baseline of visual cortex excitability. The mean (\pm s.e.m.) percentage was 38.5 \pm 5.6%, confirming
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1 that the selected stimulator intensity was on average below the phosphene induction threshold
2 throughout the duration of the experiment.
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4 The percentage of trials when phosphenes were reported in the presence of different sounds
5 and at different delays following sound presentation was submitted to a 3-way repeated measures
6 ANOVA (rmANOVA) using center frequency (250Hz vs. 6000Hz), bandwidth (1Hz vs. 460Hz), and
7 delay (30, 90, and 150ms post-sound onset) as within-subject factors. There were main effects of
8 center frequency ($F_{(1,9)}=8.277$; $p=0.018$; $\eta_p^2=0.479$), bandwidth ($F_{(1,9)}=7.276$; $p=0.024$; $\eta_p^2=0.447$), and
9 delay ($F_{(2,8)}=5.633$; $p=0.030$; $\eta_p^2=0.585$). Post-hoc contrasts for these main effects are reported below.
10
11 None of the interactions met the 0.05 significance criterion (all p 's >0.45). The main effect of center
12 frequency followed from generally higher reports of phosphenes following presentation of sounds
13 with 6000Hz center frequency than 250Hz center frequency (57.5% vs. 44.4%, respectively). The
14 main effect of bandwidth followed from generally higher reports of phosphenes following
15 presentation of narrowband vs. broadband sounds (54.4% vs. 47.5%, respectively). The main effect of
16 delay followed from a general decrease in the reports of phosphenes with greater delays post-sound
17 onset (54.3%, 50.3%, and 48.4%, respectively).
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35 Given these three main effects in the absence of any interactions and in order to statistically
36 determine whether phosphene induction was increased relative to the above-defined baseline levels,
37 a series of follow-up rmANOVAs were performed. Post-hoc t-tests (2-tailed) were corrected for
38 multiple comparisons using the Holm-Bonferroni method (Holm, 1979). First, we tested the data as a
39 function of center frequency, collapsing across bandwidths and delays, and included the TMS-only
40 baseline as an additional condition in a 1-way rmANOVA with three levels (TMS-only, 250Hz and
41 6000Hz). This analysis resulted in a main effect of condition ($F_{(2,8)}=5.232$; $p=0.035$; $\eta_p^2=0.567$). Sounds
42 with 6000Hz center frequency increased phosphene perception significantly above baseline levels
43 ($t_{(9)}=3.398$; $p<0.008$) as well as levels following presentation of 250Hz sounds ($t_{(9)}=2.877$; $p<0.02$),
44 whereas sounds with 250Hz center frequency did not increase phosphene perception above baseline
45 levels ($t_{(9)}=1.666$; $p>0.12$) (**Figure 1a**). Next, we tested the data as a function of bandwidth, collapsing
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1 across center frequency and delays, and again included the TMS-only baseline as an additional
2 condition as above. This analysis resulted in a main effect of condition ($F_{(2,8)}=5.857$; $p=0.027$;
3 $\eta_p^2=0.594$). Narrowband sounds increased phosphene perception significantly above baseline levels
4 ($t_{(9)}=3.471$; $p<0.007$) and above levels observed for broadband sounds ($t_{(9)}=2.697$; $p<0.025$).
5
6 Additionally, broadband sounds enhanced phosphene perception above baseline levels ($t_{(9)}=2.265$;
7 $p<0.050$) (**Figure 1b**). Lastly, we tested the data as a function of delay, collapsing across center
8 frequency and bandwidth, and again included the TMS-only baseline as an additional condition as
9 above. This analysis resulted in a main effect of condition ($F_{(3,7)}=5.649$; $p=0.028$; $\eta_p^2=0.708$). TMS
10 delivered 30ms or 90ms after sound onset significantly increased phosphene perception above
11 baseline levels ($t_{(9)}=3.394$; $p<0.008$ and $t_{(9)}=3.204$; $p<0.011$, respectively), whereas TMS delivered
12 150ms after sound onset did not ($t_{(9)}=2.231$; $p>0.050$) (**Figure 1c**). Additionally, TMS delivered 30ms
13 after sound onset significantly increased phosphene perception above levels observed when TMS
14 was delivered 150ms after sound onset ($t_{(9)}=3.524$; $p<0.007$). No other post-hoc contrasts were
15 significant.

34 4. Discussion

35 This study provides evidence that both the center frequency (pitch) and bandwidth of sounds
36 independently impact the excitability of visual cortex when presented in combination with a
37 subthreshold TMS pulse over the occipital pole. Specifically, 6000Hz sounds enhanced visual cortex
38 excitability beyond threshold levels, whereas 250Hz sounds did not, and narrowband sounds
39 enhanced visual cortex excitability beyond threshold levels as well as beyond levels observed with
40 broadband sounds, which were likewise more effective than TMS-alone (**Figure 1a and 1b**). These
41 acoustic features had their maximal effect when the TMS pulse followed sound onset by 30ms,
42 although effects above baseline were also observed at a delay of 90ms, but not 150ms (**Figure 1c**).
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44 The acoustic and temporal specificity we observed provides a collective pattern that speaks in favor
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1 of direct projections from low-level auditory cortices as the principal mediators of cross-modal
2 enhancements in visual cortex excitability.
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4 Our observation that only higher frequency pitch and narrow bandwidth sounds were
5 effective in enhancing visual cortex excitability would suggest that the auditory signal that
6 effectuates the enhancement of visual cortex excitability is relatively un-processed or minimally
7 processed. One possible explanation for the main effect of pitch that we observed can be based on
8 an extrapolation of the anatomic result in non-human primates that it is only more caudal portions of
9 low-level auditory cortices that directly project to primary visual areas (Falchier et al., 2002). If such
10 projections in humans are likewise restricted to more caudal portions, then recent tonotopic
11 mapping would suggest such portions to be more responsive to higher than to lower frequency
12 pitches (Da Costa et al., 2011). The neurophysiologic properties of auditory neurons
13 monosynaptically projecting to primary visual cortex has yet to be determined and at this stage can
14 only be extrapolated based on similar anatomic locations with studies focusing on response
15 properties of neurons within a specific auditory region. The abovementioned anatomic studies (as
16 well as those of Rockland and Ojima, 2003) place the source(s) of monosynaptic auditory inputs into
17 primary visual cortex within caudal portions of low-level auditory regions. Auditory response
18 properties of single neurons have been well-characterized in core, belt, and parabelt regions in non-
19 human primates (e.g. Rauschecker and Tian, 2004; Lakatos et al., 2005). These studies generally
20 agree that central frequency tuning as well as bandwidth tuning broaden with progression from core
21 to belt and to parabelt regions. For example, belt regions of macaque auditory cortex have been
22 shown to respond more intensively to broadband than to narrowband sounds, whereas more intense
23 responses to narrowband sounds was observed within core regions (Rauschecker and Tian, 2004).
24 The extent to which humans and macaque monkeys exhibit homologous anatomic and
25 neurophysiologic substrates of multisensory integration remains to be fully detailed and will
26 undoubtedly benefit from additional research. In the context of the present study, had projections
27 from belt or other higher-order regions been mediating our effects then a strong prediction would

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2 have been for greater enhancement of visual cortex excitability when the TMS pulse was paired with
3 a broadband sound. Instead, the opposite was observed, which supports core regions as the more
4 likely source.
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7 The timing of the present effects likewise provides some constraints on the putative sources
8 of auditory inputs. Our effects were maximal when the auditory stimulus onset preceded the TMS
9 pulse by 30ms, remained above TMS-only baseline levels when the temporal separation was 90ms,
10 and did not significantly differ from baseline levels with a temporal separation of 150ms (**Figure 1c**).
11
12 Response onset within primary auditory cortex in humans has been documented at ~15ms (Liegeois-
13 Chauvel et al., 1994) with propagation to adjacent regions within ~3ms (Brugge et al., 2003). In light
14 of these figures and assuming a conduction time to V1 of ~10-12ms, maximal effects could be
15 expected with a delay of 30ms between sound onset and TMS delivery (see also Raji et al. 2010).
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19 Prior TMS studies leave unresolved the sources of auditory inputs that alter visual cortex
20 excitability. On the one hand, Romei et al. (2009) provided evidence that looming sounds enhance
21 visual cortex excitability beyond baseline levels as well as levels observed with other types of sounds
22 at latencies prior to when subjects could reliably discriminate looming from stationary sounds.
23 Differential excitability following from looming vs. either stationary or receding sounds first appeared
24 when the TMS pulse was delivered 80ms after the sound. Control experiments carried out by these
25 authors ruled out explanations in terms of attention/arousal or as being due to the intensity or
26 amplitude envelope. Moreover, they provide evidence that enhancement levels are dependent upon
27 the use of structured (i.e. tonal) stimuli rather than noise bursts, though it should be noted that
28 sounds of all varieties led to enhancement beyond baseline levels (cf. Figure 4 in Romei et al., 2009).
29 While these data do not unequivocally localize the source of auditory inputs mediating the
30 enhancement of visual cortex excitability, they nonetheless speak in favor of sources that are
31 sensitive to low-level acoustic features and preferentially responsive to structured sounds vs.
32 broadband noise bursts; attributes consistent with neural sensitivity within low-level auditory regions
33 (e.g. Rauschecker and Tian, 2004). The present study furthers our understanding of this issue by
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1 showing there to be independent contributions of pitch and bandwidth during time windows that
2 overlap with those described by Romei et al (Romei et al., 2007, 2009, 2012) and also by showing
3 that there are acoustic features that fail to enhance visual cortex excitability beyond baseline levels.
4 That is, some sounds were ineffective despite their equivalent perceived loudness, thereby providing
5 one level of evidence against an account of our results in terms of selective attention to the auditory
6 modality. Such an effect would indeed have been predicted to lead to a general enhancement by all
7 sounds irrespective of pitch/bandwidth, or enhanced arousal with higher pitch or broadband sounds.
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16 On the other hand, Bolognini et al. (2010) investigated the potential source(s) of auditory
17 inputs impacting visual cortex excitability by varying the spatial co-registration between sounds (a
18 20ms white noise burst) and the perceived location of induced phosphenes. Their dependent
19 measure, in contrast to that used here, was always the difference between the percentages of
20 reported phosphenes when co-presented with sounds vs. when TMS was applied alone. They
21 compared these modulations as a function of the spatial alignment between sounds and phosphenes
22 as well as the delay between sound presentation and TMS delivery. The analysis of the data in this
23 manner led the authors to conclude that auditory influences on visual cortex excitability were
24 restricted to situations where the sound was co-localized with the location of peripheral (but not
25 central) phosphenes. Bolognini et al. (2010) considered these results as evidence in favor of a direct-
26 projection mechanism. This interpretation was based on anatomic data from non-human primates
27 showing that monosynaptic projections between low-level auditory cortex and primary visual cortex
28 preferentially, but not exclusively, terminate in peripheral visual field representations (cf. Table 1 in
29 Falchier et al., 2002). More recent findings in humans based on diffusion tensor imaging would
30 instead suggest that fiber tracts from Heschl's gyrus terminate in the occipital pole where the
31 (para)foveal visual field would be represented (Beer et al., 2011). However, in the absence of
32 functional mapping of their seed regions it is difficult to attribute these fiber tracts to specific
33 auditory regions or tonotopic representations, though there is now functional data to link Heschl's
34 gyrus to core auditory regions (Da Costa et al., 2011). More generally, the cumulative data from
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1 human electroencephalography, magnetoencephalography, functional magnetic resonance imaging,
2 transcranial magnetic stimulation, and diffusion tensor imaging would support there being early-
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4 latency, low-level, and behaviourally-relevant auditory-visual multisensory interactions. These effects
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6 occur with central-presented stimuli and involve central visual field representations in humans
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9 (Murray et al., 2012).

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11 At first sight, the findings of Bolognini et al. (2010) would therefore appear in sharp contrast
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13 with the present results and prior findings examining auditory influences on centrally-perceived
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15 phosphenes (Romei et al., 2007, 2009, 2012). However, whether or not a given condition enhanced
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17 visual cortical excitability beyond baseline levels was not assessed or discussed. Inspection of their
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19 data (cf. Figure 1 in Bolognini et al., 2010) would instead suggest that enhancement of visual cortex
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21 excitability beyond baseline levels was indeed observed both when sounds and phosphenes were co-
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23 localized to central positions as well as when sounds were not co-localized with the location of
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25 phosphenes but instead were presented to the opposite hemispace. That is, in their Experiments 1
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27 and 3 it seems to be the case that there was general enhancement of visual cortex excitability by
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29 sounds, irrespective of i) spatial co-localization with the phosphene (when perceived), ii) peripheral
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31 vs. central phosphene/sound presentation, and iii) delay between sound presentation and TMS
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33 delivery. Consideration of their data in this manner, albeit based on visual inspection rather than
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35 formal statistical analyses, would therefore suggest that spatial features (absolute position or co-
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37 localization) are not the main determinant of crossmodal modulation of visual cortex excitability and
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39 that such crossmodal modulation occurs for centrally presented sounds and centrally-perceived
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41 phosphenes. This pattern is highly consistent with the present results as well as those of Romei et al.
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43 (2007, 2009, 2012). Such being said, their finding that co-localized peripheral sounds resulted in
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45 further enhancements of phosphene perception is robust and warrants more detailed study to
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47 determine its neurobiological basis and whether such effects rely on mechanisms distinct from the
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49 abovementioned general effects.
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2 The magnitude of the enhancements in visual cortex excitability is highly consistent with
3 prior findings. Here, effects were on the order of ~10-20% vs. baseline levels (cf. Figure 1). This is
4 similar to what was observed by Romei et al. (2007, 2009). The increase from baseline in Romei et al.
5 (2007) was ~15-20% (see their Figure 4; i.e. phosphenes were reported on TMS-only trials roughly
6 30% of the time and increased to a maximum of roughly 50%). In Romei et al. (2009) only looming
7 sounds led to the values doubling those observed at baseline. The other sounds (which were
8 stationary or receding) led to increases again on the order of 15-20% (see Figure 2 in Romei et al.,
9 2009). In Bolognini et al. (2010) increases, when present, were likewise on the order of 15-20%, with
10 the exception of the one condition and delay in Experiment 1 that led to a near-doubling.
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21 Several domains were not specifically investigated here, but nonetheless warrant continued
22 study. For one, the present study used a limited sample of two pitches and two bandwidths. A fuller
23 stimulus set would be necessary to derive tuning curves for auditory influences on visual cortex
24 excitability. Likewise, a fuller stimulus set may prove more effective in revealing different latencies of
25 auditory inputs to visual cortices. Secondly, there is mounting evidence that visual excitability (and
26 cross-modal influences upon such) is state-dependent such that the phase of ongoing oscillations at
27 the time of TMS delivery can play a central role in modulating cortical excitability and can be reset by
28 preceding sounds (Romei et al., 2012). Thirdly, it will be important to examine inter-individual
29 variations in tonotopic representations and their consequences on crossmodal modulation of visual
30 cortex excitability. A fourth, but by no means exhaustive domain, would be to capitalize upon these
31 and related findings to optimize parameters of sensory substitution devices in visually-impaired
32 individuals (e.g. Amedi et al., 2007). In conclusion, the present study provides evidence in support of
33 there being direct projections from low-level auditory cortex to primary visual cortex that can impact
34 the excitability of visual neurons and in turn perception/behavior.
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2 **Conflict of Interest Statement**
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7 The authors declare that they have no competing financial interests.
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20 this manuscript.
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28 **Author contributions**
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34 All co-authors contributed to the experimental design. A.M. and D.B. acquired the data. L.S., A.M.,
35 and M.M.M. analyzed the data. M.M.M. and L.S. wrote the paper with input from all authors
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42 **Footnotes**
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46 ¹Phosphenes are the perceived sensation of flashes of light in the absence of visual stimulation
47 following occipital TMS. Phosphenes elicited in low-level visual areas (V1/V2) are generally perceived
48 as brief, static sensations along the horizontal meridian or in the lower quadrant of the hemifield
49 contralateral to the stimulated hemisphere. They are thought to be generated by activation current
50 that is induced by the magnetic field of the TMS pulse (e.g., Allen et al., 2007; Moliadze et al., 2003).
51 When phosphenes are identified and defined, they remain stable within the same participant,
52 thereby providing a reliable measure of visual cortical excitability. The minimum intensity of occipital
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1 TMS required to elicit phosphenes (i.e., phosphene threshold or PT) has been routinely used to
2 provide a measure of this excitability (e.g. Pascual-Leone and Walsh, 2001). In studies of cross-modal
3 effects on visual cortex excitability, the PT was first determined for each participant and then
4 stimulator intensity was set at levels below PT. The frequency of phosphenes reported at stimulator
5 intensities below PT was taken as a baseline, with any increases thereupon by non-visual stimuli
6 taken as evidence for cross-modal influences on visual excitability.
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13 ²We are extremely grateful to Dr. Bolognini for sharing some of her raw data from her 2010
14 publication.
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22 **Figure Legend**

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28 **Figure 1.** Sound-induced modulation of visual cortex excitability. In all panels the y-axis shows the
29 percentage of trials when a phosphene was reported, including the TMS-only baseline condition.
30 Mean (s.e.m. indicated) values across participants are displayed. An asterisk indicates a significant
31 pair-wise difference after correction for multiple comparisons (see Results for details). Panel *a*
32 displays the results for the main effect of center frequency. Panel *b* displays the results for the main
33 effect of sound bandwidth. Panel *c* displays the results for the main effect of delay between sound
34 presentation and TMS stimulation.
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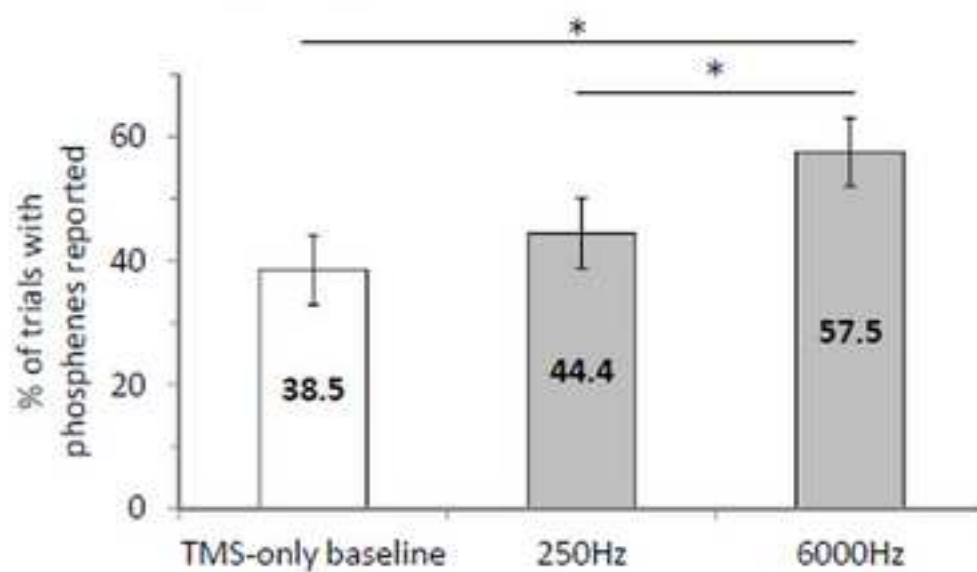
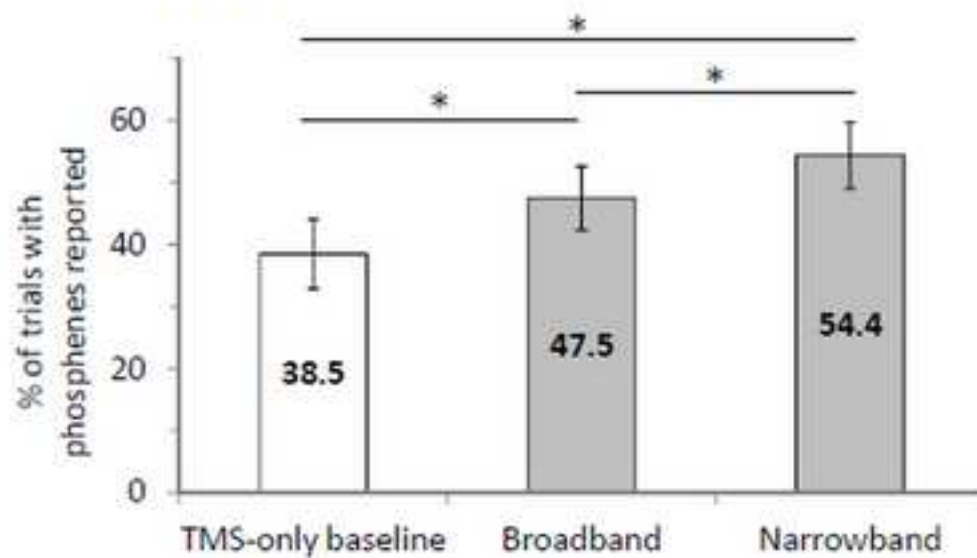
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a. Center frequency effects**b. Bandwidth effects****c. Delay effects**