



## REM-associated sleep apnoea: prevalence and clinical significance in the HypnoLaus cohort

Journal:	<i>European Respiratory Journal</i>
Manuscript ID	ERJ-02484-2017.R2
Manuscript Type:	Original Article
Date Submitted by the Author:	n/a
Complete List of Authors:	<p>Castro Acosta, Patricia; Centre hospitalier universitaire vaudois            Departement de medecine, Center for Investigation and Research in Sleep            ; Hospital Universitario Ramon y Cajal, Pulmonary Department            Hirotsu, Camila; Centre Hospitalier Universitaire Vaudois, Center for            Investigation and Research in Sleep            Marti Soler , Helena; Division des Maladies Chroniques, Institute of Social            and Preventive Medicine (IUMSP), Lausanne University Hospital, Lausanne,            Switzerland            Marques Vidal, Pedro; University Hospital of Lausanne (CHUV), Department            of Internal Medicine            Tobback, Nadia; University Hospital of Lausanne (CHUV), Center for            Investigation and Research in Sleep (CIRS)            Andries, Daniela; Center for Investigation and Research in Sleep (CIRS),            Lausanne University Hospital (CHUV),            Waeber, Gerard; University Hospital of Lausanne (CHUV), Department of            Internal Medicine            Preisig, Martin; University Hospital of Lausanne (CHUV), Department of            Psychiatry            Vollenweider, Peter; University Hospital of Lausanne (CHUV), Department            of Internal Medicine            Haba Rubio, José; University Hospital of Lausanne (CHUV), Center for            Investigation and Research in Sleep (CIRS)            Heinzer, Raphaël; University Hospital of Lausanne (CHUV), Center for            Investigation and Research in Sleep (CIRS); University Hospital of            Lausanne (CHUV), Service of Respiratory Medicine</p>
Key Words:	REM sleep-disordered breathing, obstructive sleep apnea (OSA), Hypertension, Metabolic syndrome, diabetes, depression

1  
2  
3 Dear Prof. M. Kolb,  
4  
5

6  
7 We thank the Journal and Reviewers for their positive feedback. The minor  
8  
9 modifications were integrated into our manuscript as listed below.  
10

11  
12  
13 Yours sincerely,  
14

15  
16  
17 Prof. Heinzer for the coauthors  
18  
19

20  
21  
22 Reviewer: 1  
23

24 The authors have done an excellent job addressing my concerns. I only found  
25  
26 a few minor errors that the authors should correct.  
27  
28

29  
30  
31 1) In the abstract, please change "AHI10<10/h" to AHI<10/h.  
32

33 *The change was done.*  
34  
35

36  
37 2) In the Results, the description of Figure 2 is a bit confusing. The authors  
38  
39 provide the p-values and odds ratio for just the moderate-to-severe REM SDB (REM  
40  
41 AHI > 20). However, the figure also has a p for trend. In other words, the highest  
42  
43 category of REM AHI is associated with diabetes and a trend for depression, but the  
44  
45 p-value for trend (which compares all the four groups) is not significant for diabetes  
46  
47 and depression. Perhaps what the authors can do is to rephrase the paragraph so it  
48  
49 is more clear. For example, they could state the following: "Although the p-value for  
50  
51 trend was not significant for diabetes or depression, the subgroup with the highest  
52  
53 severity of REM SDB (i.e. REM AHI > 20/h) had significantly higher odds of diabetes  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 (OR=3.12 [1.35-7.20], p=0.008) and a trend towards higher odds of depression  
4  
5 (OR=2.14 [0.99-4.64], p=0.054) when compared to the group with no REM SDB (i.e.  
6  
7 REM AHI < 5/h)." This way, the readers will not be confused by the differing p values  
8  
9 in the text and in the figure.

10  
11 *We thank the Reviewer for the suggestion. The p-value for trend was*  
12 *significant for diabetes (p trend=0.039). Thus, we have incorporated the suggestion*  
13 *with some modification as follows: "Increasing REM-AHI severity was significantly*  
14 *associated with metabolic syndrome and diabetes, while hypertension and*  
15 *depression showed no association with REM-SDB. Although the p-value for trend*  
16 *was not significant for depression, the subgroup with the highest severity of REM-*  
17 *SDB (i.e. REM-AHI>20/h) had a trend towards higher odds of depression (OR=2.14*  
18 *[0.99-4.64], p=0.054) when compared to the group with no REM-SDB (i.e. REM-*  
19 *AHI<5/h)."*  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 **REM-associated sleep apnoea: prevalence and clinical significance in the**  
4 **HypnoLaus cohort**  
5

6  
7  
8 Patricia Acosta-Castro<sup>1,2\*</sup>, Camila Hirotsu<sup>1\*</sup>, Helena Marti-Soler<sup>3</sup>, Pedro Marques-Vidal<sup>4</sup>,  
9  
10 Nadia Tobback<sup>1</sup>, Daniela Andries<sup>1</sup>, Gérard Waeber<sup>4</sup>, Martin Preisig<sup>5</sup>, Peter Vollenweider<sup>4</sup>  
11  
12 José Haba-Rubio<sup>1\*\*</sup>, Raphael Heinzer<sup>1\*\*</sup>  
13  
14

15 \*Co-first authors, PA-C and CH contributed equally to this study

16  
17 \*\*Co-last authors, JH-R and RH contributed equally to this study  
18  
19

20  
21  
22 <sup>1</sup> Center for Investigation and Research in Sleep (CIRS), University Hospital of Lausanne,  
23  
24 Lausanne, Switzerland.  
25

26 <sup>2</sup> Pulmonary Department, University Hospital Ramon y Cajal, Madrid, Spain.  
27

28 <sup>3</sup> Institute of Social and Preventive Medicine, University Hospital of Lausanne, Lausanne,  
29  
30 Switzerland.  
31

32 <sup>4</sup> Department of Internal Medicine, University Hospital of Lausanne, Switzerland  
33  
34

35 <sup>5</sup> Psychiatry Department, University Hospital of Lausanne, Switzerland  
36  
37  
38  
39  
40

41 **Correspondence:** Prof. Raphael Heinzer, Center for Investigation and Research in Sleep  
42  
43 and Pulmonary Department, University Hospital of Lausanne and Lausanne University,  
44  
45 1011 Lausanne, Switzerland; [raphael.heinzer@chuv.ch](mailto:raphael.heinzer@chuv.ch).  
46  
47  
48  
49  
50

51  
52 **“Take Home” Message:** REM sleep-disordered breathing is highly prevalent and is  
53  
54 associated with metabolic syndrome and diabetes.  
55  
56  
57  
58  
59  
60

**ABSTRACT**

**Study Objectives:** This study determined the prevalence of rapid eye movement-related sleep-disordered breathing (REM-SDB) in the general population, and investigated the associations of REM-SDB with hypertension, metabolic syndrome, diabetes and depression.

**Methods:** 2074 home polysomnography (PSG) recordings from the population-based HypnoLaus Sleep Cohort (48.3% men, 57±11 years old) were analysed. The apnoea–hypopnoea index was measured during REM (REM-AHI) and non-REM (NREM-AHI) sleep. Regression models were used to explore the association between REM-SDB and hypertension, diabetes, metabolic syndrome and depression in the entire cohort and in subgroups with NREM-AHI<10/h and total AHI<10/h.

**Results:** Prevalence of REM-AHI≥20/h was 40.8% in the entire cohort. An association between increasing REM-AHI and metabolic syndrome was found in the entire cohort and in both subgroups (p-trend=0.014, <0.0001 and 0.015, respectively). An association was also found between REM-AHI≥20/h and diabetes in both NREM-AHI<10/h (OR=3.12 [1.35-7.20]) and AHI<10/h (OR=2.92 [1.12-7.63]) subgroups. Systolic and diastolic blood pressure were positively associated with REM-AHI≥20/h.

**Conclusions:** REM-SDB is highly prevalent in our middle-to-older age sample and is independently associated with metabolic syndrome and diabetes. These findings suggest that an increase in REM-AHI could be clinically relevant.

**Keywords:** REM, sleep apnea, sleep-disordered breathing, metabolic syndrome, diabetes, depression, hypertension.

## Introduction

Sleep-disordered breathing (SDB) is highly prevalent in the general population [1], causing intermittent hypoxaemia, microarousals, sleep fragmentation, and acute changes in blood pressure and heart rate. SDB during rapid eye movement sleep (REM-SDB) is estimated to occur in 10–36% of patients with SDB [2], but its prevalence in the general population is not yet known.

REM-SDB is more common in patients with mild and moderate SDB [3] and has a higher prevalence in younger women than in men [4]. Data about sleepiness and REM-SDB are conflicting, but studies found no association between REM-SDB and daytime sleepiness or reduced quality of life [5-8].

Nocturnal respiratory events are usually more frequent and of longer duration in REM compared with NREM sleep, probably due to greater pharyngeal muscle relaxation [9-11] and a reduction in the hypoxic and hypercapnic ventilatory response throughout REM sleep [12, 13].

Along with intermittent hypoxia, elevated sympathetic activity is thought to be the most important mechanism underlying the increased cardiovascular risk associated with SDB [14]. Compared with NREM sleep, REM sleep is associated with higher sympathetic activity and cardiovascular instability [15-17]. Recent studies have shown an association between REM-SDB and non-dipping nocturnal blood pressure and hypertension [18-20], and REM-SDB has further been reported to have an adverse effect on long-term glycaemic control and insulin resistance [21, 22]. However, the specific impact of REM-SDB on cardiovascular risk factors and psychiatric comorbidities is not yet known.

This study evaluated the prevalence of REM-SDB in the general population and investigated the associations between REM-SDB and cardiovascular, metabolic and psychiatric comorbidities.

## Methods

### *Population sample*

The HypnoLaus Sleep Cohort study has been described previously [1]. It included a random subset of the population-based CoLaus/PsyCoLaus cohort [23, 24] who underwent full polysomnography (PSG) at home and answered questionnaires about their sleep complaints, including the Epworth Sleepiness Scale (ESS) [25]. The ethics committee of the University of Lausanne approved the CoLaus/PsyCoLaus cohort study and the HypnoLaus Sleep Cohort study. Written informed consent was obtained from all participants.

### *Sleep data analysis*

PSG was performed by certified technicians who equipped participants with a polysomnographic recorder (Titanium, Embla Flaga, Reykjavik, Iceland) in accordance with 2007 American Academy of Sleep Medicine (AASM) recommended setup specifications [26] at the Center for Investigation and Research in Sleep (CIRS) at the University Hospital of Lausanne. All PSGs took place in the patients' home environment. Sleep stages were scored in 30-second epochs according to the 2007 AASM criteria [27]. Apnoeas, hypopnoeas, and respiratory effort-related arousals were scored according to the 2012 AASM criteria [28].

The average number of apnoeas-hypopnoeas per hour of sleep (apnoea-hypopnoea index [AHI]) was calculated for the whole night, and for REM and NREM sleep separately. Percentage of total sleep time (TST) with oxygen saturation below 90% (T90) and the number of  $\geq 3\%$  oxygen desaturations per hour (oxygen desaturation index [ODI]) were assessed.

Quality control for concordance between the two PSG scorers was implemented periodically to ensure that both achieved at least 90% agreement for sleep stages and

1  
2  
3 respiratory events, and 85% agreement for arousals. An expert sleep clinician reviewed  
4 every recording and a second sleep expert performed quality checks. We asked individuals  
5 who were currently receiving treatment for SDB (n=38) to discontinue their treatment 1  
6 week before the sleep recording.  
7  
8  
9  
10

### 11 12 13 *Outcome variables*

14  
15  
16 Body weight and height were measured with participants standing without shoes in  
17 light indoor clothes. Body weight was measured in kilograms to the nearest 100g using a  
18 Seca<sup>®</sup> scale, which was calibrated regularly. Height was measured to the nearest 5 mm  
19 using a Seca<sup>®</sup> height gauge. Body mass index (BMI) was calculated as weight (kg)/height  
20 (m<sup>2</sup>).  
21  
22  
23  
24  
25

26  
27 Waist was measured with a non-stretchable tape over the unclothed abdomen at the  
28 narrowest point between the lowest rib and the iliac crest. Hip was measured at the largest  
29 part of the hips. For waist and hip, two measures were made and the mean (expressed in  
30 centimeters) was used to assess waist-to-hip ratio (WHR). Neck circumference was  
31 measured at the middle of the neck between the mid-cervical spine and superior line of the  
32 cricothyroid membrane.  
33  
34  
35  
36  
37  
38

39  
40 Blood pressure was measured thrice on the left arm in the morning and the average  
41 of the last two readings was considered. Arterial hypertension was defined as systolic blood  
42 pressure  $\geq 140$  mmHg, diastolic blood pressure  $\geq 90$  mmHg, or current use of  
43 antihypertensive drugs. Diabetes was defined as fasting blood glucose  $\geq 7$  mmol/L or  
44 current antidiabetic drug treatment. Metabolic syndrome was defined according to the Adult  
45 Treatment Panel III (ATP-III) report [29]. Smoking status, alcohol consumption and the  
46 number of alcoholic drinks taken before the PSG recording and weekly were self-reported.  
47  
48  
49  
50  
51  
52  
53  
54  
55 The semi-structured Diagnostic Interview for Genetic Studies (DIGS) was used to diagnose  
56  
57  
58  
59  
60



1  
2  
3 current major depressive disorder, which was defined according to criteria of the Diagnostic  
4 and Statistical Manual of Mental Disorders, 4<sup>th</sup> edition (DSM-IV) [30]. Interviewers were  
5 required to be masters-level psychologists, and were trained over a two-month period.  
6  
7 During data collection, each interview was reviewed by an experienced senior clinical  
8 psychologist. The DIGS interview systematically assesses the last and the most severe  
9 depressive episodes.  
10  
11  
12  
13  
14  
15  
16  
17

### 18 *Statistical analysis*

19  
20 All statistical analyses were performed with IBM SPSS version 21.0 (IBM  
21 Corporation, Armonk, NY, USA). Bivariate analyses were performed using Chi-squared test  
22 for categorical variables and Kruskal-Wallis for continuous variables. Pairwise comparisons  
23 were performed using Mann-Whitney test with Bonferroni's correction for p-value. Logistic  
24 regression models were used to estimate the association between REM-SDB and the  
25 presence of hypertension, diabetes, metabolic syndrome and depression. REM-AHI was  
26 classified into four severity categories (REM-AHI <5/h [reference group]; 5–9.9/h; 10–  
27 19.9/h; and ≥20/h) for the primary analysis (according to previous results of the HypnoLaus  
28 cohort) and used as continuous variable for a sensitivity analysis. A linear regression model  
29 was also used to assess the association between REM-AHI (as continuous and dummy  
30 variable) and diastolic and systolic blood pressure.  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43

44 Analyses were performed on the entire cohort and in two subgroups: one restricted  
45 to subjects with a total AHI<10/h (absent or mild SDB), and another in those with NREM-  
46 AHI<10/h (exclusive REM-SDB). For hypertension, diabetes and metabolic syndrome, the  
47 models were adjusted for age, sex, BMI, WHR, TST, logarithme of NREM-AHI (log-NREM-  
48 AHI), smoking and alcohol consumption. An additional adjustment for antihypertensive  
49 treatment was added when SBP and DBP were used as outcome variables in the linear  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 regression models. For depression, the model was adjusted for age, sex, and consumption  
4 of benzodiazepines and antidepressants. Results were expressed as odds ratio (OR) and  
5 95% confidence interval. Statistical significance was considered for a two-sided test  $p < 0.05$   
6 and  $p < 0.008$  for multiple comparisons in univariate analysis.  
7  
8  
9

## 10 11 **Results**

### 12 *Study population*

13  
14  
15 Of the 2168 subjects (48.3% men,  $59 \pm 11$  y.o, range 40-85, body mass index  $25.6 \pm$   
16  $4.1$  kg/m<sup>2</sup>) who underwent complete PSG at home, 60 (3%) had technical problems, 54  
17 underwent a second recording and six subjects refused resulting in 2162 valid PSG  
18 recordings. Of these, 41 with less than four hours of TST were excluded to avoid the risk of  
19 unbalanced representation of different sleep stages. We also excluded 47 patients with <30  
20 minutes of REM sleep to allow a proper assessment of REM sleep [18, 19]. Therefore,  
21 2074 PSG recordings were included in the analysis. Clinical and polysomnographic  
22 characteristics of the total sample are shown in Table 1.  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32

### 33 34 35 *REM-SDB in the entire cohort*

36  
37 The overall prevalence of moderate-to-severe REM-SDB (REM-AHI  $\geq 20$ /h) was  
38 40.8% in HypnoLaus middle-to-older age general population sample. As REM-AHI  
39 increased, there was a corresponding increase in mean age, BMI, WHR and neck  
40 circumference as well as in the prevalence of hypertension, diabetes and metabolic  
41 syndrome (Table 1). Patients in the higher REM-AHI severity categories had lower TST,  
42 and lower proportions of slow wave sleep (SWS) and REM sleep. They also had higher ODI  
43 and arousal index and spent more time with oxygen saturation <90% (T90), but there was  
44 no difference in the ESS score between the REM-SDB severity categories.  
45  
46  
47  
48  
49  
50  
51  
52  
53

54  
55 Figure 1 shows the results for the association of REM-SDB with metabolic syndrome,  
56  
57  
58  
59  
60

1  
2  
3 diabetes, hypertension and depression. REM-AHI categories of 5-9.9/h (OR=1.78 [1.13-  
4 2.81], p=0.013), 10-19.9/h (OR=1.69 [1.12-2.57], p=0.013), and  $\geq 20$ /h (OR=1.94 [1.29-  
5 2.92], p=0.001) were independently associated with metabolic syndrome, but not diabetes  
6 and depression. Although we found no association between hypertension and REM-SDB,  
7 there was a significant association of REM-AHI $\geq 20$ /h with both systolic and diastolic blood  
8 pressure (Table 4).  
9  
10  
11  
12  
13  
14  
15  
16  
17

#### 18 *NREM-AHI <10/h (exclusive REM-SDB)*

19

20 A subgroup of 1241 subjects (59.8%) with NREM-AHI<10/h was analysed to better  
21 define the specific influence of REM-SDB (Table 2). In this subgroup, the prevalence of  
22 moderate-to-severe REM-SDB (REM-AHI $\geq 20$ /h) was 21.2% (n=263). As in the overall  
23 analysis, patients in the highest REM-AHI severity categories were older and had higher  
24 BMI, WHR and neck circumference, and a higher prevalence of hypertension, diabetes and  
25 metabolic syndrome.  
26  
27  
28  
29  
30  
31  
32

33 TST and REM sleep time were reduced only in the REM-AHI $\geq 20$ /h group, while  
34 arousal index, ODI and T90 was increased in all REM-SDB subgroups. No significant  
35 differences were found in SWS time and ESS score among the REM-SDB severity  
36 categories.  
37  
38  
39  
40

41 The same multivariate models were applied to this subgroup (Figure 2). **Increasing**  
42 **REM-AHI severity was significantly associated with metabolic syndrome and diabetes,**  
43 **while hypertension and depression showed no association with REM-SDB. Although the p-**  
44 **value for trend was not significant for depression, the subgroup with the highest severity of**  
45 **REM-SDB (i.e. REM-AHI>20/h) had a trend towards higher odds of depression (OR=2.14**  
46 **[0.99-4.64], p=0.054) when compared to the group with no REM-SDB (i.e. REM-AHI<5/h).**  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

### *Total AHI<10/h (absent or mild SDB)*

A second subgroup of 1047 subjects (50.5%) with total AHI<10/h was analysed to evaluate the prevalence and significance of REM-SDB in subjects with absent or mild SDB (Table 3). In this subgroup the prevalence of moderate-to-severe REM-SDB (REM-AHI $\geq$ 20/h) was 9.1% (n=95). As observed in the exclusive REM-SDB subgroup and the overall population, increasing REM-AHI severity was associated with higher mean age, BMI, WHR, neck circumference, and a higher prevalence of metabolic syndrome, diabetes and hypertension in univariate analysis.

Only the REM-AHI $\geq$ 20/h group presented lower TST and REM sleep time compared to the other groups, while all REM-SDB subgroups presented increased arousal index compared to REM-AHI<5/h. Subjects with higher REM-AHI showed increased ODI and T90. No significant differences were found in SWS time and ESS score across the REM-AHI categories.

Figure 3 shows the results of the logistic regression models applied to this subgroup. There was a significant association of moderate-to-severe REM-SDB with both metabolic syndrome and diabetes, but not hypertension or depression.

We performed the same analysis using REM-AHI as continuous variable instead of REM-AHI categories with the same covariables previously described. Using these models, we also found significant associations between REM-AHI and metabolic syndrome in the entire cohort and the two subgroups, and with diabetes in both NREM-AHI<10/h and AHI<10/h subgroups (Table S1). However, no association was significant for hypertension and depression.

## **Discussion**

To our knowledge, this is the first study demonstrating an independent association of

1  
2  
3 REM-SDB with metabolic syndrome and diabetes in the general population. We also  
4  
5 showed in this analysis that the prevalence of moderate-to-severe REM-SDB (REM-  
6  
7 AHI $\geq$ 20/h) in this middle to older age general population sample was 40.6%, and that nearly  
8  
9 10% of patients with a global AHI of <10/h have moderate-to-severe REM-SDB. These  
10  
11 findings may have important implications for routine clinical practice in sleep medicine  
12  
13 because they suggest that REM-AHI may need to be considered independently from global  
14  
15 AHI when interpreting PSG results in patients at risk for metabolic dysfunction.  
16  
17  
18  
19

### 20 *REM-SDB and metabolic syndrome*

21  
22 Several studies have shown a relationship between SDB and metabolic syndrome [1,  
23  
24 31, 32], but none of them assessed the relationship with REM-SDB. In the present study,  
25  
26 there was a clear and independent association between increasing REM-AHI severity and  
27  
28 the presence of metabolic syndrome. This association was found in the whole sample as  
29  
30 well as in subjects with absent or mild SDB (AHI<10/h) and in those with exclusive REM-  
31  
32 SDB (NREM-AHI<10/h). This suggests that apnoeas and hypopnoeas occurring during  
33  
34 REM sleep may have a specific association with the metabolic syndrome.  
35  
36  
37  
38  
39

### 40 *REM-SDB and diabetes*

41  
42 Previous studies showed an association between REM-AHI severity and increasing  
43  
44 levels of glycosylated haemoglobin (HbA1c) in patients with type 2 diabetes (T2DM) and  
45  
46 with insulin resistance [21, 22]. In the present study we found a significant and independent  
47  
48 association between diabetes and REM-SDB in both subgroups with NREM-AHI<10/h and  
49  
50 AHI<10/h. Recently, Mokhlesi et al described an improvement in glycaemic control in  
51  
52 patients with T2DM and SDB after one week of 8-hour nightly continuous positive airway  
53  
54 pressure (CPAP) [33]. However, another study, in which CPAP was used for a mean of 4.3  
55  
56  
57  
58  
59  
60

1  
2  
3 hours per night, showed no significant improvement in glycaemic control in patients with  
4 T2DM and SDB [34]. The better results obtained by Mokhlesi and colleagues could be  
5 related to the longer duration of CPAP usage resulting in better control of REM-SDB,  
6 because REM sleep mainly occurs toward the end of the night. We can thus speculate that  
7 the negative results reported by previous studies with shorter CPAP usage (usually limited  
8 to the first hours of the night) may be due to insufficient treatment of REM-SDB in the  
9 second part of the night. The importance of longer nightly CPAP use was also recently  
10 suggested by the results of the SAVE study where a significant decrease in  
11 cerebrovascular events was present only in patients with moderate-to-severe sleep apnoea  
12 and coronary or cerebrovascular disease who used CPAP for more than four hours per  
13 night [34, 35]. It is however unclear why this association was found mainly in the group  
14 with NREM-AHI<10 in our study.  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27

28  
29 Different hypotheses can be proposed regarding the underlying mechanisms of the  
30 associations between REM-SDB and metabolic syndrome or diabetes. First, it is well  
31 established that respiratory events occurring during REM sleep have a longer duration and  
32 generate greater oxygen desaturations compared to NREM events [9-11]. This may trigger  
33 increased oxidative stress compared with other respiratory events, which could promote  
34 metabolic syndrome and diabetes. Acute intermittent hypoxia was also shown to acutely  
35 increase insulin resistance in healthy volunteers [36]. In addition, compared to NREM sleep,  
36 sympathetic activity is greater during REM sleep and most endocrine organs implicated in  
37 glucose metabolism are sensitive to changes in sympathovagal balance [37-39].  
38 Furthermore, SDB in REM reversed the physiological nocturnal decline of interstitial  
39 glucose concentration (IGC), while NREM-SDB had no effect on IGC [40]. Lastly, nocturnal  
40 hyperglycaemia associated with SDB in patients with diabetes was shown to be specifically  
41 accentuated during REM sleep [41].  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

### *REM-SDB and hypertension*

We previously reported a significant association between SDB severity and hypertension in the population-based HypnoLaus sleep cohort [1]. Considering that two studies have shown a specific association between REM-SDB and increased incident hypertension [18, 19], we tested this association in our sample using a cross-sectional analysis. Surprisingly, there was no significant association between REM-SDB and hypertension in the whole sample nor in the subgroups with REM-AHI<10/h or global AHI<10/h. The reason for these differences between our and previous studies is unclear. In the Wisconsin cohort, the scoring of hypopnoeas required a 4% oxygen desaturation, which may have selected more severe respiratory events [42]. However, the MAILES study, which also found a significant association between REM-SDB and hypertension, used the currently recommended 3% criteria for scoring hypopnoeas [19]. Another difference is that the MAILES study included only males, whereas we included both genders in our analysis. However, this is unlikely to explain the lack of association we found because our models were also negative when we restricted the analysis to men (data not shown). The analysis by Mokhlesi and colleagues on the Wisconsin cohort used 24-hour blood pressure monitoring while we used three measurements in the morning. Although this is a potential source of difference between the two studies, the MAILES study used the same technique as in the present study and found a significant association between REM-SDB and hypertension. When using blood pressure as a continuous dependent variable, there was however a significant positive association between moderate-to-severe REM-AHI and both systolic and diastolic blood pressure. These findings appear to suggest a possible positive association between REM-SDB and blood pressure.

### *REM-SDB and depression*

We did not find a significant association between depression and REM-SDB, besides a trend in the NREM-AHI<10/h subgroup. Our group and others have previously shown that patients with SDB are at higher risk of depressive disorders [1, 43-45] and have a greater prevalence of other psychiatric comorbidities [46-48]. However, the mechanisms underlying the possible association between REM-SDB and depression are not clear. Oxygen desaturation and hypoxia during sleep have been proposed as potential mechanisms for this association because interventional studies using oxygen or CPAP therapies [49, 50] found that reversing hypoxaemia in SDB improved mood disorders. Moreover, due to its likely role in emotion processing, REM sleep fragmentation could have a negative impact on mood [51]. However, we did not find an independent association of depression with ODI, T90, and arousal index (data not shown).

### *Strengths and limitations*

The main strength of this study is the inclusion of a large sample representative of the general population and the extensive phenotyping of participants, which allowed the creation of models controlling for the main confounding factors for each analysed outcome. However, our study also has limitations that need to be acknowledged. First, the cross-sectional design does not allow any causality relationships to be determined. Second, the study population was aged between 40 and 85 years and essentially of white European origin with a low prevalence of obesity. Thus, generalizability of our findings to younger, more obese populations of different ethnicity is not possible. Lastly, we did not use the dichotomized definition of REM-SDB proposed by others [2-4]. However, we believe that the use of REM-AHI severity categories allows more precise analysis than a dichotomous classification.



1  
2  
3 In conclusion, our findings show that moderate-to-severe REM-SDB is highly  
4 prevalent in the general population, even in individuals classified as having absent or mild  
5 SDB, and that REM-SDB is independently associated with important cardiovascular risk  
6 factors such as metabolic syndrome and diabetes. Because CPAP use is often limited to  
7 the first part of the night (leaving the most REM-SDB untreated), our results strengthen the  
8 concept that patients should be encouraged to use CPAP for the whole night to obtain  
9 maximum benefit.  
10  
11  
12  
13  
14  
15  
16

### 17 **Financial support**

18  
19  
20 The Faculty of Biology and Medicine of Lausanne, the Lausanne University Hospital  
21 (CHUV), Leenaards Foundation, and Ligue Pulmonaire Vaudoise funded the salary of the  
22 technicians who did the sleep recordings. The Swiss National Science Foundation funded  
23 the statisticians and supported the initial CoLaus/PsyCoLaus cohort. GlaxoSmithKline  
24 supported the initial CoLaus/PsyCoLaus cohort and funded the polysomnography recorders.  
25  
26 The funders of the study had no role in study design, data collection, data analysis, data  
27 interpretation, or writing of the report. The corresponding author had full access to all data  
28 in the study and had final responsibility for the decision to submit for publication.  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**Table 1.** Subject's characteristics in the entire cohort based on REM-AHI severity.

REM-AHI severity categories in the entire cohort						
	All	<5/h	5-9.9/h	10-19.9/h	≥20/h	p-value
Number of subjects, % (n)	100 (2074)	22.0 (456)	16.0 (331)	21.3 (441)	40.8 (846)	
Female, % (n)	52.0 (1079)	69.7 (318)*	57.1 (189)*	49.9 (220)	41.6 (352)	<0.0001
Age, years, Median (IQR)	56.3 (48.5 - 66.2)	51.3 (45.6 - 59.6)	54.1 (47.0 - 63.1)*	55.9 (48.9 - 65.7)*	60.6 (52.5 - 68.4)**#	<0.0001
BMI, kg/m <sup>2</sup> , Median (IQR)	25.7 (23.2 - 28.5)	23.7 (21.5 - 26.3)	24.2 (22.1 - 26.5)	25.6 (23.3 - 27.9)*+	27.4 (25.0 - 30.4)**#	<0.0001
WHR, Median (IQR)	0.92 (0.87 - 0.97)	0.89 (0.84 - 0.94)	0.90 (0.85 - 0.95)	0.91 (0.87 - 0.97)*+	0.94 (0.90 - 0.98)**#	<0.0001
Neck circumference, Median (IQR)	37.0 (33.5 - 39.8)	34.0 (32.0 - 37.5)	35.0 (33.0 - 38.5)	37.0 (34.0 - 39.0)*+	38.0 (35.0 - 41.0)**#	<0.0001
Hypertension, % (n)	40.8 (846)	24.3 (111)	34.7 (115)	37.4 (165)	53.9 (455)*	<0.0001
Type 2 diabetes, % (n)	9.7 (200)	3.3 (15)	5.4 (18)	6.8 (30)	16.2 (137)*	<0.0001
Metabolic syndrome, % (n)	30.0 (623)	12.3 (56)	19.3 (64)	27.2 (120)	45.3 (383)	<0.0001
Depression, % (n)	6.2 (107)	6.3 (23)	4.6 (13)	6.6 (25)	6.6 (46)	0.668
Antihypertensive medication, % (n)	25.7 (532)	14.5 (66)	19.9 (66)	21.5 (95)	36.1 (305)*	<0.0001
Antidepressant medication, % (n)	5.7 (115)	7.1 (31)	3.4 (11)	4.6 (20)	6.4 (53)	0.096
Benzodiazepines, % (n)	8.4 (171)	7.4 (33)	8.5 (28)	8.1 (35)	8.9 (75)	0.815
Current smoking, %, (n)	18.5 (379)	20.3 (92)	18.3 (60)	17.8 (78)	17.9 (149)	0.063
Alcohol consumption before PSG, mean (SD)	0.45 (0.89)	0.42 (0.82)	0.43 (0.82)	0.40 (0.88)	0.49 (0.93)	0.276
Alcohol consumption weekly, mean (SD)	6.5 (7.9)	5.5 (7.2)	5.8 (6.9)	6.7 (8.2)	7.1 (8.4)*	0.007
TST, min, Median (IQR)	406.5 (364.0 - 449.6)	409.2 (370.6 - 453.5)	411.5 (370.5 - 454.0)	415.0 (367.3 - 457.3)	397.5 (353.9 - 441.5)**#	<0.0001
TST in supine, min, Median (IQR)	120.4 (53.4 - 196.1)	105.4 (49.0 - 174.3)	125.6 (62.5 - 194.6)*	127.5 (58.4 - 187.0)	122.6 (49.6 - 209.3)	0.040
REM time in supine, min, Median (IQR)	20.0 (2.0 - 44.2)	17.1 (0 - 42.2)	20.0 (3.1 - 47.0)	20.5 (2.1 - 40.5)	21.4 (2.9 - 46.4)	0.094
REM time, % of TST, Median (IQR)	22.5 (18.6 - 26.1)	23.6 (19.9 - 27.0)	23.0 (19.9 - 26.3)	22.9 (19.3 - 26.2)	21.1 (17.2 - 25.4)**#	<0.0001
SWS time, % of TST, Median (IQR)	19.4 (14.2 - 25.0)	20.8 (16.3 - 25.8)	20.0 (15.3 - 25.6)	19.8 (14.3 - 25.7)	18.0 (12.5 - 23.9)**#	<0.0001
Arousal index, events/h, Median (IQR)	18.7 (13.8 - 25.9)	14.2 (10.4 - 19.7)*	17.1 (13.6 - 22.0)*	18.1 (14.1 - 23.8)*	23.2 (16.6 - 31.1)**#	<0.0001
Total AHI, events/h, Median (IQR)	9.8 (4.2 - 20.1)	2.0 (1.0 - 4.8)	4.3 (2.9 - 8.1)*	9.0 (6.0 - 13.5)*+	21.4 (13.9 - 34.5)**#	<0.0001
NREM-AHI, events/h, Median (IQR)	7.4 (2.3 - 17.2)	1.7 (0.6 - 5.0)	3.4 (1.4 - 7.8)*	6.6 (3.0 - 12.0)*+	16.6 (8.3 - 30.1)**#	<0.0001
REM-AHI, events/h, Median (IQR)	15.3 (5.7 - 30.3)	2.2 (0.9 - 3.4)	7.1 (6.0 - 8.6)*	14.5 (12.4 - 16.8)*+	34.4 (25.7 - 46.8)**#	<0.0001
REM-AHI non-supine, events/h, Median (IQR)	8.8 (2.6 - 23.0)	1.3 (0 - 2.7)	5.1 (2.2 - 7.3)*	10.4 (6.2 - 14.3)*+	26.7 (18.2 - 40.9)**#	<0.0001
REM-AHI supine, events/h, Median (IQR)	27.9 (8.4 - 52.7)	2.7 (0 - 6.2)	10.8 (7.0 - 23.4)*	25.1 (16.4 - 42.5)*+	52.2 (37.5 - 68.6)**#	<0.0001
ODI 3%, events/h, Median (IQR)	9.9 (4.3 - 19.0)	2.4 (1.0 - 5.5)	4.7 (3.0 - 9.0)*	8.7 (5.6 - 14.3)*+	19.8 (12.9 - 30.9)**#	<0.0001
T90, % of TST, mean (SD)	4.1 (12.3)	7.2 (1.1)	9.3 (1.4)*	13.0 (3.5)*+	14.3 (7.0)**#	<0.0001
ESS score, Median (IQR)	6.0 (3.0 - 9.0)	6.0 (3.0 - 8.0)	5.0 (3.0 - 9.0)	6.0 (3.8 - 8.0)	6.0 (3.0 - 9.0)	0.690

Definition of abbreviations: AHI = apnoea-hypopnoea index; BMI = body mass index; ESS = Epworth Sleepiness Scale; IQR = interquartile range; Min = minutes; NREM = non-rapid eye movement; ODI = oxygen desaturation index per hour of 3% or greater; PSG = polysomnography; REM = rapid eye movement; SD = standard deviation; SWS = slow wave sleep; T90 = percentage of total sleep time with oxygen saturation below 90%; TST = total sleep time; WHR = waist-to-hip ratio. Alcohol consumption = mean consumption of standard drink containing 10 g of alcohol.

Data analyzed by Pearson's chi-square or Kruskal-Wallis followed by Mann-Whitney pairwise comparisons. \*p<0.008 compared to <5/h; #p<0.008 compared to 10-19.9/h; +p<0.008 compared to 5-9.9/h. Number of participants with missing data: alcohol consumption before PSG (31), antidepressant medication (49), benzodiazepines (27), BMI (12), smoking (22), depression (353), diabetes (2), hypertension (2), neck circumference (57), REM-AHI non-supine (89), REM-AHI supine (394), REM time in supine (1), T90 (28), WHR (2).

**Table 2.** Patient characteristics in NREM-AHI<10 subgroup based on REM-AHI severity.

REM-AHI severity categories in NREM-AHI<10 subgroup						
	All	<5/h	5-9.9/h	10-19.9/h	≥20/h	p-value
Number of subjects, % (n)	100 (1241)	33.2 (412)	22.2 (275)	23.4 (291)	21.2 (263)	
Female, % (n)	63.7 (790)	73.5 (303)*	60.7 (167)	58.8 (171)	56.7 (149)	<0.0001
Age, years, Median (IQR)	53.5 (46.7 - 63.2)	50.5 (45.3 - 58.4)	53.8 (46.5 - 62.7)*	54.4 (48.0 - 64.7)*	57.7 (49.2 - 66.7)* <sup>+</sup>	<0.0001
BMI, kg/m <sup>2</sup> , Median (IQR)	24.7 (22.3 - 27.3)	23.4 (21.3 - 26.1)	23.9 (21.9 - 26.2)	25.4 (22.9 - 27.5)* <sup>+</sup>	26.4 (24.3 - 29.5)* <sup>##</sup>	<0.0001
WHR, Median (IQR)	0.90 (0.85 - 0.95)	0.89 (0.84 - 0.93)	0.89 (0.84 - 0.94)	0.90 (0.86 - 0.95)*	0.92 (0.87 - 0.96)* <sup>+</sup>	<0.0001
Neck circumference, Median (IQR)	35.0 (33.0 - 38.0)	34.0 (32.0 - 37.0)	35.0 (33.0 - 38.0)*	36.0 (33.0 - 38.0)*	36.5 (34.5 - 39.0)* <sup>##</sup>	<0.0001
Hypertension, % (n)	32.1 (398)	22.3 (92)	32.7 (90)	33.7 (98)	45.0 (118)*	<0.0001
Type 2 diabetes, % (n)	5.4 (67)	2.2 (9)	3.6 (10)	5.5 (16)	12.2 (32)*	<0.0001
Metabolic syndrome, % (n)	20.7 (257)	10.7 (44)	17.1 (47)	22.0 (64)	38.8 (102)*	<0.0001
Depression, % (n)	7.0 (72)	6.6 (22)	5.4 (13)	7.3 (18)	8.8 (19)	0.547
Antihypertensive medication, % (n)	18.7 (232)	11.9 (49)	18.5 (51)	18.6 (54)	29.7 (78)	<0.0001
Antidepressant medication, % (n)	4.7 (57)	6.8 (27)	3.7 (10)	4.2 (12)	3.1 (8)	0.100
Benzodiazepines, % (n)	7.5 (92)	6.2 (25)	9.2 (25)	5.9 (17)	9.6 (25)	0.192
Current smoking, %, (n)	20.0 (246)	20.3 (83)	19.5 (53)	19.7 (57)	20.3 (53)	0.832
Alcohol consumption before PSG, mean (SD)	0.41 (0.84)	0.40 (0.79)	0.45 (0.90)	0.34 (0.76)	0.47 (0.93)	0.422
Alcohol consumption weekly, mean (SD)	5.7 (7.3)	5.3 (7.1)	5.8 (7.1)	6.1 (7.2)	5.9 (7.8)	0.380
TST, min, Median (IQR)	409.5 (367.0 - 453.5)	411.3 (372.0 - 455.1)	411.9 (366.5 - 456.5)	419.0 (374.5 - 458.3)	394.5 (351.5 - 438.5)* <sup>##</sup>	<b>0.001</b>
TST in supine, min, Median (IQR)	122.3 (55.6 - 192.0)	110.0 (53.0 - 183.6)	133.0 (62.5 - 198.5)	128.9 (56.9 - 185.5)	128.8 (47.1 - 212.4)	0.148
REM time in supine, min, Median (IQR)	94.5 (76.3 - 114.0)	21.2 (0.5 - 46.5)	23.6 (6.0 - 51.5)	25.0 (7.0 - 46.5)	28.5 (7.5 - 52.0)*	<b>0.028</b>
REM time, % of TST, Median (IQR)	23.4 (19.7 - 26.8)	23.8 (20.3 - 27.2)	23.5 (20.2 - 26.3)	23.3 (19.4 - 26.8)	22.3 (17.8 - 26.2)*	<b>0.018</b>
SWS time, % of TST, Median (IQR)	20.8 (16.1 - 26.0)	21.0 (16.7 - 26.0)	20.3 (15.6 - 25.9)	20.9 (15.7 - 25.9)	20.9 (16.0 - 26.1)	0.686
Arousal index, Median (IQR)	15.3 (11.8 - 20.5)	13.8 (10.1 - 18.5)	16.5 (12.8 - 21.4)*	15.7 (12.9 - 20.5)*	16.5 (12.5 - 22.6)*	<0.0001
Total AHI, events/h, Median (IQR)	5.3 (2.4 - 8.7)	1.8 (0.9 - 3.4)	3.7 (2.8 - 6.0)*	6.6 (5.2 - 9.0)* <sup>+</sup>	11.1 (8.9 - 13.5)* <sup>##</sup>	<0.0001
NREM-AHI, events/h, Median (IQR)	3.1 (1.3 - 6.1)	1.4 (0.5 - 3.5)	2.6 (1.2 - 5.2)*	4.2 (2.0 - 6.5)* <sup>+</sup>	5.6 (3.5 - 7.7)* <sup>##</sup>	<0.0001
REM-AHI, events/h, Median (IQR)	8.6 (3.4 - 17.8)	2.1 (0.9 - 3.4)	7.1 (6.0 - 8.5)*	14.2 (12.2 - 16.5)* <sup>+</sup>	28.2 (13.5 - 30.2)* <sup>##</sup>	<0.0001
REM-AHI non-supine, events/h, Median (IQR)	4.7 (1.3 - 12.5)	1.2 (0 - 2.6)	5.0 (2.2 - 7.2)*	10.0 (5.6 - 14.0)* <sup>+</sup>	22.2 (23.5 - 35.3)* <sup>##</sup>	<0.0001
REM-AHI supine, events/h, Median (IQR)	15.0 (4.3 - 33.3)	2.7 (0 - 5.9)	10.6 (6.9 - 20.5)*	23.0 (15.9 - 37.4)* <sup>+</sup>	41.2 (31.3 - 53.6)* <sup>##</sup>	<0.0001
ODI 3%, events/h, Median (IQR)	5.3 (2.6 - 9.0)	2.0 (1.0 - 4.2)	4.2 (2.7 - 6.2)*	6.6 (4.7 - 8.9)* <sup>+</sup>	11.0 (8.8 - 14.2)* <sup>##</sup>	<0.0001
T90, % of TST, mean (SD)	2.5 (11.1)	1.1 (7.6)	1.5 (10.1)	2.9 (13.7)* <sup>+</sup>	5.2 (13.0)* <sup>##</sup>	<0.0001
ESS score, Median (IQR)	6.0 (3.0 - 9.0)	6.0 (3.0 - 8.0)	5.0 (3.0 - 9.0)	6.0 (3.3 - 8.0)	6.0 (4.0 - 9.0)	0.344

Definition of abbreviations: AHI = apnoea-hypopnoea index; BMI = body mass index; ESS = Epworth Sleepiness Scale; IQR = interquartile range; Min = minutes; NREM = non-rapid eye movement; ODI = oxygen desaturation index per hour of 3% or greater; PSG = polysomnography; REM = rapid eye movement; SD = standard deviation; SWS = slow wave sleep; T90 = percentage of total sleep time with oxygen saturation below 90%; TST = total sleep time; WHR = waist-to-hip ratio. Alcohol consumption = mean consumption of standard drink containing 10 g of alcohol.

Data analyzed by Pearson's chi-square or Kruskal-Wallis followed by Mann-Whitney pairwise comparisons. \*p<0.008 compared to <5/h; #p<0.008 compared to 10-19.9/h; +p<0.008 compared to 5-9.9/h.

Number of participants with missing data: alcohol consumption before PSG (20), antidepressant medication (28), benzodiazepines (16), BMI (3), smoking (9), depression (206), hypertension (1), neck circumference (35), REM-AHI non-supine (44), REM-AHI supine (213), T90 (14), WHR (1).

**Table 3.** Patient characteristics in AHI<10 subgroup based on REM-AHI severity.

	REM-AHI severity categories in AHI<10 subgroup					p-value
	All	<5/h	5-9.9/h	10-19.9/h	≥20/h	
Number of subjects, % (n)	100 (1047)	40 (419)	26.3 (275)	24.6 (258)	9.1 (95)	
Female, % (n)	65.2 (683)	72.8 (305)*	61.5 (169)	59.3 (153)	58.9 (56)	<0.0001
Age, years, Median (IQR)	52.8 (46.2 - 62.4)	50.5 (45.4 - 58.5)	53.8 (46.5 - 63.0)*	54.4 (48.0 - 64.3)*	55.0 (47.2 - 66.1)*	<0.0001
BMI, kg/m <sup>2</sup> , Median (IQR)	24.3 (21.9 - 26.8)	23.4 (21.3 - 26.1)	24.0 (21.9 - 26.3)	25.3 (22.7 - 27.3)* <sup>†</sup>	26.3 (23.6 - 30.4)* <sup>†#</sup>	<0.0001
WHR, Median (IQR)	0.90 (0.85 - 0.94)	0.89 (0.84 - 0.94)	0.89 (0.84 - 0.94)	0.90 (0.86 - 0.95)*	0.92 (0.87 - 0.97)* <sup>†</sup>	<0.0001
Neck circumference, Median (IQR)	35.0 (33.0 - 38.0)	34.0 (32.0 - 37.0)*	35.0 (33.0 - 38.0)*	35.5 (33.0 - 38.0)*	36.0 (34.4 - 39.0)* <sup>†</sup>	<0.0001
Hypertension, % (n)	29.8 (312)	22.9 (96)	32.4 (89)	33.7 (87)	42.1 (40)*	<0.0001
Type 2 diabetes, % (n)	4.3 (45)	2.4 (10)	3.6 (10)	5.4 (14)	11.6 (11)*	0.001
Metabolic syndrome, % (n)	17.9 (187)	11.5 (48)	17.1 (47)	21.3 (55)	17.9 (187)*	<0.0001
Depression, % (n)	6.4 (56)	6.5 (22)	5.4 (13)	7.2 (16)	6.3 (5)	0.887
Antihypertensive medication, % (n)	16.9 (177)	12.2 (51)	18.2 (50)	19.0 (49)	28.4 (27)*	0.001
Antidepressant medication, % (n)	4.9 (50)	6.9 (28)	3.7 (10)	4.3 (11)	1.1 (1)	0.058
Benzodiazepines, % (n)	7.7 (79)	6.1 (25)	9.2 (25)	6.3 (16)	13.8 (13)*	0.045
Current smoking, %, (n)	20.9 (217)	20.7 (86)	19.5 (53)*	21.8 (56)*	23.2 (22)* <sup>†</sup>	0.858
Alcohol consumption before PSG, mean (SD)	0.40 (0.81)	0.39 (0.78)	0.45 (0.90)	0.35 (0.79)	0.41 (0.78)	0.511
Alcohol consumption weekly, mean (SD)	5.7 (7.2)	5.4 (7.3)	5.8 (7.1)	6.0 (7.3)	5.6 (6.7)	0.497
TST, min, Median (IQR)	411.0 (368.5 - 454.0)	410.5 (372.0 - 455.5)	411.5 (366.5 - 458.0)	418.8 (371.9 - 353.0)	391.5 (353.0 - 420.4)* <sup>†#</sup>	0.002
TST in supine, min, Median (IQR)	121.2 (55.4 - 190.0)	109.9 (49.3 - 177.5)	133.0 (62.5 - 200.0)	127.9 (54.3 - 187.0)	131.2 (47.0 - 189.1)	0.111
REM time in supine, min, Median (IQR)	23.2 (3.1 - 48.0)	20.6 (0.2 - 46.0)	23.6 (6.0 - 51.1)	25.0 (7.3 - 46.4)	27.8 (6.0 - 57.5)	0.062
REM time, % of TST, Median (IQR)	23.3 (19.7 - 26.6)	23.8 (20.3 - 27.2)	23.5 (20.2 - 26.3)	23.2 (19.4 - 26.6)	20.4 (17.0 - 23.6)* <sup>†#</sup>	<0.0001
SWS time, % of TST, Median (IQR)	20.8 (16.3 - 25.9)	20.9 (16.6 - 25.9)	20.1 (15.6 - 25.9)	20.6 (15.6 - 25.9)	22.3 (18.0 - 26.1)	0.237
Arousal index, Median (IQR)	15.2 (11.5 - 20.0)	13.8 (10.2 - 18.6)	16.5 (12.8 - 21.4)*	15.5 (12.8 - 20.1)*	15.7 (12.0 - 21.6)*	<0.0001
Total AHI, events/h, Median (IQR)	4.2 (2.1 - 6.9)	1.8 (0.9 - 3.5)	3.7 (2.8 - 6.0)*	6.3 (5.1 - 8.3)* <sup>†</sup>	8.1 (6.9 - 9.2)* <sup>†#</sup>	<0.0001
NREM-AHI, events/h, Median (IQR)	2.4 (1.1 - 4.9)	1.5 (0.5 - 3.6)	2.6 (1.2 - 5.2)*	3.7 (1.9 - 5.7)* <sup>†</sup>	3.2 (2.0 - 4.2)*	<0.0001
REM-AHI, events/h, Median (IQR)	6.5 (2.7 - 12.7)	2.1 (0.9 - 3.4)	7.0 (6.0 - 8.5)*	13.9 (12.0 - 16.4)* <sup>†</sup>	24.7 (21.7 - 28.3)* <sup>†#</sup>	<0.0001
REM-AHI non-supine, events/h, Median (IQR)	3.5 (1.0 - 8.6)	1.2 (0 - 2.6)	5.0 (2.2 - 7.2)*	10.0 (5.5 - 13.6)* <sup>†</sup>	20.5 (7.0 - 26.4)* <sup>†#</sup>	<0.0001
REM-AHI supine, events/h, Median (IQR)	10.6 (3.0 - 24.1)	2.7 (0 - 5.9)	10.6 (7.0 - 20.5)*	22.3 (15.2 - 35.1)* <sup>†</sup>	31.8 (25.8 - 46.0)* <sup>†#</sup>	<0.0001
ODI 3%, events/h, Median (IQR)	4.4 (2.2 - 7.0)	2.0 (1.0 - 4.4)	4.2 (2.7 - 6.2)	6.2 (4.5 - 8.2)* <sup>†</sup>	8.4 (6.9 - 10.2)* <sup>†#</sup>	<0.0001
T90, % of TST, mean (SD)	2.1 (11.1)	1.1 (7.5)	1.5 (10.1)	2.9 (14.3)* <sup>†</sup>	5.6 (15.7)* <sup>†#</sup>	<0.0001
ESS score, Median (IQR)	6.0 (3.0 - 9.0)	6.0 (3.0 - 8.0)	5.0 (3.0 - 9.0)	5.0 (3.5 - 8.5)	6.0 (3.0 - 9.0)	0.906

Definition of abbreviations: AHI = apnoea-hypopnoea index; BMI = body mass index; ESS = Epworth Sleepiness Scale; IQR = interquartile range; Min = minutes; NREM = non-rapid eye movement; ODI = oxygen desaturation index per hour of 3% or greater; PSG = polysomnography; REM = rapid eye movement; SD = standard deviation; SWS = slow wave sleep; T90 = percentage of total sleep time with oxygen saturation below 90%; TST = total sleep time; WHR = waist-to-hip ratio. Alcohol consumption = mean consumption of standard drink containing 10 g of alcohol.

Data analyzed by Pearson's chi-square or Kruskal-Wallis followed by Mann-Whitney pairwise comparisons. \*p<0.008 compared to <5/h; #p<0.008 compared to 10-19.9/h; †p<0.008 compared to 5-9.9/h.

Number of participants with missing data: alcohol consumption before PSG (19), antidepressant medication (27), benzodiazepines (15), BMI (3), smoking (7), depression (169), neck circumference (33), NREM-AHI supine (58), REM-AHI supine (194), T90 (12), WHR (1).

**Table 4.** Associations between REM-AHI and blood pressure.

	Entire cohort		NREM-AHI<10 subgroup		AHI<10 subgroup	
	$\beta$	p-value	$\beta$	p-value	$\beta$	p-value
<b>Systolic blood pressure</b>						
REM-AHI (continuous)	0.03	0.167	0.01	0.842	-0.03	0.671
5-9.9/h	2.02	0.070	1.28	0.275	1.43	0.213
10-19.9/h	2.11	0.051	1.80	0.135	1.61	0.181
$\geq 20$ /h	2.40	<b>0.030</b>	0.50	0.701	-1.18	0.486
<b>Diastolic blood pressure</b>						
REM-AHI (continuous)	0.02	0.208	0.01	0.821	-0.02	0.636
5-9.9/h	1.27	0.089	1.01	0.193	1.02	0.179
10-19.9/h	1.20	0.097	1.12	0.159	0.90	0.255
$\geq 20$ /h	1.72	<b>0.020</b>	0.23	0.787	-1.19	0.289

Definition of abbreviations: AHI = apnoea-hypopnoea index;  $\beta$  = linear regression coefficient beta; NREM = non-rapid eye movement; REM = rapid eye movement.

Data analyzed by linear regression using REM-AHI as continuous or dummy variable with adjustment for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly), antihypertensive drug and log-NREM-AHI.

Number of participants with missing data in the models (entire cohort, NREM-AHI<10, AHI<10): systolic blood pressure and diastolic blood pressure (16, 6, 5).

## Figure captions

**Figure 1. Odds ratios and 95% confidence intervals (CI) for rapid eye movement (REM) apnoea-hypopnoea index (AHI) severity categories in the entire cohort (n=2074 polysomnographies).** Circles represent the odds ratio and bars the 95% CI. Logistic regression models fitted to examine the associations for the entire cohort with metabolic syndrome, diabetes, hypertension and depression. Increasing REM-AHI severity was significantly associated with metabolic syndrome. Hypertension, diabetes and depression were not significantly associated with REM-sleep-disordered breathing (REM-SDB). Cardiovascular and metabolic comorbidities were adjusted for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly) and the logarithme of non-REM-AHI (log-NREM-AHI). Depression was adjusted for age, gender, and consumption of benzodiazepines, antidepressants, and log-NREM-AHI. Number of participants with missing data in the models: metabolic syndrome (35), diabetes (37), hypertension (37), depression (389).

**Figure 2. Odds ratios and 95% confidence intervals (CI) for rapid eye movement (REM) apnoea-hypopnoea index (AHI) severity categories in the subgroup with non-REM-AHI<10/h (n=1241 polysomnographies).** Circles represent the odds ratio and bars the 95% CI. Logistic regression models fitted to examine the associations for the entire cohort with metabolic syndrome, diabetes, hypertension and depression. Increasing REM-AHI severity was significantly associated with metabolic syndrome and diabetes. Hypertension and depression showed no association with REM-sleep-disordered breathing (REM-SDB). Cardiovascular and metabolic comorbidities were adjusted for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly) and the logarithme of non-REM-AHI (log-NREM-AHI). Depression was adjusted for age, gender, and consumption of benzodiazepines, antidepressants, and log-NREM-AHI. Number of participants with missing data in the models: metabolic syndrome (13), diabetes (13), hypertension (14), depression (226).

1  
2  
3  
4  
5 **Figure 3. Odds ratios and 95% confidence intervals (CI) for rapid eye movement (REM)**  
6 **apnoea-hypopnoea index (AHI) severity categories in the subgroup with total AHI<10/h**  
7 **(n=1047 polysomnographies).** Circles represent the odds ratio and bars the 95% CI.

8  
9  
10 Logistic regression models fitted to examine the associations for the entire cohort with  
11 metabolic syndrome, diabetes, hypertension and depression. Moderate-to-severe REM  
12 sleep-disordered breathing (REM-SDB) was significantly associated with metabolic  
13 syndrome and diabetes. Diabetes, hypertension and depression showed no association with  
14 REM-SDB. Cardiovascular and metabolic comorbidities were adjusted for age, sex, body  
15 mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly) and  
16 the logarithme of non-REM-AHI (log-NREM-AHI). Depression was adjusted for age, gender,  
17 and consumption of benzodiazepines, antidepressants, and log-NREM-AHI. Number of  
18 participants with missing data in the models: metabolic syndrome (11), diabetes (11),  
19 hypertension (11), depression (189).  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## References

1. Heinzer R, Vat S, Marques-Vidal P, Marti-Soler H, Andries D, Tobback N, Mooser V, Preisig M, Malhotra A, Waeber G, Vollenweider P, Tafti M, Haba-Rubio J. Prevalence of sleep-disordered breathing in the general population: the HypnoLaus study. *Lancet Respir Med* 2015; 3(4): 310-318.
2. Conwell W, Patel B, Doeing D, Pamidi S, Knutson KL, Ghods F, Mokhlesi B. Prevalence, clinical features, and CPAP adherence in REM-related sleep-disordered breathing: a cross-sectional analysis of a large clinical population. *Sleep Breath* 2012; 16(2): 519-526.
3. Haba-Rubio J, Janssens JP, Rochat T, Sforza E. Rapid eye movement-related disordered breathing: clinical and polysomnographic features. *Chest* 2005; 128(5): 3350-3357.
4. Koo BB, Patel SR, Strohl K, Hoffstein V. Rapid eye movement-related sleep-disordered breathing: influence of age and gender. *Chest* 2008; 134(6): 1156-1161.
5. Pamidi S, Knutson KL, Ghods F, Mokhlesi B. Depressive symptoms and obesity as predictors of sleepiness and quality of life in patients with REM-related obstructive sleep apnea: cross-sectional analysis of a large clinical population. *Sleep Med* 2011; 12(9): 827-831.
6. Chami HA, Baldwin CM, Silverman A, Zhang Y, Rapoport D, Punjabi NM, Gottlieb DJ. Sleepiness, quality of life, and sleep maintenance in REM versus non-REM sleep-disordered breathing. *Am J Respir Crit Care Med* 2010; 181(9): 997-1002.
7. Punjabi NM, Bandeen-Roche K, Marx JJ, Neubauer DN, Smith PL, Schwartz AR. The Association Between Daytime Sleepiness and Sleep-Disordered Breathing in NREM and REM Sleep. *Sleep* 2002; 25.
8. Khan A, Harrison SL, Kezirian EJ, Ancoli-Israel S, O'Hearn D, Orwoll E, Redline S, Ensrud K, Stone KL, Osteoporotic Fractures in Men Study Research G. Obstructive sleep apnea during rapid eye movement sleep, daytime sleepiness, and quality of life in older men in Osteoporotic Fractures in Men (MrOS) Sleep Study. *Journal of clinical sleep medicine : JCSM : official publication of the American Academy of Sleep Medicine* 2013; 9(3): 191-198.
9. Krieger J, Sforza E, Boudewijns A, Zamagni M, Petiau C. Respiratory effort during obstructive sleep apnea: role of age and sleep state. *Chest* 1997; 112(4): 875-884.
10. Findley LJ, Wilhoit SC, Suratt PM. Apnea duration and hypoxemia during REM sleep in patients with obstructive sleep apnea. *Chest* 1985; 87(4): 432-436.
11. Peppard PE, Ward NR, Morrell MJ. The impact of obesity on oxygen desaturation during sleep-disordered breathing. *Am J Respir Crit Care Med* 2009; 180(8): 788-793.
12. Shea SA, Edwards JK, White DP. Effect of wake-sleep transitions and rapid eye movement sleep on pharyngeal muscle response to negative pressure in humans. *J Physiol* 1999; 520 Pt 3: 897-908.
13. McSharry DG, Saboisky JP, Deyoung P, Jordan AS, Trinder J, Smales E, Hess L, Chamberlin NL, Malhotra A. Physiological mechanisms of upper airway hypotonia during REM sleep. *Sleep* 2014; 37(3): 561-569.
14. Kohler M, Stradling JR. CrossTalk proposal: Most of the cardiovascular consequences of OSA are due to increased sympathetic activity. *J Physiol* 2012; 590(12): 2813-2815; discussion 2823.
15. Somers VK, Dyken ME, Clary MP, Abboud FM. Sympathetic neural mechanisms in obstructive sleep apnea. *J Clin Invest* 1995; 96(4): 1897-1904.
16. Somers VK, Dyken ME, Mark AL, Abboud FM. Sympathetic-nerve activity during sleep in normal subjects. *N Engl J Med* 1993; 328(5): 303-307.
17. Trinder J, Kleiman J, Carrington M, Smith S, Breen S, Tan N, Kim Y. Autonomic activity during human sleep as a function of time and sleep stage. *J Sleep Res* 2001; 10(4): 253-264.
18. Mokhlesi B, Finn LA, Hagen EW, Young T, Hla KM, Van Cauter E, Peppard PE. Obstructive sleep apnea during REM sleep and hypertension. results of the Wisconsin Sleep Cohort. *Am J Respir Crit Care Med* 2014; 190(10): 1158-1167.
19. Appleton SL, Vakulin A, Martin SA, Lang CJ, Wittert GA, Taylor AW, McEvoy RD, Antic NA, Catchside PG, Adams RJ. Hypertension is associated with undiagnosed obstructive sleep apnea during rapid eye movement (REM) sleep. *Chest* 2016.



- 1  
2  
3 20. Mokhlesi B, Hagen EW, Finn LA, Hla KM, Carter JR, Peppard PE. Obstructive sleep  
4 apnoea during REM sleep and incident non-dipping of nocturnal blood pressure: a  
5 longitudinal analysis of the Wisconsin Sleep Cohort. *Thorax* 2015; 70(11): 1062-1069.
- 6 21. Grimaldi D, Beccuti G, Touma C, Van Cauter E, Mokhlesi B. Association of obstructive  
7 sleep apnea in rapid eye movement sleep with reduced glycemic control in type 2 diabetes:  
8 therapeutic implications. *Diabetes Care* 2014; 37(2): 355-363.
- 9 22. Chami HA, Gottlieb DJ, Redline S, Punjabi NM. Association between Glucose  
10 Metabolism and Sleep-disordered Breathing during REM Sleep. *Am J Respir Crit Care Med*  
11 2015; 192(9): 1118-1126.
- 12 23. Firmann M, Mayor V, Vidal PM, Bochud M, Pecoud A, Hayoz D, Paccaud F, Preisig M,  
13 Song KS, Yuan X, Danoff TM, Stirnadel HA, Waterworth D, Mooser V, Waeber G,  
14 Vollenweider P. The CoLaus study: a population-based study to investigate the epidemiology  
15 and genetic determinants of cardiovascular risk factors and metabolic syndrome. *BMC*  
16 *Cardiovasc Disord* 2008; 8: 6.
- 17 24. Preisig M, Waeber G, Vollenweider P, Bovet P, Rothen S, Vandeleur C, Guex P,  
18 Middleton L, Waterworth D, Mooser V, Tozzi F, Muglia P. The PsyCoLaus study:  
19 methodology and characteristics of the sample of a population-based survey on psychiatric  
20 disorders and their association with genetic and cardiovascular risk factors. *BMC psychiatry*  
21 2009; 9: 9.
- 22 25. Johns MW. A new method for measuring daytime sleepiness: the Epworth sleepiness  
23 scale. *Sleep* 1991; 14(6): 540-545.
- 24 26. Iber C A-IS, Chesson A, and Quan SF. The AASM Manual for the scoring of sleep and  
25 associated events : Rules, terminology and technical specifications. 1<sup>st</sup> ed: Westchester,  
26 Illinois: American Academy of Sleep Medicine 2007.
- 27 27. Iber C A-IS, Chesson A, Quan SF. The AASM manual for the scoring of sleep and  
28 associated events: rules, terminology and technical specifications. 1st ed. Westchester, IL:  
29 American Academy of Sleep Medicine, . 2007.
- 30 28. Berry RB, Budhiraja R, Gottlieb DJ, Gozal D, Iber C, Kapur VK, Marcus CL, Mehra R,  
31 Parthasarathy S, Quan SF, Redline S, Strohl KP, Davidson Ward SL, Tangredi MM,  
32 American Academy of Sleep M. Rules for scoring respiratory events in sleep: update of the  
33 2007 AASM Manual for the Scoring of Sleep and Associated Events. Deliberations of the  
34 Sleep Apnea Definitions Task Force of the American Academy of Sleep Medicine. *Journal of*  
35 *clinical sleep medicine : JCSM : official publication of the American Academy of Sleep*  
36 *Medicine* 2012; 8(5): 597-619.
- 37 29. National Cholesterol Education Program Expert Panel on Detection E, Treatment of High  
38 Blood Cholesterol in A. Third Report of the National Cholesterol Education Program (NCEP)  
39 Expert Panel on Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults  
40 (Adult Treatment Panel III) final report. *Circulation* 2002; 106(25): 3143-3421.
- 41 30. Trull TJ, Verges A, Wood PK, Jahng S, Sher KJ. The structure of Diagnostic and  
42 Statistical Manual of Mental Disorders (4th edition, text revision) personality disorder  
43 symptoms in a large national sample. *Personal Disord* 2012; 3(4): 355-369.
- 44 31. Coughlin SR, Mawdsley L, Mugarza JA, Calverley PM, Wilding JP. Obstructive sleep  
45 apnoea is independently associated with an increased prevalence of metabolic syndrome.  
46 *Eur Heart J* 2004; 25(9): 735-741.
- 47 32. Gruber A, Horwood F, Sithole J, Ali NJ, Idris I. Obstructive sleep apnoea is  
48 independently associated with the metabolic syndrome but not insulin resistance state.  
49 *Cardiovasc Diabetol* 2006; 5: 22.
- 50 33. Mokhlesi B, Grimaldi D, Beccuti G, Abraham V, Whitmore H, Delebecque F, Van Cauter  
51 E. Effect of One Week of 8-Hour Nightly Continuous Positive Airway Pressure Treatment of  
52 Obstructive Sleep Apnea on Glycemic Control in Type 2 Diabetes: A Proof-of-Concept Study.  
53 *Am J Respir Crit Care Med* 2016; 194(4): 516-519.
- 54 34. Shaw JE, Punjabi NM, Naughton MT, Willes L, Bergenstal RM, Cistulli PA, Fulcher GR,  
55 Richards GN, Zimmet PZ. The Effect of Treatment of Obstructive Sleep Apnea on Glycemic  
56 Control in Type 2 Diabetes. *Am J Respir Crit Care Med* 2016; 194(4): 486-492.

- 1  
2  
3 35. McEvoy RD, Antic NA, Heeley E, Luo Y, Ou Q, Zhang X, Mediano O, Chen R, Drager LF,  
4 Liu Z, Chen G, Du B, McArdle N, Mukherjee S, Tripathi M, Billot L, Li Q, Lorenzi-Filho G,  
5 Barbe F, Redline S, Wang J, Arima H, Neal B, White DP, Grunstein RR, Zhong N, Anderson  
6 CS. CPAP for Prevention of Cardiovascular Events in Obstructive Sleep Apnea. *New*  
7 *England Journal of Medicine* 2016; 0(0): null.
- 8 36. Louis M, Punjabi NM. Effects of acute intermittent hypoxia on glucose metabolism in  
9 awake healthy volunteers. *J Appl Physiol (1985)* 2009; 106(5): 1538-1544.
- 10 37. Bloom SR, Edwards AV, Hardy RN. The role of the autonomic nervous system in the  
11 control of glucagon, insulin and pancreatic polypeptide release from the pancreas. *J Physiol*  
12 1978; 280: 9-23.
- 13 38. Lembo G, Capaldo B, Rendina V, Iaccarino G, Napoli R, Guida R, Trimarco B, Sacca L.  
14 Acute noradrenergic activation induces insulin resistance in human skeletal muscle. *Am J*  
15 *Physiol* 1994; 266(2 Pt 1): E242-247.
- 16 39. Nonogaki K. New insights into sympathetic regulation of glucose and fat metabolism.  
17 *Diabetologia* 2000; 43(5): 533-549.
- 18 40. Bialasiewicz P, Czupryniak L, Pawlowski M, Nowak D. Sleep disordered breathing in  
19 REM sleep reverses the downward trend in glucose concentration. *Sleep Med* 2011; 12(1):  
20 76-82.
- 21 41. Fendri S, Rose D, Myambu S, Jeanne S, Lalau JD. Nocturnal hyperglycaemia in type 2  
22 diabetes with sleep apnoea syndrome. *Diabetes Res Clin Pract* 2011; 91(1): e21-23.
- 23 42. Peppard PE, Young T, Palta M, Skatrud J. Prospective study of the association between  
24 sleep-disordered breathing and hypertension. *N Engl J Med* 2000; 342(19): 1378-1384.
- 25 43. Peppard PE, Szklo-Coxe M, Hla KM, Young T. Longitudinal association of sleep-related  
26 breathing disorder and depression. *Archives of internal medicine* 2006; 166(16): 1709-1715.
- 27 44. Chen YH, Keller JK, Kang JH, Hsieh HJ, Lin HC. Obstructive sleep apnea and the  
28 subsequent risk of depressive disorder: a population-based follow-up study. *Journal of*  
29 *clinical sleep medicine : JCSM : official publication of the American Academy of Sleep*  
30 *Medicine* 2013; 9(5): 417-423.
- 31 45. Lu MK, Tan HP, Tsai IN, Huang LC, Liao XM, Lin SH. Sleep apnea is associated with an  
32 increased risk of mood disorders: a population-based cohort study. *Sleep Breath* 2016.
- 33 46. Baran AS, Richert AC. Obstructive sleep apnea and depression. *CNS Spectr* 2003; 8(2):  
34 128-134.
- 35 47. Sharafkhaneh A, Giray N, Richardson P, Young T, Hirshkowitz M. Association of  
36 psychiatric disorders and sleep apnea in a large cohort. *Sleep* 2005; 28(11): 1405-1411.
- 37 48. Lin WC, Winkelman JW. Obstructive sleep apnea and severe mental illness: evolution  
38 and consequences. *Current psychiatry reports* 2012; 14(5): 503-510.
- 39 49. Derderian SS, Bridenbaugh RH, Rajagopal KR. Neuropsychologic symptoms in  
40 obstructive sleep apnea improve after treatment with nasal continuous positive airway  
41 pressure. *Chest* 1988; 94(5): 1023-1027.
- 42 50. Bardwell WA, Norman D, Ancoli-Israel S, Loreda JS, Lowery A, Lim W, Dimsdale JE.  
43 Effects of 2-week nocturnal oxygen supplementation and continuous positive airway  
44 pressure treatment on psychological symptoms in patients with obstructive sleep apnea: a  
45 randomized placebo-controlled study. *Behav Sleep Med* 2007; 5(1): 21-38.
- 46 51. Duncan WC, Jr., Pettigrew KD, Gillin JC. REM architecture changes in bipolar and  
47 unipolar depression. *Am J Psychiatry* 1979; 136(11): 1424-1427.
- 48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 **REM-associated sleep apnoea: prevalence and clinical significance in the**  
4 **HypnoLaus cohort**  
5  
6  
7

8 Patricia Acosta-Castro<sup>1,2\*</sup>, Camila Hirotsu<sup>1\*</sup>, Helena Marti-Soler<sup>3</sup>, Pedro Marques-Vidal<sup>4</sup>,  
9  
10 Nadia Tobback<sup>1</sup>, Daniela Andries<sup>1</sup>, Gérard Waeber<sup>4</sup>, Martin Preisig<sup>5</sup>, Peter Vollenweider<sup>4</sup>  
11  
12 José Haba-Rubio<sup>1\*\*</sup>, Raphael Heinzer<sup>1\*\*</sup>  
13  
14

15 \*Co-first authors, PA-C and CH contributed equally to this study  
16

17 \*\*Co-last authors, JH-R and RH contributed equally to this study  
18  
19  
20  
21

22 <sup>1</sup> Center for Investigation and Research in Sleep (CIRS), University Hospital of Lausanne,  
23  
24 Lausanne, Switzerland.  
25

26 <sup>2</sup> Pulmonary Department, University Hospital Ramon y Cajal, Madrid, Spain.  
27

28 <sup>3</sup> Institute of Social and Preventive Medicine, University Hospital of Lausanne, Lausanne,  
29  
30 Switzerland.  
31

32 <sup>4</sup> Department of Internal Medicine, University Hospital of Lausanne, Switzerland  
33  
34

35 <sup>5</sup> Psychiatry Department, University Hospital of Lausanne, Switzerland  
36  
37  
38  
39  
40

41 **Correspondence:** Prof. Raphael Heinzer, Center for Investigation and Research in Sleep  
42  
43 and Pulmonary Department, University Hospital of Lausanne and Lausanne University,  
44  
45 1011 Lausanne, Switzerland; [raphael.heinzer@chuv.ch](mailto:raphael.heinzer@chuv.ch).  
46  
47  
48  
49  
50  
51

52 **“Take Home” Message:** REM sleep-disordered breathing is highly prevalent and is  
53  
54 associated with metabolic syndrome and diabetes.  
55  
56  
57  
58  
59  
60

**ABSTRACT**

**Study Objectives:** This study determined the prevalence of rapid eye movement-related sleep-disordered breathing (REM-SDB) in the general population, and investigated the associations of REM-SDB with hypertension, metabolic syndrome, diabetes and depression.

**Methods:** 2074 home polysomnography (PSG) recordings from the population-based HypnoLaus Sleep Cohort (48.3% men, 57±11 years old) were analysed. The apnoea–hypopnoea index was measured during REM (REM-AHI) and non-REM (NREM-AHI) sleep. Regression models were used to explore the association between REM-SDB and hypertension, diabetes, metabolic syndrome and depression in the entire cohort and in subgroups with NREM-AHI<10/h and total AHI<10/h.

**Results:** Prevalence of REM-AHI≥20/h was 40.8% in the entire cohort. An association between increasing REM-AHI and metabolic syndrome was found in the entire cohort and in both subgroups (p-trend=0.014, <0.0001 and 0.015, respectively). An association was also found between REM-AHI≥20/h and diabetes in both NREM-AHI<10/h (OR=3.12 [1.35-7.20]) and AHI<10/h (OR=2.92 [1.12-7.63]) subgroups. Systolic and diastolic blood pressure were positively associated with REM-AHI≥20/h.

**Conclusions:** REM-SDB is highly prevalent in our middle-to-older age sample and is independently associated with metabolic syndrome and diabetes. These findings suggest that an increase in REM-AHI could be clinically relevant.

**Keywords:** REM, sleep apnea, sleep-disordered breathing, metabolic syndrome, diabetes, depression, hypertension.

## Introduction

Sleep-disordered breathing (SDB) is highly prevalent in the general population [1], causing intermittent hypoxaemia, microarousals, sleep fragmentation, and acute changes in blood pressure and heart rate. SDB during rapid eye movement sleep (REM-SDB) is estimated to occur in 10–36% of patients with SDB [2], but its prevalence in the general population is not yet known.

REM-SDB is more common in patients with mild and moderate SDB [3] and has a higher prevalence in younger women than in men [4]. Data about sleepiness and REM-SDB are conflicting, but studies found no association between REM-SDB and daytime sleepiness or reduced quality of life [5-8].

Nocturnal respiratory events are usually more frequent and of longer duration in REM compared with NREM sleep, probably due to greater pharyngeal muscle relaxation [9-11] and a reduction in the hypoxic and hypercapnic ventilatory response throughout REM sleep [12, 13].

Along with intermittent hypoxia, elevated sympathetic activity is thought to be the most important mechanism underlying the increased cardiovascular risk associated with SDB [14]. Compared with NREM sleep, REM sleep is associated with higher sympathetic activity and cardiovascular instability [15-17]. Recent studies have shown an association between REM-SDB and non-dipping nocturnal blood pressure and hypertension [18-20], and REM-SDB has further been reported to have an adverse effect on long-term glycaemic control and insulin resistance [21, 22]. However, the specific impact of REM-SDB on cardiovascular risk factors and psychiatric comorbidities is not yet known.

This study evaluated the prevalence of REM-SDB in the general population and investigated the associations between REM-SDB and cardiovascular, metabolic and psychiatric comorbidities.

## Methods

### *Population sample*

The HypnoLaus Sleep Cohort study has been described previously [1]. It included a random subset of the population-based CoLaus/PsyCoLaus cohort [23, 24] who underwent full polysomnography (PSG) at home and answered questionnaires about their sleep complaints, including the Epworth Sleepiness Scale (ESS) [25]. The ethics committee of the University of Lausanne approved the CoLaus/PsyCoLaus cohort study and the HypnoLaus Sleep Cohort study. Written informed consent was obtained from all participants.

### *Sleep data analysis*

PSG was performed by certified technicians who equipped participants with a polysomnographic recorder (Titanium, Embla Flaga, Reykjavik, Iceland) in accordance with 2007 American Academy of Sleep Medicine (AASM) recommended setup specifications [26] at the Center for Investigation and Research in Sleep (CIRS) at the University Hospital of Lausanne. All PSGs took place in the patients' home environment. Sleep stages were scored in 30-second epochs according to the 2007 AASM criteria [27]. Apnoeas, hypopnoeas, and respiratory effort-related arousals were scored according to the 2012 AASM criteria [28].

The average number of apnoeas-hypopnoeas per hour of sleep (apnoea-hypopnoea index [AHI]) was calculated for the whole night, and for REM and NREM sleep separately. Percentage of total sleep time (TST) with oxygen saturation below 90% (T90) and the number of  $\geq 3\%$  oxygen desaturations per hour (oxygen desaturation index [ODI]) were assessed.

Quality control for concordance between the two PSG scorers was implemented periodically to ensure that both achieved at least 90% agreement for sleep stages and

1  
2  
3 respiratory events, and 85% agreement for arousals. An expert sleep clinician reviewed  
4 every recording and a second sleep expert performed quality checks. We asked individuals  
5 who were currently receiving treatment for SDB (n=38) to discontinue their treatment 1  
6 week before the sleep recording.  
7  
8  
9  
10

### 11 12 13 *Outcome variables*

14  
15  
16 Body weight and height were measured with participants standing without shoes in  
17 light indoor clothes. Body weight was measured in kilograms to the nearest 100g using a  
18 Seca<sup>®</sup> scale, which was calibrated regularly. Height was measured to the nearest 5 mm  
19 using a Seca<sup>®</sup> height gauge. Body mass index (BMI) was calculated as weight (kg)/height  
20 (m<sup>2</sup>).  
21  
22  
23  
24  
25

26  
27 Waist was measured with a non-stretchable tape over the unclothed abdomen at the  
28 narrowest point between the lowest rib and the iliac crest. Hip was measured at the largest  
29 part of the hips. For waist and hip, two measures were made and the mean (expressed in  
30 centimeters) was used to assess waist-to-hip ratio (WHR). Neck circumference was  
31 measured at the middle of the neck between the mid-cervical spine and superior line of the  
32 cricothyroid membrane.  
33  
34  
35  
36  
37  
38

39  
40 Blood pressure was measured thrice on the left arm in the morning and the average  
41 of the last two readings was considered. Arterial hypertension was defined as systolic blood  
42 pressure  $\geq 140$  mmHg, diastolic blood pressure  $\geq 90$  mmHg, or current use of  
43 antihypertensive drugs. Diabetes was defined as fasting blood glucose  $\geq 7$  mmol/L or  
44 current antidiabetic drug treatment. Metabolic syndrome was defined according to the Adult  
45 Treatment Panel III (ATP-III) report [29]. Smoking status, alcohol consumption and the  
46 number of alcoholic drinks taken before the PSG recording and weekly were self-reported.  
47  
48  
49  
50  
51  
52  
53  
54  
55 The semi-structured Diagnostic Interview for Genetic Studies (DIGS) was used to diagnose  
56  
57  
58  
59  
60

1  
2  
3 current major depressive disorder, which was defined according to criteria of the Diagnostic  
4 and Statistical Manual of Mental Disorders, 4<sup>th</sup> edition (DSM-IV) [30]. Interviewers were  
5 required to be masters-level psychologists, and were trained over a two-month period.  
6  
7 During data collection, each interview was reviewed by an experienced senior clinical  
8 psychologist. The DIGS interview systematically assesses the last and the most severe  
9 depressive episodes.  
10  
11  
12  
13  
14  
15  
16  
17

### 18 *Statistical analysis*

19  
20 All statistical analyses were performed with IBM SPSS version 21.0 (IBM  
21 Corporation, Armonk, NY, USA). Bivariate analyses were performed using Chi-squared test  
22 for categorical variables and Kruskal-Wallis for continuous variables. Pairwise comparisons  
23 were performed using Mann-Whitney test with Bonferroni's correction for p-value. Logistic  
24 regression models were used to estimate the association between REM-SDB and the  
25 presence of hypertension, diabetes, metabolic syndrome and depression. REM-AHI was  
26 classified into four severity categories (REM-AHI <5/h [reference group]; 5–9.9/h; 10–  
27 19.9/h; and ≥20/h) for the primary analysis (according to previous results of the HypnoLaus  
28 cohort) and used as continuous variable for a sensitivity analysis. A linear regression model  
29 was also used to assess the association between REM-AHI (as continuous and dummy  
30 variable) and diastolic and systolic blood pressure.  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43

44 Analyses were performed on the entire cohort and in two subgroups: one restricted  
45 to subjects with a total AHI<10/h (absent or mild SDB), and another in those with NREM-  
46 AHI<10/h (exclusive REM-SDB). For hypertension, diabetes and metabolic syndrome, the  
47 models were adjusted for age, sex, BMI, WHR, TST, logarithme of NREM-AHI (log-NREM-  
48 AHI), smoking and alcohol consumption. An additional adjustment for antihypertensive  
49 treatment was added when SBP and DBP were used as outcome variables in the linear  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



1  
2  
3 regression models. For depression, the model was adjusted for age, sex, and consumption  
4 of benzodiazepines and antidepressants. Results were expressed as odds ratio (OR) and  
5 95% confidence interval. Statistical significance was considered for a two-sided test  $p < 0.05$   
6 and  $p < 0.008$  for multiple comparisons in univariate analysis.  
7  
8  
9

## 11 **Results**

### 13 *Study population*

15 Of the 2168 subjects (48.3% men,  $59 \pm 11$  y.o, range 40-85, body mass index  $25.6 \pm$   
16  $4.1$  kg/m<sup>2</sup>) who underwent complete PSG at home, 60 (3%) had technical problems, 54  
17 underwent a second recording and six subjects refused resulting in 2162 valid PSG  
18 recordings. Of these, 41 with less than four hours of TST were excluded to avoid the risk of  
19 unbalanced representation of different sleep stages. We also excluded 47 patients with <30  
20 minutes of REM sleep to allow a proper assessment of REM sleep [18, 19]. Therefore,  
21 2074 PSG recordings were included in the analysis. Clinical and polysomnographic  
22 characteristics of the total sample are shown in Table 1.  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32

### 35 *REM-SDB in the entire cohort*

37 The overall prevalence of moderate-to-severe REM-SDB (REM-AHI  $\geq 20$ /h) was  
38 40.8% in HypnoLaus middle-to-older age general population sample. As REM-AHI  
39 increased, there was a corresponding increase in mean age, BMI, WHR and neck  
40 circumference as well as in the prevalence of hypertension, diabetes and metabolic  
41 syndrome (Table 1). Patients in the higher REM-AHI severity categories had lower TST,  
42 and lower proportions of slow wave sleep (SWS) and REM sleep. They also had higher ODI  
43 and arousal index and spent more time with oxygen saturation <90% (T90), but there was  
44 no difference in the ESS score between the REM-SDB severity categories.  
45  
46  
47  
48  
49  
50  
51  
52  
53

54 Figure 1 shows the results for the association of REM-SDB with metabolic syndrome,  
55  
56  
57  
58  
59  
60

1  
2  
3 diabetes, hypertension and depression. REM-AHI categories of 5-9.9/h (OR=1.78 [1.13-  
4 2.81], p=0.013), 10-19.9/h (OR=1.69 [1.12-2.57], p=0.013), and  $\geq 20$ /h (OR=1.94 [1.29-  
5 2.92], p=0.001) were independently associated with metabolic syndrome, but not diabetes  
6 and depression. Although we found no association between hypertension and REM-SDB,  
7 there was a significant association of REM-AHI $\geq 20$ /h with both systolic and diastolic blood  
8 pressure (Table 4).  
9  
10  
11  
12  
13  
14  
15  
16  
17

#### 18 *NREM-AHI <10/h (exclusive REM-SDB)*

19

20 A subgroup of 1241 subjects (59.8%) with NREM-AHI<10/h was analysed to better  
21 define the specific influence of REM-SDB (Table 2). In this subgroup, the prevalence of  
22 moderate-to-severe REM-SDB (REM-AHI $\geq 20$ /h) was 21.2% (n=263). As in the overall  
23 analysis, patients in the highest REM-AHI severity categories were older and had higher  
24 BMI, WHR and neck circumference, and a higher prevalence of hypertension, diabetes and  
25 metabolic syndrome.  
26  
27  
28  
29  
30  
31  
32

33 TST and REM sleep time were reduced only in the REM-AHI $\geq 20$ /h group, while  
34 arousal index, ODI and T90 was increased in all REM-SDB subgroups. No significant  
35 differences were found in SWS time and ESS score among the REM-SDB severity  
36 categories.  
37  
38  
39  
40

41 The same multivariate models were applied to this subgroup (Figure 2). Increasing  
42 REM-AHI severity was significantly associated with metabolic syndrome and diabetes,  
43 while hypertension and depression showed no association with REM-SDB. Although the p-  
44 value for trend was not significant for depression, the subgroup with the highest severity of  
45 REM-SDB (i.e. REM-AHI $> 20$ /h) had a trend towards higher odds of depression (OR=2.14  
46 [0.99-4.64], p=0.054) when compared to the group with no REM-SDB (i.e. REM-AHI<5/h).  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

### *Total AHI<10/h (absent or mild SDB)*

A second subgroup of 1047 subjects (50.5%) with total AHI<10/h was analysed to evaluate the prevalence and significance of REM-SDB in subjects with absent or mild SDB (Table 3). In this subgroup the prevalence of moderate-to-severe REM-SDB (REM-AHI $\geq$ 20/h) was 9.1% (n=95). As observed in the exclusive REM-SDB subgroup and the overall population, increasing REM-AHI severity was associated with higher mean age, BMI, WHR, neck circumference, and a higher prevalence of metabolic syndrome, diabetes and hypertension in univariate analysis.

Only the REM-AHI $\geq$ 20/h group presented lower TST and REM sleep time compared to the other groups, while all REM-SDB subgroups presented increased arousal index compared to REM-AHI<5/h. Subjects with higher REM-AHI showed increased ODI and T90. No significant differences were found in SWS time and ESS score across the REM-AHI categories.

Figure 3 shows the results of the logistic regression models applied to this subgroup. There was a significant association of moderate-to-severe REM-SDB with both metabolic syndrome and diabetes, but not hypertension or depression.

We performed the same analysis using REM-AHI as continuous variable instead of REM-AHI categories with the same covariables previously described. Using these models, we also found significant associations between REM-AHI and metabolic syndrome in the entire cohort and the two subgroups, and with diabetes in both NREM-AHI<10/h and AHI<10/h subgroups (Table S1). However, no association was significant for hypertension and depression.

## **Discussion**

To our knowledge, this is the first study demonstrating an independent association of

1  
2  
3 REM-SDB with metabolic syndrome and diabetes in the general population. We also  
4  
5 showed in this analysis that the prevalence of moderate-to-severe REM-SDB (REM-  
6  
7 AHI $\geq$ 20/h) in this middle to older age general population sample was 40.6%, and that nearly  
8  
9 10% of patients with a global AHI of <10/h have moderate-to-severe REM-SDB. These  
10  
11 findings may have important implications for routine clinical practice in sleep medicine  
12  
13 because they suggest that REM-AHI may need to be considered independently from global  
14  
15 AHI when interpreting PSG results in patients at risk for metabolic dysfunction.  
16  
17  
18  
19

### 20 *REM-SDB and metabolic syndrome*

21  
22 Several studies have shown a relationship between SDB and metabolic syndrome [1,  
23  
24 31, 32], but none of them assessed the relationship with REM-SDB. In the present study,  
25  
26 there was a clear and independent association between increasing REM-AHI severity and  
27  
28 the presence of metabolic syndrome. This association was found in the whole sample as  
29  
30 well as in subjects with absent or mild SDB (AHI<10/h) and in those with exclusive REM-  
31  
32 SDB (NREM-AHI<10/h). This suggests that apnoeas and hypopnoeas occurring during  
33  
34 REM sleep may have a specific association with the metabolic syndrome.  
35  
36  
37  
38  
39

### 40 *REM-SDB and diabetes*

41  
42 Previous studies showed an association between REM-AHI severity and increasing  
43  
44 levels of glycosylated haemoglobin (HbA1c) in patients with type 2 diabetes (T2DM) and  
45  
46 with insulin resistance [21, 22]. In the present study we found a significant and independent  
47  
48 association between diabetes and REM-SDB in both subgroups with NREM-AHI<10/h and  
49  
50 AHI<10/h. Recently, Mokhlesi et al described an improvement in glycaemic control in  
51  
52 patients with T2DM and SDB after one week of 8-hour nightly continuous positive airway  
53  
54 pressure (CPAP) [33]. However, another study, in which CPAP was used for a mean of 4.3  
55  
56  
57  
58  
59  
60

1  
2  
3 hours per night, showed no significant improvement in glycaemic control in patients with  
4 T2DM and SDB [34]. The better results obtained by Mokhlesi and colleagues could be  
5 related to the longer duration of CPAP usage resulting in better control of REM-SDB,  
6 because REM sleep mainly occurs toward the end of the night. We can thus speculate that  
7 the negative results reported by previous studies with shorter CPAP usage (usually limited  
8 to the first hours of the night) may be due to insufficient treatment of REM-SDB in the  
9 second part of the night. The importance of longer nightly CPAP use was also recently  
10 suggested by the results of the SAVE study where a significant decrease in  
11 cerebrovascular events was present only in patients with moderate-to-severe sleep apnoea  
12 and coronary or cerebrovascular disease who used CPAP for more than four hours per  
13 night [34, 35]. It is however unclear why this association was found mainly in the group  
14 with NREM-AHI<10 in our study.  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

29 Different hypotheses can be proposed regarding the underlying mechanisms of the  
30 associations between REM-SDB and metabolic syndrome or diabetes. First, it is well  
31 established that respiratory events occurring during REM sleep have a longer duration and  
32 generate greater oxygen desaturations compared to NREM events [9-11]. This may trigger  
33 increased oxidative stress compared with other respiratory events, which could promote  
34 metabolic syndrome and diabetes. Acute intermittent hypoxia was also shown to acutely  
35 increase insulin resistance in healthy volunteers [36]. In addition, compared to NREM sleep,  
36 sympathetic activity is greater during REM sleep and most endocrine organs implicated in  
37 glucose metabolism are sensitive to changes in sympathovagal balance [37-39].  
38 Furthermore, SDB in REM reversed the physiological nocturnal decline of interstitial  
39 glucose concentration (IGC), while NREM-SDB had no effect on IGC [40]. Lastly, nocturnal  
40 hyperglycaemia associated with SDB in patients with diabetes was shown to be specifically  
41 accentuated during REM sleep [41].  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

### *REM-SDB and hypertension*

We previously reported a significant association between SDB severity and hypertension in the population-based HypnoLaus sleep cohort [1]. Considering that two studies have shown a specific association between REM-SDB and increased incident hypertension [18, 19], we tested this association in our sample using a cross-sectional analysis. Surprisingly, there was no significant association between REM-SDB and hypertension in the whole sample nor in the subgroups with REM-AHI<10/h or global AHI<10/h. The reason for these differences between our and previous studies is unclear. In the Wisconsin cohort, the scoring of hypopnoeas required a 4% oxygen desaturation, which may have selected more severe respiratory events [42]. However, the MAILES study, which also found a significant association between REM-SDB and hypertension, used the currently recommended 3% criteria for scoring hypopnoeas [19]. Another difference is that the MAILES study included only males, whereas we included both genders in our analysis. However, this is unlikely to explain the lack of association we found because our models were also negative when we restricted the analysis to men (data not shown). The analysis by Mokhlesi and colleagues on the Wisconsin cohort used 24-hour blood pressure monitoring while we used three measurements in the morning. Although this is a potential source of difference between the two studies, the MAILES study used the same technique as in the present study and found a significant association between REM-SDB and hypertension. When using blood pressure as a continuous dependent variable, there was however a significant positive association between moderate-to-severe REM-AHI and both systolic and diastolic blood pressure. These findings appear to suggest a possible positive association between REM-SDB and blood pressure.

### *REM-SDB and depression*

We did not find a significant association between depression and REM-SDB, besides a trend in the NREM-AHI<10/h subgroup. Our group and others have previously shown that patients with SDB are at higher risk of depressive disorders [1, 43-45] and have a greater prevalence of other psychiatric comorbidities [46-48]. However, the mechanisms underlying the possible association between REM-SDB and depression are not clear. Oxygen desaturation and hypoxia during sleep have been proposed as potential mechanisms for this association because interventional studies using oxygen or CPAP therapies [49, 50] found that reversing hypoxaemia in SDB improved mood disorders. Moreover, due to its likely role in emotion processing, REM sleep fragmentation could have a negative impact on mood [51]. However, we did not find an independent association of depression with ODI, T90, and arousal index (data not shown).

### *Strengths and limitations*

The main strength of this study is the inclusion of a large sample representative of the general population and the extensive phenotyping of participants, which allowed the creation of models controlling for the main confounding factors for each analysed outcome. However, our study also has limitations that need to be acknowledged. First, the cross-sectional design does not allow any causality relationships to be determined. Second, the study population was aged between 40 and 85 years and essentially of white European origin with a low prevalence of obesity. Thus, generalizability of our findings to younger, more obese populations of different ethnicity is not possible. Lastly, we did not use the dichotomized definition of REM-SDB proposed by others [2-4]. However, we believe that the use of REM-AHI severity categories allows more precise analysis than a dichotomous classification.

1  
2  
3 In conclusion, our findings show that moderate-to-severe REM-SDB is highly  
4 prevalent in the general population, even in individuals classified as having absent or mild  
5 SDB, and that REM-SDB is independently associated with important cardiovascular risk  
6 factors such as metabolic syndrome and diabetes. Because CPAP use is often limited to  
7 the first part of the night (leaving the most REM-SDB untreated), our results strengthen the  
8 concept that patients should be encouraged to use CPAP for the whole night to obtain  
9 maximum benefit.  
10  
11  
12  
13  
14  
15  
16  
17

### 18 **Financial support**

19  
20 The Faculty of Biology and Medicine of Lausanne, the Lausanne University Hospital  
21 (CHUV), Leenaards Foundation, and Ligue Pulmonaire Vaudoise funded the salary of the  
22 technicians who did the sleep recordings. The Swiss National Science Foundation funded  
23 the statisticians and supported the initial CoLaus/PsyCoLaus cohort. GlaxoSmithKline  
24 supported the initial CoLaus/PsyCoLaus cohort and funded the polysomnography recorders.  
25  
26 The funders of the study had no role in study design, data collection, data analysis, data  
27 interpretation, or writing of the report. The corresponding author had full access to all data  
28 in the study and had final responsibility for the decision to submit for publication.  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



**Table 1.** Subject's characteristics in the entire cohort based on REM-AHI severity.

REM-AHI severity categories in the entire cohort						
	All	<5/h	5-9.9/h	10-19.9/h	≥20/h	p-value
Number of subjects, % (n)	100 (2074)	22.0 (456)	16.0 (331)	21.3 (441)	40.8 (846)	
Female, % (n)	52.0 (1079)	69.7 (318)*	57.1 (189)*	49.9 (220)	41.6 (352)	<0.0001
Age, years, Median (IQR)	56.3 (48.5 - 66.2)	51.3 (45.6 - 59.6)	54.1 (47.0 - 63.1)*	55.9 (48.9 - 65.7)*	60.6 (52.5 - 68.4)*#	<0.0001
BMI, kg/m <sup>2</sup> , Median (IQR)	25.7 (23.2 - 28.5)	23.7 (21.5 - 26.3)	24.2 (22.1 - 26.5)	25.6 (23.3 - 27.9)*+	27.4 (25.0 - 30.4)*#	<0.0001
WHR, Median (IQR)	0.92 (0.87 - 0.97)	0.89 (0.84 - 0.94)	0.90 (0.85 - 0.95)	0.91 (0.87 - 0.97)*+	0.94 (0.90 - 0.98)*#	<0.0001
Neck circumference, Median (IQR)	37.0 (33.5 - 39.8)	34.0 (32.0 - 37.5)	35.0 (33.0 - 38.5)	37.0 (34.0 - 39.0)*+	38.0 (35.0 - 41.0)*#	<0.0001
Hypertension, % (n)	40.8 (846)	24.3 (111)	34.7 (115)	37.4 (165)	53.9 (455)*	<0.0001
Type 2 diabetes, % (n)	9.7 (200)	3.3 (15)	5.4 (18)	6.8 (30)	16.2 (137)*	<0.0001
Metabolic syndrome, % (n)	30.0 (623)	12.3 (56)	19.3 (64)	27.2 (120)	45.3 (383)	<0.0001
Depression, % (n)	6.2 (107)	6.3 (23)	4.6 (13)	6.6 (25)	6.6 (46)	0.668
Antihypertensive medication, % (n)	25.7 (532)	14.5 (66)	19.9 (66)	21.5 (95)	36.1 (305)*	<0.0001
Antidepressant medication, % (n)	5.7 (115)	7.1 (31)	3.4 (11)	4.6 (20)	6.4 (53)	0.096
Benzodiazepines, % (n)	8.4 (171)	7.4 (33)	8.5 (28)	8.1 (35)	8.9 (75)	0.815
Current smoking, %, (n)	18.5 (379)	20.3 (92)	18.3 (60)	17.8 (78)	17.9 (149)	0.063
Alcohol consumption before PSG, mean (SD)	0.45 (0.89)	0.42 (0.82)	0.43 (0.82)	0.40 (0.88)	0.49 (0.93)	0.276
Alcohol consumption weekly, mean (SD)	6.5 (7.9)	5.5 (7.2)	5.8 (6.9)	6.7 (8.2)	7.1 (8.4)*	0.007
TST, min, Median (IQR)	406.5 (364.0 - 449.6)	409.2 (370.6 - 453.5)	411.5 (370.5 - 454.0)	415.0 (367.3 - 457.3)	397.5 (353.9 - 441.5)*#	<0.0001
TST in supine, min, Median (IQR)	120.4 (53.4 - 196.1)	105.4 (49.0 - 174.3)	125.6 (62.5 - 194.6)*	127.5 (58.4 - 187.0)	122.6 (49.6 - 209.3)	0.040
REM time in supine, min, Median (IQR)	20.0 (2.0 - 44.2)	17.1 (0 - 42.2)	20.0 (3.1 - 47.0)	20.5 (2.1 - 40.5)	21.4 (2.9 - 46.4)	0.094
REM time, % of TST, Median (IQR)	22.5 (18.6 - 26.1)	23.6 (19.9 - 27.0)	23.0 (19.9 - 26.3)	22.9 (19.3 - 26.2)	21.1 (17.2 - 25.4)*#	<0.0001
SWS time, % of TST, Median (IQR)	19.4 (14.2 - 25.0)	20.8 (16.3 - 25.8)	20.0 (15.3 - 25.6)	19.8 (14.3 - 25.7)	18.0 (12.5 - 23.9)*#	<0.0001
Arousal index, events/h, Median (IQR)	18.7 (13.8 - 25.9)	14.2 (10.4 - 19.7)*	17.1 (13.6 - 22.0)*	18.1 (14.1 - 23.8)*	23.2 (16.6 - 31.1)*#	<0.0001
Total AHI, events/h, Median (IQR)	9.8 (4.2 - 20.1)	2.0 (1.0 - 4.8)	4.3 (2.9 - 8.1)*	9.0 (6.0 - 13.5)*+	21.4 (13.9 - 34.5)*#	<0.0001
NREM-AHI, events/h, Median (IQR)	7.4 (2.3 - 17.2)	1.7 (0.6 - 5.0)	3.4 (1.4 - 7.8)*	6.6 (3.0 - 12.0)*+	16.6 (8.3 - 30.1)*#	<0.0001
REM-AHI, events/h, Median (IQR)	15.3 (5.7 - 30.3)	2.2 (0.9 - 3.4)	7.1 (6.0 - 8.6)*	14.5 (12.4 - 16.8)*+	34.4 (25.7 - 46.8)*#	<0.0001
REM-AHI non-supine, events/h, Median (IQR)	8.8 (2.6 - 23.0)	1.3 (0 - 2.7)	5.1 (2.2 - 7.3)*	10.4 (6.2 - 14.3)*+	26.7 (18.2 - 40.9)*#	<0.0001
REM-AHI supine, events/h, Median (IQR)	27.9 (8.4 - 52.7)	2.7 (0 - 6.2)	10.8 (7.0 - 23.4)*	25.1 (16.4 - 42.5)*+	52.2 (37.5 - 68.6)*#	<0.0001
ODI 3%, events/h, Median (IQR)	9.9 (4.3 - 19.0)	2.4 (1.0 - 5.5)	4.7 (3.0 - 9.0)*	8.7 (5.6 - 14.3)*+	19.8 (12.9 - 30.9)*#	<0.0001
T90, % of TST, mean (SD)	4.1 (12.3)	7.2 (1.1)	9.3 (1.4)*	13.0 (3.5)*+	14.3 (7.0)*#	<0.0001
ESS score, Median (IQR)	6.0 (3.0 - 9.0)	6.0 (3.0 - 8.0)	5.0 (3.0 - 9.0)	6.0 (3.8 - 8.0)	6.0 (3.0 - 9.0)	0.690

Definition of abbreviations: AHI = apnoea-hypopnoea index; BMI = body mass index; ESS = Epworth Sleepiness Scale; IQR = interquartile range; Min = minutes; NREM = non-rapid eye movement; ODI = oxygen desaturation index per hour of 3% or greater; PSG = polysomnography; REM = rapid eye movement; SD = standard deviation; SWS = slow wave sleep; T90 = percentage of total sleep time with oxygen saturation below 90%; TST = total sleep time; WHR = waist-to-hip ratio. Alcohol consumption = mean consumption of standard drink containing 10 g of alcohol.

Data analyzed by Pearson's chi-square or Kruskal-Wallis followed by Mann-Whitney pairwise comparisons. \*p<0.008 compared to <5/h; #p<0.008 compared to 10-19.9/h; +p<0.008 compared to 5-9.9/h. Number of participants with missing data: alcohol consumption before PSG (31), antidepressant medication (49), benzodiazepines (27), BMI (12), smoking (22), depression (353), diabetes (2), hypertension (2), neck circumference (57), REM-AHI non-supine (89), REM-AHI supine (394), REM time in supine (1), T90 (28), WHR (2).

**Table 2.** Patient characteristics in NREM-AHI<10 subgroup based on REM-AHI severity.

REM-AHI severity categories in NREM-AHI<10 subgroup						
	All	<5/h	5-9.9/h	10-19.9/h	≥20/h	p-value
<b>Number of subjects, % (n)</b>	100 (1241)	33.2 (412)	22.2 (275)	23.4 (291)	21.2 (263)	
<b>Female, % (n)</b>	63.7 (790)	73.5 (303)*	60.7 (167)	58.8 (171)	56.7 (149)	<b>&lt;0.0001</b>
<b>Age, years, Median (IQR)</b>	53.5 (46.7 - 63.2)	50.5 (45.3 - 58.4)	53.8 (46.5 - 62.7)*	54.4 (48.0 - 64.7)*	57.7 (49.2 - 66.7)* <sup>+</sup>	<b>&lt;0.0001</b>
<b>BMI, kg/m<sup>2</sup>, Median (IQR)</b>	24.7 (22.3 - 27.3)	23.4 (21.3 - 26.1)	23.9 (21.9 - 26.2)	25.4 (22.9 - 27.5)* <sup>+</sup>	26.4 (24.3 - 29.5)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>WHR, Median (IQR)</b>	0.90 (0.85 - 0.95)	0.89 (0.84 - 0.93)	0.89 (0.84 - 0.94)	0.90 (0.86 - 0.95)*	0.92 (0.87 - 0.96)* <sup>+</sup>	<b>&lt;0.0001</b>
<b>Neck circumference, Median (IQR)</b>	35.0 (33.0 - 38.0)	34.0 (32.0 - 37.0)	35.0 (33.0 - 38.0)*	36.0 (33.0 - 38.0)*	36.5 (34.5 - 39.0)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>Hypertension, % (n)</b>	32.1 (398)	22.3 (92)	32.7 (90)	33.7 (98)	45.0 (118)*	<b>&lt;0.0001</b>
<b>Type 2 diabetes, % (n)</b>	5.4 (67)	2.2 (9)	3.6 (10)	5.5 (16)	12.2 (32)*	<b>&lt;0.0001</b>
<b>Metabolic syndrome, % (n)</b>	20.7 (257)	10.7 (44)	17.1 (47)	22.0 (64)	38.8 (102)*	<b>&lt;0.0001</b>
<b>Depression, % (n)</b>	7.0 (72)	6.6 (22)	5.4 (13)	7.3 (18)	8.8 (19)	0.547
<b>Antihypertensive medication, % (n)</b>	18.7 (232)	11.9 (49)	18.5 (51)	18.6 (54)	29.7 (78)	<b>&lt;0.0001</b>
<b>Antidepressant medication, % (n)</b>	4.7 (57)	6.8 (27)	3.7 (10)	4.2 (12)	3.1 (8)	0.100
<b>Benzodiazepines, % (n)</b>	7.5 (92)	6.2 (25)	9.2 (25)	5.9 (17)	9.6 (25)	0.192
<b>Current smoking, %, (n)</b>	20.0 (246)	20.3 (83)	19.5 (53)	19.7 (57)	20.3 (53)	0.832
<b>Alcohol consumption before PSG, mean (SD)</b>	0.41 (0.84)	0.40 (0.79)	0.45 (0.90)	0.34 (0.76)	0.47 (0.93)	0.422
<b>Alcohol consumption weekly, mean (SD)</b>	5.7 (7.3)	5.3 (7.1)	5.8 (7.1)	6.1 (7.2)	5.9 (7.8)	0.380
<b>TST, min, Median (IQR)</b>	409.5 (367.0 - 453.5)	411.3 (372.0 - 455.1)	411.9 (366.5 - 456.5)	419.0 (374.5 - 458.3)	394.5 (351.5 - 438.5)* <sup>##</sup>	<b>0.001</b>
<b>TST in supine, min, Median (IQR)</b>	122.3 (55.6 - 192.0)	110.0 (53.0 - 183.6)	133.0 (62.5 - 198.5)	128.9 (56.9 - 185.5)	128.8 (47.1 - 212.4)	0.148
<b>REM time in supine, min, Median (IQR)</b>	94.5 (76.3 - 114.0)	21.2 (0.5 - 46.5)	23.6 (6.0 - 51.5)	25.0 (7.0 - 46.5)	28.5 (7.5 - 52.0)*	<b>0.028</b>
<b>REM time, % of TST, Median (IQR)</b>	23.4 (19.7 - 26.8)	23.8 (20.3 - 27.2)	23.5 (20.2 - 26.3)	23.3 (19.4 - 26.8)	22.3 (17.8 - 26.2)*	<b>0.018</b>
<b>SWS time, % of TST, Median (IQR)</b>	20.8 (16.1 - 26.0)	21.0 (16.7 - 26.0)	20.3 (15.6 - 25.9)	20.9 (15.7 - 25.9)	20.9 (16.0 - 26.1)	0.686
<b>Arousal index, Median (IQR)</b>	15.3 (11.8 - 20.5)	13.8 (10.1 - 18.5)	16.5 (12.8 - 21.4)*	15.7 (12.9 - 20.5)*	16.5 (12.5 - 22.6)*	<b>&lt;0.0001</b>
<b>Total AHI, events/h, Median (IQR)</b>	5.3 (2.4 - 8.7)	1.8 (0.9 - 3.4)	3.7 (2.8 - 6.0)*	6.6 (5.2 - 9.0)* <sup>+</sup>	11.1 (8.9 - 13.5)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>NREM-AHI, events/h, Median (IQR)</b>	3.1 (1.3 - 6.1)	1.4 (0.5 - 3.5)	2.6 (1.2 - 5.2)*	4.2 (2.0 - 6.5)* <sup>+</sup>	5.6 (3.5 - 7.7)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>REM-AHI, events/h, Median (IQR)</b>	8.6 (3.4 - 17.8)	2.1 (0.9 - 3.4)	7.1 (6.0 - 8.5)*	14.2 (12.2 - 16.5)* <sup>+</sup>	28.2 (13.5 - 30.2)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>REM-AHI non-supine, events/h, Median (IQR)</b>	4.7 (1.3 - 12.5)	1.2 (0 - 2.6)	5.0 (2.2 - 7.2)*	10.0 (5.6 - 14.0)* <sup>+</sup>	22.2 (23.5 - 35.3)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>REM-AHI supine, events/h, Median (IQR)</b>	15.0 (4.3 - 33.3)	2.7 (0 - 5.9)	10.6 (6.9 - 20.5)*	23.0 (15.9 - 37.4)* <sup>+</sup>	41.2 (31.3 - 53.6)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>ODI 3%, events/h, Median (IQR)</b>	5.3 (2.6 - 9.0)	2.0 (1.0 - 4.2)	4.2 (2.7 - 6.2)*	6.6 (4.7 - 8.9)* <sup>+</sup>	11.0 (8.8 - 14.2)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>T90, % of TST, mean (SD)</b>	2.5 (11.1)	1.1 (7.6)	1.5 (10.1)	2.9 (13.7)* <sup>+</sup>	5.2 (13.0)* <sup>##</sup>	<b>&lt;0.0001</b>
<b>ESS score, Median (IQR)</b>	6.0 (3.0 - 9.0)	6.0 (3.0 - 8.0)	5.0 (3.0 - 9.0)	6.0 (3.3 - 8.0)	6.0 (4.0 - 9.0)	0.344

Definition of abbreviations: AHI = apnoea-hypopnoea index; BMI = body mass index; ESS = Epworth Sleepiness Scale; IQR = interquartile range; Min = minutes; NREM = non-rapid eye movement; ODI = oxygen desaturation index per hour of 3% or greater; PSG = polysomnography; REM = rapid eye movement; SD = standard deviation; SWS = slow wave sleep; T90 = percentage of total sleep time with oxygen saturation below 90%; TST = total sleep time; WHR = waist-to-hip ratio. Alcohol consumption = mean consumption of standard drink containing 10 g of alcohol.

Data analyzed by Pearson's chi-square or Kruskal-Wallis followed by Mann-Whitney pairwise comparisons. \*p<0.008 compared to <5/h; #p<0.008 compared to 10-19.9/h; +p<0.008 compared to 5-9.9/h.

Number of participants with missing data: alcohol consumption before PSG (20), antidepressant medication (28), benzodiazepines (16), BMI (3), smoking (9), depression (206), hypertension (1), neck circumference (35), REM-AHI non-supine (44), REM-AHI supine (213), T90 (14), WHR (1).

Table 3. Patient characteristics in AHI&lt;10 subgroup based on REM-AHI severity.

	REM-AHI severity categories in AHI<10 subgroup					p-value
	All	<5/h	5-9.9/h	10-19.9/h	≥20/h	
Number of subjects, % (n)	100 (1047)	40 (419)	26.3 (275)	24.6 (258)	9.1 (95)	
Female, % (n)	65.2 (683)	72.8 (305)*	61.5 (169)	59.3 (153)	58.9 (56)	<0.0001
Age, years, Median (IQR)	52.8 (46.2 - 62.4)	50.5 (45.4 - 58.5)	53.8 (46.5 - 63.0)*	54.4 (48.0 - 64.3)*	55.0 (47.2 - 66.1)*	<0.0001
BMI, kg/m <sup>2</sup> , Median (IQR)	24.3 (21.9 - 26.8)	23.4 (21.3 - 26.1)	24.0 (21.9 - 26.3)	25.3 (22.7 - 27.3)* <sup>†</sup>	26.3 (23.6 - 30.4)* <sup>†#</sup>	<0.0001
WHR, Median (IQR)	0.90 (0.85 - 0.94)	0.89 (0.84 - 0.94)	0.89 (0.84 - 0.94)	0.90 (0.86 - 0.95)*	0.92 (0.87 - 0.97)* <sup>†</sup>	<0.0001
Neck circumference, Median (IQR)	35.0 (33.0 - 38.0)	34.0 (32.0 - 37.0)*	35.0 (33.0 - 38.0)*	35.5 (33.0 - 38.0)*	36.0 (34.4 - 39.0)* <sup>†</sup>	<0.0001
Hypertension, % (n)	29.8 (312)	22.9 (96)	32.4 (89)	33.7 (87)	42.1 (40)*	<0.0001
Type 2 diabetes, % (n)	4.3 (45)	2.4 (10)	3.6 (10)	5.4 (14)	11.6 (11)*	0.001
Metabolic syndrome, % (n)	17.9 (187)	11.5 (48)	17.1 (47)	21.3 (55)	17.9 (187)*	<0.0001
Depression, % (n)	6.4 (56)	6.5 (22)	5.4 (13)	7.2 (16)	6.3 (5)	0.887
Antihypertensive medication, % (n)	16.9 (177)	12.2 (51)	18.2 (50)	19.0 (49)	28.4 (27)*	0.001
Antidepressant medication, % (n)	4.9 (50)	6.9 (28)	3.7 (10)	4.3 (11)	1.1 (1)	0.058
Benzodiazepines, % (n)	7.7 (79)	6.1 (25)	9.2 (25)	6.3 (16)	13.8 (13)*	0.045
Current smoking, % (n)	20.9 (217)	20.7 (86)	19.5 (53)*	21.8 (56)*	23.2 (22)* <sup>†</sup>	0.858
Alcohol consumption before PSG, mean (SD)	0.40 (0.81)	0.39 (0.78)	0.45 (0.90)	0.35 (0.79)	0.41 (0.78)	0.511
Alcohol consumption weekly, mean (SD)	5.7 (7.2)	5.4 (7.3)	5.8 (7.1)	6.0 (7.3)	5.6 (6.7)	0.497
TST, min, Median (IQR)	411.0 (368.5 - 454.0)	410.5 (372.0 - 455.5)	411.5 (366.5 - 458.0)	418.8 (371.9 - 353.0)	391.5 (353.0 - 420.4)* <sup>†#</sup>	0.002
TST in supine, min, Median (IQR)	121.2 (55.4 - 190.0)	109.9 (49.3 - 177.5)	133.0 (62.5 - 200.0)	127.9 (54.3 - 187.0)	131.2 (47.0 - 189.1)	0.111
REM time in supine, min, Median (IQR)	23.2 (3.1 - 48.0)	20.6 (0.2 - 46.0)	23.6 (6.0 - 51.1)	25.0 (7.3 - 46.4)	27.8 (6.0 - 57.5)	0.062
REM time, % of TST, Median (IQR)	23.3 (19.7 - 26.6)	23.8 (20.3 - 27.2)	23.5 (20.2 - 26.3)	23.2 (19.4 - 26.6)	20.4 (17.0 - 23.6)* <sup>†#</sup>	<0.0001
SWS time, % of TST, Median (IQR)	20.8 (16.3 - 25.9)	20.9 (16.6 - 25.9)	20.1 (15.6 - 25.9)	20.6 (15.6 - 25.9)	22.3 (18.0 - 26.1)	0.237
Arousal index, Median (IQR)	15.2 (11.5 - 20.0)	13.8 (10.2 - 18.6)	16.5 (12.8 - 21.4)*	15.5 (12.8 - 20.1)*	15.7 (12.0 - 21.6)*	<0.0001
Total AHI, events/h, Median (IQR)	4.2 (2.1 - 6.9)	1.8 (0.9 - 3.5)	3.7 (2.8 - 6.0)*	6.3 (5.1 - 8.3)* <sup>†</sup>	8.1 (6.9 - 9.2)* <sup>†#</sup>	<0.0001
NREM-AHI, events/h, Median (IQR)	2.4 (1.1 - 4.9)	1.5 (0.5 - 3.6)	2.6 (1.2 - 5.2)*	3.7 (1.9 - 5.7)* <sup>†</sup>	3.2 (2.0 - 4.2)*	<0.0001
REM-AHI, events/h, Median (IQR)	6.5 (2.7 - 12.7)	2.1 (0.9 - 3.4)	7.0 (6.0 - 8.5)*	13.9 (12.0 - 16.4)* <sup>†</sup>	24.7 (21.7 - 28.3)* <sup>†#</sup>	<0.0001
REM-AHI non-supine, events/h, Median (IQR)	3.5 (1.0 - 8.6)	1.2 (0 - 2.6)	5.0 (2.2 - 7.2)*	10.0 (5.5 - 13.6)* <sup>†</sup>	20.5 (7.0 - 26.4)* <sup>†#</sup>	<0.0001
REM-AHI supine, events/h, Median (IQR)	10.6 (3.0 - 24.1)	2.7 (0 - 5.9)	10.6 (7.0 - 20.5)*	22.3 (15.2 - 35.1)* <sup>†</sup>	31.8 (25.8 - 46.0)* <sup>†#</sup>	<0.0001
ODI 3%, events/h, Median (IQR)	4.4 (2.2 - 7.0)	2.0 (1.0 - 4.4)	4.2 (2.7 - 6.2)	6.2 (4.5 - 8.2)* <sup>†</sup>	8.4 (6.9 - 10.2)* <sup>†#</sup>	<0.0001
T90, % of TST, mean (SD)	2.1 (11.1)	1.1 (7.5)	1.5 (10.1)	2.9 (14.3)* <sup>†</sup>	5.6 (15.7)* <sup>†#</sup>	<0.0001
ESS score, Median (IQR)	6.0 (3.0 - 9.0)	6.0 (3.0 - 8.0)	5.0 (3.0 - 9.0)	5.0 (3.5 - 8.5)	6.0 (3.0 - 9.0)	0.906

Definition of abbreviations: AHI = apnoea-hypopnoea index; BMI = body mass index; ESS = Epworth Sleepiness Scale; IQR = interquartile range; Min = minutes; NREM = non-rapid eye movement; ODI = oxygen desaturation index per hour of 3% or greater; PSG = polysomnography; REM = rapid eye movement; SD = standard deviation; SWS = slow wave sleep; T90 = percentage of total sleep time with oxygen saturation below 90%; TST = total sleep time; WHR = waist-to-hip ratio. Alcohol consumption = mean consumption of standard drink containing 10 g of alcohol.

Data analyzed by Pearson's chi-square or Kruskal-Wallis followed by Mann-Whitney pairwise comparisons. \*p<0.008 compared to <5/h; #p<0.008 compared to 10-19.9/h; †p<0.008 compared to 5-9.9/h.

Number of participants with missing data: alcohol consumption before PSG (19), antidepressant medication (27), benzodiazepines (15), BMI (3), smoking (7), depression (169), neck circumference (33), NREM-AHI supine (58), REM-AHI supine (194), T90 (12), WHR (1).

**Table 4.** Associations between REM-AHI and blood pressure.

	Entire cohort		NREM-AHI<10 subgroup		AHI<10 subgroup	
	$\beta$	p-value	$\beta$	p-value	$\beta$	p-value
<b>Systolic blood pressure</b>						
REM-AHI (continuous)	0.03	0.167	0.01	0.842	-0.03	0.671
5-9.9/h	2.02	0.070	1.28	0.275	1.43	0.213
10-19.9/h	2.11	0.051	1.80	0.135	1.61	0.181
$\geq 20$ /h	2.40	<b>0.030</b>	0.50	0.701	-1.18	0.486
<b>Diastolic blood pressure</b>						
REM-AHI (continuous)	0.02	0.208	0.01	0.821	-0.02	0.636
5-9.9/h	1.27	0.089	1.01	0.193	1.02	0.179
10-19.9/h	1.20	0.097	1.12	0.159	0.90	0.255
$\geq 20$ /h	1.72	<b>0.020</b>	0.23	0.787	-1.19	0.289

Definition of abbreviations: AHI = apnoea-hypopnoea index;  $\beta$  = linear regression coefficient beta; NREM = non-rapid eye movement; REM = rapid eye movement.

Data analyzed by linear regression using REM-AHI as continuous or dummy variable with adjustment for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly), antihypertensive drug and log-NREM-AHI.

Number of participants with missing data in the models (entire cohort, NREM-AHI<10, AHI<10): systolic blood pressure and diastolic blood pressure (16, 6, 5).

## Figure captions

**Figure 1. Odds ratios and 95% confidence intervals (CI) for rapid eye movement (REM) apnoea-hypopnoea index (AHI) severity categories in the entire cohort (n=2074 polysomnographies).** Circles represent the odds ratio and bars the 95% CI. Logistic regression models fitted to examine the associations for the entire cohort with metabolic syndrome, diabetes, hypertension and depression. Increasing REM-AHI severity was significantly associated with metabolic syndrome. Hypertension, diabetes and depression were not significantly associated with REM-sleep-disordered breathing (REM-SDB). Cardiovascular and metabolic comorbidities were adjusted for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly) and the logarithme of non-REM-AHI (log-NREM-AHI). Depression was adjusted for age, gender, and consumption of benzodiazepines, antidepressants, and log-NREM-AHI. Number of participants with missing data in the models: metabolic syndrome (35), diabetes (37), hypertension (37), depression (389).

**Figure 2. Odds ratios and 95% confidence intervals (CI) for rapid eye movement (REM) apnoea-hypopnoea index (AHI) severity categories in the subgroup with non-REM-AHI<10/h (n=1241 polysomnographies).** Circles represent the odds ratio and bars the 95% CI. Logistic regression models fitted to examine the associations for the entire cohort with metabolic syndrome, diabetes, hypertension and depression. Increasing REM-AHI severity was significantly associated with metabolic syndrome and diabetes. Hypertension and depression showed no association with REM-sleep-disordered breathing (REM-SDB). Cardiovascular and metabolic comorbidities were adjusted for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly) and the logarithme of non-REM-AHI (log-NREM-AHI). Depression was adjusted for age, gender, and consumption of benzodiazepines, antidepressants, and log-NREM-AHI. Number of participants with missing data in the models: metabolic syndrome (13), diabetes (13), hypertension (14), depression (226).

1  
2  
3  
4  
5 **Figure 3. Odds ratios and 95% confidence intervals (CI) for rapid eye movement (REM)**  
6 **apnoea-hypopnoea index (AHI) severity categories in the subgroup with total AHI<10/h**  
7 **(n=1047 polysomnographies).** Circles represent the odds ratio and bars the 95% CI.

8  
9  
10 Logistic regression models fitted to examine the associations for the entire cohort with  
11 metabolic syndrome, diabetes, hypertension and depression. Moderate-to-severe REM  
12 sleep-disordered breathing (REM-SDB) was significantly associated with metabolic  
13 syndrome and diabetes. Diabetes, hypertension and depression showed no association with  
14 REM-SDB. Cardiovascular and metabolic comorbidities were adjusted for age, sex, body  
15 mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly) and  
16 the logarithme of non-REM-AHI (log-NREM-AHI). Depression was adjusted for age, gender,  
17 and consumption of benzodiazepines, antidepressants, and log-NREM-AHI. Number of  
18 participants with missing data in the models: metabolic syndrome (11), diabetes (11),  
19 hypertension (11), depression (189).  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## References

1. Heinzer R, Vat S, Marques-Vidal P, Marti-Soler H, Andries D, Tobback N, Mooser V, Preisig M, Malhotra A, Waeber G, Vollenweider P, Tafti M, Haba-Rubio J. Prevalence of sleep-disordered breathing in the general population: the HypnoLaus study. *Lancet Respir Med* 2015; 3(4): 310-318.
2. Conwell W, Patel B, Doeing D, Pamidi S, Knutson KL, Ghods F, Mokhlesi B. Prevalence, clinical features, and CPAP adherence in REM-related sleep-disordered breathing: a cross-sectional analysis of a large clinical population. *Sleep Breath* 2012; 16(2): 519-526.
3. Haba-Rubio J, Janssens JP, Rochat T, Sforza E. Rapid eye movement-related disordered breathing: clinical and polysomnographic features. *Chest* 2005; 128(5): 3350-3357.
4. Koo BB, Patel SR, Strohl K, Hoffstein V. Rapid eye movement-related sleep-disordered breathing: influence of age and gender. *Chest* 2008; 134(6): 1156-1161.
5. Pamidi S, Knutson KL, Ghods F, Mokhlesi B. Depressive symptoms and obesity as predictors of sleepiness and quality of life in patients with REM-related obstructive sleep apnea: cross-sectional analysis of a large clinical population. *Sleep Med* 2011; 12(9): 827-831.
6. Chami HA, Baldwin CM, Silverman A, Zhang Y, Rapoport D, Punjabi NM, Gottlieb DJ. Sleepiness, quality of life, and sleep maintenance in REM versus non-REM sleep-disordered breathing. *Am J Respir Crit Care Med* 2010; 181(9): 997-1002.
7. Punjabi NM, Bandeen-Roche K, Marx JJ, Neubauer DN, Smith PL, Schwartz AR. The Association Between Daytime Sleepiness and Sleep-Disordered Breathing in NREM and REM Sleep. *Sleep* 2002; 25.
8. Khan A, Harrison SL, Kezirian EJ, Ancoli-Israel S, O'Hearn D, Orwoll E, Redline S, Ensrud K, Stone KL, Osteoporotic Fractures in Men Study Research G. Obstructive sleep apnea during rapid eye movement sleep, daytime sleepiness, and quality of life in older men in Osteoporotic Fractures in Men (MrOS) Sleep Study. *Journal of clinical sleep medicine : JCSM : official publication of the American Academy of Sleep Medicine* 2013; 9(3): 191-198.
9. Krieger J, Sforza E, Boudewijns A, Zamagni M, Petiau C. Respiratory effort during obstructive sleep apnea: role of age and sleep state. *Chest* 1997; 112(4): 875-884.
10. Findley LJ, Wilhoit SC, Suratt PM. Apnea duration and hypoxemia during REM sleep in patients with obstructive sleep apnea. *Chest* 1985; 87(4): 432-436.
11. Peppard PE, Ward NR, Morrell MJ. The impact of obesity on oxygen desaturation during sleep-disordered breathing. *Am J Respir Crit Care Med* 2009; 180(8): 788-793.
12. Shea SA, Edwards JK, White DP. Effect of wake-sleep transitions and rapid eye movement sleep on pharyngeal muscle response to negative pressure in humans. *J Physiol* 1999; 520 Pt 3: 897-908.
13. McSharry DG, Saboisky JP, Deyoung P, Jordan AS, Trinder J, Smales E, Hess L, Chamberlin NL, Malhotra A. Physiological mechanisms of upper airway hypotonia during REM sleep. *Sleep* 2014; 37(3): 561-569.
14. Kohler M, Stradling JR. CrossTalk proposal: Most of the cardiovascular consequences of OSA are due to increased sympathetic activity. *J Physiol* 2012; 590(12): 2813-2815; discussion 2823.
15. Somers VK, Dyken ME, Clary MP, Abboud FM. Sympathetic neural mechanisms in obstructive sleep apnea. *J Clin Invest* 1995; 96(4): 1897-1904.
16. Somers VK, Dyken ME, Mark AL, Abboud FM. Sympathetic-nerve activity during sleep in normal subjects. *N Engl J Med* 1993; 328(5): 303-307.
17. Trinder J, Kleiman J, Carrington M, Smith S, Breen S, Tan N, Kim Y. Autonomic activity during human sleep as a function of time and sleep stage. *J Sleep Res* 2001; 10(4): 253-264.
18. Mokhlesi B, Finn LA, Hagen EW, Young T, Hla KM, Van Cauter E, Peppard PE. Obstructive sleep apnea during REM sleep and hypertension. results of the Wisconsin Sleep Cohort. *Am J Respir Crit Care Med* 2014; 190(10): 1158-1167.
19. Appleton SL, Vakulin A, Martin SA, Lang CJ, Wittert GA, Taylor AW, McEvoy RD, Antic NA, Catchside PG, Adams RJ. Hypertension is associated with undiagnosed obstructive sleep apnea during rapid eye movement (REM) sleep. *Chest* 2016.

- 1  
2  
3 20. Mokhlesi B, Hagen EW, Finn LA, Hla KM, Carter JR, Peppard PE. Obstructive sleep  
4 apnoea during REM sleep and incident non-dipping of nocturnal blood pressure: a  
5 longitudinal analysis of the Wisconsin Sleep Cohort. *Thorax* 2015; 70(11): 1062-1069.
- 6 21. Grimaldi D, Beccuti G, Touma C, Van Cauter E, Mokhlesi B. Association of obstructive  
7 sleep apnea in rapid eye movement sleep with reduced glycemic control in type 2 diabetes:  
8 therapeutic implications. *Diabetes Care* 2014; 37(2): 355-363.
- 9 22. Chami HA, Gottlieb DJ, Redline S, Punjabi NM. Association between Glucose  
10 Metabolism and Sleep-disordered Breathing during REM Sleep. *Am J Respir Crit Care Med*  
11 2015; 192(9): 1118-1126.
- 12 23. Firmann M, Mayor V, Vidal PM, Bochud M, Pecoud A, Hayoz D, Paccaud F, Preisig M,  
13 Song KS, Yuan X, Danoff TM, Stirnadel HA, Waterworth D, Mooser V, Waeber G,  
14 Vollenweider P. The CoLaus study: a population-based study to investigate the epidemiology  
15 and genetic determinants of cardiovascular risk factors and metabolic syndrome. *BMC*  
16 *Cardiovasc Disord* 2008; 8: 6.
- 17 24. Preisig M, Waeber G, Vollenweider P, Bovet P, Rothen S, Vandeleur C, Guex P,  
18 Middleton L, Waterworth D, Mooser V, Tozzi F, Muglia P. The PsyCoLaus study:  
19 methodology and characteristics of the sample of a population-based survey on psychiatric  
20 disorders and their association with genetic and cardiovascular risk factors. *BMC psychiatry*  
21 2009; 9: 9.
- 22 25. Johns MW. A new method for measuring daytime sleepiness: the Epworth sleepiness  
23 scale. *Sleep* 1991; 14(6): 540-545.
- 24 26. Iber C A-IS, Chesson A, and Quan SF. The AASM Manual for the scoring of sleep and  
25 associated events : Rules, terminology and technical specifications. 1<sup>st</sup> ed: Westchester,  
26 Illinois: American Academy of Sleep Medicine 2007.
- 27 27. Iber C A-IS, Chesson A, Quan SF. The AASM manual for the scoring of sleep and  
28 associated events: rules, terminology and technical specifications. 1st ed. Westchester, IL:  
29 American Academy of Sleep Medicine, . 2007.
- 30 28. Berry RB, Budhiraja R, Gottlieb DJ, Gozal D, Iber C, Kapur VK, Marcus CL, Mehra R,  
31 Parthasarathy S, Quan SF, Redline S, Strohl KP, Davidson Ward SL, Tangredi MM,  
32 American Academy of Sleep M. Rules for scoring respiratory events in sleep: update of the  
33 2007 AASM Manual for the Scoring of Sleep and Associated Events. Deliberations of the  
34 Sleep Apnea Definitions Task Force of the American Academy of Sleep Medicine. *Journal of*  
35 *clinical sleep medicine : JCSM : official publication of the American Academy of Sleep*  
36 *Medicine* 2012; 8(5): 597-619.
- 37 29. National Cholesterol Education Program Expert Panel on Detection E, Treatment of High  
38 Blood Cholesterol in A. Third Report of the National Cholesterol Education Program (NCEP)  
39 Expert Panel on Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults  
40 (Adult Treatment Panel III) final report. *Circulation* 2002; 106(25): 3143-3421.
- 41 30. Trull TJ, Verges A, Wood PK, Jahng S, Sher KJ. The structure of Diagnostic and  
42 Statistical Manual of Mental Disorders (4th edition, text revision) personality disorder  
43 symptoms in a large national sample. *Personal Disord* 2012; 3(4): 355-369.
- 44 31. Coughlin SR, Mawdsley L, Mugarza JA, Calverley PM, Wilding JP. Obstructive sleep  
45 apnoea is independently associated with an increased prevalence of metabolic syndrome.  
46 *Eur Heart J* 2004; 25(9): 735-741.
- 47 32. Gruber A, Horwood F, Sithole J, Ali NJ, Idris I. Obstructive sleep apnoea is  
48 independently associated with the metabolic syndrome but not insulin resistance state.  
49 *Cardiovasc Diabetol* 2006; 5: 22.
- 50 33. Mokhlesi B, Grimaldi D, Beccuti G, Abraham V, Whitmore H, Delebecque F, Van Cauter  
51 E. Effect of One Week of 8-Hour Nightly Continuous Positive Airway Pressure Treatment of  
52 Obstructive Sleep Apnea on Glycemic Control in Type 2 Diabetes: A Proof-of-Concept Study.  
53 *Am J Respir Crit Care Med* 2016; 194(4): 516-519.
- 54 34. Shaw JE, Punjabi NM, Naughton MT, Willes L, Bergenstal RM, Cistulli PA, Fulcher GR,  
55 Richards GN, Zimmet PZ. The Effect of Treatment of Obstructive Sleep Apnea on Glycemic  
56 Control in Type 2 Diabetes. *Am J Respir Crit Care Med* 2016; 194(4): 486-492.
- 57  
58  
59  
60



- 1  
2  
3 35. McEvoy RD, Antic NA, Heeley E, Luo Y, Ou Q, Zhang X, Mediano O, Chen R, Drager LF,  
4 Liu Z, Chen G, Du B, McArdle N, Mukherjee S, Tripathi M, Billot L, Li Q, Lorenzi-Filho G,  
5 Barbe F, Redline S, Wang J, Arima H, Neal B, White DP, Grunstein RR, Zhong N, Anderson  
6 CS. CPAP for Prevention of Cardiovascular Events in Obstructive Sleep Apnea. *New*  
7 *England Journal of Medicine* 2016; 0(0): null.
- 8 36. Louis M, Punjabi NM. Effects of acute intermittent hypoxia on glucose metabolism in  
9 awake healthy volunteers. *J Appl Physiol (1985)* 2009; 106(5): 1538-1544.
- 10 37. Bloom SR, Edwards AV, Hardy RN. The role of the autonomic nervous system in the  
11 control of glucagon, insulin and pancreatic polypeptide release from the pancreas. *J Physiol*  
12 1978; 280: 9-23.
- 13 38. Lembo G, Capaldo B, Rendina V, Iaccarino G, Napoli R, Guida R, Trimarco B, Sacca L.  
14 Acute noradrenergic activation induces insulin resistance in human skeletal muscle. *Am J*  
15 *Physiol* 1994; 266(2 Pt 1): E242-247.
- 16 39. Nonogaki K. New insights into sympathetic regulation of glucose and fat metabolism.  
17 *Diabetologia* 2000; 43(5): 533-549.
- 18 40. Bialasiewicz P, Czupryniak L, Pawlowski M, Nowak D. Sleep disordered breathing in  
19 REM sleep reverses the downward trend in glucose concentration. *Sleep Med* 2011; 12(1):  
20 76-82.
- 21 41. Fendri S, Rose D, Myambu S, Jeanne S, Lalau JD. Nocturnal hyperglycaemia in type 2  
22 diabetes with sleep apnoea syndrome. *Diabetes Res Clin Pract* 2011; 91(1): e21-23.
- 23 42. Peppard PE, Young T, Palta M, Skatrud J. Prospective study of the association between  
24 sleep-disordered breathing and hypertension. *N Engl J Med* 2000; 342(19): 1378-1384.
- 25 43. Peppard PE, Szklo-Coxe M, Hla KM, Young T. Longitudinal association of sleep-related  
26 breathing disorder and depression. *Archives of internal medicine* 2006; 166(16): 1709-1715.
- 27 44. Chen YH, Keller JK, Kang JH, Hsieh HJ, Lin HC. Obstructive sleep apnea and the  
28 subsequent risk of depressive disorder: a population-based follow-up study. *Journal of*  
29 *clinical sleep medicine : JCSM : official publication of the American Academy of Sleep*  
30 *Medicine* 2013; 9(5): 417-423.
- 31 45. Lu MK, Tan HP, Tsai IN, Huang LC, Liao XM, Lin SH. Sleep apnea is associated with an  
32 increased risk of mood disorders: a population-based cohort study. *Sleep Breath* 2016.
- 33 46. Baran AS, Richert AC. Obstructive sleep apnea and depression. *CNS Spectr* 2003; 8(2):  
34 128-134.
- 35 47. Sharafkhaneh A, Giray N, Richardson P, Young T, Hirshkowitz M. Association of  
36 psychiatric disorders and sleep apnea in a large cohort. *Sleep* 2005; 28(11): 1405-1411.
- 37 48. Lin WC, Winkelman JW. Obstructive sleep apnea and severe mental illness: evolution  
38 and consequences. *Current psychiatry reports* 2012; 14(5): 503-510.
- 39 49. Derderian SS, Bridenbaugh RH, Rajagopal KR. Neuropsychologic symptoms in  
40 obstructive sleep apnea improve after treatment with nasal continuous positive airway  
41 pressure. *Chest* 1988; 94(5): 1023-1027.
- 42 50. Bardwell WA, Norman D, Ancoli-Israel S, Loreda JS, Lowery A, Lim W, Dimsdale JE.  
43 Effects of 2-week nocturnal oxygen supplementation and continuous positive airway  
44 pressure treatment on psychological symptoms in patients with obstructive sleep apnea: a  
45 randomized placebo-controlled study. *Behav Sleep Med* 2007; 5(1): 21-38.
- 46 51. Duncan WC, Jr., Pettigrew KD, Gillin JC. REM architecture changes in bipolar and  
47 unipolar depression. *Am J Psychiatry* 1979; 136(11): 1424-1427.
- 48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47

**Supplementary Table S1.** Impact of a 10 events per hour increase in REM-AHI on the prevalence of comorbidities.

	Metabolic Syndrome		Diabetes		Hypertension		Depression	
	OR (95% CI)	p-value	OR (95% CI)	p-value	OR (95% CI)	p-value	OR (95% CI)	p-value
<b>REM-AHI, events/h</b>								
Entire cohort	1.10 (1.02 - 1.18)	<b>0.012</b>	1.08 (1.00 - 1.18)	0.127	1.04 (1.00 - 1.11)	0.258	1.10 (1.00 - 1.25)	0.160
NREM-AHI<10 subgroup	1.26 (1.12 - 1.40)	<b>&lt;0.0001</b>	1.26 (1.07 - 1.46)	<b>0.007</b>	1.08 (1.00 - 1.21)	0.195	1.18 (1.00 - 1.40)	0.117
AHI<10 subgroup	1.31 (1.08 - 1.55)	<b>0.009</b>	1.41 (1.05 - 1.79)	<b>0.024</b>	1.10 (0.99 - 1.30)	0.317	1.20 (0.98 - 1.60)	0.302

Definition of abbreviations: AHI = apnoea–hypopnoea index; CI = confidence interval; OR = Odds ratio; REM = rapid eye movement.  
 Data analyzed by multivariable-adjusted logistic regression. Cardiovascular and metabolic comorbidities were adjusted for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly) and non-REM AHI (NREM-AHI). Depression was adjusted for age, gender, consumption of benzodiazepines and antidepressants and log-NREM-AHI.  
 Number of participants with missing data in the models (entire cohort, NREM-AHI<10, AHI<10): systolic blood pressure and diastolic blood pressure (16, 6, 5).  
 Number of participants with missing data in the models of the entire cohort (REM-AHI, REM-AHI in supine, REM-AHI non-supine): metabolic syndrome (entire cohort: 35, 424, 35; NREM-AHI<10 subgroup: 13, 223, 13; AHI<10 subgroup: 11, 203, 11); diabetes (entire cohort: 37, 426, 37; NREM-AHI<10 subgroup: 13, 223, 13; AHI<10 subgroup: 11, 203, 11); hypertension (entire cohort: 37, 426, 37; NREM-AHI<10 subgroup: 14, 224, 14; AHI<10 subgroup: 11, 203, 11); depression (entire cohort: 389, 720, 389; NREM-AHI<10 subgroup: 226, 410, 226; AHI<10 subgroup: 189, 358, 189).

**Supplementary Table S2.** Associations between REM-AHI and blood pressure in participants free of antihypertensive drug use.

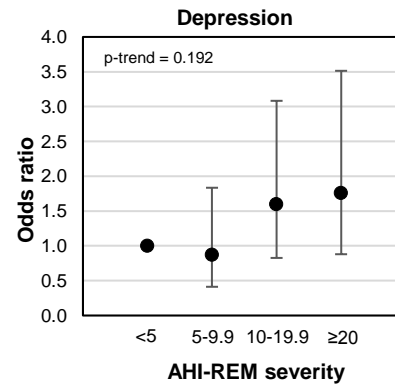
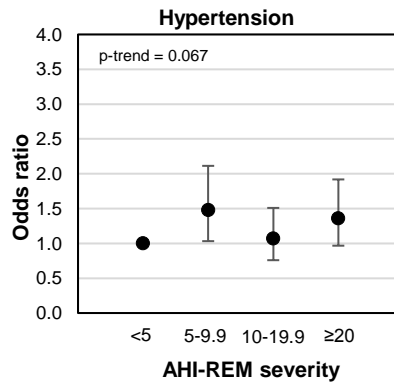
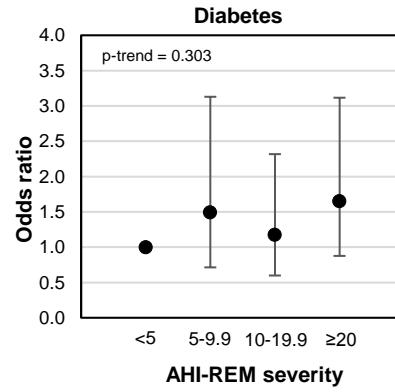
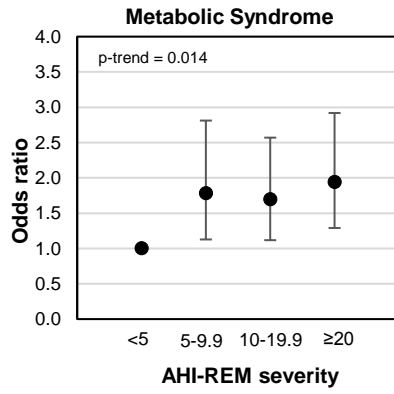
	Entire cohort n=1531		NREM-AHI<10 subgroup n=1004		AHI<10 subgroup n=866	
	$\beta$	p-value	$\beta$	p-value	$\beta$	p-value
<b>Systolic blood pressure</b>	0.02	0.285	0.00	0.864	0.01	0.772
REM-AHI (continuous)	0.01	0.785	-0.01	0.886	0.04	0.499
5-9.9/h	1.27	0.274	1.03	0.393	0.96	0.422
10-19.9/h	0.81	0.469	1.90	0.125	2.02	0.108
$\geq 20$ /h	0.47	0.680	0.33	0.815	0.18	0.925
<b>Diastolic blood pressure</b>	0.02	0.285	0.00	0.864	0.01	0.772
REM-AHI (continuous)	0.02	0.285	0.00	0.864	0.01	0.772
5-9.9/h	0.92	0.229	1.02	0.202	0.96	0.225
10-19.9/h	0.56	0.446	1.04	0.202	1.06	0.196
$\geq 20$ /h	1.00	0.183	0.29	0.754	-0.35	0.779

Definition of abbreviations: AHI = apnoea-hypopnoea index;  $\beta$  = linear regression coefficient beta; NREM = non-rapid eye movement; REM = rapid eye movement.

Data analyzed by linear regression using REM-AHI as continuous or dummy variable with adjustment for age, sex, body mass index, waist-to-hip ratio, total sleep time, smoking, alcohol consumption (weekly), and log-NREM-AHI.

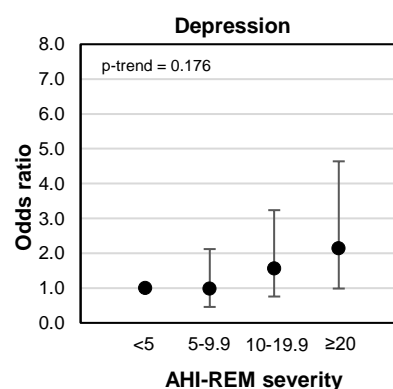
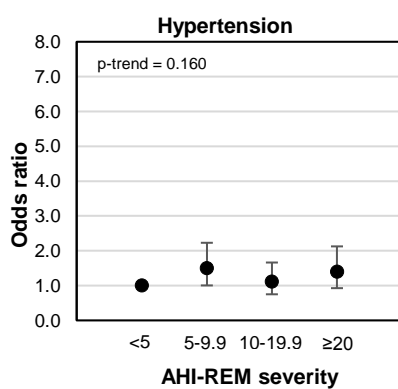
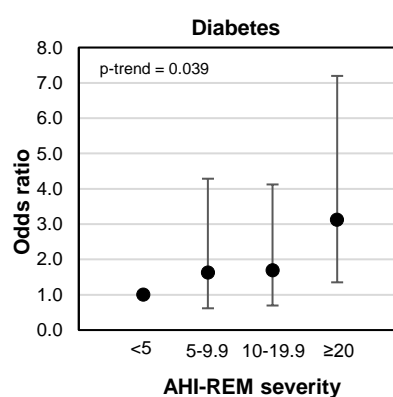
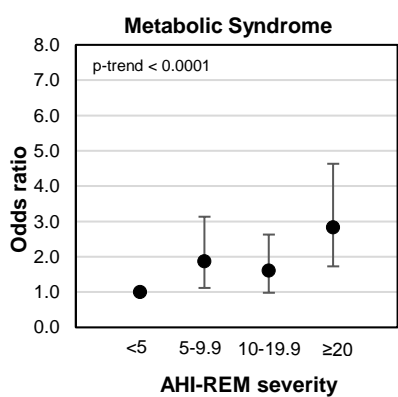
Number of participants with missing data in the models (entire cohort, NREM-AHI<10, AHI<10): systolic blood pressure and diastolic blood pressure (11, 5, 4).

ENTIRE COHORT



1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**NREM-AHI<10 SUBGROUP**



1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**AHI<10 SUBGROUP**

