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- 1 Combined flow abstraction and climate change impacts on an aggrading Alpine river
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# 14 Key Points:

- Hydropower related flow abstraction may drastically reduce sediment transport capacity,
   but only to rates that are of similar magnitude as sediment supply.
- This causes downstream river bed aggradation and morphodynamics to be very sensitive to external forcing mechanisms related to flow management or climate change.
- Climate-driven sediment supply may propagate through Alpine streams despite large scale flow abstraction.
- 21

#### 22 Abstract

23 Recent climatic warming and associated glacial retreat may have a large impact on sediment

- 24 release and transfer in Alpine river basins. Concurrently, the sediment transport capacity of many
- 25 European Alpine streams is affected by hydropower exploitation, notably where flow is
- abstracted but the sediment supply downstream is maintained. Here, we investigate the combined
- 27 effects of climate change and flow abstraction on morphodynamics and sediment transfer in the
- Borgne River, Switzerland. From photogrammetrically derived historical Digital Elevation
  Models (DEMs) we find considerable net aggradation of the braided river bed (up to 5 meters)
- 30 since the onset of flow abstraction in 1963. Reaches responded through bed level steepening
- 31 which was strongest in the upper most reach. Widespread aggradation however did not
- commence until the onset of glacier retreat in the late 1980s and the dry and warm years of the
- 33 early 1990s. Upstream flow intake data shows that this aggradation coincided with an increase in
- 34 sediment supply, although aggradation accounts for no more than 25% of supplied material. The
- 35 remainder was transferred through the studied reaches. Estimations of bed load transport
- 36 capacity indicate that flow abstraction reduces transport capacity by 1-2 orders of magnitude.
- 37 Whilst residual transport rates vary with morphological evolution, they are in the same order of
- 38 magnitude as the sediment supply rates, which is why significant transport remains. However,
- 39 the reduction in transport capacity makes the system more sensitive to short-term (annual)
- 40 changes in climate-driven hydrological variability and climate-induced changes in intake
- 41 management and sediment delivery rates.
- 42

## 43 **1 Introduction**

44 Many European Alpine glaciated basins are heavily impacted by hydropower exploitation. Besides classical flow impoundment, flow abstraction for within or between valley 45 transfer is a common practice to cumulate hydroelectric capacity from multiple (adjacent) basins 46 47 (Margot et al., 1992). Whereas reservoir dams tend to trap sediment behind them, leading to 48 downstream sediment starvation (Petts & Gurnell, 2005; Williams & Wolman, 1984), run-of-the-49 river (e.g. Csiki & Rhoads 2014) and flow abstraction schemes effectively allow sediment to 50 pass through the river. Although the latter may be of primary relevance in Alpine regions, there are relatively few studies of their impacts upon sediment transport (e.g. Fergus, 1997; Raymond 51 52 Pralong et al., 2015; Turowski & Rickenmann, 2009; Wold & Østrem, 1979) and very few 53 studies of their downstream morphological impacts (e.g. Gurnell 1983). As sediment production 54 and delivery rates tend to be high in mountain environments (Hinderer et al., 2013), the volume 55 of sediment that is trapped at flow intakes may be significant such that frequent purges are 56 required to release sediment down the river (e.g. Bezinge et al., 1989). However, due to the 57 reduction in total flow and with it transport capacity, the rate of downstream sediment transfer 58 should be reduced and consequently lead to temporary or even permanent sediment accumulation 59 (Gabbud & Lane, 2016; Lane et al., 2014). River bed aggradation in the affected Alpine streams 60 may have profound impacts on riparian ecosystems (Gabbud & Lane, 2016; Petts & Bickerton, 1994) and infrastructure through increased risk of flooding (Lane et al., 2007), lateral channel 61 62 instability and bank erosion (Church, 2006; Wheaton et al., 2013), and damage due to high 63 sediment loads (Badoux et al., 2014; Hilker et al., 2009). On a larger scale, the sediment delivery 64 to main rivers and deltas may be affected (Costa et al., 2017).

65 The direct impacts of human activity on river flow and hence sediment transport that 66 arise from hydropower exploitation may be amplified by the indirect effects of human impacts 67 that are manifest through climate change. Since the little Ice Age, large accumulations of 68 unconsolidated sediment, derived from weathering and glacial erosion, have become available 69 for transport (e.g. Haeberli & Beniston, 1998; Stoffel & Huggel, 2012). In the traditional model 70 of paraglacial response (Ballantyne, 2002; Church & Ryder, 1972), the onset of glacial recession 71 leads to high initial rates of geomorphic activity followed by a process of relaxation as a series of 72 negative feedbacks create progressively greater levels of landscape stability. A critical question 73 then follows as to what happens in such Alpine basins subject to accelerated climatic warming 74 (e.g. Beniston et al., 1994; Gobiet et al., 2014) and associated glacial retreat which has been 75 observed during the last decades (Haeberli & Beniston, 1998; Haeberli et al., 2007; Heckmann et 76 al., 2016; Paul et al., 2004) and is projected in the future. There have been few studies that 77 address the transient state of these environments (Haeberli & Beniston, 1998; Lane et al., 2017). 78 An increase in fluvial sediment supply may be the direct result of greater access to freshly 79 exposed subglacial sediment (Lane et al., 2017; Warburton, 1990) as well as the effects of 80 increased sediment transport capacity associated with more rapid ice melt (Raymond Pralong et al., 2015). Indirectly, sediment is also derived from hillslope erosion due to glacial debuttressing 81 82 (Curry et al., 2006; Holm et al., 2004; Norton et al., 2010) and general permafrost degradation 83 (Fischer et al., 2013; Gruber & Haeberli, 2007; Stoffel & Huggel, 2012). The actual system 84 response to increased sediment supply is however complex (Harbor & Warburton, 1993), 85 because the sediment flux through the landscape depends on the interaction between landforms 86 and processes, including feedbacks and conditioned through the connectivity amongst them 87 (Cossart & Fort, 2008; Geilhausen et al., 2013; Lane et al., 2017).

88 Thus, both flow abstraction and climate change are likely to have important 89 consequences for sediment transfer and river morphodynamics in Alpine streams and their 90 ecosystems. Yet, despite recognition of the need to factor sediment into water resource 91 management (Wohl et al., 2015), there are very few studies of river response to human forcing of 92 streamflow in combination with indirect human forcing of climate. This can be related to 93 difficulties in quantifying the acting geomorphic processes, typically topographic change and 94 bedload transport, on the relevant annual to decadal timescale, and the complexity and 95 interaction of the processes involved. In this context, we aim to investigate the extent to which 96 flow abstraction slows down the effects of rapid climate warming upon stream flow and 97 sediment supply from propagating downstream through Alpine streams.

98 We focus on the Arolla Valley, Switzerland, which has long term discharge records from 99 flow intakes from which it is possible to reconstruct a unique record of reliable coarse sediment 100 supply rates (e.g. Bezinge et al., 1989). Lane et al. (2017) and Micheletti & Lane (2016) used 101 these to investigate the impact of climate change on sediment production and export from basins 102 upstream of flow intakes. In this study we use the same approach and, in addition, use archival 103 photogrammetry to assess the impact of flow abstraction on the river bed evolution and sediment 104 transfer downstream of hydropower intakes. The objectives of this paper are: (1) to quantify the 105 evolution of river morphology and sediment transfer at the decadal time-scale; and (2) to assess 106 the relative and combined impacts of climate change and hydropower exploitation on this 107 evolution.

108

#### 109 2 Study area

110 The Grande Dixence hydropower scheme is located in the Pennine Alps of south-west 111 Switzerland. It produces approximately 2 billion kWh of power per year and accounts for 20% of 112 Switzerland's energy storage capacity. Constructed in the late 1950s, it abstracts water from the 113 headwaters of two Upper Rhône tributaries, the Vispa and Borgne, via 75 flow intakes (Park, 114 1980). The water is transferred through a network of 100 km of tunnels and 4 pumping stations 115 to the Lac de Dix (Figure 1a), a retaining reservoir in the adjacent Dixence catchment (Tanchev, 116 2014). From there the water is supplied to 4 hydropower stations in the Rhône valley (Bezinge et 117 al., 1989; Gurnell, 1983).

118 In this work we focus on the upstream part of the Borgne d'Arolla (Figure 1a), where 119 flow has been abstracted since 1963 when the Lower Bertol (LB) intake (Figure 1b) and Arolla 120 pumping station became operational. It is largely fed by the Bas Glacier d'Arolla (also known as the Glacier de Mont Collon) but indirectly also receives water and sediment from regulated 121 122 catchments upstream through purges from three intakes. These are the Upper Bertol (Micheletti 123 & Lane, 2016) and Vuibé tributary catchments, and most notably the Haut Glacier d'Arolla 124 (HGdA) catchment (which has a surface area nearly 1.5 times that of the Lower Bertol). 125 Sediment export from the latter catchment, investigated by Lane et al. (2017), enters the LB 126 catchment on the flank of the retreating tongue of the Bas Glacier (Figure 1b).

127 Under normal flow conditions, all water is abstracted by the flow intakes. Downstream, 128 the flow gradually increases due to unregulated tributaries, lateral drainage and ground water 129 emergence. During a purge from the LB intake, sediment is evacuated from a trap and is 130 transferred downstream into a series of four braided river reaches, A through D (Figure 1b, 1c), 131 which are separated by narrow, incised, semi-alluvial reaches (only reach C and D are more or 132 less directly connected), and so are considered to be transport zones. A major tributary that is 133 also subject to flow abstraction is the Tsijiore Nouve (TN) which has a relatively high sediment 134 yield (as compared to LB; Gurnell et al., 1988) and enters the Borgne d'Arolla between reaches 135 A and B (Figure 1c). The studied braided reaches were established before the onset of 136 hydropower activities (SwissTopo, 1946; Supporting Information S1, reach A, 1959), their 137 presence associated with local valley widenings and reduced stream gradients upstream of 138 tributary alluvial fans (Figure 1b, 1c). Further downstream, though not directly part of study area, 139 the Borgne alternates between steep, e.g. between Reach D and Les Haudères, and channelized 140 sections, e.g. between Les Haudères and Evolène; Figure 1a), the latter which have a Post-

- 141 Glacial history of braiding terraces (Small, 1973).
- 142

## 143 **3 Methods**

## 144 **3.1 Overview**

In this study we draw upon two main sources of data to analyze the evolution of river bed level and sediment supply. First, we apply an archival photogrammetric method developed by Bakker & Lane (2017) to determine topographic and morphologic change of 4 braided river reaches since 1959, just before the onset of hydropower exploitation. Second, a record of the flow intake, just upstream of the reaches is used to quantify discharge, both the total 'natural' discharge that would have occurred without hydropower exploitation and the residual 'purge' discharge associated with intake flushing. This also allows us to determine sediment supply to 152 the studied river reaches as a function of the number and type of purges associated with

153 emptying the sediment traps. From the determined topographic change and sediment supply we

154 calculate sediment transfer through the system. Finally, we analyze the forcing mechanisms that

have contributed to the system evolution: (1) we quantify the impact of flow abstraction and

regulation on transport capacity using a bed load equation; and (2) we compare climatic

variability in seasonal temperature and precipitation to the variation in upstream sediment

158 delivery.

# 159 **3.2 Morphologic change based on photogrammetry**

## 160 **3.2.1 SfM archival photogrammetry**

Topographic data is acquired using Structure from Motion (SfM) based photogrammetric 161 162 methods which are described in full in Bakker & Lane (2017) and briefly summarized here. 163 Scanned historical images are provided by the Federal Office of Topography (SwissTopo) for the 164 period 1959-2005, with intervals of 4-12 years. Photographs from a specially commissioned low 165 elevation flight in 2014 are used to extend the record. Pix4D, a commercially-available software 166 package, is used to perform photogrammetric reconstruction based on 15-25 ground control 167 points and abundant tie-points generated using computer vision algorithms. This results in a 168 bundle adjustment quality (i.e. reprojection error and control point RMSE), similar to values 169 derived using classical techniques (Bakker & Lane, 2017). An overview of the image and bundle 170 adjustment data is given in Table 1. The resulting densified point clouds are analyzed for potential systematic errors, resulting from random error in the bundle adjustments (Lane et al., 171 172 2004), and these errors are minimized through point cloud registration. Stable zones from an 173 additional dataset, a 2 m resolution airborne laser scan survey for the year 2010 (ALTI3D data 174 provided by SwissTopo), are used as a reference. The referenced point clouds are used to

175 generate collocated 1 m resolution Digital Elevation Model (DEM) grids (Bakker & Lane, 2017).

176 In addition to providing DEMs, the photogrammetric analysis also produces orthoimages 177 (provided in Supporting Information S1) with a ground resolution of 0.09-0.5 m (Table 1). These 178 were used for morphological interpretation where we assess: sedimentation width defined as the 179 active channel width plus overbank deposits, river morphology and channel configuration, 180 riparian vegetation, human impact and surface grain size.

# 181 **3.2.2 Topographic change**

182 Local error in the DEMs is assessed based on orthoimage texture which was shown to 183 have a strong impact on the ability of SfM methods to extract and match 3D tie-points (Bakker & 184 Lane, 2017). We quantify error using an entropy filter (with a 9x9 cell running window) and 185 inversely scale the obtained values to 0.5-2 times the theoretical precision which is estimated 186 from the ground resolution. See Supporting Information S2 for further details. DEMs of 187 difference (DoDs) are generated to quantify net topographic change, using basic error propagation (Brasington et al., 2003; Lane et al., 2004) and probabilistic thresholding (Lane et 188 189 al., 2003; Wheaton et al., 2010). In this setting, the temporal resolution of the images is 190 insufficient to assess morphological change using spatial coherence (Wheaton et al., 2013; 191 Wheaton et al., 2010), resulting in multiple non-coherent changes. We did add two basic 192 constraints to filter local outliers from the DoDs: (1) a limit for maximum absolute change in 193 elevation between consecutive DoDs (4 m) and; (2) a limit for maximum opposite changes 194 between consecutive DoDs ( $\pm 1.5$  m), i.e. 1.5 m of erosion may be followed by 1.5 m

- 195 sedimentation (or vice versa). In the latter case we average the consecutive change values as it
- 196 was likely that there is an error in the common DEM. The resulting DoDs are clipped to the
- 197 maximum sedimentation width, excluding areas where (temporary) sediment mining and
- 198 construction took place. We then use the DoDs to determine net volume changes and mean
- elevational changes for the consecutive periods along the river channel, based on 90%
- 200 confidence limits (Lane et al., 2003). This provides us with a record of the decadal river bed 201 evolution and give us some insight (snapshots) in the shorter term (annual) morphodynamics.

# 202 **3.2.3** Channel gradient and sediment grain size

We determine channel gradient and sediment grain size both to quantify their (relative) downstream trends and as input for further bed load transport capacity calculations. The mean gradient of the river channel is determined from the 2014 DEM (Figure 2). Local field measurements of surface sediment grain size were performed using the Wolman (1954) count, grid-by-number approach (Supporting Information S3). These values are spatially extrapolated

- through two-dimensional semivariogram analysis of the 9 cm resolution 2014 orthoimage; for
- details on this method see Carbonneau et al. (2004). We use a 25x25 cell running window (2.25
- 210 x 2.25 m) for which we quantify the semivariogram range distance and sill value. Both measured 211  $D_{-}(B^2 = 0.77, n \le 0.001)$  and  $D_{-}(B^2 = 0.82, n \le 0.001)$  show statistically significant relations with
- 211  $D_{50}$  (R<sup>2</sup>= 0.77, p<0.001) and  $D_{84}$  (R<sup>2</sup>= 0.82, p<0.001) show statistically significant relations with 212 the bilinearly interpolated sill value (Supporting Information S3), despite the use of a relatively
- coarse image resolution. Because channel location and grain size vary in time, we derive a value
- for the regularly inundated section of the channel as the 20th percentile of the sill values within
- the cross section (Figure 2). These values are in general agreement with a  $D_{50}$  of 18-35 mm
- found by Warburton (1992) in the proglacial zone, upstream from the intake.

# 217 **3.3 Discharge and sediment supply**

# 218 **3.3.1 Flow intake record and purge identification**

The main flow intake that we consider in this paper is the Lower Bertol (LB), for which we describe: (1) how the system functions and is operated; (2) how we used this to acquire upand downstream discharge and sediment delivery. In addition we derive data for the TN intake, which functions in a similar way to LB and is hence treated using the same method (see Supporting Information S5), and also use data of the HGdA intake from Lane et al. (2017).

224 The LB intake comprises two sediment traps (Bezinge et al., 1989; Gurnell et al., 1988): 225 (1) a gravel and coarser material trap, which is purged using manual controls; and (2) a 226 subsequent sand trap which is purged automatically. Flow enters the intake via the gravel trap, 227 where the coarse bed load (gravel-boulders) is caught, and the water passes through a grill into 228 the underground sand trap designed to allow sediment to settle out of suspension. The remaining 229 flow, which has only wash load, passes over a broad crested weir and enters the hydropower 230 tunnel system. The amount of water abstracted is measured using a stage recorder at the broad 231 crested weir in the intake and logged for regulatory reasons. A 15-minute interval time series for 232 the period 1977-2014 is provided by Grande Dixence SA for the LB and TN intakes. During 233 purges, the gates of one of either of the sediment traps are opened and water is allowed to flow 234 down the river, flushing sediment with it (Figure 1 photo insert). This causes a rapid drop in 235 water level at the weir and the intake of flow is temporarily stopped for the duration of the purge 236 (Figure 3a).

237 To obtain the total upstream discharge, we need to account for the periods of interrupted 238 flow measurement during purges. As a first means of purge identification, we determined all 239 instances where the discharge drops to zero, i.e. a 100% reduction, in a single time step. Where 240 the data is averaged on a 15-minute basis, the intake time-series may however not always reach 241 zero, depending on the timing and duration of the purge, and therefore anomalously large 242 discharge drawdowns need to be identified. We distinguish these by considering the frequency 243 distribution of the relative changes in discharge per 15-minute time step (Figure 3b). We note 244 that under normal flow conditions, the occurrence of frequent fluctuations is typically described 245 by a logarithmic distribution. If we extrapolate this, large drawdowns occur very rarely (dotted 246 line). However, in practice, due to flow regulation, large drawdowns occur frequently due to the 247 onset of purges. Where there is a transition in the distributions of the normal and purged flow we 248 find a break in slope (Figure 3b), which we use to identify purges. The purges are visually 249 verified, where the HGdA discharge record (Lane et al., 2017) is used as a reference to aid this 250 process. In addition, we assess purges based on a minimum required flow volume to empty the sediment trap ( $600 \text{ m}^3$ ; Figure 5). 251

252 Once the purges are identified, we apply linear interpolation to the intake time series over 253 the duration of each purge to estimate both the purge discharge down the river and the upstream 254 total discharge that arrives at the intake, the sum of the intake and purge discharge (Figure 3a). 255 When LB purges coincide with those from upstream intakes, notably the HGdA, the discharge 256 interpolation may lead to an underestimate. This is a common practice during night-time purges 257 which were introduced in 2008 for safety reasons (to reduce the frequency of dangerously high 258 flows during the day time) and to enhance sediment throughput. Occasionally, this is also a 259 necessary practice when, during high flow events, the flow intake and transfer system is near or 260 at its maximum capacity and flow abstraction must be stopped (Park, 1980). This results in 261 longer duration, high flows in the river downstream of the intake. From the HGdA and LB time 262 series we determine the wave propagation time between the intakes as approximately 1 hour ( $\pm$ 263 15 min) by considering those purges from the HGdA that can be distinguished in the LB flow 264 intake data (i.e. when the latter is not purging). For these events we perform linear regression 265 analysis to determine the relation between the HG interpolated purge discharge and LB intake 266 discharge, taking into consideration the required propagation time (Figure 4). Vice-versa, we 267 also determine the relation between the measured HGdA intake discharge and measured LB 268 interpolated purge discharge. This gives a lower slope due to the absence of the contribution of 269 the HGdA purging (Figure 4). Based on the difference between these regression slopes over the 270 period 1988-2013, we can account for an increase in the LB discharge equal to about 35% of the 271 discharge that was released at the HGdA during purging, and we add this to the LB purges to get 272 a discharge estimate of the coinciding purges. We use this in the evaluation of the impact of flow 273 intake management.

# 274 **3.3.2 Sediment supply from purge frequency**

Because of the nature of the operating conditions, the intake data can also be used to infer the supply of bed load and suspended load to the intake and sediment delivery to the downstream reach. For the period 1977 to 1987 we use sediment load quantified by Bezinge et al. (1989), based on purge frequency and the dimensions of the LB sediment traps. They estimated the effective volume of purged sediment to be 150 m<sup>3</sup> for the gravel trap and 8 m<sup>3</sup> for the sand trap based on turbidity measurements and sediment height surveys in the gravel trap. Besides loads, it is also possible to obtain an indication of sediment grain size through distinguishing gravel andsand trap purges.

283 For the period 1988-2014, we use the estimated volume of water that is released per purge to distinguish the type of purge. We base this on a cumulative frequency analysis as shown 284 285 in Figure 5. On the basis of the sand trap dimensions and a typical purge duration of less than 20 286 minutes, we estimate that up to 1500 m<sup>3</sup> of water is required to evacuate the sand, which may be 287 distinguished in the low occurrence frequency around this value (Figure 5). Purges of the gravel 288 trap are longer, often more than 30 minutes, and are characterized by higher water volumes 289 required to remove much larger amounts of sediment with greater resistance to motion. There is 290 also greater variation in the water volume released due to their manual operation. Here, we 291 distinguish night-time (just before midnight) purges which occur since 2008 when the gravel trap 292 is more than half full. For these purges we assign 80% of the full intake capacity with an error of 293  $\pm 20\%$ , following Lane et al. (2017). Lastly, we identify high water volumes, larger than 17000 294  $m^3$ , which are associated with (near) system surcharge events where flow cannot be abstracted, 295 typically for a few hours, due to capacity limitations of the hydropower system. The sediment 296 load is not easy to quantify where it doesn't accumulate to a known (sediment trap) volume but 297 passes directly through. Based on the flow volume and typical duration (hours), we assign a 298 sediment volume of 4±1 times the gravel trap capacity as an estimate of the sediment supply. To 299 verify the cumulative frequency analysis we used purges identified from a minute-based time-300 series for 2015, which shows a similar volume frequency distribution, and for which a number of 301 purges were observed and identified in the field. The same procedure was followed to establish 302 sediment delivery for the TN intake (Supporting Information S5).

303 The process of purge (type) identification and the calculation of sediment volumes 304 contains a number of assumptions and uncertainties. We therefore identified a range in sediment 305 supply where lower and upper limits are defined based on flow reduction for purge 306 identification, 100% flow reduction and large drawdowns respectively, and sediment supply 307 using  $\pm$  estimates. We would however like to emphasize that the obtained time series of sediment 308 supply may be considered to be fairly unique with regard to its accuracy, given the difficulty of 309 measuring bed load transport rates directly, and its timespan which covers decades (this was 310 already recognized in the late 1980s by Bezinge et al., 1989; Gurnell et al., 1988).

## 311 **3.4 Sediment budget and sediment transfer**

312 We evaluate net sediment storage and transfer in the river reaches through applying a sediment budget approach (Warburton, 1990), which forms the basis to evaluate the system 313 314 response to climate and human impacts (e.g. Harbor & Warburton 1993). Although this approach 315 may underestimate sediment transfer (Lane et al., 1994; Lindsay & Ashmore, 2002), in this case 316 the aggradational nature of the braided reaches and particularly the continuous record of 317 sediment delivery from the LB intake, allow us to quantify sediment transfer more confidently. 318 Since 1977, from which date we have sediment supply, the average annual sediment transfer rate 319 is calculated for periods between the available aerial photographs for reach A individually and 320 the combined reaches B, C and D. From the intake sediment supply and the net morphological 321 change in reach A, i.e. the change in sediment storage, we estimate the average sediment transfer 322 rate through the reach following the Exner equation (after Ashmore & Church, 1998), assuming 323 constant packing density (that is the packing density in the sediment traps is equal to that of the 324 aggraded river bed). We do not account for sediment input from local bank erosion or sediment

## 325 lost to gravel mining and river works, where they are difficult to distinguish and the latter largely

- involves the reworking of sediment within the reaches (rather than its removal) due to legislative
- 327 constraints. Sediment that is transferred out of reach A is transported through a narrow, incised
   328 river section that stores negligible sediment and forms the upstream supply to the combined
- reaches B, C and D. Here, we correct for the possible sediment transfer from the TN intake,
- which ranges between 0 and 100% of the supplied sediment. We do this because we seek to
- 331 compare the sediment transfer efficiency of sediment that originates from the LB intake between
- reach A on one hand and reaches B, C and D on the other hand, and not to analyse the transfer of
- 333 sediment from the TN intake itself or the total sediment flux. Lastly, we note that wash load,
- though potentially significant in amount, is not considered in this context due to its absence in
- the supply record and its subordinate relevance in the downstream morphodynamics.

# 336 **3.5 Sediment transport capacity and Supply-Capacity Ratio (SCR)**

337 Bed load transport capacity is modelled using the same approach as Lane et al. (2017) 338 and following (Nitsche et al., 2011), where we: (1) reduce the energy gradient due to flow 339 resistance associated with river-bed macro-roughness based on Ferguson (2007); (2) determine 340 transport capacity using the shear-stress based bed load equation of Rickenmann (1991) that was 341 shown to perform well in Swiss mountain streams (Nitsche et al., 2011); and (3) account for 342 transport of finer sub-surface material during armour layer breakup (Hunziker & Jäggi, 2002). 343 relevant in this setting (Warburton, 1992). See Supporting Information S4 for details. We 344 quantify bed load transport capacity using discharges measured at the LB intake, and a cross 345 section 750 m downstream of the intake (CS in Figure 1a). This location is chosen to be directly 346 downstream of a narrow (partly channelized) and steep section, just beyond a knick-point in 347 slope (S) and grain size (D<sub>50</sub>, D<sub>84</sub>), as shown in Figure 2. Here, the channel is at its widest and 348 the largest aggradation is expected (Lane et al., 2014). Flow attenuation between the intake and 349 this location is expected to be minimal. As the morphology is temporally (and spatially) variable, 350 we calculate a range in transport capacity based on the cross sections from the DEMs in the 351 period 1988-2014 (Supporting Information S6). To compare the results with purged sediment volumes, we determine the volumetric transport capacity (V) based on the gravel trap packing 352 353 density s=1300 kgm<sup>-3</sup>, as established by Bezinge et al. (1989).

The modelling approach provides bed load transport capacity estimates for the period 1977-2014. The results are analysed using a Supply-Capacity Ratio (SCR) where the annual sediment supply that passes the intake is divided by cumulative annual transport capacity, so as to give a relative measure of the variation in sediment surplus that is supplied to the river (Lane et al., 2017). We use this measure gain insight in the evolution of the sediment budget and to evaluate the human impact through comparison with the transport capacity that would have resulted in the absence of flow abstraction.

# 361 **3.6 Climate change**

Widespread temperature increase has been observed in the European Alps (Beniston et al., 1994; Gobiet et al. 2014), being more pronounced at higher elevations (Giorgi et al., 1997). The increase has been particularly strong since the 1980s (Costa et al., 2017) and is projected to continue into the future (Ceppi et al., 2012). In addition to the mean temperature increase, the variability also appears to increase, with more frequently occurring heatwaves (Schar et al., 2004). Precipitation trends are more regional, although here too an increase in variability isexpected, associated with enhanced summer convective rainfall (Giorgi et al., 2016).

369 Such trends, particularly the increase in the 1980s, can also be distinguished in spatially 370 interpolated, daily temperature and precipitation data (MeteoSwiss, 2016a,b) for the Bas Glacier catchment (Figure 6), based on Frei (2014); see also Costa et al. (2017). We aggregated this data 371 372 to month-based periods that reflect seasonal changes in hydrology and runoff: summer (July -373 September) and winter/spring (January – May), which we refer to as 'winter' hereafter. Note 374 how these differ to annual averages on a year-to-year basis (Figure 6). This data has the 375 necessary uncertainties for local application, mainly systematic error in absolute values and in 376 the case of precipitation variable error related to underestimation during strong wind (particularly 377 in the case of snow) and high intensity precipitation (MeteoSwiss, 2016b). These however only 378 have a limited effect on our forcing analysis, where we only consider relative changes over a 379 long period of time where temporal weather variability may be expected to average out. Linear 380 regression techniques were used to determine the correlation of summer temperature and winter 381 precipitation on one hand with total annual sediment delivery and water yield at the LB intake on 382 the other hand. Lastly, we determined the covariation of temperature and precipitation in order to

- assess their individual or combined forcing of sediment supply.
- 384

#### 385 4 Results

#### 386 4.1 Evolution of river bed aggradation and sediment transfer

#### 387 4.1.1 Morphological evolution river bed

388 Over the course of the investigated period, from 1959 to 2014, large scale river bed 389 aggradation took place (Figure 7). Sedimentation is widespread and prominent in all reaches, 390 while net erosion is limited to banks in the upper parts of reaches A and particularly B. The 391 largest increase in bed level, up to 5 m, took place near the upstream end of reach A where the 392 channel widens and the gradient decreases (Figure 2). Not coincidentally, this is also a site of 393 sediment extraction previously used for the construction of hydropower infrastructure (see also 394 1959 orthoimage of reach A in Supporting Information S1). To aid sediment throughput here, a 395 straight channel was constructed on the right bank in 2012, clearly present as a thin zone of 396 erosion in Figure 7. Reach D also shows extensive aggradation, but less within channel and more 397 in the form of lateral or overbank deposits (Figure 7).

398 The temporal evolution of the aggradation is shown in Figure 8. Considerable deposition 399 occurred in reach A between 1959 and 1965 (Figure 8a), which can at least be partially related to 400 the onset of flow abstraction in 1963. This was followed by a period with very limited net 401 sediment accumulation which lasted until 1983 in the lower part of reach A and 1988 in the 402 upper part of reach A. A phase of major aggradation, which is also reflected in an increase in 403 both channel width and elevation (Figure 8b, 8c), then took place until 1995. A period of less 404 change is then followed by a second phase of major aggradation between 1999 and 2005. In the last period, until 2014, the long-term trend of persistent aggradation is disrupted by substantial 405 406 net erosion. Note that over the whole period of net aggradation shorter periods of erosion may 407 have occurred more often, but these cannot be resolved with the temporal resolution of the 408 available topographic data.

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409 Until the early 1980s, reaches B, C and D responded to a lesser degree as compared with 410 reach A, although reach D does show a similar initial response (Figure 8a). In reach B, major 411 aggradation occurred in the period 1983-1988, less so in reaches C and D. This prompted 412 extensive river engineering and straightening in the downstream part of reach B (Figure 8b), while aggradation intensified in reaches C and D in the period 1988-1995. For reaches B through 413 D, volumes of aggradation until 1995 are not much less than in reach A (Figure 8a). Until 2010, 414 415 there is very limited aggradation and also some local erosion (e.g. between 2005 and 2010). 416 Finally, between 2010 and 2014 sedimentation occurred, particularly in reaches C and D 417 (overbank deposits, Figure 7), which appears to correspond to the erosion and release of 418 sediment from reach A in this period.

419 Although net aggradation was limited, the river channel morphology changed 420 considerably in the period between 1965 and 1988 as reflected in the sedimentation width 421 (Figure 8b). Initially the channel contracted, adopting a more wandering or meandering character 422 where bars were stabilized and riparian vegetation developed as shown in 1977 (see also 423 orthoimages of reaches A and D in Supporting Information S1). Reach C was actually reduced to 424 a low sinuosity single thread channel. These changes may be associated with the low frequency 425 of channel perturbation and bed reworking following from the short and discontinuous nature of 426 purge flows as compared with normal diurnal discharge cycles. After 1977, the channel 427 expanded again to reach its original extent by 1988, in the process burying and removing most of 428 the vegetation that had developed (see also Lane et al., 2014). This corresponds to the increased 429 aggradation in the 1980s (upper part of reach A and reach B). Large flood events in 1987 (Rey & 430 Dayer, 1990; Warburton & Fenn, 1994;) may have played a significant role in this morphological 431 change. Later changes in sedimentation width (and also grain size; Figure 2) are locally 432 influenced by river works for the protection of the pumping station (reach A), a campsite (reach 433 B) and pastures (reaches C and D) along the river (dikes in Figure 8).

434 Aggradation since 1988 has led to a development of reach-based gradients in the rise of 435 mean channel elevation. In reach A the bed level shows a sharp increase just downstream of the 436 intake where the channel gradient decreases and the river widens, which then progressively 437 decreases along the reach, effectively steepening the reach (Figure 8c). Together with the 438 downstream fining trend (Figure 2) this illustrates the typical effect of a (maintained) high 439 sediment supply and a significant loss of transport capacity due to flow abstraction and purge 440 attenuation. The lower reaches, which become progressively less steep, mimic this trend 441 although grain size trends are less clear due to TN input, general flow increase and river 442 engineering impacts on channel morphology. These trends in bed level rise suggest that despite 443 the significant (upstream) aggradation, sediment throughput was still sufficient to drive

444 downstream morphological change.

## 445 4.1.2 Upstream flow and sediment record

The total annual volume of water arriving at the LB intake is shown in Figure 9a. The intake comprises flows from melt of the Bas Glacier, as well as residual flow (mainly purges) from upstream intakes of which the HGdA has by far the largest contribution. The LB inflow increased gradually in the late 1970s and 1980s, reaching its maximum in the early 1990s. This coincides with a transition from a colder and wetter period in the late 1970s (Micheletti et al., 2015) to a warmer and dryer period in the early 1990s (Figure 6) and a transition from Bas Glacier advance before 1987 (Glaciological reports, 1881-2017) to retreat after 1987. From 1995 onwards the inflow is lower again, but no decreasing trend is observed. Although the Bas Glacier
has retreated significantly and lost most of its low altitude ice volume, rising temperature may
have increased melt in the higher parts of the basin so sustaining water yield. Since 2003, a rising
purge frequency from the HGdA led to an increase in its contribution to the LB flow from

457 approximately 1 to 6% (Figure 9a).

458 Similar to water yield, the sediment supply to the LB intake shows a steady increase in 459 the 1980s, reaching peak values in the early 1990s (Figure 9b). The latter coincides with the 460 onset of rapid sedimentation in the braided reaches, particularly in reach A (Figure 8a). After a 461 period of lower sediment supply, the rates increased in 2003 and particularly 2011. The evolution 462 of sediment supply is in broad lines similar to that of the HGdA (Lane et al., 2017), which 463 potentially accounts for about 30% of the sediment availability in the Bas Glacier (sub/)pro-464 glacial area and supply to the LB intake. The TN intake, which enters the Borgne downstream of 465 reach A, shows a purging evolution similar to LB (Supporting Information S5), with the 466 exception that supply rates in the 2000s remain low until 2010 when there is a sharp increase.

467 The LB purge record may also provide an indication of the sediment composition. We 468 found a relatively low number of sand trap purges when compared to Bezinge et al. (1989), who 469 found that the purge frequency was more or less similar to that of the gravel trap in the period 470 1977-1989. This could be due to an actual decrease in fine sediment supply, although this cannot be verified due to uncertainties in purge identification related to the small size of the sand trap 471 472 (and therefore small volume of water required to purge it, which is difficult to detect as a drop in 473 the flow intake series; Figure 3a). Here we would like to emphasize that whilst the number of 474 gravel trap purges fluctuate, the number of sand trap purges remain fairly constant until a 475 systematic increase around 2005 (only 2009 is an exception).

## 476 4.1.3 Sediment transfer

477 Figure 10 shows the evolution of sediment input from the LB intake and the subsequent 478 sediment transfer through reach A and reaches B, C and D since 1977. Although we have no 479 sediment delivery rates from before this period, we can infer that between 1965 and 1977, when 480 net erosion occurred, all incoming sediment (i.e. > 100%) was transferred through reach A. 481 Between 1977 and 1983, the sediment supply rate was low (Figure 9a). This sediment was 482 largely transferred through the reaches (Figure 10b) and there was some net aggradation in the 483 lower part of reach A (Figure 8a). Between 1983 and 1988 there was a substantial increase in 484 sediment input to reach A (Figure 9), but the reaches appear to be able to transfer this increase 485 nearly in its entirety through to the end of reach D. A further increase in supply from the LB 486 intake, (Figure 10a) between 1998 and 1995, led to major river bed aggradation in reach A 487 (Figure 8), and a drop in the relative amount of sediment transfer through the reach (Figure 10b). 488 Reaches B, C and D also show considerable aggradation (Figure 8), but this change could be 489 accounted for by sediment from the TN intake. Between 1995 and 1999, the sediment input to 490 reach A drops markedly (Figure 10a), but the low rate of sediment transfer (Figure 10b) is 491 maintained. The rate of sediment transfer through reach A falls further between 1999 and 2005. 492 which taken with a slight increase in sediment supply results in rapid aggradation (Figure 8a). 493 Given the uncertainty range due to the supply from the TN intake, no significant change could be 494 detected in the transfer of sediment that originates from the LB intake through reaches B, C and 495 D, which remains around 100% during the period 1977 to 2005.

496 There seems to be a marked change in the system after 2005. Sediment supply at the LB 497 intake rises and reaches a maximum in 2010-2014 since the data begin in 1977 (Figure 10a). 498 Between 2005 and 2010, there is net aggradation in reach A (Figure 8a), with sediment transfer 499 that rises, but remains lower than 100% (Figure 10a). However, reaches B through D degrade 500 during this period (Figure 8b, 10b). The inverse then occurs between 2010 and 2014 when 501 despite very high sediment supply to A (Figure 10a), reach A shows net degradation (Figure 8a) 502 and a high sediment transfer rate (Figure 10b). The latter, in turn, appears to lead to net 503 sedimentation in B through D (Figure 8a) and a sediment transfer rate slightly lower than 100% 504 (Figure 10b).

505 Over the whole period, more than 75% (76-97%) of the sediment that enters the system is 506 transferred through all reaches. Thus, despite the considerable impacts of flow abstraction and 507 profound morphological changes of the river bed, relatively high rates of sediment transfer 508 downstream are maintained. In addition, it appears that under a system with substantial flow 509 abstraction, relatively small changes in sediment supply can lead to relatively major 510 morphological responses (Figure 8). We develop these points further by assessing bed load 511 transport expecitiv

511 transport capacity.

# 512 **4.2 Forcing of sediment supply and transport capacity**

## 513 **4.2.1 Hydrologic forcing due to flow abstraction**

514 On annual basis, the total fraction of water that is abstracted is in the range of 85% to 515 99% (Figure 11a). The water that is not abstracted is largely used to evacuate sediment from the 516 intake during purges, resulting in relatively lower amounts of abstraction when there is high 517 sediment supply in the first half of the 1990s and since the mid-2000s (Figure 9b). The capacity 518 of the hydropower intake system to abstract water and at the same time to reduce the residual 519 flow in the river is related to magnitude of the discharge as is shown for different annual 520 exceedance durations in Figure 11a. During high discharges (e.g. flows exceeded less than 1 day 521 per year), the hydropower system is typically operating near its maximum capacity due to 522 abstraction of similarly high discharges from catchments higher up in the system. In this case, 523 the intake of water at the LB intake (but similarly for HGdA and TN) has to be temporarily 524 stopped to prevent the surcharge of the system. A trend emerges, where the effect of flow 525 abstraction becomes less with increasing discharge (Figure 11a). In addition, a temporal trend 526 may be observed since the mid-2000s, where the effect of flow abstraction decreases (for all 527 exceedance durations) to more or less 0 for the highest flows (1 hour per day) since 2010. The 528 latter coincides with a marked increase of (near) system surcharge events (Figure 9b) as the 529 limited capacity of the hydropower system is becoming increasingly insufficient to accommodate 530 the increasing peak discharges from the hydropower catchment, and for periods of some hours, 531 all water delivered to the intake is allowed to pass downstream.

If we consider the Supply-Capacity Ratio (SCR) downstream of the intake under natural conditions (no flow abstraction), values are in the order of 0.02-0.2 (Figure 11b). Thus in this case, sediment supply would be substantially lower than transport capacity throughout the period. From 2005 onwards there is a notable increase in SCR (Figure 11b) which is associated with an increase in sediment load and fairly constant discharge (Figure 9a, 9b). Note that the calculated bed load transport capacity is only indicative as it is artificial to combine the morphology under a flow abstraction regime with a natural flow regime. In reality, SCR values lower than 1 should lead to erosion, channel geometry changes, grain size sorting and stream bedarmouring to the point at which the transport capacity is reduced and the SCR tends to one.

541 Under the actual conditions (with flow abstraction), the SCR is greater than 1 (Figure 542 11b): the transport capacity of the residual flow is c. 3 times smaller than the supply, which is 543 commensurate with the observed aggradation here (Figure 8a). There is a significant band width 544 associated with these calculations due to uncertainties in sediment supply but more notably due 545 to the effect of temporal changes in morphology (see Supporting Information S6). Morphological 546 change may have led to reinforced transport capacity in periods of relatively low sediment 547 supply, e.g. 1999, or reduced transport capacity in periods of increased sediment supply such as 548 in 2005 (Figure 11b), although we must note that in the same period river engineering also took 549 place. Since the mid-2000s, the SCR values have generally decreased and approached the point 550 at which the increase in purge discharges (Figure 9a) is sufficient to transfer the increasing rate 551 of sediment supply: SCR  $\approx 1$  (Figure 11b). This is reinforced by the effect of coordinated purges 552 and occurrence of (near) system surcharge events; note that this effect is negligible (not visible) 553 for the total discharge. Whereas these calculations are an estimation and don't take into account 554 spatial changes in river morphology, they do indicate a tendency towards reduced sedimentation 555 rates and potential erosion in the last few years, particularly for the finer fractions (e.g. sand).

556 Even with a substantial reduction in transport capacity due to flow abstraction, there 557 remains sufficient flow to transport a significant proportion of sediment through the system, 558 explaining sediment export between 2005 and 2014 in Figure 11b. In addition, the SCR of the 559 natural and purged discharges converge through time, suggesting that the impact of flow 560 abstraction is decreasing. We attribute this change to the changing flow duration (Figure 11a) 561 associated with purge management, in the form of a shift towards coordinated night-time 562 purging, and capacity limitations of the hydropower system, resulting in flow intake limitations 563 and consequent high flow events.

## 564 4.2.2 Climate forcing and system response

565 Sediment supply showed the strongest monthly-based correlation with both average daily 566 summer temperature, in the period July – September, and average daily winter precipitation, in 567 the period January – May. Figure 12a shows that summer temperature directly forces sediment 568 delivery to the intake through ice melt which both releases sediment and affects the magnitude 569 and duration of competent stream flow, that is sediment transport capacity. No relation was 570 found with summer precipitation, however precipitation in the preceding winter is negatively 571 associated with sediment supply; this reflects snow cover persistence which has a buffering 572 effect on the summer ice melt (Figure 12b). Summer temperature and winter precipitation show 573 weak but significant covariation (p < 0.05, not shown here) and were therefore reduced to a single 574 principal component which reflects 66% of the common variance. Their combined effect is 575 reflected in the 'climate' principal component (Figure 12c) which reveals a clear and direct, 576 annual response of sediment delivery to climatic conditions.

Based on Figure 12c we identified 4 periods with characteristic sediment delivery due to climate forcing and system response. As a reference we used the linear correlation for the period 1977-1990 ( $R^2 = 0.54$ , p < 0.002), which seems to reflect the ice melt and sediment transfer reasonably well. In this period gradual climatic change (Figure 6) led to a steady increase in sediment delivery (Figure 9b). The particularly warm and dry 1991 marks the beginning of a few years with relatively high sediment delivery rates which may be related to the close-proximity 583 and retreat of the Bas Glacier, with retreat starting not much earlier (since 1987). Following the 584 particularly wet 1995 and cold 1996, sediment delivery rates dropped, remaining relatively low 585 until the early 2000s. Then a transition took place starting in 2003, a dry and the warmest year in 586 the series, when delivery rates are still relatively low, to relatively high values from 2005 587 onwards (only 2009 is markedly lower). A further increase occurs from 2011, the driest year in the series (see also Figure 6). This evolution suggests that climatologically exceptional years, i.e. 588 589 dry and warm or wet and cold, can lead to an increase or decrease in sediment flux that persists 590 over a longer-than-annual time-scale (Figure 12c). These changes can be associated with changes 591 in geomorphic functioning of the catchment system, which may pertain sediment availability, 592 system connectivity or transport capacity.

593 Figure 12d shows a similar plot of climate forcing on the measured annual water yield for the earlier defined periods. The correlation is less clear and less strong than for sediment supply 594 (for the reference period  $R^2 = 0.21$ , p < 0.02). The periods here are much less distinct, 595 emphasizing that it is probable that the more distinct periods in sediment delivery (Figure 12c) 596 597 relate to differences in sediment access and connectivity. The periods in Figure 12d also show a 598 progressive decrease in water yield with respect to the climate reference. If we take this one step 599 further and take into account the evolution of transport capacity in the form of the SCR, the 600 period since 2005 shows an even clearer increase in the effective sediment supply (Figure 12e). 601 Interestingly, the differences between the reference period and the periods 1990-1994 and 1995-602 2004 are less distinct, suggesting a larger role for transport capacity here in the supply of 603 sediment. The observations from Figure 12e however need to be taken with care as we determine 604 bed load transport capacity just downstream of the intake and the local morphology is affected 605 by purging as mentioned earlier. In general, the results illustrate the complexity and potentially 606 large response of the proglacial margin in terms of sediment access and transfer. The direct 607 response and sediment transfer here also support the observation of large transfer rates 608 downstream of the intake.

609

## 610 **5 Discussion**

#### 611 **5.1 Morphological response to sediment supply and transfer**

612 Widespread aggradation of up to 5 meters was found in the upstream braided reaches of 613 the studied river since the introduction of flow abstraction and sediment purging in the early 614 1960s (Figure 7). An initial morphologic response was identified (in the period 1959-1965) 615 although modest and short-lived, particularly compared to the aggradation that has occurred 616 since the 1990s (Figure 8a). The following phase of morphologic evolution, between 1965 and 617 the mid-1980s, is characterized by relatively low levels of net aggradation (Figure 8a). The river 618 does however respond by channel narrowing, bar stabilization and vegetation encroachment 619 (Figure 8b; 1977 orthoimage reach A in Supporting Information S1; see also Gurnell, 1983), 620 which can be attributed to the reduced frequency of stream perturbing flows since the onset of 621 flow abstraction. These changes appear to reflect the classic model of river response downstream 622 of reservoir dams, where vegetation encroachment often occurs (Church, 1995; Petts & Gurnell 623 2005; Williams & Wolman 1984) even if in this case there was bed aggradation rather than 624 degradation.

625 From the mid-1980s, and notably between 1988 and 1995, significant aggradation took 626 place both through lateral channel expansion and an increase in bed level elevation (Figure 8). A 627 similar transition in the pro-glacial channel upstream from the intake was noted by Warburton 628 (1994). The aggradation was widespread, volumes comparable between the reaches studied, with 629 only the upstream part of reach A receiving considerably larger amounts of sediment. The 630 aggradation was accompanied by the steepening of reach-based bed gradients (Figure 8c) and to 631 a lesser extent gradients in grain size (Figure 2). The greatest aggradation and coarsest sediment 632 is found in the upstream part (or near upstream part in the case of reach A) of each reach. Here, 633 sediment laden purges emerge from steeper and more confined reaches and are attenuated due to 634 a decrease in channel gradient, an increase in flow width and are partially absorbed by the coarse 635 and dry river bed (e.g. Buffington & Tonina 2009). This morphological signature may be typical 636 for flow abstraction where sediment supply is maintained, most likely preventing the natural 637 morphological evolution following from glacier recession and associated vegetation and 638 ecological succession (Klaar et al., 2015). These results emphasize the observations of Wohl et 639 al. (2015) and Gabbud & Lane (2016) that sediment regime needs to be factored into the design 640 of river flows that might improve the ecological status of such streams.

641 The temporal changes in reach aggradation show little evidence for sequential 642 morphologic response of the reaches (Figure 8a, 8b). A lag in sediment transfer and subsequent 643 downstream propagation of a sediment wave (e.g. Nicholas et al., 1995) may be expected in this 644 setting due to reduced transport conditions (Gabbud & Lane, 2016; Lane et al., 2014), but this is 645 not apparent at the temporal resolution, between 4-6 years (with the exception of 1965-1977), of 646 the topographic data. The dynamic river bed and relatively high transfer rates lead to rapid 647 topographic change throughout the reaches in the 1980s (reach B) and more prominently in the 648 early 1990s (reaches A, C and D). This change occurred in more or less direct response to 649 increased sediment supply from higher up in the catchment relative to the (earlier) hydropower-650 reduced sediment transport capacity.

651 Despite there being a major reduction in sediment transport capacity due to flow 652 abstraction (Figure 11b), the morphological evolution and downstream extent of aggradation 653 indicate that sediment may still be transported through the river reaches (Figure 8a). Indeed, the 654 evolution of the sediment supplied to the upstream reach A mirrors the sediment export from 655 downstream reach D (Figure 9a), emphasizing that notwithstanding a substantial amount of 656 sediment that was stored in the reaches since the onset of flow abstraction (Figure 7a), significant 657 sediment transfer to downstream reaches is maintained. This is supported by similar trends in 658 bed level aggradation and lateral expansion of the Borgne near Evolène and confidential gravel 659 mining data from a site downstream. Particularly the finer fractions, if they are not abstracted 660 with the water through the intake system, are expected to be transferred fairly efficiently 661 downstream to the Rhône as flow increases (from tributaries with relatively low sediment loads) 662 and the channel is generally constrained. This would suggest that the introduction of flow 663 abstraction in the 1950s and 1960s (Park, 1980) may have had only a limited impact on 664 decreasing suspended sediment loads in the same period (Loizeau & Dominik, 2000).

In general, our findings show that effects of flow abstraction schemes on downstream
morphology and sediment transfer are distinctly different from those of classical reservoir dams.
This difference can effectively be explained by the (designed) sediment storage capacity of these
systems which leads to different timescales on which sediment flushing may take place: hourly
to daily purging, in the case of sediment traps at flow intakes, or infrequent to no flushing at all,

670 in the case of reservoir dams. This difference in sediment purging frequency together with the

- reduced flow, common to both flow intake and reservoir dam systems, then impacts on the
- 672 natural channel dynamics and riparian ecology. In flow abstraction systems we typically find
- 673 river bed aggradation with sediment throughput and ecological degeneration (Gabbud & Lane,
- 674 2016) as compared with downstream of reservoir dams where river bed degradation with
- 675 sediment starvation and ecosystem stabilization has been observed (e.g. Petts & Gurnell 2005; 676 Williams & Wolmen 1084)
- 676 Williams & Wolman 1984).

# 677 5.2 Climate forcing of sediment delivery

678 Climate has a strong impact on annual sediment delivery to the upper Borgne d'Arolla 679 (Figure 12a-c). High summer temperatures allow for high rates of glacial melt, which 680 simultaneously releases sediment and provides enhanced flow to transport that sediment (Lane et 681 al., 2017). Preceding winter precipitation modulates this effect, where a thick snow cover may 682 delay and shorten the period of summer ice melt. Despite significant flow abstraction, the system 683 downstream of the intake is able to maintain significant sediment transfer (Figure 10b), which 684 means that the climate signal is also propagated through the braided river reaches and potentially 685 further through the basin. Indeed, the climate driven increase in glacial sediment export we found in the late 1980s to early 1990s corresponds with an increase in suspended sediment from the 686 687 Upper Rhône basin as measured near the outlet into Lake Geneva (Costa et al., 2017).

Superimposed on annual variability, climatically exceptional years appear to have an 688 689 indirect impact on a larger time-scale, of a few years to a decade, through forcing changes in 690 upstream sediment dynamics (Figure 12c). The periodic changes shown in Figure 12c may relate 691 to systematic changes in sediment availability and transfer within the proglacial margins of 692 upstream glaciers, as they retreat rapidly. This is a process which initially releases sediment, in 693 the early 1990s, and subsequently feedback processes may reduce that availability (Lane et al., 2017), although this reduction can at least in part be explained through reduced transport 694 695 capacity (Figure 12e). From the mid-2000s there is a marked change in sediment supply, which 696 can be related to supply from the HGdA and the retreating and thinning ice of the Bas Glacier. 697 Initially, HGdA originating sediment that has previously accumulated under the Bas Glacier is 698 released through improved connectivity following glacier recession, which may also explain a 699 possible increase in fine sediment supply (Figure 9c). Later, sediment laden purges may more 700 easily pass directly under the remaining ice. The latter coincides with the introduction of 701 coordinated night-time purging of HGdA and LB intakes to enhance sediment output (Figure 4). 702 Although these mechanisms and their contributions to sediment delivery can only be inferred 703 here, the system evolution illustrates two important elements that may apply to Alpine systems in 704 general. First, sediment connectivity in expanding pro-glacial areas is a key factor in the storage 705 and export of sediment in these dynamic environments. Second, climate forcing and pro-glacial 706 response may have a very large impact on sediment delivery, which in turn affects downstream 707 sediment transfer and river bed morphology. Considering changes in local climate, sediment 708 availability/release and connectivity (e.g. Lane et al., 2017), system evolution may vary strongly 709 (both in time and between systems), making predictions with respect to the impacts of future 710 climate change uncertain. On the long term however, climate warming and retreating glaciers 711 will inevitably cause the decline of sediment yield from these catchments (e.g. Warburton, 1999). 712

## 713 **5.3 Human forcing of system sensitivity**

714 The introduction of flow abstraction has reduced discharge and bed load transport 715 capacity in the upper Borgne d'Arolla by an estimated 95% (Figure 11a). Whilst transport 716 capacity is reduced by 1-2 orders of magnitude, it is only reduced to close to the rates of 717 sediment supply, with resulting SCR values that are not much larger than 1. This is not surprising 718 as both the sediment supply and the residual sediment transport capacity are a function of the 719 number of purges; flow (discharge and duration) are required to empty the sediment traps during 720 purges. SCR values near 1 allow the sediment throughput through the system to be at least partly 721 maintained. This is consistent with the rate and downstream gradient of river bed aggradation 722 (Figure 8) and the significant sediment transfer that was maintained (Figure 10b).

723 The tendency for SCR values to be close to 1 due to flow abstraction means that the 724 system has become highly sensitive to small changes in either sediment supply or sediment 725 transport capacity. Due to this sensitivity, phases of external forcing, whether due to flow 726 abstraction/regulation or climate change, can be distinguished in the morphological evolution of 727 the Borgne. Initially, although little change occurred in the early stages following the onset of 728 flow abstraction, the system dynamics and capacity to respond to external forcing did change. 729 This only became apparent when a climate driven increase in sediment supply in the late 1980s 730 and early 1990s led to an extensive aggradation (although there was still a large sediment 731 transfer component). Similarly, since the early 2000s, there has been a general decrease in the 732 capacity of the flow intake system to abstract high discharges (Figure 10a), leading to a sharp 733 increase peak in flows associated with periods of (near) system surcharge (Figure 9c) since 2011. 734 These are typically associated with high melt rates from glaciated basins during increasingly 735 warm summers (Birsan et al., 2005) and high amounts of precipitation from convective storms 736 (Giorgi et al., 2016). The resulting high flows from the LB catchment, in exceptional cases 737 combined with flows from upstream catchments, are conveyed down the Borgne as longer 738 duration, peak flow events. They are characterized by a high transport capacity and a relatively 739 low sediment supply as compared to purges due to sediment trap flushing, where the use of water 740 to purge the sediment traps is minimized for economic reasons. Further, the coordination of 741 purges at the basin scale has led to routine night-time purges since 2008, where the LB intake is 742 opened so as to allow purges from the upstream basins to pass through, resulting in elevated 743 purges. Both of these changes are reflected in Figure 11a, where since 2003 there is a rising 744 transport capacity, both in absolute and relative sense (with respect to the also increasing 745 sediment supply), and an SCR that evolves towards 1 (Figure 11b). These high flow events can 746 entrain sediment deposited downstream of the intake and potentially break armour layers, 747 contributing to the substantial erosion of the upstream section of reach A (Figure 8a) and net 748 export of sediment since 2010 (Figure 10b). In general, the system has become sensitive to high 749 magnitude events, whether due to climate (e.g. high melt, convective storms), upstream events 750 (e.g. glacial outburst floods) or human flow management (flow releases).

The key point here is that as flow abstraction has generally shifted the SCR towards one (Figure 11b), the system has become sensitive to relatively small changes in both climatically driven changes in sediment supply and how abstraction is managed, which can have major impacts on sediment transfer and river aggradation/degradation. This is exacerbated by legacy sediment (James, 2013), the accumulation of poorly sorted sediment downstream of the intakes, despite significant sediment throughput. The system sensitivity emphasizes the relevance of purge management, particularly the relative timing of purges from different intakes such that

- they coincide, optimizing sediment transfer and impacting morphological change. The capacity
- to which the hydropower system can be used to accommodate climate-driven upstream
- hydrological variability is however limited to the water intake and transfer capacity of the
- scheme, which effectively introduces a discharge threshold. The scheme was designed in a
- 762 period of markedly cooler climate and lower water yield in the 1950s, which explains why it is 763 now filled to capacity more frequently, leading to the need to reduce or stop water abstraction a
- now filled to capacity more frequently, leading to the need to reduce or stop water abstraction at the LB intake during peak flows. Thus, generalizing how flow abstraction in mountain
- rot in the LB make during peak nows. Thus, generalizing now now abstraction in mountain rot environments impacts downstream sediment delivery and river morphodynamics needs to
- 766 consider not only the direct impacts of abstraction and of climatic variability, but also how the
- 767 water management system itself is coupled to that climatic variability.
- 768

# 769 6 Conclusions

770 In this study we used decadal scale river bed topographic change and hydropower 771 impacted flow and sediment supply to analyze the evolution of river morphology and sediment 772 transfer of an Alpine stream. The initial morphologic response to the onset of flow abstraction in 773 1963 was modest, generally associated with channel narrowing and vegetation encroachment. 774 Major, widespread aggradation did not commence until the onset of glacier retreat in the late 775 1980s and the notably warm (and dry) period in the early 1990s. This aggradation coincided with 776 a phase of increased sediment supply, although aggradation accounts for only circa 25% of 777 supplied material and the remainder was transferred through the reaches downstream. Since the 778 mid-2000s, a second phase of increased sediment supply was accompanied by an increased 779 frequency of (near) system surcharge events due to insufficient intake system capacity, which led 780 to the net export of sediment from the braided reaches. Based on the system evolution we can 781 summarize the effects of flow abstraction and climate change on Alpine fluvial sediment 782 transfer.

783 First, flow abstraction schemes for hydropower differ from classical reservoir dam 784 schemes in that they ensure river sediment throughput through intermittent purges. Although 785 flow abstraction may lead to a reduction in bed load transport capacity by 1-2 orders of 786 magnitude, residual transport rates may still be sufficient to maintain significant sediment 787 transfer. However, the sediment transfer rates and system morphological evolution then become 788 much more sensitive both to internal river bed morphodynamics and external forcing 789 mechanisms, whether natural or human induced. Because sediment transfer is largely 790 maintained, the downstream morphological evolution of the stream is distinctly different from 791 that downstream of reservoir dams. With low prevailing sediment supply, river bank stabilization 792 and vegetation development encroachment occurs due to infrequent river perturbations and low 793 flow competence. When this is met by a sufficiently high rate of sediment, aggradation will 794 occur, leading to the removal or burial of vegetation. Maintained sediment supply and loss of 795 transport capacity due to flow abstraction typically lead to a decreasing gradient in bed level rise 796 and grain size.

797 Second, there is a climate forcing of river morphological response where rapid warming 798 and associated glacial retreat lead to increased sediment availability and an adapting paraglacial 799 landscape in which sediment may be stored and/or transferred. Climatic conditions directly 800 impact annual sediment export not only through elevated summer temperatures, where ice melt 801 leads to sediment release and enhanced transport conditions, but indirectly also through preceding winter snowfall, which may persist into the summer and buffer the effect of ice melt.
 Climate may also force changes in the pro-glacial margin, notably through sediment connectivity

and access of sediment sources related to glacier retreat.

805 Third, human forcing and climate forcing may be strongly coupled, where the climate 806 variability experienced by the river is conditioned by design and operation of the hydropower 807 system. The capacity of flow releases from the intake to impact downstream sediment transfer is 808 related to their nature, in this case individual sediment trap purges versus basin-wide coordinated 809 purges and most notably (near) system surcharge events. The increasing occurrence of the latter 810 is directly related to climate warming which leads to higher glacial melt rates while the capacity of the hydropower system, designed in a cooler period with lower water yield, is insufficient to 811 812 accommodate these. This requires the prolonged opening of intakes (as compared to individual 813 purges), allowing the climate driven peak flows to impact downstream sediment transfer and 814 morphology. Thus besides the direct effect on upstream sediment delivery, climate change may 815 have a profound impact on the operation of the hydropower system and hence also an indirect 816 effect on downstream sediment dynamics. The individual effects of (human induced) climate forcing and direct human forcing through flow abstraction cannot be readily distinguished 817 818 because the latter evolves continually in response to the former.

819 Alpine river basins are very sensitive to impacts of climate change. While their response 820 in terms of sediment production and storage dynamics may be complex, we have shown that this 821 may result in both rapid and strong increases in sediment delivery rates from pro-glacial margins. 822 The sediment transport capacity and dynamics of subsequent mountain streams is such that, even 823 when heavily impacted by flow regulation or even abstraction, they may transfer significant 824 amounts of sediment down to main rivers. This implies that the potential impacts on 825 infrastructure and ecology are not restricted to mountain headwaters but may affect the wider 826 river basin and emphasizes the importance of sediment regime in river management.

827

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1064

#### 1065 Tables

1066

Year	Scale	Ground resolution	RMSE bundle adjustment
	[1 :x]	[m]	[m]
1959	23200	0.49	±0.34
1965	21200	0.45	±0.45
1977	19600	0.27	±0.20
1983	19600	0.27	±0.23
1988	20900	0.29	±0.21
1995	26800	0.38	±0.32
1999	27000	0.38	±0.44
2005	24700	0.35	±0.38
2010*	-	0.50	-
2014	13900	0.09	±0.12

1067 Table 1 Summary of historical aerial photographs, processed using SfM photogrammetric methods, and

1068 derived bundle adjustment quality (from Bakker & Lane, 2017). \*ALTI3D laser scan data SwissTopo.

1069

#### 1070 Figure captions

1071 Figure 1 a) Overview of Val d'Hérens showing the Borgne River and the downstream part of the Grande

1072 Dixence hydropower network; b) The pro-glacial river reach of the Borgne d'Arolla is fed by the Bas

1073 Glacier d'Arolla (1987 maximum extent indicated) and purges from the Haut Glacier d'Arolla (HGdA),

1074 Upper Bertol (UB) and Vuibé (VU) intakes. The Lower Bertol (LB) intake lies just upstream of reach A.
 1075 The cross section (CS) is used for bed load transport capacity calculations; c) Reach B receives flow from

1075 The cross section (CS) is used for bed load transport capacity calculations; c) Reach B receives flow from 1076 reach A that has passed through a narrow, incised reach and from the Tsijiore Nouve (TN) tributary.

1077 Reaches C and D receive flow from reach B that has passed through another narrow, incised reach.

1077 Images are from 2009 (Google Earth); a scale indication is included in a) but decreases in downstream

1079 direction due to oblique imagery.

1080 Figure 2 Long profile downstream from the Lower Bertol (LB) intake with average gradient and grain

1081 size  $D_{50}$  and  $D_{84}$  based on the 2014 DEM and orthoimage (a 200m averaging window was applied to

1082 emphasize reach-scale trends). The cross section where bed load transport capacity calculations were

1083 performed is indicated.

Figure 3 a) Intake discharge and estimated total discharge for two purges; b) Break in slope in the
 frequency distribution of discharge drawdowns; year 2006.

Figure 4 Discharge relation between Haut Glacier d'Arolla (HGdA) and Lower Bertol (LB) intakes during coincident purges (purges HGdA) and non-coincident purges (purges LB); period 2008-2013.

1088 Figure 5 Cumulative distribution of the water volume releases as identified from 15-minute data in 201-

Figure 5 Cumulative distribution of the water volume releases as identified from 15-minute data in 2014 (197 purges in total) and minute data in 2015 (May-November 2015, 313 purges in total), which forms

1090 the basis to distinguish between sand trap purges, gravel trap purges and (near) system surcharge events.

1091 Figure 6 a) Annual and summer (July – September) temperature; b) annual and winter (January – May)

1092 precipitation. Values are spatially interpolated for the Bas Glacier based on Frei (2014) and given as

1093 deviation from the mean of the whole period.

1094 Figure 7 DEM of difference and river bed extent for the period 1959-2014 (background is a hillshade of

the 2014 DEM). \*The theoretical limit of detection is given but the actual values vary based on the

1096 constituent orthoimage entropies. Arrows indicate flow direction.

- 1097 Figure 8 Long profiles showing channel change with respect to 1959 in: a) volume; b) sedimentation
- 1098 width; c) elevation. A 200 m averaging window was applied to emphasize reach-scale trends. Note that
- 1099 for a) and c) only values that exceed the detection limit are shown.
- 1100 Figure 9 a) Annual water yield at the LB intake and the residual water yield (mainly purges) from the
- 1101 upstream HGdA intake note that the latter is a factor 10 smaller; b) calculated (range in) sediment
- supply at the LB intake and HGdA intake and; (c) identified purges per type at the LB intake: sand trap,
- 1103 gravel trap, night-time purge (gravel trap), and (near) system surcharge events. The uncertainty range in
- 1104 LB water yield due to system surcharge is too small to be visible in a). The uncertainties in purge
- 1105 identification and sediment volume are reflected in the range in supply values in b). Data from HGdA is
- 1106 based on Lane et al. (2017).
- 1107 Figure 10 a) Sediment transfer rate as absolute value and; b) sediment transfer as percentage: sediment
- 1108 exported from the reach divided by sediment supply to the reach. The annual values are averaged for
- 1109 periods between the available aerial photographs. The given ranges reflect uncertainties in sediment
- 1110 supply and, in the case of reaches B, C, D, uncertainties regarding the possible sediment transfer from the
- 1111 TN intake; uncertainties in reach storage are negligible.
- 1112 Figure 11 a) Discharge reduction due to flow intake for total discharge and discharges exceeded for
- durations ranging from 2 days to 1 hour per year; b) Supply-Capacity Ratio (SCR) for residual flow and
- total flow: The 'morphology range' reflects the calculated range in transport capacity related to (temporal)
- changes in cross section with a constant (maximum) sediment supply (see Supporting Information S6);
- where the year and applied cross section correspond, the SCR values are plotted as a point (temporal
- 1117 changes in grain size are not accounted for). The 'supply range' reflects the uncertainty in sediment 1118 supply with the transport capacity based on the 2014 cross section. 'Flow management' reflects the effect
- 1119 of coinciding purges from HGdA and LB intakes on transport capacity based on the 2014 cross section.
- 1120 The SCR for total flow includes the uncertainty in sediment supply and the transport capacity based on
- 1121 the 2014 cross section.
- 1122 Figure 12 Climate forcing of sediment supply and discharge; a) correlation summer temperature and
- sediment supply; b) correlation winter precipitation and sediment supply. Correlation 'climate' principal
- component with: c) sediment supply; d) water yield (2015 is not included due to the incomplete discharge
- series) and; e) Supply-Capacity Ratio (SCR), where the bed load transport capacity of the total discharge
- downstream of the intake is used. Exceptional years are marked (e.g. 11 is 2011) and the regression line,
- 1127  $R^2$ , p value and 80 percentiles are based on the reference period 1977-1990.

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.



Figure 11.



Figure 12.

