

1 **I(*nsp1*)ecting SARS-CoV-2 - ribosome interactions**

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6 **Abstract**

7 While SARS-CoV-2 is causing modern human history's most serious health crisis
8 and upending our way of life, clinical and basic research on the virus is advancing
9 rapidly, leading to fascinating discoveries. Two studies have revealed how the viral
10 virulence factor, nonstructural protein 1 (Nsp1), binds human ribosomes to inhibit
11 host cell translation. Here, we examine the main conclusions on the molecular
12 activity of Nsp1 and its role in suppressing innate immune responses. We discuss
13 different scenarios potentially explaining how the viral RNA can bypass its own
14 translation blockage and speculate on the suitability of Nsp1 as a therapeutic
15 target.

16 **Introduction**

17 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) causes the disease COVID-
18 19 that has led to one of the most serious health crises in modern history¹. First identified in
19 Wuhan, China, the virus subsequently spread around the world and was declared a pandemic
20 in March 2020². At the time of writing (December 2020), SARS-CoV-2 has worldwide killed more
21 than 1.5 million people and infected almost 70 million according to the World Health
22 Organization³. Shortly after China reported its first confirmed cases of infection, the causative
23 agent of COVID-19 was identified as a member of the Sarbecovirus subgenus of the genus
24 Betacoronavirus^{4,5}, which also includes two already known causative agents of epidemics:
25 Severe Acute Respiratory Syndrome Coronavirus (SARS-CoV, or SARS-CoV-1) and Middle
26 East Respiratory Syndrome Coronavirus (MERS-CoV)⁶. Although SARS-CoV-2 shares part of
27 its genome with SARS-CoV-1 and MERS-CoV (approximately 80% and 50%, respectively)^{5,7}, it
28 has a higher rate of spread and its symptoms develop after a longer incubation period, making
29 it a major threat to global health.

30 SARS-CoV-2 is an enveloped positive-stranded RNA virus⁵. Its 30 kb genome comprises a
31 5'-cap and 5' untranslated region (5' UTR), followed by 10 individual protein-coding open
32 reading frames (ORFs), and terminates with a 3' UTR that is polyadenylated (Figure 1a). The 3'
33 portion of the genome encodes several typical viral structural proteins, such as spike (S),
34 envelope (E), membrane (M) and nucleocapsid (N) proteins, whereas in the genome's 5' portion
35 two large overlapping ORFs of gene 1 encode the ORF1a/b polyprotein, from which several
36 nonstructural proteins (Nsps) arise through proteolytic cleavage. Among the 16 nonstructural
37 proteins (Nsp1-16), Nsp1 is encoded at the very 5' end of ORF1a (Figure 1a) and is the first
38 coronaviral protein produced in infected cells⁸. Previous work on SARS-CoV-1 reported several
39 activities for Nsp1: it can suppress host translation by interacting with the ribosomal 40S subunit
40 and inhibiting 80S formation^{9,10}, and it can induce mRNA cleavage and decay^{11,12}, leading to an
41 inhibition of cell-intrinsic innate immune responses^{13,14}. Of note, the mechanisms by which Nsp1
42 proteins operate may vary across beta-CoVs¹⁵: for instance, it has been reported that MERS-

43 CoV Nsp1 does not stably bind the ribosomal 40S subunit and - in line with its intracellular
44 distribution that is both cytoplasmic and nuclear - that it possesses an RNA degradation activity
45 that differs from that of the exclusively cytoplasmic SARS-CoV-1 Nsp1¹⁶. Whether and how
46 SARS-CoV-2 Nsp1 can inhibit translation has remained poorly understood until recently, with
47 two studies by Schubert *et al.*¹⁷ and Thoms *et al.*¹⁸ now providing insights into how Nsp1 binds
48 to the 40S subunit of the ribosome and blocks the mRNA entry channel. Using cryo-electron
49 microscopy, the two studies highlight areas of interaction between Nsp1 and the ribosome and
50 show that the 5' UTR of the viral transcript enhances its translation. Notably, the inhibition by
51 Nsp1 has direct effects on the host immune response, in line with previous work⁹.

52 In this review, we describe how the recent structural work^{17,18} has improved our
53 understanding of SARS-CoV-2 Nsp1-mediated translation inhibition. We also discuss which
54 mechanisms may be responsible to sustain viral protein translation even under conditions when
55 Nsp1 inhibits the ribosome. Finally, because Nsp1 is essential for efficient SARS-CoV-2
56 replication, understanding the molecular mechanisms that underlie its activity may be relevant
57 for the development of effective therapeutic treatments and vaccines. We highlight how Nsp1
58 inhibition would likely impact host immune responses and inhibit viral replication.

59 **Nsp1 blocks the mRNA entry channel**

60 Nsp1 from SARS-CoV-2 has 84% amino acid sequence identity with its SARS-CoV-1 ortholog.
61 Such high conservation suggests common biological properties and functions. For SARS-CoV-
62 1, Nsp1 can lead to an almost complete halt in host translation (and, thus, antiviral defence
63 mechanisms that depend on *de novo* gene expression), and the protein interacts with the human
64 40S ribosomal subunit with the help of a Lys164-His165 (K164, H165) dipeptide motif¹⁴. These
65 residues are conserved in SARS-CoV-2 (Figure 1a), arguing for functional orthology. How,
66 precisely, does SARS-CoV-2 Nsp1 bind to the ribosome, and what is the mechanism underlying
67 translational inhibition? To address these questions, Schubert *et al.*¹⁷ and Thoms *et al.*¹⁸
68 followed similar strategies: first, they used cryo-electron microscopy to determine the structure

69 of Nsp1 bound to host ribosomal complexes. Second, they designed cellular and biochemical
70 experiments to investigate the main hypotheses on how Nsp1 affects translation. While the
71 central conclusions from both studies are overlapping and complementary, the actual Nsp1-
72 ribosome complexes that they report on are at first sight surprisingly diverse. The main reason
73 likely lies in different methodological approaches. Briefly, Schubert *et al.* incubated Nsp1 that
74 was recombinantly produced in bacteria, with human embryonic kidney (HEK) 293E cell
75 extracts, and purified the resulting Nsp1-ribosomal complexes on sucrose gradients¹⁷. The
76 structures of two main complexes were solved at atomic resolution, the first corresponding to
77 Nsp1 with a 40S ribosomal subunit and the second together with an 80S ribosome. The 40S
78 subunit-containing structure showed all features of a 43S pre-initiation complex (PIC) (i.e., it
79 contained the eIF3-core, eIF1 and initiator tRNA-loaded eIF2 proteins) with Nsp1 occupying the
80 mRNA entrance channel. The 80S structure corresponded to a translationally inactive ribosome
81 with an E-site (exit site) tRNA, but lacking mRNA; again, the mRNA entrance channel was
82 blocked by Nsp1. The main strategy pursued by Thoms *et al.*¹⁸ was based on expressing tagged
83 Nsp1 in HEK293T cells, followed by Nsp1 affinity purification to isolate native complexes from
84 the cell lysates. The structures of nine distinct Nsp1-containing 40S and 80S complexes were
85 solved. Among the five different 40S complexes, two were in a PIC state, similar to that reported
86 by Schubert *et al.*¹⁷, whereas three others did not correspond to initiation intermediates. Briefly,
87 two of them contained a ribosomal biogenesis factor, TSR1, indicating a “pre-40S state”, while
88 the third was a simple Nsp1-40S association. Of the four distinct Nsp1-80S complexes, two
89 contained an additional protein (CCDC124) that occupied the A-site (aminoacyl site), possibly
90 indicating a ribosome recovery/recycling state. In the two other 80S complexes, a protein that
91 has previously been implicated in pre-rRNA processing and antiviral responses, termed LYAR,
92 occupied the A-site. It is unclear whether these rather exotic complexes and conformations had
93 been induced by the presence of Nsp1, or whether Nsp1 had trapped natural intermediates that
94 thus became purifiable. Moreover, it is unknown what relevance these complexes have in
95 SARS-CoV-2 infected cells.

96 In all the above complexes, Nsp1 obstructed the mRNA entry channel, consistent with
97 translational inactivity. How, precisely, is mRNA entry blocked by Nsp1? The two studies
98 uncovered the molecular basis of a tight interaction that relies on the C-terminal region of Nsp1,
99 which folds into two helices that insert into the mRNA entrance channel (Figure 1b). The first C-
100 terminal helix (residues 153-160) makes hydrophobic interactions with 40S ribosomal proteins
101 uS3 and uS5, and the second C-terminal helix (residues 166-178) interacts with ribosomal
102 protein eS30 and helix h18 of the 18S rRNA. In between the two helices, the conserved KH
103 dipeptide (K164 and H165) forms critical interactions with h18 that are based on H165 stacking
104 between two uridines of 18S rRNA (U607 and U630), and electrostatic interactions between
105 K164 and the phosphate backbone of rRNA bases G625 and U630.

106 In summary, the cryo-EM structures give detailed insights into how Nsp1 uses its C-terminus
107 to cling onto the mRNA entry channel, thus precluding transcript recruitment. Of note, this
108 mechanism may be particular to SARS-CoV-2 and its closest relatives, given that the Nsp1 C-
109 terminus is shorter and less conserved in more distantly related viruses, including MERS-CoV
110 (Figure 1a). Two obvious questions arise from the structural data. First: what is the function of
111 the protein's N-terminal domain? The cryo-EM data of both studies indicate that the N-terminus
112 adopts a globular shape, flexibly connected to the C-terminus - yet its precise structure remains
113 undefined. When the Nsp1 N-terminus is replaced by an unrelated protein sequence, this fusion
114 still inhibits translation in *in vitro* assays, indicating that this part of Nsp1 is not required for
115 translation inhibition *per se*¹⁷. The N-terminus may thus act in other processes, possibly in
116 analogy to Nsp1 from SARS-CoV-1 that can regulate mRNA stability and suppress host immune
117 functions^{13,14}. The second intriguing question is: how does the virus ensure translation of its own
118 RNA? We will discuss various hypotheses in the next section.

119 **Viral gene expression needs to bypass global translation inhibition**

120 If Nsp1 binds with high affinity to the ribosome to inhibit translation in a potentially global fashion,
121 an obvious paradox arises: how can the virus produce the proteins necessary for its own

122 replication? The above studies^{17,18}, together with other recent publications, have given rise to
123 several hypotheses on how viral protein translation may be achieved (Figure 2).

124 The viral 5' UTR overrides the translation block (Figure 2b). Schubert *et al.* demonstrate that
125 the highly structured viral 5' UTR is likely critical to overcome the Nsp1-mediated translation
126 block¹⁷. In *in vitro* translation assays, fivefold more protein was produced from a luciferase
127 reporter RNA carrying the viral 5' UTR as compared to an identical amount of reporter RNA
128 without the viral 5' UTR. Nevertheless, Nsp1 inhibited the translation of both reporters in a
129 similar, dose-dependent fashion. This finding suggests that at Nsp1 expression levels that do
130 not shut down translation completely, the viral transcript will have a kinetic advantage over
131 cellular transcripts to be recruited for translation. Two recent studies^{19,20} go further in
132 characterizing the mechanisms involved in lifting the translation block so that viral protein
133 biogenesis can proceed. Analogous to previous observations that had been made using SARS-
134 CoV-1 Nsp1²¹, Shi *et al.*, in their non-peer-reviewed publication available as a preprint,
135 demonstrate that the N-terminal domain of SARS-CoV-2 Nsp1 interacts with the viral 5' UTR¹⁹.
136 Moreover, when the physical distance between the Nsp1 C-terminus (that anchors the protein
137 on the 40S subunit, as described above) and the N-terminus (that interacts with the 5' UTR¹⁹)
138 is increased through a linker, the viral 5' UTR-containing RNA loses the ability to escape
139 translational inhibition. While the precise molecular details of these observations remain to be
140 elucidated, a short stem loop at the very 5' end of the viral UTR, termed SL1, appears to play a
141 critical role. SL1 is necessary but not sufficient to bypass the inhibition. Shi *et al.*¹⁹ speculate
142 that the study by Schubert *et al.*¹⁷ had not detected this mechanism because the reporter
143 constructs did not contain the short SL1 sequence - an attractive hypothesis that, however, will
144 require dedicated further experiments for validation. In analogy to the SARS-CoV-1 findings,
145 one may nevertheless speculate that the SL1-Nsp1 interaction would lead to the recruitment of
146 host factors which enhance translation, and/or induce conformational changes within Nsp1
147 which induce its detachment from 40S.

148 Host mRNA degradation through Nsp1. In addition to the role in blocking translation, it is quite
149 possible that SARS-CoV-2 Nsp1 also induces the degradation of host mRNA molecules. In so
150 doing, the ratio of viral to host mRNA would be increased, and the production of viral proteins
151 would be favoured. Of note, this hypothesis lacks direct evidence for the moment and is an
152 extrapolation from findings in SARS-CoV-1 and MERS-CoV, where the corresponding Nsp1
153 orthologs possess such (endo)nucleolytic activity directed towards host mRNAs^{9,12,22}. Cleaved
154 host mRNAs lack their 5' cap and are not only translationally inactive, but susceptible to full
155 decay through the cellular degradation machinery. Notably, Lokugamage *et al.*¹⁰ were able to
156 identify a SARS-CoV-1 Nsp1 mutant protein (R124A, K125A) lacking mRNA cleavage activity.
157 These amino acids are conserved in SARS-CoV-2 and the analogous mutant Nsp1 could
158 represent an ideal starting point to explore whether a similar mRNA decay activity is associated
159 with Nsp1 in this virus as well. For the moment, however, direct biochemical evidence of an
160 intrinsic mRNA cleavage activity of SARS-CoV-2 Nsp1 is still lacking.

161 Nsp1 autoregulation. Even though the virus shifts translational capacity from host mRNA to its
162 own RNA, a complete switch is (teleologically speaking) likely also not in the viral interest. In
163 particular, it would be plausible that the virus has optimized the system in a way that host
164 proteins necessary for viral replication can still be produced. First, one should consider that
165 every mammalian cell harbours several million ribosomes²³; it is unclear whether and with what
166 kinetics during viral infection Nsp1 abundance can reach similar concentrations at all. Moreover,
167 if viral mRNAs accumulate to very high levels - as suggested by the non-peer-reviewed study
168 available as a preprint by Puray-Chavez *et al.*²⁴, who found that in Vero E6 cells more than 80%
169 of RNA-seq reads were of viral origin 48h post-infection - even relatively inefficient translation
170 may be sufficient for viral reproduction. Finally, Schubert *et al.*¹⁷ provide some evidence for Nsp1
171 autoregulation, which could contribute to establishing the optimal balance between a host
172 translation inhibitory and permissive situation. Briefly, by transfecting equal amounts of Nsp1-
173 encoding plasmid DNA into HeLa cells, Schubert *et al.* observed a lower level of Nsp1 protein in
174 cells transfected with wild-type Nsp1 than in cells transfected with Nsp1 that was mutated at its

175 KH motif, potentially due to negative feedback of functional Nsp1 on its own translation. Further
176 evidence will be required to understand the molecular basis and physiological relevance of the
177 proposed negative feedback mechanism.

178 **Translational inhibition engenders a kinetic advantage over the host immune response**

179 A critical host response to viral infection is the activation of cell-intrinsic innate immune
180 responses. RIG-I-like receptors (RLRs) are among the main actors in the detection of viral RNA
181 and coronavirus infection²⁵. Once activated, the RLR signalling cascade induces the expression
182 of type I interferons (IFNs), which trigger innate antiviral immune responses aimed at
183 suppressing viral replication and spreading at an early stage. These mechanisms are well
184 established to occur in SARS-CoV-1 infection^{14,26}, yet SARS-CoV-2 may elicit them only poorly.
185 Thoms *et al.*¹⁸ investigated a potential involvement of Nsp1 in their suppression. They expressed
186 a wild-type or mutant version (K164A, H165A; defective in 40S interaction) of Nsp1 in HEK293T
187 cells and then activated the cellular RLR pathway. Wild-type Nsp1, but not the mutant protein,
188 virtually shut down the translation of transcripts induced by IFN- β . Importantly, despite the strong
189 reduction in translated proteins, the corresponding mRNA levels were not affected. It would
190 therefore seem that the effect of Nsp1 is restricted to translational inhibition with little, if any,
191 direct effect on immune response gene transcription and mRNA stability. It will be interesting to
192 evaluate whether this effect on innate immune response gene expression is a reflection of the
193 general block of translation, or whether there is additional specificity for this class of transcripts.
194 Finally, it will be important to evaluate to what extent we can extrapolate from such experiments
195 in one specific, transformed cell line (HEK293T) that expresses Nsp1 in the absence of other
196 coronaviral factors (but contains adenoviral E1a and E1b proteins), to a real SARS-CoV-2
197 infection. After all, the latter is associated with a robust, though delayed antiviral response,
198 mediated by two RIG-I-like receptors, MDA5 and LGP2^{27,28}. Nsp1 may be responsible for the
199 observed delay, either through the translational inhibition it exerts, or through other, additional
200 mechanisms for which evidence is mounting. Several viral proteins (including Nsp1, when

201 overexpressed) thus inhibit IFN induction by suppressing the activation of STAT1/2 transcription
202 factors, which are critical effectors of the cascade^{13,29-32}. Taken together, it is plausible that the
203 multilevel interaction with the interferon response system will give SARS-CoV-2 a kinetic
204 advantage over an immune response that is normally rapidly mounted. This characteristic
205 appears to be one of the reasons why COVID-19 differs from SARS-CoV-1 and MERS
206 infections²², and Nsp1 seems to play a specific, critical role.

207 **Nsp1 - Achilles' heel of SARS-CoV-2?**

208 Given the important functions of Nsp1 that have been revealed, could this protein actually
209 constitute a vulnerability of the virus relevant for the development of a future drug or vaccine?
210 Conceptually, a drug designed to target Nsp1 would need to prevent its binding to the ribosome
211 without interfering with ribosomal function, thus allowing the cellular defence systems to mount
212 a response. As recently shown by Xia *et al.*²⁹, the development of small molecule drugs targeting
213 ribosomal RNAs could be a possible strategy to disrupt the interaction between Nsp1 and 18S
214 rRNA. Another promising strategy could lie in targeting the 5' viral leader; indeed, if the first loop
215 of the stem (SL1) is sufficient to prevent the suppression of translation during the expression of
216 Nsp1, as suggested by Banerjee *et al.*²⁰, it might be possible to design small molecules or
217 antisense oligonucleotides that bind specifically to the relevant part of the RNA.

218 From a public health perspective, the most important approach to combat the devastating
219 infectious impact of SARS-CoV-2 lies in the development of vaccines. We are seeing significant
220 progress at the moment in this regard, with several efficient vaccines on the market.
221 Nevertheless, given that vaccination will need to stop the replication of the virus globally, and
222 that vaccine escaper variants will likely emerge over time, it will remain of importance to develop
223 additional vaccines and treatments to cure infected individuals as well. Vaccines are typically
224 designed using proteins that are on the surface of the viral particles. Nevertheless, if Nsp1 is as
225 essential as suggested for an infection, and likely does not easily tolerate mutations that would
226 help evade immune system recognition, the design of a vaccine based on this protein could be

227 an interesting complementary strategy. Also, an attenuated virus, e.g. lacking the essential KH
228 motif that is critical for ribosome binding and translation inhibition, could potentially be
229 envisioned as it would enable an effective host immune response in addition to generating the
230 immune memory essential to combat new SARS-CoV-2 infections.

231 **Conclusion**

232 Historically, many important discoveries in molecular biology have been made through the study
233 of viruses. The fascinating structural work on Nsp1-ribosome complexes is enlightening for our
234 fundamental understanding of cellular processes and their hijacking during viral attack.
235 Although SARS-CoV-2 is becoming better understood day by day, much research is still
236 needed, in particular to understand how the various effects discussed above in isolation are
237 integrated together (e.g. those on translation, with those presumably acting on host mRNA
238 abundance), thus leading to the reprogramming of the host cell gene expression landscape.
239 Surprising (and sometimes contradictory) findings show us that we are far from fully
240 understanding the complexity of the system. For instance, a recent study by Finkel *et al.*³³ has
241 shown that viral mRNAs are not translated more efficiently than host mRNAs, in apparent
242 contradiction to some of the data discussed above. Instead, the authors propose that it is simply
243 the high levels of viral transcripts that explain how viral translation dominates host translation.
244 Also, a detailed time-course study of the transcriptome of SARS-CoV-2-infected cells would be
245 revealing to identify which host genes are directly impacted by the virus. A first step in this
246 direction is reported in a non-peer-reviewed study that is available as a preprint, by Puray-
247 Chavez *et al.*²⁴, who use ribosome profiling in SARS-CoV-2 infected cells to follow temporal
248 changes at the viral and host RNA and translation level, allowing insights into how translational
249 regulation impacts SARS-CoV-2 replication and host cell survival. Undoubtedly, many
250 additional, complementary studies will appear in the near future. They will help us to understand
251 the biology of SARS-CoV-2, which is directly relevant to medical progress that is needed to
252 combat the current pandemic and to prepare for future pandemics. Finally, given the wealth of

253 high-quality fundamental research on a virus that was first described only some months ago,
254 one of the most important take-home-messages may be that modern science can progress at
255 an extraordinary pace, especially when the scientific community pulls together.

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264 The first draft was written by MS, TC, and DR (“Write a Review” course, supervision: DG). DG
265 further adapted the manuscript text and the figures. All authors reviewed the final manuscript.

266 **Competing interests statement**

267 The authors declare no competing interests.

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346 **Figure legends**

347 **Figure 1. Nsp1 interaction with the ribosome.**

348 **a.** Schematic of SARS-CoV-2 genome organization with the whole genome depicted at the top,
349 Nsp1 coding sequence in the middle and a sequence alignment of Nsp1 C-terminal domain of
350 SARS-CoV-2, SARS-CoV-1 and MERS-CoV in the lower part of the panel. The two alpha
351 helices and the KH motif are marked by bars and an arrow, respectively. Colour coding of amino
352 acids corresponds to default settings of the ClustalX alignment tool.

353 **b.** Cartoon depicting the interaction between Nsp1 and the 40S ribosomal subunit, as revealed
354 by the structural data. The C-terminal helices anchor Nsp1 in the mRNA entry channel, thereby
355 blocking access for host transcripts (schematically represented in grey). The globular N-
356 terminus is not sufficiently resolved in the structures to be able to assign a clear position and
357 function.

358 **Figure 2. Nsp1 impacts host gene expression by several mechanisms.**

359 Schematic representation of the main activities and mechanisms through which Nsp1 is thought
360 to act in order to favour gene expression to viral transcripts, without shutting down mRNA
361 translation completely.

362 **a.** Nsp1 may have a role in shifting the balance between viral and cellular RNAs in its favour,
363 by inducing the cleavage/decapping of host mRNAs, which leads to their degradation by cellular
364 nucleases.

365 **b.** The viral 5' UTR (and in particular stem loop SL1) is likely a critical factor in directing
366 ribosomes to the viral transcripts and overriding the translation block. Moreover, it has also been
367 proposed that through Nsp1 autoregulation a total block of host mRNA translation may be
368 prevented.