

Improvement of Neuroenergetics by Hypertonic Lactate Therapy in Patients with Traumatic Brain Injury Is Dependent on Baseline Cerebral Lactate/Pyruvate Ratio

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Abstract

Energy dysfunction is associated with worse prognosis after traumatic brain injury (TBI). Recent data suggest that hypertonic sodium lactate infusion (HL) improves energy metabolism after TBI. Here, we specifically examined whether the efficacy of HL (3h infusion, 30–40 $\mu\text{mol/kg/min}$) in improving brain energetics (using cerebral microdialysis [CMD] glucose as a main therapeutic end-point) was dependent on baseline cerebral metabolic state (assessed by CMD lactate/pyruvate ratio [LPR]) and cerebral blood flow (CBF, measured with perfusion computed tomography [PCT]). Using a prospective cohort of 24 severe TBI patients, we found CMD glucose increase during HL was significant only in the subgroup of patients with elevated CMD LPR >25 ($n = 13$; $+0.13$ [95% confidence interval (CI) 0.08–0.19] mmol/L, $p < 0.001$; vs. $+0.04$ [–0.05–0.13] in those with normal LPR, $p = 0.33$, mixed-effects model). In contrast, CMD glucose increase was independent from baseline CBF (coefficient $+0.13$ [0.04–0.21] mmol/L when global CBF was <32.5 mL/100 g/min vs. $+0.09$ [0.04–0.14] mmol/L at normal CBF, both $p < 0.005$) and systemic glucose. Our data suggest that improvement of brain energetics upon HL seems predominantly dependent on baseline cerebral metabolic state and support the concept that CMD LPR – rather than CBF – could be used as a diagnostic indication for systemic lactate supplementation following TBI.

Key words: cerebral blood flow; cerebral microdialysis; hypertonic; lactate; traumatic brain injury

Introduction

CEREBRAL ENERGY DYSFUNCTION has recently emerged as an important determinant of prognosis following traumatic brain injury (TBI).¹ Although the exact mechanisms are still not completely understood, clinical investigation using the intra-cerebral microdialysis (CMD) technique has identified reduced cerebral extracellular glucose as a surrogate marker of post-TBI cerebral energy dysfunction and outcome.² Glucose is an essential substrate for the brain and is also important for several pathways, which are crucial for

brain cell survival, for example, the pentose-phosphate pathway.³ Strategies to improve cerebral glucose therefore appear of potential benefit in this setting.

Abundant experimental evidence has demonstrated that lactate can function as an energy substrate for the brain, particularly in conditions of increased energy demand, such as after TBI.^{4,5} Preliminary clinical interventional trials using hypertonic sodium lactate infusion (HL) in patients with TBI have shown HL can be successfully used to reduce secondary intracranial hypertension⁶ or as a valid alternative to mannitol for the treatment of elevated

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intracranial pressure (ICP).⁷ Using CMD monitoring, we have recently shown that HL was effective in decreasing ICP and had positive effects on cerebral energetics.⁸ Control of ICP and cerebral glucose-sparing effects of HL provide the pathophysiological rationale for its potential utility in the treatment of acute brain injury.

Although HL may be a valid therapeutic intervention, the exact conditions and potential indications for its utilization are still to be better characterized. The CMD lactate/pyruvate ratio (LPR) is a marker of tissue cerebral metabolic state. Elevated LPR >25 is a marker of impaired cerebral oxidative metabolism and outcome after TBI.^{9,10} The CMD LPR would therefore be an ideal marker to identify subjects with high LPR who are more likely to benefit from therapies aiming to improve neuroenergetics, such as HL. On the other extent, the majority of animal and human data on HL is predominantly focused on TBI,¹¹ and only a few tested models of cerebral ischemia.^{12,13} Whether HL retains its efficacy in conditions of reduced cerebral blood flow (CBF) has not been evaluated so far in humans.

The specific end-point of this prospective study was therefore to examine in patients with severe TBI monitored with CMD the effect of HL on cerebral energetics – using CMD glucose as an end-point for treatment efficacy – according to baseline CMD LPR and CBF, quantified by perfusion computed tomography CT (PCT).^{14,15}

Methods

Patients

This was a prospective interventional study performed between March 2012 and October 2014 on consecutive patients with severe TBI admitted to the Department of Intensive Care Medicine, Lausanne University Hospital, Lausanne, Switzerland. Subjects had severe TBI (defined by a Glasgow Coma Scale score <9), an abnormal head CT scan (defined by a Marshall score ≥2), and underwent intracranial monitoring – consisting of CMD and ICP – as part of standard care. The present investigation specifically focused on the relationship between the sparing-glucose effect of HL and patient CMD LPR and CBF: a subset of the cohort presented here (15/24 patients) was described in our previous study.⁸ Approval for the study was obtained from the local Ethical Committee, and informed consent was obtained from each patient's next of kin and from an independent physician.

Systemic monitoring

Patients were treated according to a written institutional algorithm for the treatment of severe TBI, based on international guidelines and as previously described by our group.¹⁶ Sedation-analgesia consisted of propofol and sufentanil. Arterial blood concentrations of glucose and lactate were collected hourly, simultaneously to CMD samples, using an intra-arterial catheter.

Cerebral microdialysis monitoring

Cerebral metabolic monitoring was performed using an intraparenchymal CMA 70 catheter with a 20 kDa cut-off, perfused with artificial cerebrospinal fluid via a CMA 106 pump at a rate of 0.3 μ L/min (CMA Microdialysis AB, Stockholm, Sweden). Brain extracellular samples were collected every 60 min and immediately analyzed at the bedside for concentrations of glucose, lactate, and pyruvate, using a kinetic enzymatic analyzer (ISCUS^{flex}; CMA Microdialysis AB). The CMD catheter was inserted in the operating room by an experienced neurosurgeon through a multiple-lumen bolt (Integra Neurosciences, Plainsboro, NJ) and was placed in the right frontal lobe, in apparently normal sub-cortical white matter. Adjacent to the CMD catheter, a Codman[®] ICP monitor (Codman, Raynham, MA) was inserted for continuous measurement of ICP. The appropriate location of all monitors was assessed by a follow-up head CT

scan, performed within the first 24 h from intensive care unit (ICU) admission.

Perfusion CT

Perfusion acquisitions were realized during the first control head CT scan, using a LightSpeed multi-detector row CT system (GE Medical Systems, Milwaukee, WI). Scanning was initiated 5 sec after injection of 50 mL of iohexol (300 mg/mL of iodine; GE Healthcare Europe, Gattbrugg, Switzerland), perfused at a rate of 5 mL/sec with the following parameters: 80 kV, 240 mAs, 0.4 rotations/sec, total duration of 50 sec. The series evaluated 16 adjacent 5-mm-thick sections of brain parenchyma. A dedicated software (Brilliance Workspace Portal[®]; Philips Medical Systems, Cleveland, OH), which employs the central volume principle using deconvolution to measure mean transit time (MTT), was used. Cerebral blood volume (CBV) was calculated from the time-enhancement curves, and global CBF was derived from the equation: $CBF = CBV / MTT$. Three-dimensional reconstruction was processed with Carestream Vue PACS[®] (Carestream Health, Rochester, NY) using a series of the thin-slice enhanced brain CT. Regions of interest (ROI) were selected in line with our previous studies providing an accurate quantitative assessment of CBV, MTT, and CBF of each hemisphere.^{14,15} A global value of CBV, MTT, and CBF was calculated by averaging values from right and left hemispheres. Post-processing of PCT data was performed by an experienced neuroradiologist who was blinded to CMD variables.

Study intervention

Intervention consisted of a continuous infusion of hypertonic sodium lactate (Na^+ 1000 mmol/L and lactate 1000 mmol/L, prepared by the Division of Pharmacy, CHUV, Lausanne, Switzerland), administered over 3 h, at a concentration of 30–40 μ mol/kg/min, initiated as soon as possible following PCT. Dosing and timing of the therapy were based on previous clinical trials conducted on healthy subjects^{17–19} and critically ill patients,²⁰ aiming to reach a steady concentration of arterial blood lactate of about 4–5 mmol/L.

Data collection and processing

Patient characteristics and radiological variables included age, admission Glasgow Coma Scale score, Marshall CT-scan score, time from TBI to CMD monitoring and to the start of HL, time from PCT to the start of HL, and duration of intracranial monitoring.

Patients were categorized into two subgroups according to a) baseline CMD LPR, using LPR >25 as the threshold of impaired cerebral oxidative metabolism and increased energy demand, in line

TABLE 1. PATIENT BASELINE CHARACTERISTICS

Variable	Value
Patient number	24
Age, years	38 [24–54]
Cause of injury, fall/RTC	8/16
Isolated TBI vs. polytrauma	17 vs.7
Decompressive craniectomy, yes/no	2/22
Admission Glasgow Coma Scale score	6 [5–8]
Marshall CT-score	2 [2–3]
Time from TBI to initiation of CMD monitoring, h	8 [6–16]
Time from TBI to initiation of HL, h	27 [22–41]
Time from PCT to initiation of HL, h	4 [2–18]
Duration of CMD monitoring, days	4 [3–7]

Data are expressed as median and interquartile range.

CMD, cerebral microdialysis; HL, hypertonic lactate infusion; PCT, perfusion CT; RTC, road traffic collision; TBI, traumatic brain injury.

TABLE 2. BASELINE CEREBRAL AND SYSTEMIC PHYSIOLOGICAL VARIABLES

Variable	Value
CMD glucose, mmol/L	1.5 [0.5–4.3]
CMD lactate, mmol/L	2.8 [1.2–4.1]
CMD LPR	24 [13–45]
CBF, mL/100 g/min	49.8 [24.7–82.8]
Arterial blood glucose, mmol/L	7.4 [5.3–10.7]
Arterial blood lactate, mmol/L	1 [0.6–4.3]

Data are expressed as median and ranges.

CBF, cerebral blood flow; CMD, cerebral microdialysis; LPR, lactate/pyruvate ratio.

with previous studies^{9,10}; and b) baseline global CBF, dichotomized as normal versus oligemic, defined by a CBF <32.5 mL/100 g/min. This cut-off for global CBF was based on our previous PCT studies in TBI patients and was set to be at least 2 standard deviations below normal CBF.^{14,15}

CMD samples were analyzed hourly, simultaneously to arterial blood gas analysis. Baseline CMD values were defined as the single value taken from the sample immediately preceding the start of HL. Systemic values were recorded continuously and were then matched to timely CMD samples.

Statistical analysis

Dynamic hourly changes of arterial blood and CMD glucose and lactate concentrations from baseline (T0), during HL (T0–3 h), and up to 12 h were first graphically plotted. For comparisons, longitudinal data analysis was used to account for repeated measures of physiological variables over time across different patients. Statistical analysis was conducted with a mixed-effects multi-level regression model, with time entered as an independent variable and allowing it to have a random intercept and a random slope according to the patient. A correlation coefficient (with 95% CI) was obtained, which corresponded to the change of the variable during the time of HL. This analysis was conducted in the total population and in predefined sub-groups. Statistical analyses were performed using Stata 12 (Stata Corp., College Station, TX), and statistical significance was set at *p* < 0.05.

Results

Patient characteristics

A total of 24 consecutive patients were studied. Baseline demographic and physiological characteristics are presented in Table 1 and Table 2, respectively.

Dynamic changes of arterial blood and CMD glucose and lactate levels during HL

Figure 1 illustrates changes of CMD (A) and arterial blood (B) concentrations of lactate and glucose over time. Table 3 summarizes the results of a mixed-effects regression model, showing that HL was associated with a significant increase in the concentrations of CMD glucose, CMD lactate, and arterial blood lactate.

Cerebral glucose-sparing effect of HL was independent from systemic glucose

Systemic lactate can be converted into glucose via hepatic gluconeogenesis (GNG), which is activated in subjects with TBI²¹; therefore, the observed increase in CMD glucose upon HL could be at least partly secondary to systemic glucose increase via GNG, which is subsequently transported to the brain. We found that although arterial blood glucose increased slightly during HL, this was not statistically significant (*p* = 0.16; Table 3), thereby indicating HL-related increase of cerebral extracellular glucose was not dependent from arterial blood glucose, but rather predominantly attributable to a cerebral sparing-glucose effect. Importantly, systemic glucose always remained within the ranges for clinical safety after TBI (6–10 mmol/L), and it was not accompanied by a significant change in intravenous insulin dose (Table 3).

Effect of HL on cerebral glucose according to baseline brain LPR and CBF

The effect of HL on cerebral energetics was then examined in predefined subgroups, according to patients' baseline CMD LPR and CBF, focusing this subgroup analysis on the main therapeutic endpoint, that is, CMD glucose. Figure 2 shows the dynamic changes of CMD glucose over time, as a function of LPR (A) and CBF (B).

Mixed-effects model statistical analysis is summarized in Table 4. When dichotomizing TBI subjects by baseline LPR, CMD glucose increased significantly only in the subgroup of patients with baseline elevated LPR >25 (*n* = 13; correlation coefficient +0.13 mmol/L [95% CI 0.08–0.19] during HL, *p* < 0.0001), whereas no significant increase in CMD glucose was observed in patients with normal LPR (*n* = 11; Table 4).

In contrast, CMD glucose increase following HL was comparable in patients with oligemic CBF (*n* = 8; average CBF 29.6 [range 24.7–32] mL/100 g/min; correlation coefficient +0.13 [95% CI 0.04–0.21] mmol/L during HL, *p* = 0.003) and normal CBF (*n* = 14; average CBF 55.0 [41.6–82.8] mL/100 g/min; correlation coefficient +0.09 [95% CI 0.04–0.14] mmol/L during HL, *p* = 0.001).

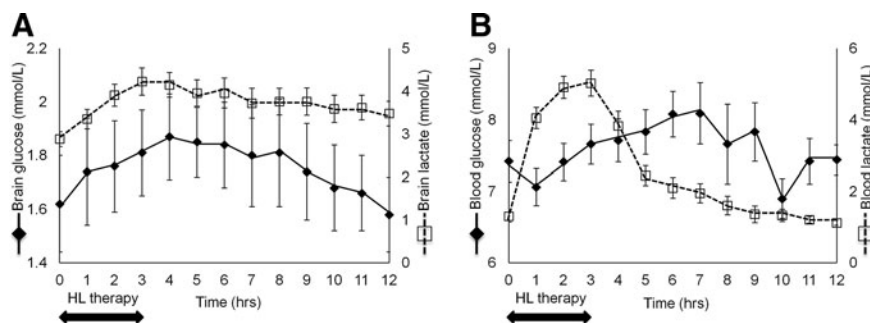


FIG. 1. Dynamic changes of blood and cerebral extracellular levels of glucose and lactate from baseline, during and following hypertonic lactate infusion (HL). The graph illustrates hourly brain extracellular (A) and arterial blood (B) concentrations of glucose (black lines) and lactate (dashed lines) from baseline up to 12 h following the start of 3-h intravenous HL. Data are expressed as mean ± standard error of mean.

TABLE 3. CHANGES IN CEREBRAL EXTRACELLULAR AND ARTERIAL BLOOD CONCENTRATIONS OF GLUCOSE AND LACTATE DURING HYPERTONIC LACTATE INFUSION

Variable	Coefficient \pm SE	95% CI	P value
Cerebral glucose, mmol/L	0.08 \pm 0.02	0.03–0.12	0.001
Cerebral lactate, mmol/L	0.35 \pm 0.07	0.22–0.48	<0.0001
Systemic glucose, mmol/L	0.12 \pm 0.08	–0.045–0.28	0.16
Insulin dose, units/h	0.05 \pm 0.19	–0.32–0.42	0.26
Systemic lactate, mmol/L	0.44 \pm 0.10	0.24–0.63	<0.0001

P values were calculated with a mixed-effects multilevel regression model, to account for repeated measures across different patients over time.

CI, confidence interval; SE, standard error.

Discussion

The main findings of this study can be summarized as follows:

1) HL improves cerebral energetics following TBI, independent of systemic glucose; 2) cerebral glucose-sparing effect by HL is significant only in patients with impaired cerebral oxidative metabolism, as defined by CMD LPR >25; and 3) cerebral glucose increase seems not to differ in patients with normal versus oligemic CBF <32.5 mL/100 g/min.

Energy dysfunction after TBI

Glucose is the main energy substrate for the brain.³ Glucose crosses the blood-brain barrier via specific glucose transporters (GLUT), proportionally to baseline metabolism and demand.²² After TBI, energy demand is considerably augmented, causing an increase in cerebral metabolic rate of glucose and so-called hyperglycolysis.²³ This may ultimately lead to energy dysfunction or crisis, with concomitant critical depletion of cerebral extracellular glucose.^{1,2} This phenomenon may be further aggravated when availability of systemic glucose is limited, such as by intensive insulin therapy.²⁴ Importantly, brain energy dysfunction and low CMD glucose is associated with worse outcome after TBI.² Current therapeutic strategies for the management of secondary cerebral damage after TBI have mainly been focused on improving cerebral perfusion and controlling elevated ICP.²⁵ Growing evidence showing that brain energy dysfunction may be an important de-

terminant of prognosis provides a robust rationale to test other therapeutic strategies aimed at improving neuroenergetics.²⁶

Hypertonic lactate to treat energy dysfunction after TBI

Given the known deleterious role of hyperglycemia following brain injury, the administration of glucose-containing solutions to improve cerebral glucose cannot be recommended in this context and has generally been avoided, partly also because of the risk of increasing cerebral edema by the use of hypotonic solutions.

Lactate is an alternative energy substrate for the brain and can even act as preferential fuel, particularly in conditions of increased energy demand, such as those seen after injury.^{5,11,27} *In vitro*, lactate allows neural function to be maintained and prevents neuronal cell death upon hypoxia or glucose deprivation.^{28–30} Experimental blockade of lactate transport is associated with altered cognitive function in animals, reinforcing the notion that lactate may not only be an important substrate but also can serve as important signaling molecule for plasticity.³¹

Isotopic studies in patients with TBI appear to confirm that lactate can be used as an energy source by the injured brain.³² In healthy humans receiving HL, the contribution of exogenous systemic lactate to brain metabolism might increase sixfold up to 60%.³³ Supplemental HL might therefore spare cerebral glucose³⁴ and increase cerebral resistance to strenuous efforts¹⁹ and hypoglycemia.³⁵ A large body of evidence suggests that HL exerts significant neuroprotection in several models of experimental brain injury, including TBI.^{12,13,36–38} In patients with TBI, HL might prevent secondary intracranial hypertension⁶ and is more effective than mannitol in controlling elevated ICP.⁷ Our group has recently shown that, in addition to reduce ICP, HL might further improve cerebral energetics in humans with TBI.⁸

Improvement of cerebral glucose by hypertonic lactate seems dependent on baseline brain metabolic state

The LPR reflects the metabolic state of the tissue, and an elevated LPR >25 is a marker of impaired cerebral oxidative metabolism suggesting elevated energy needs and/or compromised metabolic pathways.⁹ Elevated LPR >25 was also associated with neurological outcome in a large TBI cohort.¹⁰ In our study, more than half of patients had an LPR >25, consistent with previous studies.¹⁰ HL was associated with a significant and clinically

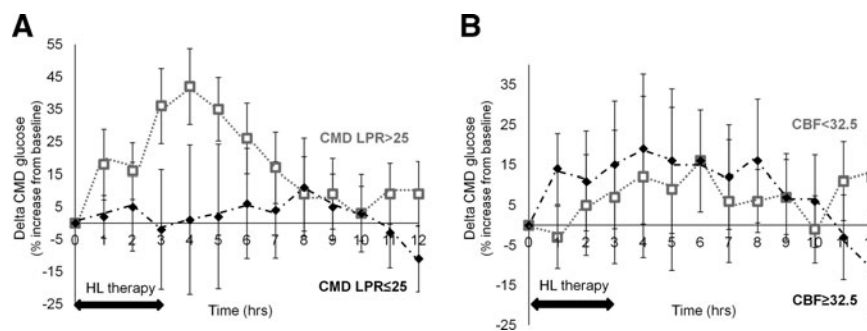


FIG. 2. Dynamic changes of cerebral extracellular glucose concentrations from baseline, during and following hypertonic lactate infusion (HL), according to patient subgroups, dichotomized by cerebral microdialysis (CMD) lactate/pyruvate ratio (LPR), or cerebral blood flow (CBF). The graph shows changes (expressed as the % [delta] increase or decrease from baseline) of cerebral extracellular glucose over time following a 3-h intravenous HL, according to patient baseline LPR (A, dichotomized as normal [CMD LPR \leq 25; n = 11] vs. elevated [LPR >25; n = 13]) and CBF (B, dichotomized as normal [CBF \geq 32.5 mL/100 g/min; n = 14] vs. oligemic [CBF <32.5 mL/100 g/min; n = 8]). Data are expressed as mean \pm standard error of mean.

TABLE 4. CHANGES OF CEREBRAL EXTRACELLULAR GLUCOSE DURING HYPERTONIC LACTATE INFUSION

Condition	Coefficient \pm SE	95% CI	P value
Cerebral LPR >25 ($n=13$)	0.13 \pm 0.03	0.08–0.19	<0.0001
Cerebral LPR ≤ 25 ($n=11$)	0.04 \pm 0.04	–0.05–0.13	0.327
CBF <32.5 mL/100 g/min ($n=8$) ^a	0.13 \pm 0.04	0.04–0.21	0.003
CBF ≥ 32.5 mL/100 g/min ($n=14$) ^a	0.09 \pm 0.03	0.04–0.14	0.001

Changes are according to baseline CBF (dichotomized as oligemic <32.5 mL/100 g/min vs. normal) and cerebral extracellular LPR (elevated >25 vs. normal). P values were calculated with mixed-effects multilevel regression model, to account for repeated measures across different patients over time.

^aPCT data from two patients missing.

CBF, cerebral blood flow; CI, confidence interval; LPR, cerebral extracellular lactate/pyruvate ratio; SE, standard error.

relevant increase in cerebral glucose only in patients with baseline LPR >25 , whereas no significant increase was observed when LPR was <25 at the start of intervention. This supports the notion that preferential lactate use with concomitant cerebral glucose sparing was correlated to patient brain metabolic state and was clearly apparent and clinically relevant ($\sim 50\%$ CMD glucose increase) in conditions where cerebral oxidative metabolism is impaired and tissue energy demand is increased. We have previously shown that CMD increase in pyruvate was also a function of baseline LPR.⁸ Altogether our findings suggest that CMD LPR can be used as a marker and potential end-point for therapeutic interventions aimed at improving neuroenergetics.

Effect of hypertonic lactate in conditions of reduced CBF

Whether HL might still be effective in conditions of reduced or impaired cerebral perfusion or oxygenation remains unclear. Of interest, Rosafo and colleagues recently found that hypoxia induces an overexpression of MCT4 in cultured astrocytes as well as enhanced lactate production, an effect that is viewed as a neuro-protective response.³⁹ To answer this question, averaged values from right and left hemispheres were calculated, to quantify globally the extent of impaired CBF in each patient. We found that the effect of HL on cerebral glucose increase did not seem to be dependent on baseline CBF. However, average CBF was 29.6 (range 24.7–32) mL/100 g/min, therefore well above the recognized definition of cerebral ischemia. Actually, some patients even displayed hyperemic CBF. Therefore, our data clearly support the finding that an elevated CBF does not seem to affect the metabolic response to HL infusion. Our study therefore lacks sufficient power to provide insights into the likely response of ischemic regions to hypertonic lactate. Additional studies in patients with ischemic/hemorrhagic stroke are needed.

Cerebral glucose-sparing effect of hypertonic lactate is independent of systemic glucose

Supplemental systemic lactate may be metabolized into glucose via hepatic and renal GNG. Following TBI, increased systemic lactate metabolism and mobilization serves hepatic and renal GNG and can be an important source of glucose.²¹ This implies that increased CMD glucose following HL may be because of increased systemic glucose via induced GNG. Using a mixed-effects regression model, we found that systemic glucose did not increase significantly, which rather suggests a primary increase of cerebral lactate metabolism and supports the notion that lactate can indeed be used as a preferential energy substrate over glucose, as previously reported.^{34,40} Preferential lactate

utilization will enable the “diversion” of glucose to become available for other important non-energetic modulatory and protective functions in the setting of TBI, for example, the pentose phosphate pathway.⁴¹

Study limitations

Cerebral metabolism was assessed regionally with CMD, but we did not measure global cerebral metabolism with positron emission tomography. This is a limitation that is compounded by the fact that CMD technique is readily available in the critical care setting and is part of standard patient care. Similarly, CBF was measured with PCT, which explores CBF partially: only anterior and middle cerebral vascular territories were assessed and changes in posterior cerebral vascular territories may be missed. PCT has been previously validated by our group in patients with severe TBI^{14–16} with good accuracy for the measurement of CBF when compared with gold-standard techniques, such as xenon CT.⁴² CBF is dynamic especially within the first 72 h after injury, so this could affect the overall findings and must be recognized as a potential limitation of our study. Our definition of low CBF deserves further discussion. Oligemic CBF <32.5 mL/100 g/min was about 2 standard deviations below normal CBF. Although this threshold could be considered as only marginally low and above the classical ischemic range (18–22 mL/100 g/min), it has both robust clinical¹⁶ and prognostic¹⁵ value. In addition, partly because of the modern setting of protocol-driven management, true ischemic states are no longer common after TBI in the neurocritical care phase.⁴³ In our study, the “pro-energetic” effect of HL did not seem to be reduced in conditions of limited CBF. However, we did not test HL in conditions of overt ischemia. The inability to address this essential question is a serious limitation, but we believe our findings at least provide a reasonable rationale to further test the value of HL in patients with unequivocally ischemic brain regions.

Conclusion

Our findings suggest that improvement of brain energetics during infusion of HL is predominantly dependent on baseline cerebral metabolic state and support the concept that an elevated LPR >25 after TBI is associated with a greater likelihood of brain glucose increase as lactate is preferentially used as an energy substrate. In this setting, LPR can be used as a diagnostic indication for HL. This study also suggests that because HL effect on metabolism in tissue with elevated LPR seems to be independent of CBF, perhaps it would work well in tissue with non-ischemic LPR elevation and could also be tested in other acute cerebral conditions where CBF was more profoundly limited.

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Author Disclosure Statement

No conflicting financial interests exist.

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