# **MODERN PATHOLOGY**

Journal homepage: https://modernpathology.org/



# Research Article

# Immune Phenotype-Genotype Associations in Primary Clear Cell Renal Cell Carcinoma and Matched Metastatic Tissue

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# ARTICLE INFO

Article history: Received 23 October 2023 Revised 11 June 2024 Accepted 26 June 2024 Available online 4 July 2024

Keywords:  $CD8+T$  cells clear cell renal cell carcinoma immune phenotype metastasis genotype

# ABSTRACT

Adjuvant immunotherapy has been recently recommended for patients with metastatic clear cell renal cell carcinoma (ccRCC), but there are no tissue biomarkers to predict treatment response in ccRCC. Potential predictive biomarkers are mainly assessed in primary tumor tissue, whereas metastases (METs) remain understudied. To explore potential differences between genomic alterations and immune phenotypes in primary tumors and their matched METs, we analyzed primary tumors (PTs) of 47 ccRCC patients and their matched distant METs by comprehensive targeted parallel sequencing, whole-genome copy number variation analysis, determination of microsatellite instability, and tumor mutational burden. We quantified the spatial distribution of tumor-infiltrating  $CD8<sup>+</sup>$  T cells and coexpression of the T-cell-exhaustion marker thymocyte selection-associated high mobility group box (TOX) by digital immunoprofiling and quantified tertiary lymphoid structures. Most METs were pathologically "cold." Inflamed, pathologically "hot" PTs were associated with decreased disease-free survival, worst for patients with high levels of  $CD8+TOX+T$  cells. Interestingly, inflamed METs showed a relative increase in exhausted  $CDS+TOX+T$  cells and increased accumulative size of tertiary lymphoid structures compared with PTs. Integrative analysis of molecular and immune phenotypes revealed BAP1 and CDKN2A/B deficiency to be associated with an inflamed immune phenotype. Our results highlight the distinct spatial distribution and differentiation of  $CD8 + T$  cells at metastatic sites, and the association of an inflamed microenvironment with specific genomic alterations.

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# Introduction

<span id="page-0-1"></span>

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One-third of clear cell renal cell carcinoma (ccRCC) patients present with synchronous metastases, and another third will Corresponding author.<br>
E-mail address: annabettina.sobottka-brillout@usz.ch (B. Sobottka). develop metachronous metastases over the course of disease.<sup>[1](#page-8-0)</sup>



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Therapeutic advances, especially vascular endothelial growth factor-targeted therapy or immune checkpoint inhibitors (ICIs), have improved the prognosis of many advanced ccRCC patients.<sup>2-1</sup> Yet, a significant number of patients with metastatic ccRCC do not profit from immunotherapy potentially due to differences within the tumor immune microenvironment (TIME).<sup>[5](#page-8-2)</sup>

While inactivation of VHL through various mechanisms $<sup>6</sup>$  is</sup> essential for ccRCC tumor initiation, genetic alterations in additional other tumor suppressor genes, like loss of BAP1 or PBRM1, $^7$  $^7$ seem necessary for tumor progression. Recently, it was shown that some tumor suppressor genes are associated with tumor inflammation or response to ICI:BAP1 deficiency is associated with highgrade ccRCC, poor outcome, $7-9$  tumor inflammation, $10$  increased PD-L1 expression, a T effector cell signature, and good response to ICI.<sup>[11](#page-8-6)</sup> Conversely, PBRM1-deficient ccRCC display a rather well-differentiated, prototypic morphology in humans and mice<sup>[12](#page-8-7)</sup> with improved clinical outcome and reduced inflammation.<sup>11,[13](#page-8-8)</sup> PBRM1-mutated ccRCC frequently carries additional mutations in SETD2, which seem to occur after PBRM1 mutations within the same tumor.<sup>[14](#page-8-9)</sup> SETD2 mutations increase in frequency upon disease progression, implicating a function in preventing metas-tasis.<sup>[15](#page-8-10)</sup> These data highlight the importance of an integrated characterization of genomic features and immunologic characteristics of primary ccRCC for accurate patient stratification.

Studies investigating the immune cell composition mostly focused on primary  $c\text{cRCC}$ ,<sup>[16-20](#page-8-11)</sup> nonmatched metastases<sup>21,[22](#page-8-13)</sup> or examined only very few primary tumors and their matched metastases. $23$  Methods were limited to hematoxylin and eosin, mostly single-stain immunohistochemistry at broad scale or bio-informatic deconvolution from gene expression signatures.<sup>[24](#page-8-15)[,25](#page-8-16)</sup> Despite the importance for therapeutic stratification, the spatial distribution and amount of immune cells within the tumor compartments, particularly of tumor-infiltrating  $CD8<sup>+</sup>$  T cells, were not assessed. Standardized and reproducible evaluations are also lacking. Yet, dysfunctional or exhausted CD8<sup>+</sup> T cells represent the main clinical target in ICI therapy.[26](#page-8-17) Their distribution pattern within the tumor compartments correlates with response to ICI in many malignancies, $27$  referred to as inflamed or pathologically "hot," and immune excluded or desert tumors, pathologically "cold."<sup>[28](#page-8-19)</sup> For increased reproducibility, we recently established standardized immune phenotyping of metastatic melanoma using digital pathology<sup>[29](#page-8-20)</sup> and have described the application to immunotherapy-treated ccRCC as well as other cancer types. $30,31$  $30,31$  $30,31$ 

The objective of this study was to identify potential differences in the genetic landscape and immune cell infiltration between PT and their corresponding metastases (METs). The underlying causes of why METs frequently exhibit diminished inflammatory infiltration are presently not well understood, particularly in the context of ccRCC. It has been hypothesized that somatic alterations could influence immune cell infiltration. Therefore, we investigated 47 ccRCC PTs and their METs. We combined different molecular and histologic methods such as comprehensive targeted parallel sequencing, whole-genome copy number variation analysis, determination of microsatellite instability and tumor mutational burden, spatially resolved digital immunoprofiling, and digital quantification and morphometry of tertiary lymphoid structures. Further, we included TOX, a marker described to positively correlate with expression of PD1 and other markers of T-cell exhaustion in human cancer<sup>32</sup> and frequently expressed by exhausted and polyfunctional effector memory  $CDS+T$  cells. Although TOX is not directly targeted by immune checkpoint immunotherapy, it can be regarded as a predictor of anti-PD1 treatment response in several malignancies,  $32,33$  $32,33$  and TOX expression may reflect truly terminally exhausted T cells in

contrast to established exhaustion markers like PD1, PD-L1.<sup>34</sup> In our cohort, increased  $CD8+T$  cells within PTs correlated with an overall decreased disease-free survival (DFS). Interestingly, although the total number of tumor-infiltrating  $CD8<sup>+</sup>$  T cells remained similar in inflamed METs compared with their matched PTs, we observed a relative increase in  $TOX^+$  expression, potentially indicating increased levels of  $CD8<sup>+</sup>$  T-cell exhaustion. Loss of BAP1 and CDKN2A/B was associated with an inflamed immune phenotype both in PTs and METs. Our data suggest that conducting a combined analysis of comprehensive molecular genotyping alongside spatially resolved tissue immune phenotyping, preferably within METs, could be clinically most informative for advanced ccRCC patients.

#### Materials and Methods

#### Patient Cohort

We collected treatment-naïve PTs of 47 ccRCC patients and their matched distant metastases (METs) from different anatomical locations. The majority of cases were retrieved from the archives of the Department of Pathology and Molecular Pathology, University Hospital Zurich, Switzerland, between 2001 and 2020  $(n = 29)$ . Further cases from the Institute of Pathology, Cantonal Hospital Baselland, Liestal, Switzerland ( $n = 5$ ); the Department of Pathology and Diagnostics, University of Verona, Italy ( $n = 5$ ); and the Institute of Pathology, Department of Laboratory Medicine and Pathology, Lausanne, Switzerland ( $n = 8$ ), were included, the latter as part of the Swiss Patholink consortium. All cases were reviewed by 2 expert pathologists (B.S. and H.M.). Selection criteria included a histologic and immunohistochemical phenotype consistent with ccRCC in both the primary tumor and matched distant metastasis. Distant metastases had occurred to lung ( $n = 10$ ), bone ( $n = 8$ ), brain ( $n = 9$ ), pancreas ( $n = 7$ ) or soft tissue, adrenal gland, pleura, or stomach ( $n = 20$ ), the latter summarized as "other," and for 7 patients, an additional longitudinal metastasis sample was available (patient IDs: 5, 12, 14, 33, 34, 40, and 43), resulting in a total of 54 metastatic samples [\(Table 1\)](#page-2-0). Metastatic tissue consisted of excision specimens ( $n = 37$ ; 68.5%), open biopsies/currettings ( $n =$ 14; 30%), and very few core needle biopsies ( $n = 3$ ; 5.5%). Clinical data including clinical stage, treatment, clinical progression-free survival assessed by imaging, and overall survival could be retrieved for the majority of patients ( $n = 43$ ). All 47 patients had undergone surgical treatment of their primary ccRCC (partial or complete nephrectomy). The majority received adjuvant treatment ( $n = 30$  patients), and only 13 patients were treated by surgery only. Of the 54 metastatic samples, 31 metastases were treatment-naïve, 7 metastases were pretreated with ICI and systemic therapy (TKI) (sample IDs: 24, 27, 33, 35, 36, 37, and 44), 1 metastasis with ICI only (sample ID: 16), 14 metastases had been treated with systemic therapy (TKI) but not ICI, and for 1 sample, pretreatment data of the metastasis were not available ([Fig. 1\)](#page-2-1).

### Nucleic Acid Extraction

DNA was extracted from microdissected or punched formalinfixed paraffin-embedded tumor tissues using the RecoverAll Total Nucleic Acid Isolation Kit (Cat No. AM1975, ThermoFisher Scientific) according to the diagnostic accredited guidelines. Sampling included multiple regions from the tumor center to capture intratumoral heterogeneity and avoid the potential discovery of

<span id="page-2-0"></span>

Clinicopathological information of the study cohort



Detailed clinicopathological information including treatment is provided. Only primary tumors from ccRCC patients were included and are grouped according to their site of distant metastases.

<span id="page-2-1"></span>ccRCC, clear cell renal cell carcinoma; ICI, immune checkpoint inhibitor.

clonal genetic alterations. $35$  Samples used for genetic profiling were taken from the same blocks as the samples used for histologic studies. Nucleic acid concentrations were measured with Qubit dsDNA HS and RNA HS Assay Kits (Cat No. Q32851 and Q32852, ThermoFisher Scientific).

#### Next-Generation Sequencing

Next-generation sequencing (NGS) library preparation and bioinformatics analysis were conducted according to diagnosticapproved standards. All shown samples passed the internal quality criteria including DNA quality, mean coverage, mapped reads, and read lengths. In detail, we performed targeted parallel sequencing of genomic DNA using the FoundationOne CDx comprehensive genomic profiling assay. This panel covers the exonic regions of 324 disease-relevant genes as well as selected intronic regions of 36 genes, which are frequently involved in fusion events. Genomic biomarkers, including tumor mutational burden, microsatellite instability, and loss-of-heterozygosity (LOH), were also assessed. Mutational data were retrieved from Foundation Medicine via One-Alteration-Per-Line Files and processed with custom R, PERL, and Bash scripts, which are available at [https://github.com/mtp-usz/ccRCC\\_Primary-Metastases.](https://github.com/mtp-usz/ccRCC_Primary-Metastases)

#### Genome-Wide Copy Number Analysis

For genome-wide copy number analysis, we subjected 500 ng genomic DNA from each sample to bisulfite conversion using the EZ DNA Methylation Kit (Cat. No. D5001, Zymo) according to the manufacturer's guidelines. The Infinium Human Methylation EPIC 850 k array was used to obtain genome-wide DNA methylation profiles from FFPE tumor samples, according to the manufacturer's instructions (Illumina). Data (IDAT files) were analyzed through the minfi R package. Copy number calculations on the IDAT files were performed using R (version 4.0.4) and the conumee Bioconductor<sup>[36](#page-8-27)</sup> package (version 1.26.0). Prior to the calculations, IDAT files were imported to R using the  $minf<sup>37</sup>$  $minf<sup>37</sup>$  $minf<sup>37</sup>$  Bioconductor



#### Figure 1.

Oncoprint visualization of the clinic-pathological parameters, the immune phenotypes and genomic findings. Clinical parameters such as sex, age, clinical stage, therapy after resection of the primary tumor, treatment naïveness of the metastatic biopsy, progression-free survival, overall survival, and immune phenotypes are depicted on a single patient level as indicated. Patient IDs indicated with an asterisk are patients alive at the time of publication. Each gene is depicted as a row, and each primary tumor and the matched METs as respective columns per patient.

package (v.1.36.0) and converted to conumee compatible Methyl-Set objects. The failed probes of the data set were identified as both the methylated and unmethylated channel reported background signal levels ( $P > .01$ ). Probes that failed in more than 5% of the samples were removed. The data were then normalized using both Illumina and FunNorm methods for the downstream copy number variation and methylation analyses, respectively. Moreover, we performed differential methylated analysis on both probe and region levels using the methods implemented in the R pack-age minfi.<sup>[37](#page-8-28)</sup> Normalized probe intensities were subsequently clustered into segments using the circular binary segmentation algorithm with an acceptance significance level of  $\alpha = 0.05, 10,000$ permutations, a minimum of 5 probes per segment, and without undoing change points. Copy number spectrum plots were generated using the cnSpec function in the GenVisR (86) Bioconductor package (version 1.22.1).

#### Immunohistochemistry

Immunohistochemical analysis was performed utilizing the monoclonal mouse anti-human CD8 (clone 4B11, Leica Biosystems), the monoclonal mouse-anti-human CD20 (clone L26, Roche Diagnostics), and the polyclonal rabbit-anti-human TOX (Cat No. PA5-30328, ThermoFisher Scientific) antibodies after tissue pretreatment according to the manufacturer's instructions. Antibody binding was visualized using either the OptiView (Roche Diagnostics) or Bond Polymer Refine Red Detection (Leica Biosystems) kits. Although all cases showed robust CD8 and CD20 staining, 6 PTs and 6 METs repeatedly showed a weak TOX staining signal and were excluded from the CD8/TOX costain analysis. One MET was excluded completely from immuhistochemical analysis because of technical reasons.

### Digital Image Analysis, Immune Phenotypes, and Tertiary Lymphoid Structures Evaluation

Stained slides were digitalized on a 3DHistech P1000 digital slide scanner (3D Histech) at  $\times$ 40 magnification and a resolution of 0.24 µm per pixel. Digital slide review, annotation of tumor regions as recommended by the International Immuno-Oncology Biomarker Working Group,[38](#page-8-29) and quality controls were performed by an expert image analyst (V.V.) and reviewed by a board-certified pathologist (V.H.K.). We then created a virtual tissue microarray (vTMA), consisting of 5 spots each of the tumor center and the tumor invasive margin compartment. vTMAs are effective tools for high-throughput analysis of highly standardized tissue regions in histologically defined tumor microenvironment compartments. $39$  The vTMA approach thus allows us to apply standardized selection rules (tumor center, invasive margin, and immune infiltration parameters) for the comparative analysis of immune cell infiltrates and biomarker expression analysis across large and heterogeneous sample sets while excluding areas of artefact by expert pathology review. vTMA spots were circular with a diameter of  $600 \mu m$  and were placed in the regions with the highest diffuse infiltration of T cells. Regions containing tertiary lymphoid structures (TLS) were annotated separately and excluded from the evaluation of diffuse immune infiltrates. In cases with limited tissue availability,  $(n = 5$  METs), a minimum of 3 vTMAs spots were placed. In one case, where placement of at least 3 spots was not possible, whole-slide analysis was performed. vTMAs for the invasive margin

compartment were only created when the invasive margin was included in the scan.

Digital image analysis was performed using HALO (HALO v3-2- 1851.266, Indica Lavbs) (Supplementary Table S1). For cell-level analysis, nuclear segmentation was performed with a pretrained nuclei segmentation algorithm (AI default) and optimized using cell-morphometric parameters.  $CD8+$  and  $TOX+$  marker-positive cells were quantified according to pathologist-set intensity thresholds for the membrane/cytoplasmic (CD8) and nuclear compartment (TOX). Unstained nonimmune tissue on the same slide served as internal control.  $CDS+TOX^+$ ,  $CDS+TOX^-$ , and CD8<sup>-</sup>TOX<sup>+</sup> cell counts were normalized by tissue area (mm<sup>2</sup>) to determine infiltration density. We evaluated the spatial distribution of CD8+ T cells<sup>38</sup> by creating virtual TMAs approximating whole-slide analyses.<sup>[39](#page-8-30)</sup>

TLS were identified by CD20 staining within the intra- and extratumoral compartment, where the extratumoral compartment was stringently defined by pathologist review to exclude adjacent normal tissue. TLS were defined as dense lymphocyte aggregates, containing clusters of  $CD20<sup>+</sup>$  B cells, with a minimum size of 15,000  $\mu$ m $^2$ . The boundary of each TLS was annotated based on evaluation of H&E-, CD20-, and CD8/TOX-stained serial sections, and the amount and area occupied by the TLS was individually measured.

## **Statistics**

Statistical analysis was performed using GraphPad Prism (version 8.0.0) for Fisher exact tests, Wilcoxon tests, and 2-way analysis of variance with Tukey-Kramer posttest for multiple comparisons and unequal sample sizes.

#### Results

# Exhausted  $CD8+TOX+T$  Cells Are Relatively Increased at Matched Metastatic Sites

For immune phenotyping, 2 expert pathologists first categorized PTs and METs into the following immune diagnostic categories: immune desert, immune excluded, or inflamed, based on the overall spatial distribution of  $CD8^+$  T cells<sup>[29](#page-8-20),[40](#page-8-31)</sup> ([Fig. 2](#page-4-0); Supplementary Fig. S1). PTs showed either an excluded ( $n = 29$ ; 61%) or inflamed ( $n = 13$ ; 28%) immune phenotype; 1 PT (2%) was classified as desert. We also observed 2 distinct but adjacent immune infiltration patterns within a single PT in 4 cases (9%), which we termed "dual immune phenotype" (not shown) as recently described.<sup>[29](#page-8-20)</sup>

Interestingly, the matched metastases predominantly showed a clinically "cold" immune phenotype (desert  $n = 5$ ; 9% and excluded  $n = 40$ ; 74%), irrespective of the site of metastasis ([Fig. 3](#page-5-0)A), their time of occurrence (synchronous vs metachronous), or prior treatment with ICI (desert  $n = 2$  and excluded  $n = 4$ ). Among the inflamed metastases ( $n = 9$ ; 16%), 1 had received prior ICI treatment, and the majority were associated with inflamed or excluded/inflamed primary tumors ( $n = 6$ ; 66%). To evaluate the quantity and functional state of CD8/TOX T cells in PT and METs at single-cell resolution, digital image analysis was performed of  $CD8+$  (red) and  $TOX+$  (brown) T-cell infiltration in the tumor center and invasive margin compartments [\(Fig. 3](#page-5-0)B, C). As the presence of TLS in the TIME is seen as an enabler of naïve T-cell infiltration and intra-/peritumoral

<span id="page-4-0"></span>

#### Figure 2.

Immune phenotypes. Overviews of CD8<sup>+</sup> T cells distribution within clear cell renal cell carcinoma as visualized by immunohistochemistry (upper row; CD8 in red) and the corresponding H&E (lower row). Tumors devoid (left) of CD8<sup>+</sup> T cells were termed immune desert. If CD8<sup>+</sup> T cells had arrived at the tumor bed but predominantly remained at the invasive margin or intratumoral stromal compartment, tumors were regarded as immune excluded (middle). Once CD8+T cells could be observed within the intratumoral compartment tumors were categorized as inflamed (right).

priming, we annotated TLS and accurately measured their size and frequency ([Fig. 3](#page-5-0)D).

As expected, measured  $CD8<sup>+</sup>$  T-cell densities were significantly lower in tumors classified as immune desert or excluded than in inflamed PTs ( $P < .0001$ ) and METs ( $P < .0001$ ) [\(Tables 2\)](#page-6-0). Inflamed/"hot" PTs with high infiltration densities of  $CD8<sup>+</sup>$  T cells showed a decreased DFS when compared with "cold" PTs, with the worst DFS for patients with inflamed/"hot" PTs containing high levels of exhausted  $CD8+TOX+T$  cells (Supplementary Fig. S2).

Interestingly, the relative amount of  $CD8+TOX+T$  cells was significantly increased in inflamed METs when compared with inflamed PTs ( $P < .05$ ) yet without significant differences in the absolute overall  $CD8<sup>+</sup>$  T-cell densities ([Fig. 3](#page-5-0)E). Intratumoral TLS ([Fig. 3F](#page-5-0)) showed increased accumulative size in inflamed METs when compared with inflamed PTs  $(P < .001)$ (Supplementary Fig. S3).

#### Frameshift Mutations Occur as the Most Common Mutation Type

To evaluate the spectrum of molecular alterations between PTs and matched METs, we performed comprehensive targeted NGS analysis including the determination of the microsatellite status, the tumor mutational burden, and LOH.

As expected, the majority of PTs showed mutations in VHL  $(n = 38, 80\%)$ , PBRM1  $(n = 25, 53\%)$ , and SETD2  $(n = 14, 30\%)$ with 5 PTs carrying comutations in VHL, PBRM1, and SETD1 ([Fig. 1](#page-2-1); Supplementary Fig. S4A).

All tumors displayed additional pathogenic mutations, interpreted as passenger mutations and various mutations of unknown significance (Supplementary Table S2). The 9 VHL wild-type PTs showed pathogenic mutations in PBRM1 or SETD2, except for 2 cases that were triple wild-type (VHL, PBRM1, and SETD2 wildtype) carrying mutations among others in TP53 or TERT, respectively. Of the total number of potentially pathogenic mutations  $(n = 340)$ , the large majority were frameshift mutations  $(n = 139)$ , followed by missense mutations ( $n = 56$ ), nonsense mutations  $(n = 54)$ , mutations in splice sites  $(n = 34)$ , homozygous losses  $(n = 23)$ , amplifications  $(n = 19)$ , deletions  $(n = 7)$ , promoter mutations in TERT ( $n = 5$ ), and rearrangements ( $n = 3$ ) (Supplementary Fig. S4B and data not shown). Interestingly, 21% of cases showed genes exclusively mutated in the PTs that could not be detected in their matched distant metastasis (Supplementary Fig. S4C). Of these, SETD2 and PBRM1 were most frequent, showing isolated orphan mutations in SETD2, an isolated orphan mutation in PBRM1, and a cooccurrence of SETD2 and PBRM1 exclusively in the PT. In 1 case, both PT and the matched MET carried mutations in VHL but at different positions (PT: VHL

<span id="page-5-0"></span>

#### Figure 3.

 $0.000$ 

 $\begin{array}{c}\n\text{desert} \\
(n=1)\n\end{array}$ 

 $excluded$ <br> $(n=29)$ 

inflamed<br> $(n=13)$ 

desert  $(n=5)$ 

Immune phenotypes, tumor-infiltrating CD8/TOX T cells and prevalence of tertiary lymphoid structures (TLS). Most clear cell renal cell carcinoma primary tumors (A, left) (desert = dark blue, excluded = blue, and inflamed = red) turned cold, and only a few remained inflamed at their metastatic site (A, right categories metastases). Whole slides were costained for CD8 (red) and TOX (brown) (B, left), revealing CD8+TOX-, CD8+TOX+, and CD8-TOX+ immune cells as further highlighted by the mark-up of the staining (B, right). For further analysis, virtual tissue microarrays were annotated from the tumor center (gray circles) and invasive margin (orange circles) compartment (C). TLS were defined as dense lymphocyte aggregate containing clusters of CD20+B cells, measured within the tumor (termed TLS intra, yellow; within the tumor region, green) and within the extratumoral stroma but outside of the tumor (termed TLS extra, blue; within the extratumoral stroma, red). The left panel shows a CD20-stain (brown), the right panel the CD8 (red) and TOX (brown) stain of the same region (D). Although the absolute densities of intratumoral CD8/TOX immune cells and frequencies of TLS between the immune diagnostic categories remained similar between primary tumors and METs, distant METs showed a significant relative increase of intratumoral CD8+TOX+T cells (E) and a tendency toward an increased frequency of intratumoral TLS (F).

 $excluded$ <br> $(n=40)$ 

 $inf$ amed<br> $(n=9)$ 

 $2<sup>1</sup>$ 

 $\mathbf{0}$ 

desert

excluded

inflamed

#### <span id="page-6-0"></span>Table 2

 $CD8 + Tox - T$  cells are significantly more prevalent in inflamed than in excluded or desert tumors both in primary tumors



 $CD8+Tox-T$  cells represent the predominant population in comparison to  $CD8+$ Tox + T cells and CD8 - Tox + cells regardless of the immune phenotype in the primary tumors. In contrast,  $CD8+Tox+T$  cells significantly increase at the metastatic sites.

ns, nonsignificant; PT, primary tumors.

D179fs\*23, MET: VHL splice site  $340+2T>A$ ). Of all frequently mutated genes in PT, SETD2, and PBRM1 were more commonly lost in the matched METs (Supplementary Fig. S4C). Remarkably, orphan mutations of both genes were also detected in isolation within the matched METs (SETD2,  $n = 9$  and PBRM1,  $n = 5$ ), which were SETD2 and PBRM1 wild-type in their corresponding PTs (Supplementary Fig. S4D). These observations were irrespective of

#### <span id="page-6-1"></span>Table 3

Genotype-immune phenotype associations



On the basis of a single gene analysis, inflamed tumors were associated with losses in BAP1, CDKN2A/B and MTAP when performing Fisher's exact tests.

the location of metastatic site or the time difference between sampling of the PT and the MET (data not shown). The tumor mutational burden was generally low (<10 mutations/Mb; data not shown), and both PT and METs were microsatellite stable (data not shown). In contrast to their PTs, matched METs showed more frequent copy number alterations but with similar patterns of LOH and large-scale state transition scores (data not shown). To confirm the copy number results of the targeted panel, we performed additional whole-genome-wide single nucleotide polymorphism-based array analysis for a subset of cases ( $n = 14$ ). The genome-wide analysis similarly showed losses, particularly at chromosome 3p and gains at chromosome 5q without major differences between PTs and matched metastases (Supplementary Fig. S5). In consideration of the NGS and single nucleotide polymorphism array results, the De Novo occurrence of homologousrecombination deficiency in the metastases appeared unlikely.

### Loss of BAP1, CDKN2A/B, and MTAP Is Associated With an Inflamed Immune Phenotype

To identify potential genotype-immune phenotype associations, we performed an integrative analysis with particular focus on genes reported to be commonly altered in either inflamed (BAP1 and CDKN2A/B) or poorly immunogenic (PBRM1 and  $KDM5C$ ) ccRCC.<sup>[41](#page-8-32)</sup> The association analysis was tailored on both single and multiple gene modes on the combined sets of PT and MET. The single gene analysis identified significantly more frequent loss-of-function mutations of BAP1, CDKN2A/B, and MTAP in tumors described as inflamed [\(Table 3](#page-6-1)).

However, we could not detect any significant association between PBRM1 and KDM5C. Next, we overlaid the corresponding reactome pathways and examined whether any of the pathways is associated with the immune phenotype. The results revealed interleukin-12 signaling enrichment in inflamed ccRCC (gene set: MTAP/JAK2;  $P = .007$ ) consistent with a proinflammatory milieu (Supplementary Table S3).

#### **Discussion**

The functional impact of genetic alterations on the TIME is complex, most likely context-dependent and incompletely understood, especially at metastatic sites.[42](#page-8-33)[,43](#page-8-34) Considering the polyclonal nature of cancer $44$  and the effects of clinical therapies on tumor genetics and/or its immune landscape, $45$  investigation of metastases seems essential to uncover actionable targets and understand disease progression and drug response. Our study provides insight into differences in the tumor immune infiltrates and tumor-intrinsic genetic alterations between primary ccRCC and matched distant metastases.

Seven distinct evolutionary subtypes of ccRCC have been suggested based on spatially separated whole-exome sequencing analysis of primary tumor ccRCC.<sup>[46](#page-8-37)</sup> For most patients, driver events such as loss-of-function mutation in VHL could be detected in the PT and matched METs. In some instances, additional mutations in PBRM1 and/or SETD2 were exclusively identified at the metastatic site, indicating potential subclonal events in the primary tumors that went undetected. Alternatively, these mutations might have emerged De Novo within the metastasis, highlighting the spatiotemporal heterogeneity, especially given the 0 to 17 year time gap between primary tumor removal and metastasis resection observed in the current study. $14$ 

Associations of established predictive biomarkers linked to tumor inflammation such as increased tumor mutational burden or microsatellite instability $25$  were not found in our cohort. Neither could we observe any association between the increased frequencies of frameshift mutations $47,48$  $47,48$  with any particular immune phenotype. Despite the rather small cohort size, loss of BAP1 and CDKN2A/B was associated with inflamed tumors as suggested previously, yet spatial  $CD8+T$ -cell assessment has not been previously reported. $41,49,50$  $41,49,50$  $41,49,50$  $41,49,50$  In PTs, increased amounts of  $CD8<sup>+</sup>$  T cells within the tumor center compartment of the PT correlated with an overall decreased DFS, with the worst DFS for PTs showing high densities of  $CD8<sup>+</sup> TOX<sup>+</sup> T$  cells. In view of these findings and the controversial predictive and prognostic role of tumor-infiltrating CD8<sup>+</sup> T cells in ccRCC,<sup>[16,](#page-8-11)[19](#page-8-39),[51,](#page-9-3)[52](#page-9-4)</sup> prospective clinical trials employing ICI and utilizing digital image analysis are required to decipher the role of tumor-infiltrating  $CD8+T$ cells in ccRCC. Overall, we observed a higher frequency of immune excluded or immune desert lesions in METs compared with PTs corresponding to clinically "cold" tumors as described in other malignancies. $40,53$  $40,53$  Interestingly, the relative amount of  $CD8+TOX+$  T cells of all  $CD8+$  T cells was increased within inflamed METs, suggesting a preceding antitumor immunity<sup>[54](#page-9-6)</sup> and potentially tumor-experienced  $CD8<sup>+</sup>$  T cells. Whether this relative increase in  $CD8+TOX+T$  cells in METs is associated with decreased survival also in the PTs needs to be determined.

Why metastatic sites commonly turn "cold" remains largely unknown. Genetic alterations, such as the observed PBRM1 and SETD2 mutations in METs, could amplify STAT3 transcription<sup>[55](#page-9-7)</sup> and methylate STAT1, respectively,<sup>[56](#page-9-8)</sup> which in turn may cause expression of immune checkpoints and, as such, lead to T-cell exhaustion.<sup>[57](#page-9-9)</sup> This potential impact on signal transducer and activator of transcriptions could be a possible explanation for our observed "cold" METs with an increase in exhausted  $CD8<sup>+</sup> T$ cells. In contrast, the impact of chromosomal instability (CIN) on antitumor immunity is less investigated. Cutoff values defining categories like "low," "intermediate," or "high" CIN tumors are not available, and comparative studies are lacking to this point. CIN, however, seems to influence antitumor immunity in a context-dependent fashion. $44$  It may promote inflammation and tumor cell eradication by supporting tumor heterogeneity with expression of a larger panel of tumor anti-gens,<sup>[58-60](#page-9-10)</sup> or it may support tumor immune evasion through chromosomal instability-mediated depletion of neoantigens resulting in the development of less immunogenic metastases. In our cohort, copy number alterations were not associated with an immune phenotype, neither in PTs nor METs, but we cannot exclude other types of chromosomal instability mechanisms like translocations exerting an impact on the immune infiltrates.

Our study has inherent shortcomings related to the number of samples and treatment heterogeneity. Wellcharacterized cohorts of PTs and matched metastatic lesions are rare, as they demand a large archive of tissue. Metastatic tissue is often monitored by imaging only or biopsied for confirmation. The limited tissue availability of METs thus hampers the compilation of such cohorts. Nevertheless, we identified genotype-immune phenotype associations in ccRCC that support an important and central role of BAP1 and CDKN2A/B deficiency in ccRCC tumor inflammation. Although there is significant similarity in genetic mutations and the general immune presence within both PTs and their matched METs, our findings support investigation of METs because of the observed differences in immune infiltration and genetic profiles, calling for further investigation on the complex

genetic and immune landscape in nonmetastatic vs metastatic disease. The integration of multimodal data sets like comprehensive molecular genotyping with spatially resolved tissue microenvironment analysis may help better understand genotype-immune phenotype associations, may uncover actionable targets, and may support our understanding of disease progression and drug response.

#### Acknowledgments

The authors would like to thank Juergen Hench from the Institute of Medical Genetics and Pathology, University Hospital Basel, Basel, Switzerland for exceptional support regarding the EpicArray data interpretation, and Susanne Dettwiler and Fabiola Prutek from the Department of Pathology and Molecular Pathology, University Hospital Zurich for outstanding tissue management and technical assistance.

#### Author Contributions

B.S., V.H.K., and H.M. conceptualized the study. B.S., V.V., and V.H.K. performed pathology review, reviewed and guided annotation work, performed data analysis and wrote the manuscript. A.L., K.D.M., M.B., and L.d.L. gave important intellectual input and provided samples. A.S. and D.B. helped retrieve clinical information. M.N. performed slide scanning and quality control, annotated scanned images, provided intellectual input and performed image analysis. A.K. and A.B.-E. performed data analysis of FoundationOne CDx and of EPIC array results. All authors discussed the included results and contributed to the final manuscript.

#### Data Availability

The datasets generated during and/or analyzed during the current study are available from the corresponding author upon reasonable request and institutional data governance board approval.

#### Funding

H.M. and L.d.L. were supported by the Swiss National Science Foundation, Switzerland (PathoLink Project 31BL30\_172718). V.H.K. gratefully acknowledges funding by the Promedica Foundation F-87701-41-01.

#### Declaration of Competing Interest

None declared.

#### Ethics Approval and Consent to Participate

The study was approved by the ethics committee of the canton of Zurich (BASEC: 2018-02282) and a written informed consent was obtained from all patients prior to participation in this study.

#### Supplementary Material

The online version contains supplementary material available at <https://doi.org/10.1016/j.modpat.2024.100558>

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