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# Cancer Immunology, Immunotherapy

## CD73 expression in normal and pathological human hepatobiliopancreatic tissues

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<b>Article Type:</b>	Original Article
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<b>Abstract:</b>	<p>Background: the tumor-expressed CD73 ectonucleotidase generates immune tolerance and promotes invasiveness via adenosine production from degradation of AMP. While anti-CD73 blockade treatment is a promising tool in cancer immunotherapy, a characterization of CD73 expression in human hepatobiliopancreatic system is lacking.</p> <p>Patients and methods: CD73 expression was investigated by immunohistochemistry in a variety of non-neoplastic and neoplastic conditions of the liver, pancreas and biliary tract.</p> <p>Results: CD73 was expressed in normal hepatobiliopancreatic tissues with subcellular-specific patterns of staining: canalicular in hepatocytes, and apical in cholangiocytes and pancreatic ducts. CD73 was present in all hepatocellular carcinoma (HCC), in all pancreatic ductal adenocarcinoma (PDAC), and in the majority of intra and extrahepatic cholangiocellular carcinomas, whereas it was detected only in a subset of pancreatic neuroendocrine neoplasms and almost absent in acinar cell carcinoma. In addition to the canonical pattern of staining, an aberrant membranous and/or cytoplasmic expression was observed in invasive lesions, especially in HCC and PDAC. These two entities were also characterized by a higher extent and intensity of staining as compared to other hepatobiliopancreatic neoplasms. In PDAC, aberrant CD73 expression was inversely correlated with differentiation (<math>p &lt; 0.01</math>) and was helpful to identify isolated discohesive tumor cells. Additionally, increased CD73 expression was associated with reduced overall survival (HR 1.013) and loss of E-Cadherin.</p> <p>Conclusions: consistent CD73 expression supports the rationale for testing anti-CD73 therapies in patients with hepatobiliopancreatic malignancies. Specific patterns of expression could also be of help in the routine diagnostic workup.</p>
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Please provide one or two short sentences highlighting what makes your manuscript especially interesting. This précis is meant for our table of contents and must not exceed 250 characters, including spaces. Please include an identical précis in your manuscript text, following the abstract	Translational investigation of CD73 expression in hepatobiliopancreatic malignancies suggests a rationale for testing anti-CD73 therapies in these tumors and its use in the routine diagnostic pathological workup.

## CD73 expression in normal and pathological human hepatobiliopancreatic tissues

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2 Pathology, held by the European Society of Pathology in Bilbao, Spain on 8-12  
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4 *hepatobiliopancreatic system: a potential target for immunotherapy and additional tool*  
5 *for the pathological diagnosis*" was published on *Virchows Arch* vol.473 (Suppl. 1):  
6 S124, 2018. [1]  
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## ABSTRACT

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4 **Background:** the tumor-expressed CD73 ectonucleotidase generates immune  
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6 tolerance and promotes invasiveness via adenosine production from degradation of  
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8 AMP. While anti-CD73 blockade treatment is a promising tool in cancer  
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10 immunotherapy, a characterization of CD73 expression in human  
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12 hepatobiliopancreatic system is lacking.  
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16 **Patients and methods:** CD73 expression was investigated by immunohistochemistry  
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18 in a variety of non-neoplastic and neoplastic conditions of the liver, pancreas and  
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20 biliary tract.  
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23 **Results:** CD73 was expressed in normal hepatobiliopancreatic tissues with  
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25 subcellular-specific patterns of staining: canalicular in hepatocytes, and apical in  
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27 cholangiocytes and pancreatic ducts. CD73 was present in all hepatocellular  
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29 carcinoma (HCC), in all pancreatic ductal adenocarcinoma (PDAC), and in the majority  
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31 of intra and extrahepatic cholangiocellular carcinomas, whereas it was detected only  
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33 in a subset of pancreatic neuroendocrine neoplasms and almost absent in acinar cell  
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35 carcinoma. In addition to the canonical pattern of staining, an aberrant membranous  
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37 and/or cytoplasmic expression was observed in invasive lesions, especially in HCC  
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39 and PDAC. These two entities were also characterized by a higher extent and intensity  
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41 of staining as compared to other hepatobiliopancreatic neoplasms. In PDAC, aberrant  
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43 CD73 expression was inversely correlated with differentiation ( $p < 0.01$ ) and was helpful  
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45 to identify isolated discohesive tumor cells. Additionally, increased CD73 expression  
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47 was associated with reduced overall survival (HR 1.013) and loss of E-Cadherin.  
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51 **Conclusions:** consistent CD73 expression supports the rationale for testing anti-  
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53 CD73 therapies in patients with hepatobiliopancreatic malignancies. Specific patterns  
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55 of expression could also be of help in the routine diagnostic workup.  
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## KEY WORDS

CD73, ecto-5'-nucleotidase, immunohistochemistry, hepatocellular carcinoma, pancreatic carcinoma, cholangiocarcinoma.

## PRÉCIS

Translational investigation of CD73 expression in hepatobiliopancreatic malignancies suggests a rationale for testing anti-CD73 therapies in these tumors and its use in the routine diagnostic pathological workup.

## ABBREVIATIONS

ACC: acinar cell carcinoma

BillN: bile duct intraepithelial neoplasia

EMT: epithelial to mesenchymal transition

HIF1: hypoxia-inducible factor 1

ICC: intrahepatic cholangiocellular carcinoma

IPMN: intraductal papillary mucinous neoplasms

MCA: mucinous cystadenoma

PanIN: pancreatic intraepithelial neoplasia

PanNEC: pancreatic neuroendocrine tumor and carcinoma

PanNET: pancreatic neuroendocrine tumor

PDAC: pancreatic ductal adenocarcinoma

TC: tumor cells

TIMC: tumor infiltrating mononuclear cells

## INTRODUCTION

1 CD73, encoded by *NT5E* gene, is an ectoenzyme with a 5'-nucleotidase activity,  
2  
3 converting extracellular ATP-derived AMP to adenosine. [2] Expression of this enzyme  
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5 was initially described in endothelial cells, and subsequent human transcriptome and  
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7 proteome analyses have shown that it is present in most normal tissues. [3-6]  
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11 In physiological conditions, adenosine is present in the extracellular space at  
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13 low levels, while under hypoxia or inflammation extracellular adenosine levels can  
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15 increase. [7, 8] In these circumstances, adenosine attenuates the inflammatory and  
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17 immune responses, prevents collateral tissue damage, stimulates angiogenesis and  
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19 promotes cell-matrix interactions and cell migration. [7-10]  
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23 In tumors, the role of CD73 was investigated with animal models of solid  
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25 neoplasms, including tumor xenografts (ectopic and orthotopic), and with murine and  
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27 human tumor cell lines. Collectively, these studies showed that CD73 can influence  
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29 the tumor microenvironment through enzymatic and non-enzymatic functions, by  
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31 sustaining cell proliferation, angiogenesis, reducing cell-cell adhesion, promoting  
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33 epithelial to mesenchymal transition (EMT), and generating immune tolerance. [11-13]  
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35 Several studies have identified CD73 expression in human malignant neoplasms,  
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37 such as glioma, melanoma, breast, colon, pancreas, kidney, bladder, prostate and  
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39 ovarian cancers. In these studies, various materials have been examined (cell lines,  
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41 tumor tissues), and different methods were used to assess CD73 mRNA or protein  
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43 levels, by flow cytometry, western blot or IHC. [3, 4, 7, 10, 14-24]  
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50 The mechanisms underpinning the deregulation of CD73 expression in tumors  
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52 are not completely characterized, but may involve endocrine modulation by  
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54 oestrogens or thyroid hormones, hypoxia (*via* the hypoxia-inducible factor-1 $\alpha$ , HIF1  
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56  $\alpha$ ), and pro-inflammatory cytokines, such as IFN- $\alpha$  and IFN- $\beta$  (upregulation) or IFN- $\gamma$ ,  
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58 lipopolysaccharides, and glutamic acid (downregulation). [25] Other authors  
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1 demonstrated in human melanoma cell lines that *NT5E* is subject to CpG methylation-  
2 dependent transcriptional silencing. In the same study, on clinical cases, it was shown  
3 that metastases developed more commonly from primary melanomas lacking *NT5E*  
4 promoter methylation. [26] A potentially adverse prognostic role of CD73 has also  
5 been highlighted in a pooled meta-analysis of gene expression analysis and IHC data  
6 from studies including ovarian, renal, gastrointestinal, breast and prostate cancer  
7 cases and in a study on human malignant melanoma from our group. [27, 28]  
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10 Data generated from public datasets accessible from cBioPortal show  
11 consistent CD73 mRNA expression in all investigated tumor types, at variable levels  
12 (Fig. S1). [29, 30] Notably, potentially interesting results could be expected from the  
13 evaluation of CD73 protein expression in hepatobiliopancreatic tumors, because high  
14 CD73 mRNA levels are found in liver and pancreatic cancer. [29, 30] Moreover,  
15 integrative analysis of TCGA RNA samples of pancreatic cancer suggests an  
16 unfavorable prognostic value of higher CD73 mRNA levels. [6] However, to date, no  
17 study has characterized CD73 expression in the hepatobiliopancreatic system (normal  
18 and pathological), or in tumor-infiltrating mononuclear cells in neoplasms occurring in  
19 these sites.  
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40 The aims of this study were to examine the expression of CD73 in normal,  
41 inflammatory and neoplastic specimens of human liver, extrahepatic biliary tract and  
42 pancreas, in order to define baseline and tumor-related expression of this molecule,  
43 to assess the potential use of CD73 IHC as a complementary diagnostic tool in  
44 histopathology, and to explore future perspectives for CD73 targeted therapies in  
45 hepatobiliopancreatic malignancies.  
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## 57 MATERIALS AND METHODS

### 58 Cases under study

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1 Formalin-fixed paraffin-embedded samples from 202 surgical specimens,  
2 representative of various hepatobiliopancreatic non-neoplastic and neoplastic  
3 conditions, were retrieved from the archival files of the Institute of Pathology of the  
4 Lausanne University Hospital (1996-2017). In addition, 19 cases of acinar cell  
5 carcinoma (ACC), published in a previous study were obtained from the Ospedale di  
6 Circolo, Varese, Italy. [31] For neoplastic samples, the baseline clinico-pathological  
7 features extracted from medical and pathological records are summarized in Supp.  
8 Table 1, and overall survival data were recorded. When necessary, the TNM staging  
9 classification was reviewed and updated to be consistent with the 2017 edition. [32]

### 22 **Immunohistochemistry**

23 IHC was performed using a CD73-specific (D7F9A, rabbit monoclonal, #13160, Cell  
24 Signaling) and an E-Cadherin-specific (NCH-38, mouse monoclonal, #M3612, Agilent  
25 Dako) antibodies using the Ventana BenchMark automated stainer. Briefly, for CD73  
26 deparaffinized slides were pre-treated with CC1 for 60 minutes and incubated for 60  
27 minutes at 37°C (dilution 1:100), while for E-Cadherin, they were pre-treated with CC1  
28 for 30 minutes and incubated for 32 minutes at 37°C (dilution 1:50). The Ultraview  
29 DAB detection kit (ref. 760-500) was used in both cases. In most representative cases  
30 (n=10) an additional double staining for CD73 and E-Cadherin was also performed  
31 (same antibodies and dilution, Ventana DISCOVERY yellow and purple detection kits  
32 respectively). For CD73, an external control (reactive tonsil) was stained in each batch  
33 and positive stain in dendritic and mantle cell was verified, as previously reported. [28,  
34 33]

35 An adjacent section was stained with Haematoxylin and Eosin (H&E) (Ventana  
36 HE 600 system) for morphological reappraisal and to assist IHC interpretation.

## Pathological analysis

1 H&E staining recuts were examined to confirm the original diagnoses, and for  
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3  
4 evaluation of the density of tumor infiltrating mononuclear cells (TIMC). TIMC density  
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6 was evaluated within and at the periphery of the invasive tumors and scored as  
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8 follows: 1: TIMC scattered; 2: TIMC easy to find; 3: TIMC extension similar to that of  
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10 tumor cells (TC) (Fig. S2A-C), following recommendations previously reported. [34]  
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14 For IHC, in non-neoplastic specimens, we recorded: the type of cells showing  
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16 CD73 expression; the subcellular staining pattern and distribution; and the intensity of  
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18 staining, scored as follows: 1: mild, 2: moderate and 3: strong. In neoplastic specimens  
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20 we recorded: the percentage of CD73+ TC (and used a 5% cut off to consider a  
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22 positive case); and the subcellular staining pattern, distribution and intensity, scored  
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24 as 1 to 3 as for the normal counterparts (Fig. S2D-F). Endothelial and stromal staining  
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26 was used as internal control. Since staining intensity was frequently heterogeneous,  
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28 when areas representing >10% of the lesion stained differently, an average value was  
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30 used. We also evaluated the number of cases with at least 5% of TC with intensity=3  
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32 and the percentage of CD73+ TIMC. E-Cadherin staining was recorded as preserved  
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34 or reduced/loss. Slides were evaluated independently by two junior pathologists (A.  
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36 Sciarra and I. Monteiro) and consensus review for harmonization of results was  
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38 performed with two senior pathologists (C. Sempoux and L. de Leval).  
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## Statistical analysis

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48 All variables were reported as numbers and percentages. Continuous variables were  
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50 summarized as median with range, and categorical variables as frequency and  
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52 percentage. Comparisons between groups of quantitative variables were performed  
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54 using the Mann-Whitney U or Kruskal-Wallis test. Comparisons among groups of  
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56 qualitative variables were performed using  $\chi^2$  and Fisher exact tests. Survival  
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analyses included univariate and multivariate cox regression model and log-rank test.

All tests were two-sided and used a significance level of 0.05. All analyses were performed with SPSS 22.0 (©2013 SPSS Inc., Chicago, IL, USA).

## RESULTS

### Liver

In normal liver (n=5), and in viral and alcoholic chronic liver disease with cirrhosis (n=5), CD73 was consistently expressed in all hepatocytes with a canalicular pattern of staining and with moderate intensity (score=2) (Fig. S3A). In portal tracts, bile duct epithelium showed a variable fraction of cells with a mild apical pattern of staining (Fig. S3B). The endothelium of sinusoids, portal venules and arteries, and the perineurium, were consistently CD73 positive (intensity score 2). A few lymphoid cells displayed mild to moderate expression. Structural connective tissue and fibrous septa were unstained, both in normal and fibrotic livers.

### HCC (n=24)

All cases of HCC featured CD73 expression in at least a fraction of TC (10 to 95%, median 80%) (Table 1). As compared to the normal liver, neoplastic hepatocytes systematically showed an aberrant pattern of CD73 staining (Fig. 2A): beside the preserved canalicular expression, an extension to other parts of the membrane and a cytoplasmic staining were present (Fig. 2B). Occasionally, CD73-negative areas were observed (Fig. 2C). Intensity of staining was stronger than in non-neoplastic liver, often increased in TC at the interface with fibrosis (Fig. 2D). TC with intensity=3 were noted in 15/24 (63%) cases. High (G3) vs low grade (G1-G2) HCC significantly showed a higher number of CD73+ TC (p=0.013).

1 *Intrahepatic cholangiocellular carcinoma (ICC) (n=24)*

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4 CD73 was expressed in 14/18 (78%) ICC, in 10% to 95% of TC (median 70%) (Table  
5  
6 1). Malignant cholangiocytes showed an apical staining pattern, similar to that seen in  
7  
8 their non-neoplastic counterparts (Fig. 3A). Extension of the staining to other parts of  
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10 the membrane or to the cytoplasm was observed less frequently (8/14 cases, 57%) as  
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12 compared to HCC cases (Fig. 3B). Intensity of staining was heterogeneous (Fig. 3C),  
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14 slightly stronger than on normal bile ducts (median intensity 1.5 vs 1), and TC with  
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16 intensity=3 were only focally observed, without a specific topographic distribution.  
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18 CD73 expression was unrelated to tumor grade. High (G3) vs low grade (G1-G2) ICC  
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20 significantly showed a higher number of CD73+ TC per case (p=0.03) and comprised  
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22 a larger proportion of cases with TC strongly positive for CD73 (p=0.047).  
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31 **Extrahepatic biliary tract**

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33 In normal extrahepatic biliary tract (n=7) and gallbladder (n=7), a variable fraction of  
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35 cholangiocytes showed a mild apical, CD73 staining (Fig. S3C and S3D).  
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40 *Extrahepatic bile duct intraepithelial neoplasia (BillN) and carcinoma (n=25)*

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42 BillN lesions adjacent to invasive carcinoma were present in 9 cases, with 5 of them  
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44 showing a focal apical CD73 staining (Fig. 3D) (Table 1).  
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48 By contrast, all invasive bile duct carcinomas showed CD73 staining, involving  
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50 15-95% of the tumor cells (median 50%) (Table 1). Malignant cholangiocytes  
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52 presented a staining pattern similar to that of normal cholangiocytes (Fig. 3E), with  
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54 aberrant extension to other parts of the membrane or cytoplasm in 7/15 (47%) cases  
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56 (Fig. 3F). Intensity was mild to moderate (score 1 or 2) with a median value of 1.5 (1-  
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58 2.5). TC with intensity=3 were noted in 6/15 (40%) cases. High (G3) vs low-grade (G1-  
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G2) bile duct carcinomas comprised a larger proportion of cases with TC strongly positive for CD73 (p=0.031).

## Pancreas

In normal pancreas (n=6), and in chronic pancreatitis (n=4), intralobular and extralobular pancreatic ducts, including the Wirsung canal, featured a variable proportion of CD73+ epithelial cells with an apical pattern of staining, similar to that observed in biliary ducts (Fig. S3E). Acinar cells and Langerhans islet cells were consistently CD73-negative (Fig. S3F). A meshwork of CD73 positive capillaries and supporting stroma was seen in the background. In chronic pancreatitis, the collagen stroma intervening between lobules showed a mild to moderate CD73 staining.

*Pancreatic intraepithelial neoplasia (PanIN), pancreatic ductal adenocarcinoma (PDAC) (n=42) and PDAC metastases (n=12)*

PanIN lesions adjacent to invasive carcinoma were present in 14/42 cases. CD73 was mildly expressed in 12 of them, in a fraction of the dysplastic cells (10-95%), with an apical staining pattern (intensity score=1) (Fig. 4A) (Table 2). No variation in CD73 staining was noted according to the degree of dysplasia.

By contrast, CD73 was expressed in all cases of invasive PDAC, with a median value of 80% of positive TC (5-95%) and a median intensity of 2 (1-3). TC with intensity=3 were noted in most of cases (26/42, 62%) (Table 1).

Strikingly, differences in staining pattern were observed according to tumor architecture, prompting a separate analysis of CD73, based on tumor grade. Among the 42 PDAC cases, 17 showed a pure well differentiated (G1-G2) histology, 5 a pure poorly differentiated (G3) histology, and 20 comprised both G1-G2 and G3 areas that were analysed separately (Fig. S4). All 37 G1-G2 PDAC areas were CD73 positive

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with an apical staining similar to that of pancreatic ducts (Fig. 4B) in 23/37 (62%) cases, and a staining extended to the membrane and/or to the cytoplasm in the remaining cases (Fig. 4C). Conversely, the aberrant CD73 expression was present in all G3 PDAC areas (n=25) (Fig. 4D), at variance with G1-G2 areas (p<0.001) (Table 2). Distinctively, poorly differentiated discohesive TC had strong cytoplasmic CD73 staining (Fig. 4E). In that respect, CD73 IHC was useful to highlight isolated discohesive TC in otherwise better differentiated areas (Fig 4F). Moreover, in G3 PDAC areas, both extent and intensity of staining were higher than in G1-G2 areas: 40-95% vs 5-95%, (p<0.001) and 1.5-3 vs 1-2.5, (p<0.001), respectively. All G3 PDAC showed intensity=3 areas, vs 41% of G1-G2 PDAC (p<0.001).

We also examined 10 nodal metastases and 2 peritoneal metastases, obtained from the same patients. These specimens showed pure G1-G2 or G3 differentiation (Fig. S4), and the same correlation between expression pattern of CD73 and grade as in primary lesions was observed (Table 2 and **Supp. Table 2**): apical staining in all 8 G1-G2 and aberrant in all 4 G3 metastatic deposits (Fig 4G and 4H). Again, isolated TC were easily identified by CD73 staining (Fig. 4I).

#### *Mucinous pancreatic neoplasms (n=18)*

Focal and apical mild to moderate CD73 staining was observed in 1/5 (20%) mucinous cystadenomas (MCA, Fig. S5A) and in 10/13 (77%) intraductal papillary mucinous neoplasms (IPMN), independently of the degree of dysplasia (Fig. S5B and Table 1).

#### *Pancreatic neuroendocrine tumor and carcinoma (PanNET/PanNEC) (n=20/3)*

Heterogeneous CD73 expression was seen in 7/20 (35%) PanNET (1/7 G1, 5/12 G2, 1/1 G3) and 1/3 (33%) PanNEC, with a membranous and cytoplasmic pattern of mild or moderate intensity (score 1 or 2), with no peculiar topographic distribution (Fig.S5C)

1 and in a variable fraction of cells (10-95%), with few TC with intensity=3 in only one  
2 PanNET G2 case (Table 1). No relationship was found with grade in PanNET group  
3 of cases.  
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#### 6 7 8 9 *ACC (n=19)*

10 Most ACCs (17/19) were completely negative for CD73 expression in the presence of  
11 adequate internal controls (Fig. S5D). Only two cases exhibited a focal ( $\leq 10\%$  of TC)  
12 CD73 expression, with a membranous and cytoplasmic mild to moderate staining  
13 pattern, mainly localized at the interface with peritumoral stroma (Table 1).  
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#### 23 **TIMC**

24 Results for TIMC are detailed in Table 3. Overall, low TIMC infiltration (quantity  
25 score=1) was observed in 114/157 (73%) specimens of invasive tumors. In particular,  
26 this feature was observed in almost all cases of extrahepatic biliary tract carcinoma,  
27 PanNET/PanNEC and ACC. Score 3 TIMC infiltrates were only present in 4 HCC and  
28 3 PDAC cases. These were characterized by large sheets of mononuclear infiltrating  
29 cells within and at the border of tumor, without other specific morphological or clinical  
30 characteristics. In all cases, the percentage of CD73 positive TIMC was low ( $\leq 20\%$ ),  
31 and median values were  $\leq 5\%$  for all histotypes, even in score 3 TIMC cases.  
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#### 47 **Prognostic value of CD73 expression in hepatobiliopancreatic malignancies**

48 Overall survival (OS) data were available for 145 patients (24 HCC, 24 ICC, 19 bile  
49 duct carcinoma, 38 PDAC, 21 PanNET/PanNEC and 19 ACC), with a median follow-  
50 up of 17 (0.2-107) months. In univariate analysis, a reduced OS for  
51 hepatobiliopancreatic malignancies was significantly associated with a pT3-T4 TNM  
52 stage (HR=2.242,  $p=0.016$ ), nodal invasion (HR=4.283,  $p<0.001$ ), microvascular  
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1 invasion (HR=2.760, p=0.009), G2-G3 histology (HR=2.463, p=0.013), as well as an  
2 increased percentage of CD73+TC% (HR=1.010, p=0.032), CD73 intensity  
3 (HR=1.489, p=0.063) and CD73+TC% intensity=3 (HR=1.026 p=0.006) (Supp. Table  
4 3). Multivariate analysis identified nodal invasion (HR=4.423 [96%IC 1.937 – 10.1],  
5 p<0.001), G2-G3 histology (HR=2.381 [95% IC 1.153- 4.917], p=0.019), and an  
6 increased percentage of CD73+TC% (HR=1.013 [95% IC 1.001 - 1.025], p=0.032) as  
7 independent factors affecting the OS. A 50% CD73+TC cut off separated cases with  
8 longer (<50% CD73+TC) and reduced ( $\geq$ 50% CD73+TC) OS (p=0.041, long-rank test)  
9 (Fig.S6). Cox univariate subgroup analyses for individual hepatobiliopancreatic  
10 malignancy are indicated in Supp. Table 4. Specifically, a significant association of OS  
11 and CD73+TC% was observed in HCC and PDAC subgroups.  
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### 28 **Putative EMT phenotype (loss of E-Cadherin expression) in CD73+ PDAC**

29 E-Cadherin expression was analysed in the 42 PDAC cases. Areas showing ductal  
30 morphology (G1-G2) were characterized by a preserved membranous E-Cadherin  
31 staining in all cases. In areas displaying poorly differentiated morphology (G3), a  
32 consistent fraction of CD73 positive discohesive single cells were also characterized  
33 by a complete or near complete loss of the canonical membranous E-Cadherin  
34 expression, consistent with an EMT phenotype (Fig.S7).  
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### 47 **DISCUSSION**

48 Recent discovery of CD73 immunosuppressive and pro-angiogenic functions  
49 promoting onset and progression of cancer has raised significant hope in the future  
50 development of targeted anti-CD73 treatments. [7, 35] However, to achieve this aim,  
51 many technical, preclinical and clinical obstacles have still to be overcome, including  
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1 the precise characterization of CD73 expression in different normal and neoplastic  
2 human tissues.

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4 In this study, we focused on the hepatobiliopancreatic system, selecting a large  
5 series of different neoplasms, and corresponding normal tissues and preneoplastic  
6 conditions and used IHC. We demonstrated CD73 protein expression in normal liver,  
7 biliary tract and pancreas, in accordance with data generated in human transcriptome  
8 and proteome analyses. [5, 6] More specifically, in addition to the ubiquitous  
9 endothelial staining, we found a restriction to different cell types, with distinct  
10 subcellular patterns of staining. In hepatocytes, bile and pancreatic ducts, CD73 was  
11 expressed with a polarized, apical pattern, corresponding to the canalicular pole of  
12 hepatocytes or the luminal pole of ductal cells. This “baseline” expression pattern was  
13 maintained in inflammatory conditions (cirrhosis, pancreatitis), and in non-invasive  
14 lesions (BillIN, mucinous pancreatic neoplasms, PanIN). In invasive lesions, different  
15 patterns of CD73 IHC were observed, in general encompassing an increase in both  
16 the extent and intensity of staining that we defined as an “aberrant pattern”.  
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36 HCC and PDAC were the two entities exemplifying this feature. In these tumors,  
37 the normal CD73 polarized distribution (canalicular for the liver and apical for  
38 pancreatic ducts) shifted to a more diffuse distribution, with extended membrane  
39 staining and a cytoplasmic accumulation.  
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45 A cytoplasmic presence of 5' nucleotidase was first documented with immunoelectron  
46 analyses of rat liver and kidney, colocalized in multivesicular endosomes, lipoprotein  
47 particles, and Golgi membrane. [36] According to the human protein atlas, a cytosolic  
48 expression of CD73 has been identified by immunofluorescence microscopy in human  
49 adherent myoblast, epidermoid carcinoma, and glioblastoma cell lines, with lower  
50 levels as compared to those in the plasma membrane. [5] Therefore, the absence of  
51 a cytoplasmic IHC staining in normal tissues could reflect intracellular CD73 levels  
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1 below the limit of detection, while the cytoplasmic accumulation of CD73 in tumors  
2 cells coupled with an extended membranous staining, may be due to a strongly  
3 increased transcriptional activity of *NT5E* in these entities. For PDAC, this  
4 phenomenon is similar to that observed for MUC1 expression, previously reported as  
5 a useful marker to distinguish invasive PDAC from reactive alterations. [37]  
6 Accordingly, CD73 pattern of staining could be eventually tested as a diagnostic tool,  
7 knowing that intense and diffuse membranous/cytoplasmic staining was observed only  
8 in neoplastic cases (specificity=100%). We also found CD73 staining very useful to  
9 highlight isolated, discohesive PDAC TC dispersed in desmoplastic stroma or in lymph  
10 nodes, that could be missed on standard analysis on H&E sections. As such, in the  
11 routine diagnostic workup of a pancreatic specimen, a pre-operative biopsy or a  
12 surgical sample, an aberrant CD73 pattern of staining might favour the diagnosis of  
13 PDAC over reactive ductal atypia in the context of chronic pancreatitis. However, it  
14 should be stressed that CD73 IHC can be less helpful in highlighting G1-G2 tumors,  
15 as these showed in most of cases an apical pattern of staining similar to normal  
16 pancreatic ducts. HCC and PDAC were also characterized by the highest proportion  
17 of CD73+ TC and the strongest intensity of staining. Clusters of cells with intense  
18 staining were observed in >50% of HCC and PDAC cases, suggesting that CD73 is  
19 deregulated and potentially targetable in these two entities. Blockade of CD73 activity  
20 in these two neoplasms could be of particular interest, as both HCC and PDAC are  
21 considered to be recalcitrant to conventional treatments and their responsiveness to  
22 immunotherapy with PD-L1 inhibitors is debated [38-41]

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53 The immune suppressive effect of CD73 is mediated by the extracellular  
54 concentration of adenosine, which interacts with signals to immune cells via its ligation  
55 to adenosine receptor (AR) and, particularly, to A2AR. [42] Accordingly, it has been  
56 demonstrated in in vitro models that A2AR stimulation inhibits a large spectrum of  
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inflammatory activities including the proliferation, cytokine production and cytotoxicity  
of T cells. [43, 44] The restoration of T cell proliferation and activity could be an  
important endpoint in HCC and PDAC as these entities have been consistently  
reported as characterized by an impaired T cell infiltrate, via increased TGF-beta  
levels and switching from Th1 to Th2-type cytokine secretion. [45, 46] Moreover, a  
therapeutic CD73 blockade may prevent its non-enzymatic direct effects on tumor  
cells leading to reduced cell adhesion and interaction with extracellular matrix. [10]  
Thus, CD73 blockade could be particularly helpful in these entities, if eventually  
incorporated in combined immunotherapy strategies. [47]

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Refining the results from a previous study, which suggested increased CD73  
expression in neoplastic vs normal human pancreas using functional proteomic  
analysis and IHC, we observed that CD73 expression increased in parallel with  
morphological tumor grade and that an aberrant pattern was typically observed in  
poorly differentiated discohesive PDAC cells, suggesting that this molecule is also a  
marker of biological aggressiveness. [48] Notably, this was the only significant  
correlation that we observed between CD73 IHC and other clinico-pathological  
variables. One explanation could be found in the tumoral microenvironment of poorly  
differentiated tumors. In these conditions, TC suffer from hypoxic stress and adaptively  
express protective molecules such as HIF-1, which is known to positively regulate  
CD73 expression. [7, 49, 50] As the amount of released adenosine also depends on  
the extent and severity of ischemia/necrosis, we sought to assess if CD73 expression  
was increased at the interface with necrotic areas. However, in our specimens,  
necrosis was focal and the relationship between ischemia/necrosis and CD73  
expression was not evaluable. [51]

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One additional possible explanation of the CD73 protein overexpression could  
be found in EMT. Indeed, increased CD73 levels were detected in cell lines of breast

1 carcinoma undergoing EMT-induced by TGF- $\beta$ . [52, 53] While EMT is a hallmark of a  
2 more aggressive phenotype and is also induced by HIF-1, TGF- $\beta$  is secreted by  
3 tumors and has an immunosuppressive role similar to that of CD73. [54-56].  
4 Interestingly, EMT has been associated with a shift from the apical-basolateral polarity  
5 of epithelial cells towards the anterior-posterior (front-rear) polarity of motile cells, a  
6 feature similar to the switch from basal to aberrant extended CD73 membranous  
7 staining we observed. [57] A potential link between CD73 and EMT-like phenotype  
8 has been recently presented in a mouse model of melanoma showing that, in relapsed  
9 melanomas with a mesenchymal-like phenotype, CD73 transcription was induced  
10 through the cooperation of released pro-inflammatory cytokines and activating *MAPK*  
11 mutations through the c-Jun/AP-1 transcription factor complex. [58] In accordance with  
12 these data, a fraction of CD73 strongly positive isolated tumor cells showed a loss of  
13 E-Cadherin, one of the most frequently investigated putative EMT biomarker in  
14 pancreatic cancer, suggesting that CD73 expression could be, at least partially,  
15 associated with an EMT phenotype.

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Deregulated, aberrant CD73 expression was less frequently observed in tumors derived from bile ducts (intra and extrahepatic), where the main pattern was still apical and the proportion and intensity of CD73+ TC were lower. Accordingly, TCGA network derived data show lower CD73 mRNA expression in these entities than in HCC and PDAC. [29, 30] We also observed that most of ACC (89%) and PanNET/PanNEC (57%) did not express CD73, this feature epitomizing the negative basal pattern of normal pancreatic acinar and endocrine cells. Our data regarding PanNET/PanNEC are in accordance with those from a recent report indicating that >70% of gastrointestinal NETs and 40% of NECs are CD73 negative. [59] As pancreatic neuroendocrine neoplasms are considered a heterogeneous entity, with PanNEC being molecularly more similar to PDAC than to PanNET, CD73 should be

investigated in more cases to better understand if the CD73 expression is different in PanNET vs PanNEC. [60]

Interestingly, a recent pooled meta-analysis has also suggested the prognostic role of CD73 in many tumors, including some gastrointestinal malignancies. [27] In accordance with these results, in our series, an increased CD73 expression -in terms of percentage of positive cells- was also associated with a reduced overall survival, even if with a very limited impact (HR 1.013). Because CD73 was early identified as an immunoregulatory molecule expressed by lymphocytes, we also evaluated CD73 expression in TIMC. In this series, TIMC quantity was generally low, in accordance with the notion that hepatobiliopancreatic tumors are not strongly immunogenic, except in rare morphological variants. [61, 62] The fraction of CD73 positive TIMC was also consistently low, independently of the extent of the inflammatory infiltrate, tumor histotype and pathological variables. This result supports the notion that the neoplastic cells represent the main source of CD73 in these tumors. [7]

In conclusion, CD73 is consistently expressed in the majority of hepatobiliopancreatic malignancies, with histotype-specific pattern of staining. Strongest and aberrant expression in poorly differentiated tumors, and, particularly, in HCC and PDAC, make these lesions most suitable for a targeted treatment.

#### **AUTHORS CONTRIBUTION**

Amedeo Sciarra, Inês Monteiro, Benoit Gilbert, Nermin Halkic, Stefano La Rosa: data collection. Amedeo Sciarra, Inês Monteiro, Christine Sempoux, and Laurence de Leval: data analysis. Amedeo Sciarra, Inês Monteiro, Christine Ménétrier-Caux, Christophe Caux, Stefano La Rosa, Pedro Romero, Christine Sempoux, and Laurence de Leval: drafting. Christine Ménétrier-Caux, Christophe Caux, Christine Sempoux, and Laurence de Leval : study design.

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## COMPLIANCE WITH ETHICAL STANDARDS

**Conflict of interest:** the authors declare that they have no conflicts of interest.

**Ethical approval and ethical standards:** the study protocol was approved by the Vaud cantonal ethics commission on human research (protocol 17/15). All samples were used in accordance with the Declaration of Helsinki.

**Informed consent:**

Patients' written informed consent was obtained for recent cases (2014-2018). In older cases, the presence of an explicit refusal for the specimen use for research purposes represented an exclusion criterion.

## FIGURES LEGEND

### FIGURE 1. CD73 in hepatocellular carcinoma.

A, Hepatocellular carcinoma showing strong canalicular and moderate membranous and cytoplasmic staining. B, Hepatocellular carcinoma comprising areas showing heterogeneous CD73 expression ranging from cytoplasmic, canalicular and membranous expression (lower left to upper right). C, Hepatocellular carcinoma mostly negative for CD73 with a cluster of CD73-positive tumor cells. D, Periphery of a hepatocellular carcinoma showing strongly positive tumor cells at the interface with peritumoral fibrosis (asterisk).

### FIGURE 2. CD73 in intrahepatic cholangiocellular carcinoma, BillIN and extrahepatic bile duct carcinoma.

A, Cholangiocellular carcinoma showing positive neoplastic glands (arrows), showing a mild apical staining admixed with CD73 negative glands. B, Cholangiocellular carcinoma showing moderate intensity homogeneous membranous staining. C, Cholangiocellular carcinoma showing diffuse CD73 staining ranging from mild to strong in intensity. D, BillIN showing very focal apical staining in dysplastic cells (arrows). E, Bile duct carcinoma showing mild to moderate apical staining. F, Bile duct carcinoma comprising an area with strong, membranous and cytoplasmic CD73 staining.



**FIGURE 3. CD73 in PanIN, primary and metastatic pancreatic adenocarcinoma.**

1 A, PanIN 2 and 3 showing mild apical staining in dysplastic cells. B, G1-G2 pancreatic  
2 adenocarcinoma showing mild to moderate apical staining in neoplastic glands. C, G1-  
3 G2 primary pancreatic adenocarcinoma showing diffuse apical positivity and focally  
4 extended membranous and cytoplasmic strong staining (arrows). D, G3 primary  
5 pancreatic adenocarcinoma showing membranous and cytoplasmic strong staining in  
6 neoplastic cells. E, G3 primary pancreatic adenocarcinoma discohesive tumoral cells  
7 showing strong membranous and cytoplasmic staining. F, Primary pancreatic  
8 adenocarcinoma showing admixed discohesive tumoral cells with strong cytoplasmic  
9 staining and neoplastic glands with mostly apical moderate staining. G, G2 pancreatic  
10 adenocarcinoma nodal metastasis with apical mild staining (asterisk). The adjacent  
11 lymphoid follicles show the expected staining of germinal centre (dendritic pattern) and  
12 of mantle lymphocytes. (arrows). H, G3 pancreatic adenocarcinoma nodal metastasis  
13 with moderate to strong membranous and cytoplasmic staining. Please note the  
14 positive internal control staining of nodal sinuses (arrow). I, G3 pancreatic  
15 adenocarcinoma nodal metastasis with discohesive tumoral cells with strong  
16 membranous and moderate cytoplasmic staining.

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## TABLES

Table 1. CD73 expression in hepatobiliopancreatic neoplastic lesions.

Lesion	Staining pattern In normal counterpart	Staining Pattern in lesion	CD73+ cases	CD73+ TC%	CD73 intensity	CD73+ intensity=3
			<i>N / tot (%)</i>	<i>Median (range)</i>	<i>Median (range)</i>	<i>N / tot (%)</i>
<i>Liver</i>						
<b>HCC</b>	Canalicular (hepatocyte)	Canalicular/membranous/ cytoplasmic	24/24 (100)	80 (10-95)	2 (1-3)	15/24 (63)
<b>ICC</b>	Apical (cholangiocyte)	Apical/ focally membranous or cytoplasmic	20/24 (83)	45 (5-95)	1.5 (1-2)	9/24 (38)
<i>Extrahepatic Bile duct</i>						
<b>BiIIN</b>	Apical (cholangiocyte)	Apical	5/9 (56)	5 (5-30)	1 (1)	0
<b>Carcinoma</b>	Apical (cholangiocyte)	Apical/ focally membranous or cytoplasmic	25/25 (100)	40 (10-95)	1.5 (1-2.5)	8/25 (32)
<i>Pancreas</i>						
<b>PDAC</b>	Apical (pancreatic duct cell)	Apical/membranous/cytoplasmic	42/42 (100)	80 (5-95)	2 (1-3)	26/42 (62)
<b>MCA</b>	Apical (pancreatic duct cell)	Apical	1/5 (20)	80 (80)	1.5 (1.5)	0
<b>IPMN</b>	Apical (pancreatic duct cell)	Apical	10/13 (77)	30 (5-90)	1 (0.5-1)	0
<b>PanNET/PanNEC</b>	Negative (endocrine islets cell)	Membranous/cytoplasmic	8/23 (35)	27.5 (10-95)	1.75 (1-2)	1/23 (4)
<b>ACC</b>	Negative (acinic cell)	Membranous/cytoplasmic	2/19 (10)	7.5 (5-10)	2.25 (2-2.5)	1/19 (5)

Legend: ACC: acinar cell carcinoma; BiIIN: biliary intraductal neoplasia; ICC: cholangiocellular carcinoma; HCC: hepatocellular carcinoma; IPMN: intraductal papillary mucinous neoplasm; MCA: mucinous cystadenoma; NA: not applicable; PDAC: pancreatic ductal adenocarcinoma; PanNET/PanNEC: pancreatic neuroendocrine tumor/ pancreatic neuroendocrine carcinoma; TC: tumor cells.

**Table 2. CD73 expression in PanIN, G1-G2 and G3 primary and metastatic PDAC areas.**

<b>Tumor area</b>	<b>CD73+</b>	<b>CD73+ TC%</b>	<b>CD73 intensity</b>	<b>CD73+ TC% intensity=3</b>
	<i>N / tot (%)</i>	<i>Median (range)</i>	<i>Median (range)</i>	<i>N / tot (%)</i>
<b>PanIN</b>	12/14 (86)	30 (10-95)	1 (1-1.5)	0
<b>Primary G1-G2</b>	37/37 (100)	70 (5-95)	1 (1-2)	15/37 (41)
<b>Primary G3</b>	25/25 (100)	95 (75-95)	3 (1.5-3)	25/25 (100)
<b>Metastasis G1-G2</b>	8/8 (100)	17 (10-90)	1 (1-1.5)	0
<b>Metastasis G3</b>	4/4 (100)	95 (90-95)	2.3 (2-2.5)	4/4 (100)

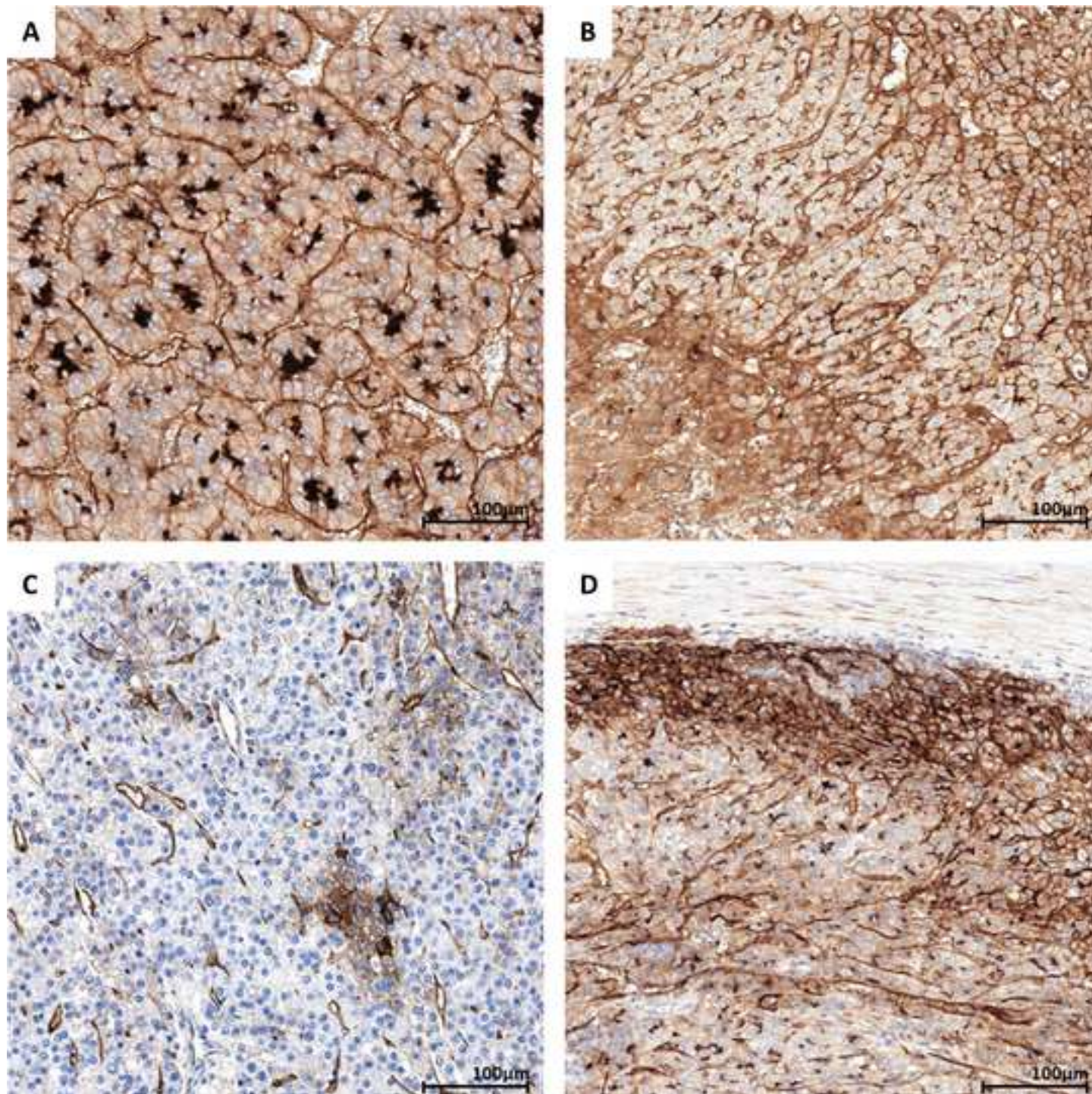
Legend: PanIN: pancreatic intraepithelial neoplasia; PDAC: pancreatic ductal adenocarcinoma; TC: tumor cells.

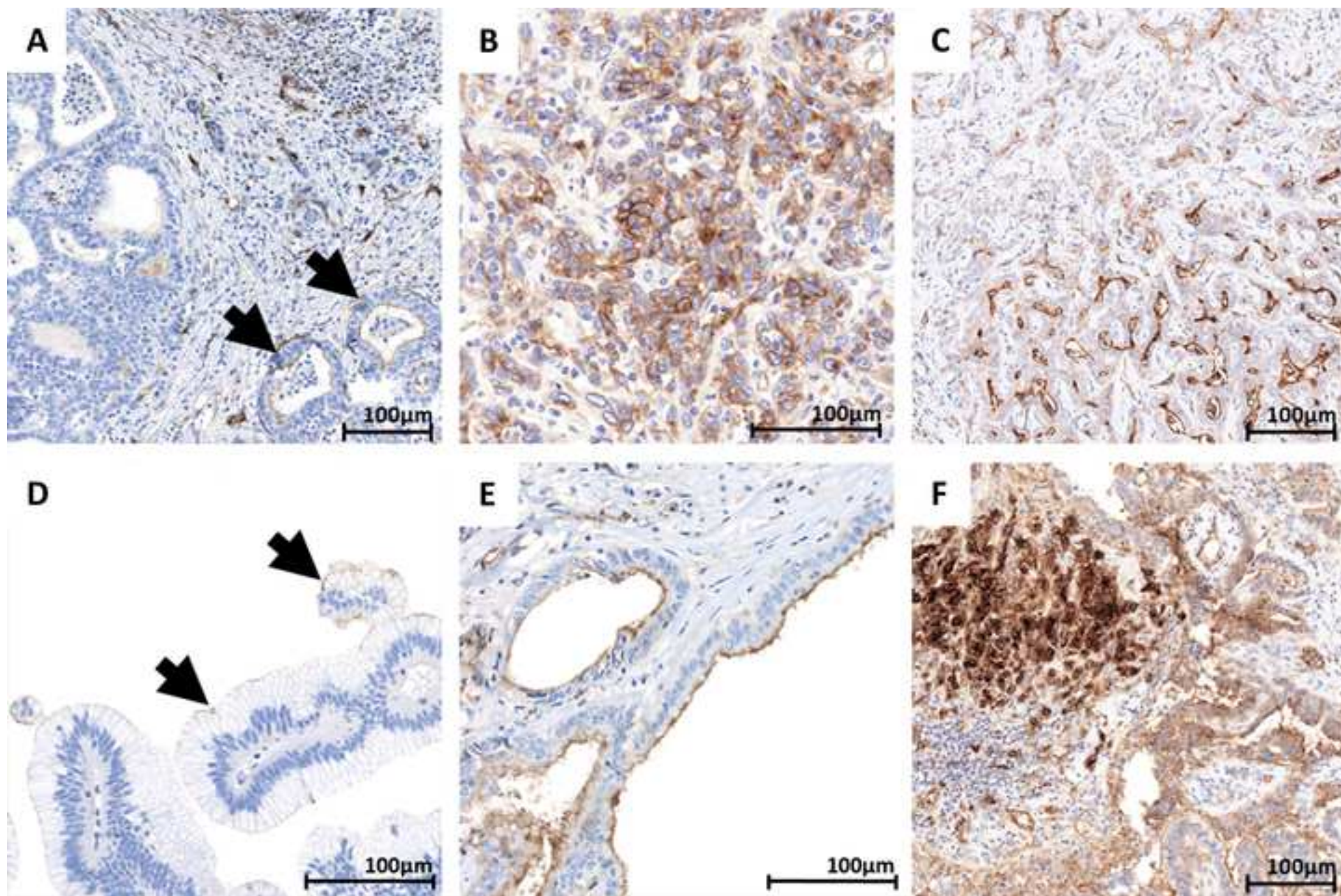
**Table 3. CD73 expression in TIMC.**

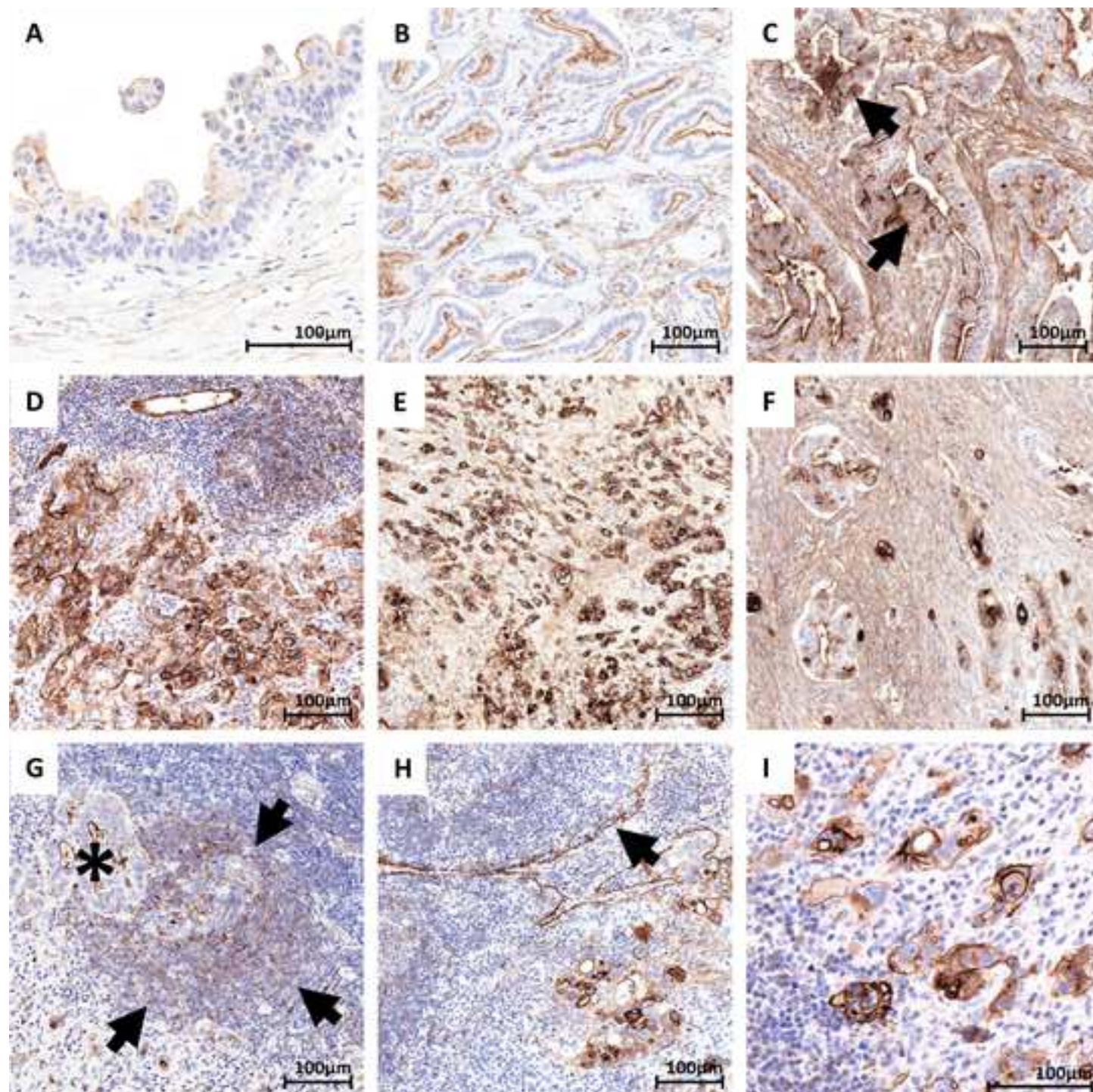
<b>Lesion</b>	<b>TIMC quantity</b>	<b>CD73+ TIMC %</b>
	<i>(1/2/3)</i>	<i>Median (range)</i>
<i>Liver</i>		
HCC	16/4/4	1 (1-20)
ICC	17/7/0	2 (1-10)
<i>Extrahepatic Bile duct</i>		
Bile duct carcinoma	21/2/0	5 (1-10)
<i>Pancreas</i>		
PDAC	19/20/3	3 (1-20)
PanNET/PanNEC	22/1/0	1 (1-5)
ACC	19/19	1 (1)

Legend: ACC: acinar cell carcinoma; ICC: cholangiocellular carcinoma; HCC: hepatocellular carcinoma; PDAC: pancreatic ductal adenocarcinoma; PanNET/PanNEC: pancreatic neuroendocrine tumor/pancreatic neuroendocrine carcinoma; TIMC: tumor infiltrating mononuclear cells.









## SUPPLEMENTARY MATERIAL

**Supp. Table 1. Clinico-pathological features.**

Lesion	Gender	Age	pT1-T2	pN+	pM+	G1-G2	MVI+
	<i>M:F (ratio)</i>	<i>median (range)</i>	<i>N (%)</i>	<i>N (%)</i>	<i>N (%)</i>	<i>N (%)</i>	<i>N (%)</i>
HCC	17:7 (2.4)	63.5 (21-79)	19 (79)	1 (4)	2 (8)	17 (71)	11 (46)
ICC	14:10 (1.4)	69 (50-83)	13 (54)	8 (33)	2 (8)	17 (71)	16 (67)
Bile duct carcinoma	14:11 (1.3)	67 (42-80)	12 (48)	17 (68)	1 (4)	15 (60)	19 (76)
PDAC	27:15 (1.8)	68 (43-85)	29 (69)	36 (86)	6 (14)	20 (48)	32 (76)
Pancreatic MCA	0:5	60 (42-65)	NA	NA	NA	NA	NA
Pancreatic IPMN	7:5 (1.4)	71 (41-78)	NA	NA	NA	NA	NA
PanNET/PanNEC	13:10 (1.3)	52 (34-82)	12 (52)	9 (39)	2 (9)	19 (83)	13 (56)
Pancreatic ACC	16:3 (5.3)	67 (49-84)	10 (53)	5 (26)	8 (42)	NA	8 (42)

Legend: ACC: acinar cell carcinoma; HCC: hepatocellular carcinoma; ICC: cholangiocellular carcinoma; IPMN: intraductal papillary mucinous neoplasm; MCA: mucinous cystadenoma; MVI: microvascular invasion; NA: not assessable; PanIN: pancreatic intraductal neoplasia; PDAC: pancreatic ductal adenocarcinoma; PanNET/PanNEC: pancreatic neuroendocrine tumor/ pancreatic neuroendocrine carcinoma.

Supp. Table 2. CD73 expression in PDAC cases, according to PanIN, G1-G2 and G3 areas, and in paired metastatic deposits.

Case	Tumor Grade	PanIN CD73+ TC%	PanIN CD73 intensity	G1-G2 CD73+ TC%	G1-G2 CD73 intensity	G3 CD73+ TC%	G3 CD73 intensity	Metastasis grade	Metastasis CD73+ TC%	Metastasis CD73 intensity
1	G2-G3	30	1	30	1.5	90	2	G1-G2	90	1
2	G2-G3	NA	NA	80	1.5	95	3			
3	G2-G3	NA	1.5	80	2	90	2.5			
4	G2-G3	NA	NA	50	1	90	2.5	G1-G2	15	1
5	G2-G3	10	NA	30	1	90	2.5			
6	G2-G3	30	NA	85	2	90	3	G3	95	2.5
7	G2-G3	NA	NA	80	2	90	3			
8	G2-G3	90	NA	70	1.5	95	1.5			
9	G2-G3	NA	NA	70	1.5	80	2.5			
10	G2-G3	80	1	40	1	80	2	G1-G2	70	1
11	G2-G3	0	1.5	80	1.5	90	2.5	G1-G2	70	1.5
12	G2-G3	80	NA	80	1.5	90	2.5	G3	95	2
13	G2-G3	NA	NA	60	1	70	2.5	G3	95	2.5
14	G2-G3	NA	NA	80	1	95	3			
15	G2-G3	95	1	90	1.5	95	2			
16	G2-G3	NA	NA	95	2.5	90	3			
17	G2-G3	NA	NA	5	1	70	1.5			
18	G2-G3	30	1.5	40	1.5	40	1.5			
19	G2-G3	NA	NA	70	1.5	70	3			
20	G2-G3	NA	NA	30	1	40	1.5			
21	G1-G2	NA	NA	85	1.5	NA	NA	G1-G2	10	1

22	G1-G2	NA	NA	70	1	NA	NA	G1-G2	15	1
23	G1-G2	NA	NA	80	1	NA	NA			
24	G1-G2	NA	NA	0	0	NA	NA			
25	G1-G2	30	0	65	1	NA	NA			
26	G1-G2	15	1	80	1.5	NA	NA			
27	G1-G2	NA	1	90	1.5	NA	NA			
28	G1-G2	NA	NA	70	1	NA	NA			
29	G1-G2	NA	1.5	50	1	NA	NA	G1-G2	15	1
30	G1-G2	NA	1	60	1	NA	NA			
31	G1-G2	NA	NA	80	2	NA	NA			
32	G1-G2	90	NA	80	1.5	NA	NA			
33	G1-G2	NA	NA	60	1	NA	NA	G1-G2	20	1
34	G1-G2	NA	NA	80	1.5	NA	NA	G3	90	2
35	G1-G2	30	1	30	1.5	NA	NA			
36	G1-G2	NA	NA	60	2	NA	NA			
37	G1-G2	NA	NA	NA	NA	90	2.5			
38	pure G3	NA	1	NA	NA	70	2			
39	pure G3	0	NA	NA	NA	95	3			
40	pure G3	NA	0	NA	NA	95	3			
41	pure G3	NA	NA	NA	NA	95	3			
42	pure G3	NA	NA	NA	NA	95	3			

**Supp. Table 3. Cox univariate analysis for hepatobiliary malignancies.**

<b>Variable (category)</b>	<b>HR</b>	<b>IC 95%</b>	<b>p-value</b>
Age	1.014	(0.985 - 1.044)	0.352
Gender (Male)	0.720	(0.351 - 1.477)	0.370
Pathological T stage (pT3-pT4)	2.242	(1.165 - 4.315)	<b>0.016</b>
Pathological Nodal status (positive)	4.283	(2.047 - 8.962)	<b>&lt; 0.001</b>
Pathological Metastatic disease	0.955	(0.334 - 2.733)	0.932
Microvascular invasion	2.760	(1.290 - 5.904)	<b>0.009</b>
Tumor grade (G2-G3) *	2.463	(1.213 - 5.001)	<b>0.013</b>
CD73+TC%	1.010	(1.001 - 1.020)	<b>0.032</b>
CD73 intensity	1.489	(0.979 - 2.263)	<b>0.063</b>
CD73+TC% intensity=3	1.026	(1.008 - 1.045)	<b>0.006</b>

\* ACC were not included for the analysis of this parameter.

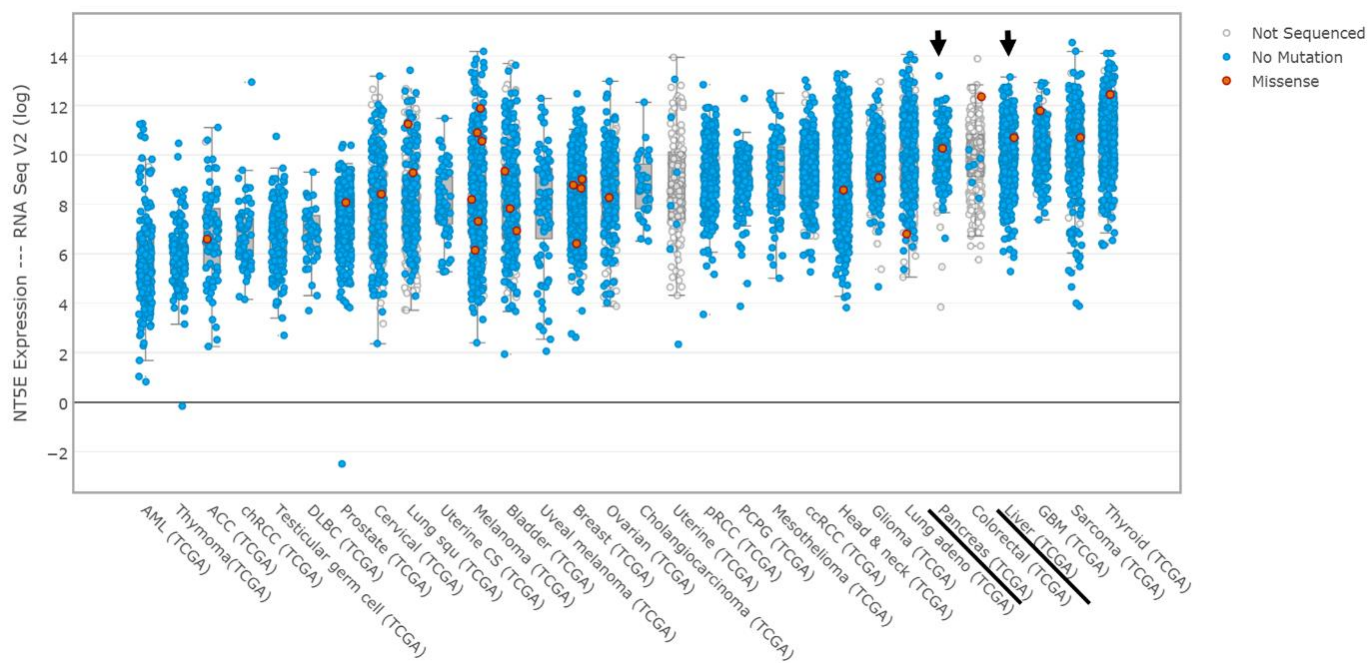
**Supp. Table 4. Cox univariate analysis for HCC, ICC, Bile duct carcinoma, PDAC, and ACC.**

Variable	HCC			ICC			Bile duct carcinoma			PDAC			ACC		
	HR	95,0% IC	p-value	HR	95,0% IC	p-value	HR	95,0% IC	p-value	HR	95,0% IC	p-value	HR	95,0% IC	p-value
Age	1.00	(0.9-1.1)	0.95	1.16	(1-1.4)	0.14	0.96	(0.9-1)	0.18	1.02	(1-1.1)	0.46	1.07	(0.9-1.2)	0.31
Gender (Male)	NA			0.68	(0.1-8.7)	0.776	1.11	(0.3-4.7)	0.89	2.58	(0.6-11.8)	0.22	0.65	(0.7-6.3)	0.65
Pathological T stage (pT3-pT4)	2.47	(0.4-15.3)	<b>0.33</b>	NA			0.34	(0.1-2)	0.24	0.79	(0.2-2.6)	0.7	0.16	(0-1.5)	0.11
Pathological Nodal status (positive)	NA			11.9	(1.2-117.7)	<b>0.03</b>	2.7	(0.5-14.4)	0.25	1.41	(0.2-11.1)	0.74	2.17	(0.3-15.4)	0.44
Pathological Metastatic disease	NA			NA			NA			4.27	(0.7-25.6)	0.11	0.91	(0.1-6.5)	0.93
Microvascular invasion	1.92	(0.2-22.1)	0.6	1.79	(0.2-17.3)	0.62	1.64	(0.3-9.4)	0.58	29.59	(0.1-10671.9)	0.26	3.46	(0.6-21.7)	0.19
Tumor grade (G2-G3)	4.14	(0.5-37.9)	0.21	0.73	(0.1-7.2)	0.79	1.39	(0.3-7.4)	0.7	1.2	(0.4-3.8)	0.76	NA		
CD73+TC%	0.96	(0.9-1)	<b>0.04</b>	1.01	(1-1)	0.6	1.00	(1-1)	0.79	1.07	(1-1.1)	<b>0.02</b>	1.02	(0.8-1.4)	0.89
CD73 intensity	0.01	(0-0.6)	<b>0.03</b>	1.52	(0.3-9)	0.64	1.86	(0.4-9.4)	0.46	1.41	(0.5-3.8)	0.5	1.3	(0.5-3.5)	0.6
CD73+TC% intensity=3	0.97	(0.9-1.1)	0.67	1.00	(0.8-1.3)	0.99	1.00	(1-1)	0.97	1.02	(1-1)	<b>0.08</b>	0.86	(0.4-1.9)	0.69

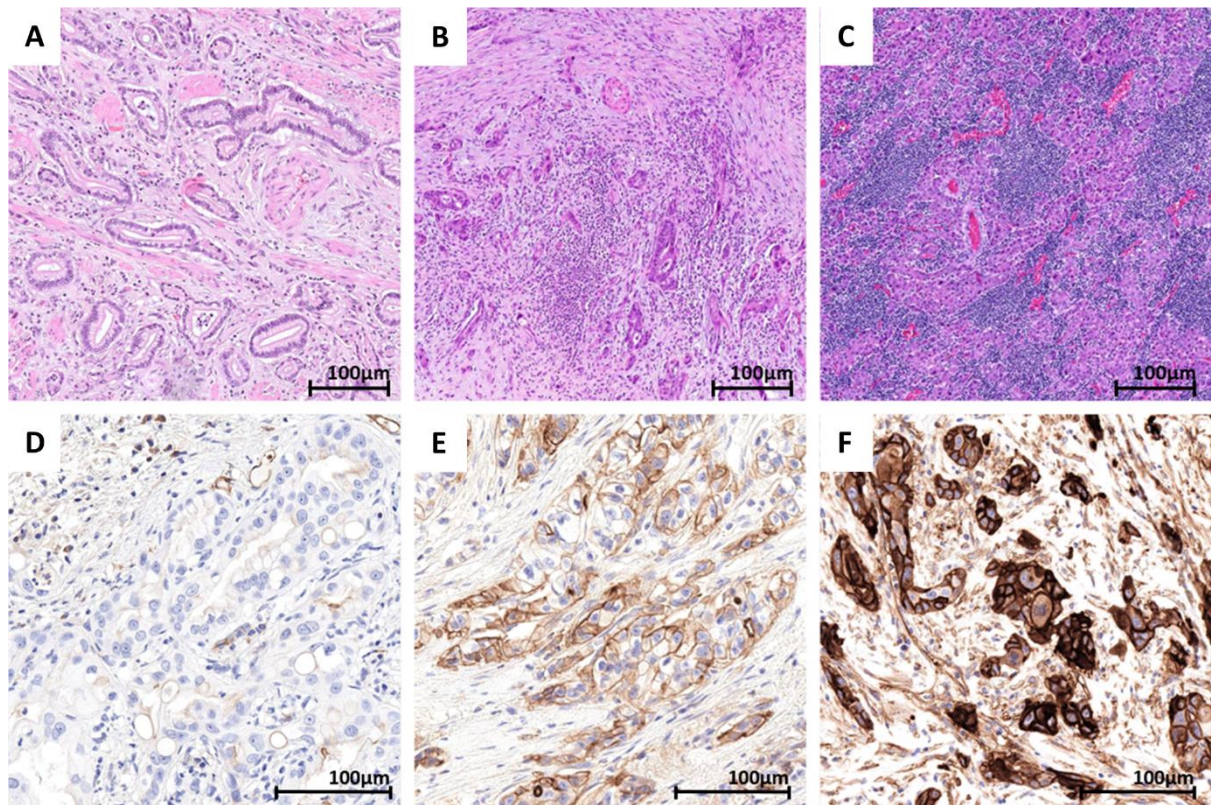
Legend: ACC: acinar cell carcinoma; ICC: cholangiocellular carcinoma; HCC: hepatocellular carcinoma; MVI: microvascular invasion; NA: not applicable (insufficient number of cases or events); PDAC: pancreatic ductal adenocarcinoma; TC: tumor cells.



**Figure S1. CD73 mRNA levels in tumors according to public datasets accessible from cBioPortal.**

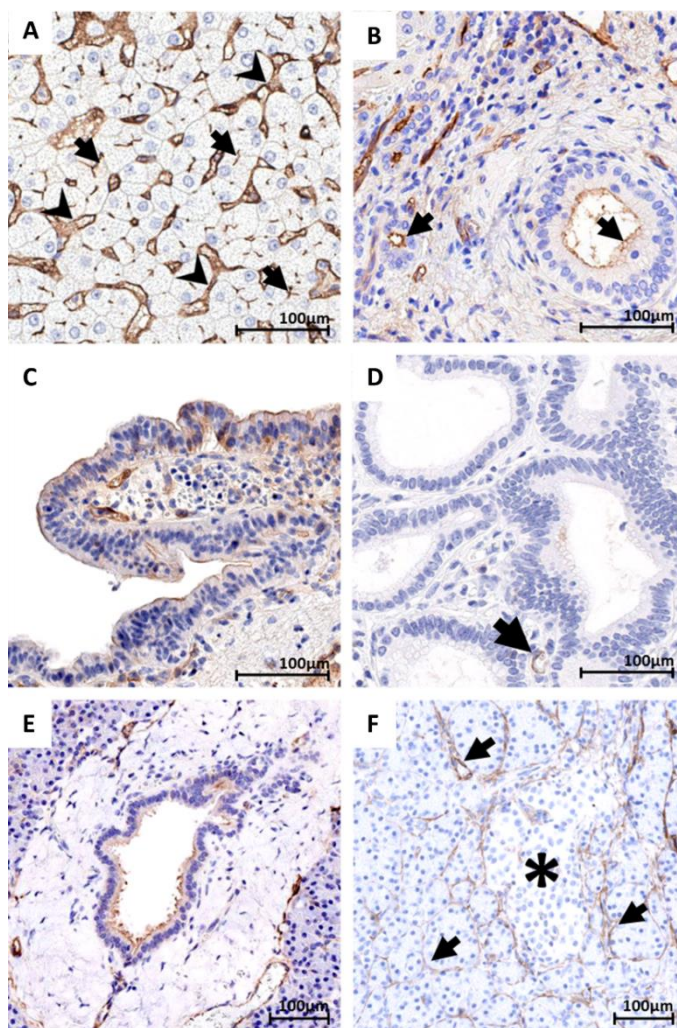


**Figure S2. Visual references for the analysis of specimens.**

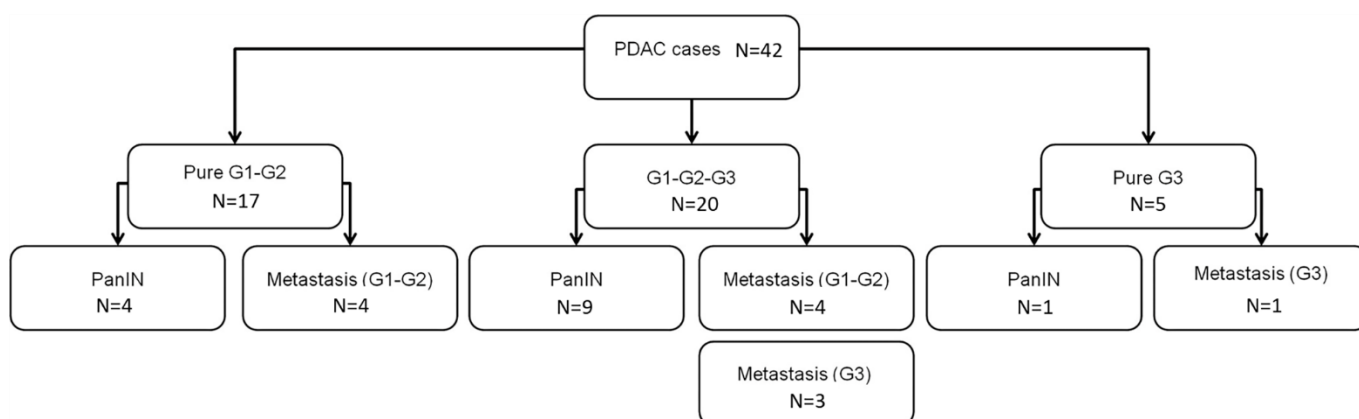


A-C, Quantity of tumor infiltrating mononuclear cells (TIMC) was semiquantitatively scored in a three tiers system: A, scattered (score=1); B, easy to find (score=2); C extension similar to that of tumor cells (score=3). D-F, Intensity of CD73 staining was also evaluated in a three tiers system: D, mild (score=1); E, moderate (score=2) and F, strong (score=3).

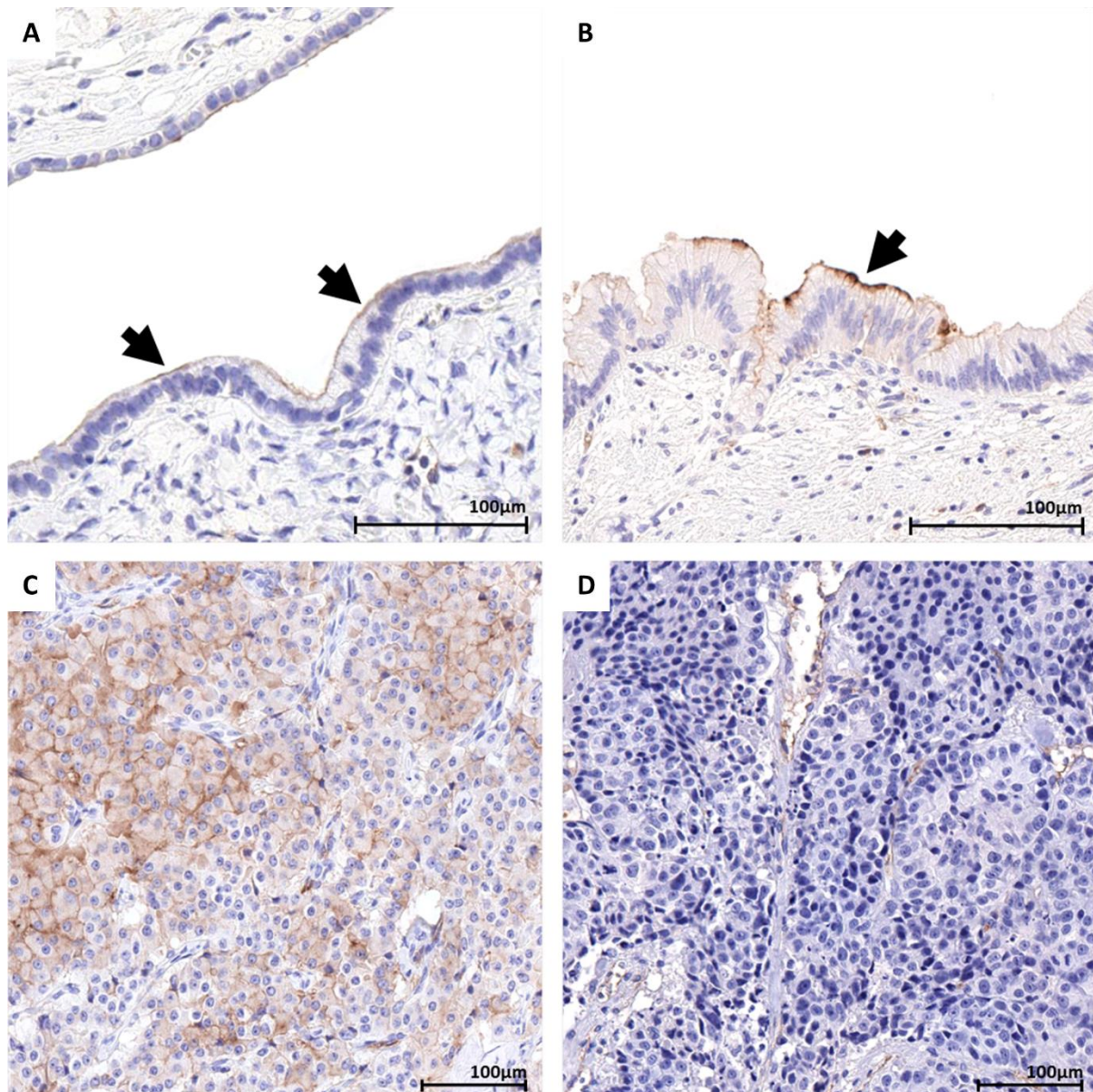
**Fig. S3. CD73 in normal hepatobiliopancreatic tissues.**



A, Normal liver lobule showing moderate canalicular staining of hepatocytes (arrows) and endothelial sinusoidal staining (arrowheads). B, Normal liver portal tract showing mild apical staining in bile ducts epithelium (arrows). C, Normal common bile duct showing mild apical staining in the epithelial lining. D, Normal bile ducts showing no CD73 staining in the presence a positive internal control (endothelial staining in the adjacent vessel, arrow). E, Normal pancreas, showing mild apical staining of a large pancreatic duct (centre) and adjacent endothelial vascular staining. F, Normal pancreas, showing negative staining in pancreatic acini and Langerhans islet (asterisk) in the presence of positive internal controls (endothelial and stromal staining, arrows).

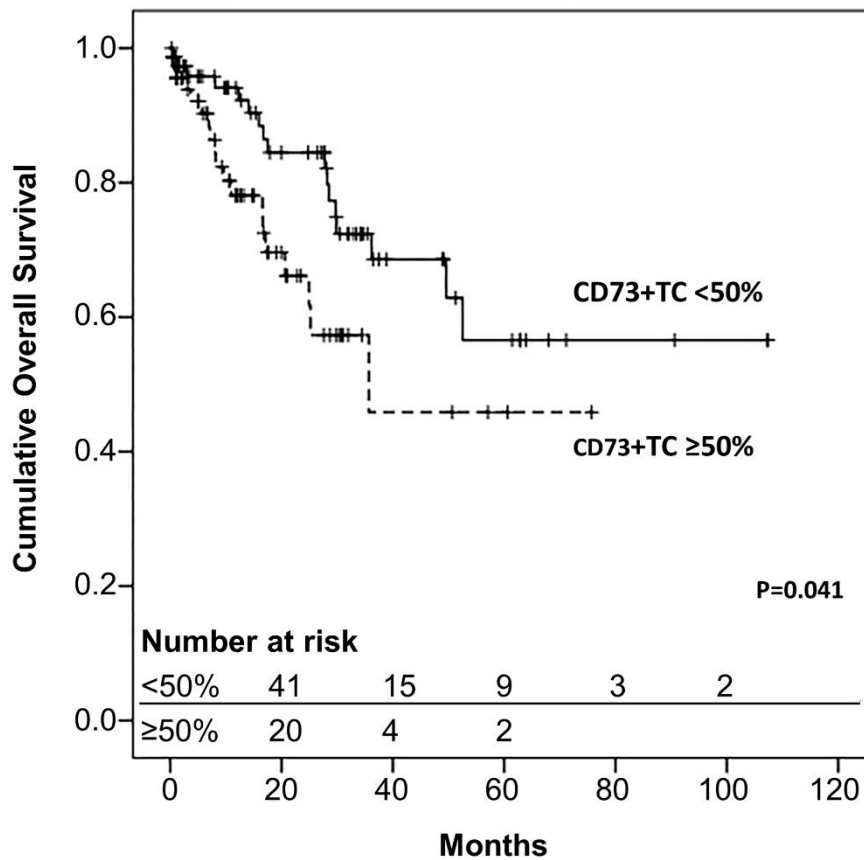
**Figure S4. Diagram of CD73 analysis in PDAC specimens.**

**Figure S5. CD73 in pancreatic mucinous lesions, neuroendocrine tumors and acinar cell carcinoma.**



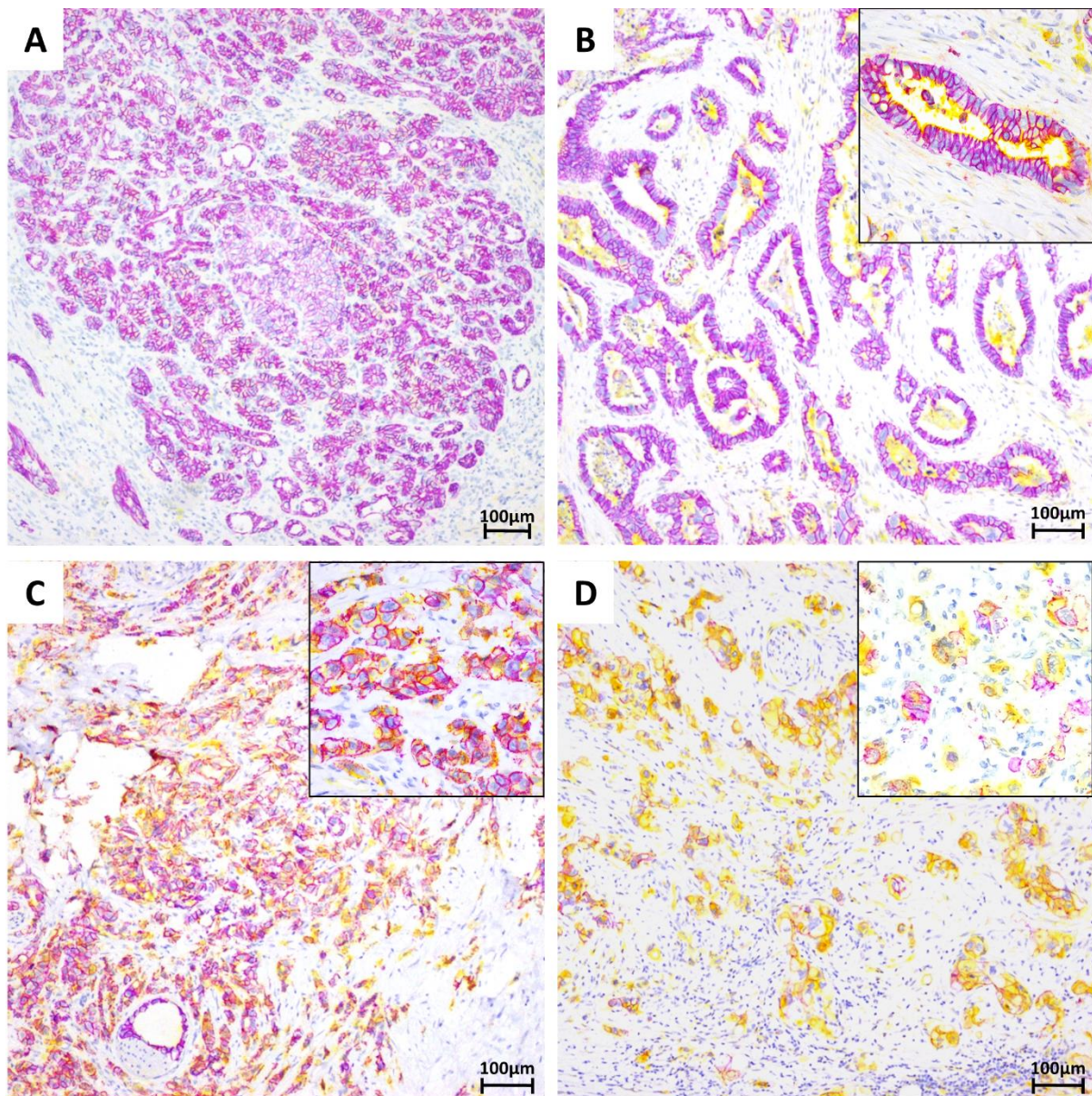
A, Mucinous cystadenoma showing mild (score 1) apical expression in dysplastic cells. B, Intraductal Papillary Mucinous Neoplasia showing focal moderate apical expression in dysplastic cells. C, G2 pancreatic neuroendocrine tumor showing heterogeneous moderate (score 2) membranous and cytoplasmic expression in neoplastic cells. D, Acinar cell carcinoma showing absence of expression in neoplastic cells.

**Figure S6. Cumulative overall survival rates for hepatobiliarypancreatic malignancies, based on CD73 expression (Kaplan-Meier plot).**



In hepatobiliarypancreatic malignancies, the presence of <50% of CD73+ tumor cells (TC) (continuous line) significantly associated with longer overall survival, as compared with cases with ≥50 of CD73+ TC ( $p = 0.041$ , log-rank test).

**Figure S7. E-Cadherin and CD73 expression PDAC.**



A, Non-neoplastic pancreas showing preserved membranous E-Cadherin (purple), without any detectable CD73 staining. B, Moderately differentiated (G2) PDAC showing preserved membranous E-Cadherin and coexistent membranous CD73 staining (yellow). C, Poorly differentiated (G3) PDAC showing a membranous/cytoplasmic CD73 staining associated with a focal loss of E-Cadherin (focal EMT phenotype). D, Poorly differentiated (G3) PDAC showing a membranous/cytoplasmic CD73 staining associated with a diffuse loss of E-Cadherin (extensive EMT phenotype).