

1 **No renal dysfunction or salt and water retention in acute mountain sickness at**  
2 **4,559 m among young resting males after passive ascent**

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25  
26 Running Head: Renal function and fluid balance in acute mountain sickness

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35

36 **Abstract**

37 Purpose: This study examined the role and function of the kidney at high altitude in  
38 relation to fluid balance and the development of acute mountain sickness (AMS),  
39 avoiding confounders that have contributed to conflicting results in previous studies.  
40 Methods: We examined 18 healthy male resting volunteers (18 – 40 years) not  
41 acclimatized to high altitude while on a controlled diet for 24 h at Lausanne (altitude:  
42 560 m) followed by a period of 44 hours after reaching the Regina Margherita hut  
43 (4,559 m) by helicopter. Results: AMS scores peaked after 20 h at 4,559 m. AMS  
44 was defined as functional Lake Louise score  $\geq 2$ . There were no significant  
45 differences between 10 subjects with and 8 subjects without AMS for urinary flow,  
46 fluid balance and weight change. Sodium excretion rate was lower in those with AMS  
47 after 24 h at altitude. Microalbuminuria increased at altitude but not differently  
48 between the groups. Creatinine clearance was not affected by altitude or AMS, while  
49 clearances of sinistrin and para-aminohippuric acid decreased slightly, somewhat  
50 more in those without AMS. Plasma concentrations of epinephrine, norepinephrine,  
51 atrial natriuretic factor and vasopressin increased while renin activity, angiotensin and  
52 aldosterone decreased at altitude. Circulating hormone concentrations did not differ  
53 between those with and without AMS. Conclusions: in healthy resting young men  
54 flown by helicopter to 4,559 m renal function is not affected by hypoxia except for  
55 minor microalbuminuria, high altitude diuresis does not occur, and AMS is not  
56 associated with salt and water retention or renal dysfunction.

57 (248 words)

58

59 **Keywords: acute mountain sickness, renal function, fluid balance, hypoxia,**  
60 **high altitude**

61 **New & Noteworthy**

62 Kidney function remained essentially unaffected and acute mountain sickness (AMS)  
63 was not associated with salt and water retention in healthy young men flown to and  
64 resting at the Margherita hut (4,559 m) under strictly controlled conditions maintaining  
65 water, salt and food intake at pre-exposure levels. Thus, renal dysfunction and fluid  
66 retention are not essential factors contributing to the pathophysiology of AMS.

67

68 **Introduction**

69 Ascent to altitudes above 2,500 m may cause acute mountain sickness (AMS) (6)  
70 with headache as the most frequent symptom, often accompanied by nausea and  
71 dizziness. AMS typically occurs with a delay of 8–20 hours after arrival at high  
72 altitude and resolves spontaneously after 1–2 days of rest. The risk of developing  
73 AMS increases with altitude and rate of ascent, in addition to individual susceptibility.  
74 Epidemiological studies predict a prevalence of about 60 % in susceptible, non-  
75 acclimatized mountaineers ascending rapidly to 4,559 m (44). Salt and water  
76 retention and capillary leakage have been suggested to contribute to the  
77 predominantly cerebral pathophysiology of AMS (16, 53), thus ascribing a possible  
78 pathogenic role to some dysfunction affecting the kidneys.

79

80 Studies, however, on the role and function of the kidney at high altitude, particularly  
81 in relation to the development of acute mountain sickness (AMS), have yielded  
82 conflicting results. While high altitude induced diuresis (34, 48) possibly linked to  
83 suppression of aldosterone synthesis (9, 37) and heightened hypoxic peripheral  
84 chemoreceptor activity (49) is considered a normal adaptive response in healthy  
85 individuals, sodium and water retention is often found in subjects developing AMS (4,  
86 14, 15, 28). Some studies support the notion that volume retention would be a cause

87 of AMS (14, 24), whereas other data suggest that retention is rather a consequence  
88 of more severe hypoxemia observed in AMS (7) or even that glomerular filtration rate  
89 is increased in AMS (35). This lack of consensus in the data regarding renal function  
90 at high altitude can most likely be attributed to various uncontrolled confounders such  
91 as variability of fluid and salt intake, effects of exercise, systemic hemodynamics,  
92 endocrine function and differences in the time course of renal excretory changes(50).

93 Our study aimed to differ from previous investigations in that renal function was  
94 studied continuously, at metabolic steady state, under strictly controlled conditions,  
95 with gold standard markers of renal function. The confounding effects of exercise,  
96 temperature, and variations in sodium and fluid intake were eliminated by the use of  
97 a fixed diet in a controlled indoor environment at normal room temperature, and by  
98 investigating the subjects confined to bed rest (except for measurements in standing  
99 position, eating, urination or defecation) one day before and following a rapid passive  
100 ascent to high altitude. The effect of the circadian rhythm of glomerular filtration rate  
101 (GFR) and effective renal plasma flow (ERPF) was taken into account by studying  
102 the subjects at low and high altitude following an identical daily time schedule.

103

104 In this controlled setting, sufficient to cause AMS in roughly 60 % of subjects, we  
105 continuously examined renal function and repeatedly measured plasma  
106 concentrations of hormones known to regulate fluid and electrolyte homeostasis over  
107 44 h after passive ascent to 4,559 m. Results were compared in those with and  
108 without AMS in order to resolve the question whether changes in renal function and  
109 fluid balance, if any, are the cause or the consequence of AMS. The study protocol  
110 was approved the Ethics Committee of the Centre Hospitalier Universitaire Vaudois,  
111 Lausanne, and the study subjects gave written informed consent.

112

113 This study was performed 1996 and its main results were presented at two  
114 congresses and published as abstracts in 1998 (5, 18). Controversial results of later  
115 studies cited above on fluid balance in AMS prompted us to submit a full report of this  
116 study, because negative results obtained in a carefully controlled setting are valuable  
117 in any field of research and help to build up a more complete data base, which may  
118 inform future investigators and limit unnecessary further work along the same lines.

119

## 120 **Material and Methods**

121

### 122 *Run in phase*

123 Healthy male volunteers between 18 and 40 years of age were recruited from  
124 hospital staff and medical students. Four subjects were occasional mountaineers,  
125 none reported particular susceptibility to AMS or HACE. All but 2 subjects were  
126 involved in regular physical activity of at least moderate intensity, on average  $3.4 \text{ h} \pm$   
127  $7.0$  per week. During the 5 preceding days and during the whole investigation, the  
128 volunteers ingested a standardized controlled diet (2,500-2,700 kcal/day i.e.  $\sim 34\text{-}38$   
129  $\text{kcal.kg}^{-1}.\text{day}^{-1}$ ; 150 mmol/day of  $\text{Na}^+$  and 100 mmol/day of  $\text{K}^+$ ). They were instructed  
130 to eat only and completely the food prepared for them, and to drink a standardized  
131 amount of mineral water (2.2 l/day HennieZ<sup>®</sup> mineral water;  $\text{Ca}^{++}$  110 mg/l,  $\text{Mg}^{++}$  18  
132 mg/l,  $\text{Na}^+$  6 mg/l,  $\text{K}^+$  1.2 mg/l,  $\text{HCO}_3^-$  394 mg/l, nitrates 18 mg/l,  $\text{PO}_4^-$  13 mg/l,  $\text{Cl}^-$  10  
133 mg/l). They had to refrain from sport activity, coffee, tea or cola consumption,  
134 smoking cigarettes or ingesting any drugs for 5 days before starting the metabolic

135 preparation until study completion. If necessary, acetaminophen could be  
136 administered under supervision by the investigators

137

138 *Study period 1 (low altitude)*

139 Investigations were performed according to a standard clock time schedule, so as not  
140 to be confounded by the physiological circadian rhythms, well known to affect  
141 metabolism(21). At low altitude (period 1), the subjects came fasting to the hospital at  
142 7:00, were weighed, placed comfortably in a supine position, their vital signs were  
143 measured, indwelling intravenous catheters (Venflon<sup>®</sup>; 18 gauge) were inserted in a  
144 vein of each forearm, one for sinistrin and para-aminohippuric acid (PAH) administra-  
145 tion and the other for blood sampling. Thereafter breakfast was served. Around 10:00  
146 and after a 1-hour bed rest, blood samples for biochemical variables and blank  
147 sinistrin and PAH levels were drawn. A bolus of sinistrin and PAH was infused over 5  
148 minutes and a constant rate infusion started (syringe pump Perfusor<sup>®</sup>, Braun,  
149 Melsungen Germany) and maintained for 24 hours. The contralateral catheter was  
150 kept open by flushing with 0.9% saline after each blood drawing. The amount of 450  
151 ml of blood withdrawn over 3 days was precisely replaced by an equivalent amount of  
152 saline and resulted in an excess replacement of about 11 mmol sodium per day in  
153 addition to 150 mmol sodium intake per day by standardized food. Hematocrit (figure  
154 S1a) shows no progressive effects attributable to repeated blood sampling. AMS  
155 scoring (39) was performed iteratively (see below). The meals (diet) were served as  
156 usual. Twenty-four hours later, the subjects left the hospital continuing the metabolic  
157 diet for at least one day and up to 3 days (according to weather conditions) until  
158 being brought to high altitude (study period 2).

159

160 *Study period 2 (high altitude)*

161 Subjects came to the hospital on day 1 at 6:00 where indwelling intravenous  
162 catheters (Venflon®; 18 gauge) were inserted in a vein of each forearm. They had  
163 the standard study breakfast served and then were transported by train or car to  
164 Sion, where they arrived at the airport around 8:00. They were flown by helicopter to  
165 the Regina Margherita hut (alt. 4,559 m). Immediately after arrival, the subjects  
166 walked about 20 m to the hut and climbed slowly 4 flights of stairs to the study room  
167 where they were placed comfortably on a bed. One hour later (around 10:00), the  
168 investigation proceeded as mentioned above (same schedule as period 1) and  
169 continued according to the same schedule up to 45 h after arrival at high altitude. At  
170 both low and high altitude, the volunteers went walking to the toilets and ate sitting at  
171 a table. The average room temperature was 17+2 (6 AM), 19 + 2 (noon) and 21 + 3  
172 °C (8 PM). Corresponding values at low altitude were 25, 26 and 26 °C respectively.

173

174 *Measurements*

175 During each investigation day, sinistrin and PAH were determined at 0 (pre-dose), 1,  
176 4, 8, 12, 16, 20 and 24 hour; biochemistry variables (urea, creatinine, Na<sup>+</sup>, K<sup>+</sup>, Cl<sup>-</sup>,  
177 PO<sub>4</sub><sup>-</sup>, Ca<sup>++</sup>, uric acid, albumin, total protein, trace lithium, hematocrit (Ht)) were  
178 measured at 0 (pre-dose), 2, 4, 8, 12, 16, 20, 24 h, and additionally at 28, 32, 36,  
179 40, 44 h at high altitude. Blood for hormones (ANF, PRA, Ang II, AVP, Aldo) was  
180 collected at 0 (pre-dose), 4, 8, 16, 20, 32, 44 h into pre-chilled tubes, immediately  
181 centrifuged and quick frozen with liquid nitrogen. The urine collections were made at  
182 the following intervals : -24-0; 0-1; 1-4; 4-8; 8-12; 12-16, 16-20, 20-24 h, and 24-28,  
183 28-32, 32-36, 36-40, 40-44 h at high altitude for measuring sinistrin, PAH, lithium,  
184 aldosterone, catecholamines, creatinine, urea, Na<sup>+</sup>, K<sup>+</sup>, Cl<sup>-</sup>, PO<sub>4</sub><sup>-</sup>, Ca<sup>++</sup>. Blood gases

185 were measured once at low altitude and repeatedly at high altitude (variable  
186 schedule) in capillary blood taken from a hyperemic ear lobe. Body weight was  
187 assessed every 4 hours immediately after bladder emptying. Body temperature,  
188 blood pressure and heart rate were assessed in both the supine position and after 2  
189 minutes standing at 0, 4, 8, 12, 20, 24, 28, 32, 36, 44 h. AMS was assessed at 2, 5,  
190 9, 21, 25, 29, 33, 45 h by the total (questionnaire plus examination) and functional  
191 Lake Louise score(39). Subjects were classified as having AMS when severity of  
192 symptoms would have impaired activity (functional LL score  $\geq 2$ ) at least once.

193 Blood pressure measurements were obtained using a semi-automatic Mio-Star  
194 Fitness sphygmomanometer (IKS # 50'681) (calibrated at 21°C and certified by Zewa  
195 AG, laboratory accredited by the Swiss Calibration Service). Body weight was  
196 measured on a digital medical scale (Soehne Digital S) at low altitude and on a  
197 mechanical roman scale at high altitude.

198

199 Syringe pumps Perfusor® (Braun, Melsungen Germany) were used for perfusing  
200 sinistrin and PAH. All devices were calibrated before their use. Pumps and centrifuge  
201 at high altitude were powered either directly by the hut generator (daytime), or by an  
202 electrical battery (energy valise Oerlikon-Plus) at night.

203

#### 204 *Laboratory methods*

205 The methods used for measuring Angiotensin II (Ang II ; radioimmunoassay using a  
206 monoclonal antibody after solid phase extraction on phenylsilylsilica (29), angiotensin  
207 I (Ang I) (radioimmunoassay) (30), plasma renin activity (PRA ; radioimmunological  
208 microassay based on trapping of generated angiotensin I with selected high affinity



209 antibodies) (31), vasopressin (AVP) (radioimmunoassay) (10), atrial natriuretic  
210 peptide (ANP ; radioimmunoassay after solid phase extraction on phenylsilylsilica  
211 (32), aldosterone (33) and catecholamines (36), all developed in the Laboratory of  
212 Hypertension at CHUV, have been previously published.

213 Lithium (electrothermal atomic absorption spectrophotometry) (25), PAH, N-  
214 methylnicotinamide (HPLC) and sinistrin (high-performance liquid chromatography  
215 (HPLC)) (13, 47), were determined in the laboratory of the Division of Clinical  
216 Pharmacology; osmolality was measured as the freezing point depression with a  
217 Knauer Automatic Osmometer (Berlin, Germany), hematocrit with a dedicated micro-  
218 centrifuge, and urinalysis by dry reagents strips (Multistix Bayer) read on a Clinitek  
219 100 (Bayer) apparatus.

220

221 Measurements of classical hematology and chemistry variables were made by the  
222 Laboratoire d'Hématologie (LCH) and the Laboratoire de Chimie Clinique (LCC) at  
223 CHUV using automatized techniques.

224

#### 225 *Data analysis*

226 GFR and ERPF were determined as the measure of sinistrin ( $CL_{SIN}$ ) and PAH  
227 ( $CL_{PAH}$ ) clearances respectively. Both renal ( $CL_R = U * V/P$ ) and systemic clearances  
228 ( $CL_S = R_{in}/C_{SS}$ ) were calculated (with  $C_{SS}$  representing the steady state  
229 concentration, and  $R_{in}$  the infusion rate). Fractional excretions were calculated as the  
230 clearance of substance x divided by the clearance of sinistrin. The filtration fraction  
231 was calculated as the ratio of sinistrin over PAH clearances (GFR/ERPF). Fractional  
232  $Na^+$  reabsorption in the proximal and the post-proximal tubule was estimated as 1-

233  $FE_{Li}$  and  $((FE_{Li}-FE_{Na})/FE_{Li})$ , respectively. Absolute proximal reabsorption of  $Na^+$  was  
234 estimated as  $(CL_{SIN}-CL_{Li}) * Na_P$  (with  $Na_P$  representing plasma sodium).

235 The following assumptions were made: first, relative, not absolute, changes are  
236 important and the variability of most observed parameters is closer from a log-normal  
237 rather than a normal distribution; second, the subjects are studied at steady state  
238 (regarding metabolic and sodium balance), therefore no drift or carryover effect are  
239 expected; and third, a circadian cycle is present for most variables and time (hour,  
240 not day) has to be taken into account.

241

#### 242 *Statistical analysis*

243 The statistical evaluation of all study variables was performed using univariate  
244 ANOVA for repeated measures, the factors being subject (random effect factor), day  
245 (low vs high altitude), hour (circadian rhythm), and presence or absence of AMS  
246 (fixed effects). We tested the global effect of altitude (day effect period 2 vs period 1),  
247 the circadian cycle (hour), the effect of altitude according to hour (interaction day x  
248 hour), the global AMS effect (AMS), the AMS effect at high altitude (AMS x day) and  
249 the AMS effect at high altitude according to time (AMS x day x hour). The ANOVA  
250 was applied on log transformed data (see assumption 1 above). The presence or  
251 absence of AMS was defined by a criterion score used in previous studies (4, 7).  
252 Under the protection of the overall significance of interactions involving hour, we  
253 carried out post-hoc means comparisons between corresponding times using  
254 Fisher's least significant difference tests. We performed all statistical evaluations  
255 using the general linear module of the Systat software (version 7, SPSS  
256 Corporation), while using the Microsoft Excel and Access software (version 7.0) for  
257 data management.

258

259 No Bonferroni correction- for the significance levels was applied to account for the  
260 number of variables and factors tested, considering the exploratory rather than  
261 confirmatory use of statistical tests performed on the study results. There were few  
262 missing data: none for clinical scores, 1% for vital signs, 3% for clearance and urinary  
263 excretion values (due to subjects' difficulties to void or to missing blood samples), 5%  
264 for hormone determinations and 7% for biochemistry samples (due to difficulties in  
265 blood sampling). The missing values were accounted for during the statistical  
266 analyses by adjustment of the degrees of freedom associated to the factors tested by  
267 ANOVA, a correction automatically implemented in the SYSTAT software.  
268 Considering the essentially exploratory nature of this study, no formal power  
269 calculation was performed, and we simply included the maximum number of subjects  
270 that we could reasonably include considering the constraints of the investigation due  
271 to the assigned time slot and space at high altitude.

272

## 273 **Results**

274

### 275 *Clinical Data*

276 Eighteen male volunteers participated, 8 of whom had no or minimal AMS (AMS-  
277 group) and 10 developed AMS that would have affected their physical performance  
278 (AMS+ group). The Lake Louise scores of both groups are shown in figure 1 and  
279 table 1. There were no significant differences between groups regarding age, height  
280 and body mass index, but absolute body weight was slightly lower in AMS+ group  
281 (table 2).

282

283 Table 3 shows the results of blood gas analysis performed in capillary blood from the  
284 hyperemic arterialized ear lobe. There was a significant effect of altitude on all  
285 parameters, with lower values for oxygen saturation ( $\text{SaO}_2$ ), partial pressure ( $\text{PaO}_2$ ),  
286 carbon dioxide pressure ( $\text{PaCO}_2$ ), and base excess (BE) with an increase in pH. The  
287 AMS+ group had lower blood oxygenation values vs. the AMS- group, in line with a  
288 lesser degree of respiratory alkalosis and higher  $\text{PaCO}_2$ , however not reaching  
289 statistical significance.  $\text{SaO}_2$ ,  $\text{PaO}_2$  increased and  $\text{PaCO}_2$  further decreased over  
290 time at high altitude, indicating ventilatory acclimatization in both groups (41), while  
291 pH remained unchanged and BE decreased over time.

292

293 In the AMS+ group, the mean Lake Louise score after 4 hours (figure 1) nearly  
294 reached 5, a value indicating clinically relevant AMS at this altitude, and it peaked  
295 after 20 h following the first night at high altitude. It recovered somewhat during the  
296 second day and rose again above 5 after the second night. The mean Lake Louise  
297 score of the AMS- group always remained at or below 3. Supine blood pressure  
298 (figure 1) rose equally in both groups by about 10 mmHg at high altitude.

299

300 Due to symptoms of AMS, 4 subjects of the AMS+ group could not comply fully with  
301 the study protocol. Deviations from the protocol are detailed in the online supplement  
302 (table S1). Briefly, during the first 16 h at high altitude, three subjects had a reduced  
303 food intake by 12, 20 and 35 %, one subject had a diminished water intake by 300 ml  
304 and one had a lower water intake by 400 ml and lost 450 ml through vomiting. In two  
305 of these subjects, the deviations increased after 16 h, such that one of them had to

306 be treated with prednisone and nifedipine for severe AMS and possible early high  
307 altitude pulmonary edema after 33 h at 4,559 m. Headache was treated in 3 subjects  
308 with acetaminophen.

309 Fluid balance and weight changes (figure 2) were virtually identical between both  
310 groups. Weight changes mirrored the fluctuations of fluid balance with modest  
311 increases during the day - most prominent on day 2 at high altitude - and return to  
312 baseline overnight.

313

#### 314 *Renal function*

315 Urinary flow rate (figure 3) and cumulative urine volume (figure S1b) were identical  
316 between both groups and not significantly different from values observed at low  
317 altitude. The transient increase of urine flow 1 h after arrival at high altitude in both  
318 groups is noteworthy. Sodium excretion rate (figure 3) differed between groups at 24  
319 – 36 h at high altitude, but the cumulative sodium excretion (figure S2) remained  
320 similar between groups. It was, however, decreased by about 15% at high altitude.

321 Creatinine clearance (figure S3) was neither affected by altitude nor by AMS.

322 Clearance of sinistrin (figure 4), an exogenously administered compound excreted  
323 only by glomerular filtration and thus a better marker of GFR than creatinine,  
324 decreased slightly at high altitude. Renal blood flow as assessed by para-amino  
325 hippurate (PAH) clearance (figure 4) did also slightly decrease at high altitude, and  
326 both interestingly more so in subjects not suffering from AMS. The filtration fraction  
327 remained unchanged except for an initial slight decrease at high altitude (figure S4).  
328 The fractional excretion of lithium, a marker of proximal tubular sodium handling,

329 decreased at high altitude (figure S5). Microalbuminuria increased at high altitude,  
330 but not differently between those with and without AMS (figure S6 and S7).

331

332 *Plasma concentrations of hormones relevant to renal function and fluid balance*

333 Epinephrine and norepinephrine concentrations in plasma (figure 5) and their urinary  
334 excretion rates (data not shown) were not different between groups at both altitudes,  
335 but were overall significantly higher at high altitude. Plasma renin activity (figure 6),  
336 angiotensin I and II (figures S8 and S9), and aldosterone (figure 6) were not different  
337 between groups at both altitudes, but significantly lower at any time point at high  
338 altitude vs. the comparable time of the day at low altitude. Atrial natriuretic factor  
339 (ANF) (figure 7) was not different between groups at both altitudes, but was  
340 significantly higher at any time point at high altitude vs. the comparable time of the  
341 day at low altitude. Vasopressin (figure 7) was not different between groups, but was  
342 overall significantly higher at high altitude.

343

## 344 **Discussion**

345

346 Our study demonstrates that renal function remains mostly unaffected by a 2-day  
347 acute exposure to 4,559 m and independent from AMS occurrence, in controlled  
348 conditions that minimize major confounding factors of previous field studies able to  
349 alter renal function independently of hypoxia. These include avoidance of physical  
350 exercise, provision of an equivalent steady state diet of food, salt and fluid intake at  
351 low altitude and during the altitude exposure, and control of diurnal temperature  
352 variation. Furthermore, we demonstrate that the development of AMS with a typical

353 incidence, time course and hypoxemia for this location is neither preceded nor  
354 followed by fluid retention.

355

356

357 *Renal function and hormonal responses*

358 Standard measurements of renal function (renal blood flow, glomerular clearances  
359 and tubular function) were either unaltered or only minimally affected by hypoxia and  
360 not different at any time between those with and without development of AMS. As  
361 others have shown, we also found an increase in microalbuminuria, which must be a  
362 consequence of hypoxia and not of exercise, since the latter factor was absent in our  
363 study (38, 51). Whether this reflects increased glomerular permeability or a reduction  
364 in tubular reabsorption remains an open question. Proximal tubular function as  
365 assessed by lithium clearance was slightly increased at high altitude. This suggests a  
366 contribution of proximal sodium reabsorption to the modest sodium and water  
367 retention observed at high altitude. However, a higher lithium clearance might have  
368 been expected due to the known reduction of proximal bicarbonate reabsorption in  
369 response to the respiratory alkalosis induced by high altitude hypoxia.

370

371 The increase of catecholamines and decrease of renin, angiotensin I and II, and  
372 aldosterone in plasma are in accordance with the well-established hypoxic  
373 sympathetic stimulation (4, 11, 17) and suppression of the renin-aldosterone system  
374 (4, 9, 34, 37). The unequivocal increase of plasma ANF and vasopressin suggest that  
375 conflicting data of previous investigations can be attributed to confounding factors,  
376 which were eliminated in this study. Furthermore, we cannot confirm earlier reports

377 obtained in less controlled studies indicating higher catecholamines, aldosterone,  
378 vasopressin, and higher ANF in plasma of subjects with AMS compared to those  
379 without AMS (3, 4, 24, 28). This lack of any significant difference of all hormonal data  
380 between the AMS+ and AMS- groups is compatible with the lack of differences of  
381 most renal functional data between these groups.

### 382 *Acute mountain sickness and fluid balance*

383 Defining AMS as a functional Lake Louise score  $\geq 2$  results in a cut-off value for the  
384 “old” Lake Louise score obtained by questionnaire of 5, a value used as reference  
385 standard for indicating clinically relevant AMS in a recent meta-analysis (27). The  
386 time course and incidence of AMS (figure 1) are compatible with data obtained in  
387 other investigations at the same location involving active ascent, unrestricted mobility  
388 at 4,559 m and no tight control of food and fluid intake. An epidemiologic study  
389 calculated, depending on the degree of susceptibility for AMS, a prevalence of 32 –  
390 60 % for mountaineers ascending in 1-3 days to this altitude (44), and various studies  
391 showed similar maximum AMS scores on day 2 at high altitude (4, 7, 19).  
392 Furthermore AMS was associated with more pronounced hypoxemia (table 2), which  
393 is usually found in subjects with AMS at the Margherita hut (7, 19, 20).

394 Based on clinical appearance, blood gas analysis and AMS prevalence, which are all  
395 typical for AMS in active mountaineers at the Margherita hut, we conclude, that our  
396 subjects had the normal incidence and magnitude of AMS despite being physically  
397 inactive during ascent and stay at the Margherita hut. Three lines of evidence support  
398 our notion further: 1) rigorous bed rest vs ambulatory exposure at normobaric  
399 hypoxia simulating ambient  $PO_2$  of and altitude of 4,000 m did not show differences in  
400 AMS scores during the first two days (12). 2) the total AMS scores and the scores of  
401 each symptom of the Lake Louise score and the AMS-C score (8) were almost



402 identical between mountaineers after one night at the Margherita hut and healthy  
403 volunteers after one night in a normobaric chamber with comparable ambient PO<sub>2</sub>  
404 (46). 3) Although there was a small study suggesting that exercise exacerbates AMS  
405 (40), three further studies involving more subjects showed that an intensity typical for  
406 hiking in the mountains has no effect on prevalence and severity of AMS (26, 42, 45)  
407 Fluid balance and body weight changes (figure 2) did not differ between the groups  
408 with and without AMS. Body weight increased similarly in both groups during the day,  
409 more so during the second day at high altitude, and it returned to baseline overnight.  
410 Urine flow (figure 3) was also identical between both groups. Moreover, it did not  
411 increase at high altitude and thus failed to demonstrate “high altitude diuresis”,  
412 except at 1 hour after arrival at 4,559 m in both groups. This short-lived peak is most  
413 probably explained by stress/excitement and temporary cold exposure during the 30  
414 min helicopter flight and short transfer over about 20 m to the hut. The transiently  
415 high plasma epinephrine values (figure 5) are compatible with this hypothesis of  
416 heightened sympathetic activity, leading to a short-lived episode of pressure  
417 natriuresis.

418

419 Sodium excretion rate (figure 3) was slightly lower in the AMS+ group on the second  
420 day at high altitude, while it was identical between groups during the first 20 h, when  
421 AMS developed and became most prominent. The difference on the second day is  
422 probably a consequence of the cumulative effects of four subjects with AMS not  
423 being able to fully maintain their controlled water and food intake, two of whom also  
424 having losses through vomiting (table S1). Cumulative sodium excretion (figure S2)  
425 was identical between groups during the first 20 h at high altitude and tended to be  
426 lower in the AMS+ group only on day 2 at 4,559 m. These data demonstrate, that

427 AMS may reduce fluid and sodium intake and lead to decreased excretion as a  
428 consequence of the gastrointestinal symptoms of AMS – an observation that might  
429 be relevant for explaining fluid retention in AMS in uncontrolled studies. Compared to  
430 the day at low altitude, overall Na<sup>+</sup> excretion was reduced in both groups by about 15  
431 %, which might be explained by hormonal changes with higher catecholamine  
432 concentrations contributing to slightly higher systemic blood pressure (figure 1), while  
433 decreased plasma aldosterone and increased vasopressin tended to override the  
434 effects of slightly increased ANF.

#### 435 *Limitations*

436 Eliminating as many confounders as possible for a well-controlled study on fluid  
437 balance and renal function in AMS has the trade-off of deviating from the normal  
438 setting of mountaineering or trekking particularly with regard to physical activity in  
439 order to isolate the role of one factor. Furthermore, the logistic complexity and the  
440 costs limited the number of subjects and careful monitoring of many parameters  
441 involving repeated blood sampling. As discussed before, there is enough evidence to  
442 conclude that our subjects, despite being inactive, experienced AMS not differently  
443 than active mountaineers at this altitude. Since we could not include women in the  
444 study because of the uncontrollable influences of the menstrual cycle on fluid  
445 balance, our findings apply only to men and particularly to those between 20 and 40  
446 years of age, although AMS susceptibility does not differ appreciably between the  
447 sexes and across adult age groups. Considering the number of variables tested, we  
448 cannot exclude that some significant differences are produced only by chance,  
449 particularly since we did not apply any Bonferroni corrections. Still the number of  
450 significant findings (clearly exceeding 5% of all tests), and the consistent picture they

451 depict leaves little doubt about a reliable pattern of the altitude-induced changes  
452 reported in physiological parameters.

453

454 On the other hand, one should also consider that, due to the relatively low number of  
455 subjects, we cannot exclude a statistical type II error, i.e. that statistically significant  
456 differences would appear, e.g. regarding an effect of altitude or AMS on renal  
457 parameters, if a much larger number of subjects were examined. With larger  
458 numbers, small differences may become significant and one needs to distinguish in  
459 these cases between statistical significance and clinical relevance. It should also be  
460 noted that several less well controlled studies reporting fluid retention in AMS  
461 investigated small groups around 20 subjects as well. Although we replaced the total  
462 volume of 450 ml blood sampled over 3 days with isotonic saline, we cannot exclude  
463 unknown effects through sampling. In any case, this treatment was identical between  
464 groups and should not account for potential differences. We did not see a significant  
465 decrease of hemoglobin concentration during the stay at high altitude to which 300  
466 ml of blood sampling during this time might have contributed. Unfortunately, we have  
467 no data on hemoglobin over the first two days in the Margherita hut from people that  
468 match the activity pattern of our subjects for comparison.

469

#### 470 *Conclusions*

471 Within the limitations noted above, kidney function remained essentially unaffected  
472 during 2 days at 4,559 m in healthy young men adhering to a controlled diet, fixed  
473 salt and water intake and with avoidance of exercise after ascent by helicopter. AMS  
474 occurred in half of them and was not associated with salt and water retention. These

475 results support the concept of a predominantly cerebral origin of AMS (53), which  
476 may involve activation of the trigemino-vascular system (1, 2, 43) by intermittently  
477 increased intracranial pressure (22, 23) with resultant increased permeability of the  
478 blood brain barrier (1) or increased vascular pressure due to augmented cerebral  
479 blood flow in hypoxia and possibly venous outflow limitation (52). In summary, this  
480 study demonstrates that renal dysfunction and fluid retention are not essential factors  
481 contributing to the pathophysiology of AMS. Whether salt and water retention or  
482 losses will respectively aggravate or ameliorate AMS remain to be examined.

483

484

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498

499

500 **Disclosure Statements:**

501 None

502

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682 **Legends to Figures**

683 **Figure 1:**

684 Upper panel: Lake Louise Score, mean values  $\pm$  SD. Overall effects:  $p < 0.0001$  for  
685 hour, day, and day x hour,  $p < 0.05$  for AMS,  $p = 0.001$  for AMS x day and  $p < 0.005$   
686 for AMS x day x hour. Post-hoc comparisons: \*\*\*  $p < 0.001$  vs all values at low  
687 altitude at corresponding times; ++  $p < 0.01$  and +++  $p < 0.001$  between AMS groups  
688 at corresponding times.

689 Lower panel: supine systolic blood pressure (mmHg), mean values  $\pm$  SD. Overall  
690 effects:  $p < 0.01$  for hours,  $p < 0.025$  for day, no significant effects for AMS. Post-hoc  
691 comparisons: \*  $p < 0.5$  vs values at low altitude at all corresponding times.

692 Univariate ANOVA for repeated measures and evaluation of global effects of altitude  
693 (day), circadian cycle (hour) and (AMS) and interactions in 8 subjects without AMS

694 and 10 subjects with AMS. Post-hoc comparisons between corresponding times  
695 using Fisher's least significant difference test when overall significance of interactions  
696 involving hour was present.

697

698 **Figure 2:**

699 Upper panel: fluid balance in ml, mean values  $\pm$  SD. Overall effects:  $p < 0.00001$  for  
700 hour and for hour x day, no significant effects for AMS. Post-hoc comparisons: \*\*  $p <$   
701  $0.01$ , \*\*\*  $p < 0.001$  vs low altitude at corresponding time.

702 Lower panel: weight change in kg, mean values  $\pm$  SD. Overall effects:  $p < 0.00001$   
703 for hours and for hour x day, no significant effects for AMS. Post-hoc comparisons: \*\*  
704  $p < 0.01$ , \*\*\*  $p < 0.001$  vs low altitude at corresponding time.

705 Univariate ANOVA for repeated measures and evaluation of global effects of altitude  
706 (day), circadian cycle (hour) and (AMS) and interactions in 8 subjects without AMS  
707 and 10 subjects with AMS. Post-hoc comparisons between corresponding times  
708 using Fisher's least significant difference test when overall significance of interactions  
709 involving hour was present.

710

711 **Figure 3:**

712 Upper panel: urinary flow rate (ml/h), mean values  $\pm$  SD. Overall effects:  $p < 0.0001$   
713 for hour,  $p < 0.00001$  for day x hours, no significant effects day and AMS nor any  
714 interactions. Post-hoc comparisons: \*  $p < 0.05$ , \*\*\*  $p < 0.001$  vs low altitude at  
715 corresponding time.

716 Lower panel: sodium excretion rate (mmol/h), mean values  $\pm$  SD. Overall effects:  $p <$   
717 0.00001 for hour,  $p <$  0.00001 for hour x day,  $p <$  0.025 for AMS x day x hour. Post-  
718 hoc comparisons: \*  $p <$  0.05, \*\*  $p <$  0.01, \*\*\*  $p <$  0.001 vs low altitude at  
719 corresponding time; +  $p <$  0.05, ++  $p <$  0.01 and +++  $p <$  0.001 between groups at  
720 corresponding time.

721 Univariate ANOVA for repeated measures and evaluation of global effects of altitude  
722 (day), circadian cycle (hour) and (AMS) and interactions in 8 subjects without AMS  
723 and 10 subjects with AMS. Post-hoc comparisons between corresponding times  
724 using Fisher's least significant difference test when overall significance of interactions  
725 involving hour was present.

726

727

728 **Figure 4:**

729 Upper panel: sinistrin clearance per  $m^2$  BSA in ml/min (mean values  $\pm$  SD). Overall  
730 effects:  $p <$  0.0001 for hour, and hour x day,  $p <$  0.005 AMS x day. Post-hoc  
731 comparisons: \*  $p <$  0.05, \*\*  $p <$  0.01, \*\*\*  $p <$  0.001 vs low altitude at corresponding  
732 time.

733 Lower panel: PAH clearance per  $m^2$  BSA in ml/min (mean values  $\pm$  SD). Overall  
734 effects:  $p <$  0.0001 for hour and day x hour,  $p <$  0.005 for day, no significant effects  
735 for AMS. Post-hoc comparisons: \*  $p <$  0.05, \*\*  $p <$  0.01, \*\*\*  $p <$  0.001 vs low altitude at  
736 corresponding time.

737 Univariate ANOVA for repeated measures and evaluation of global effects of altitude  
738 (day), circadian cycle (hour) and (AMS) and interactions in 8 subjects without AMS  
739 and 10 subjects with AMS. Post-hoc comparisons between corresponding times

740 using Fisher's least significant difference test when overall significance of interactions  
741 involving hour was present.

742

743 **Figure 5:**

744 Upper panel: plasma epinephrine in (mean values  $\pm$  SD). Overall effects:  $p < 0.025$   
745 for hour,  $p < 0.0001$  for day, no significant effect of AMS. Post-hoc comparisons: \*\*\*  $p$   
746  $< 0.001$  for values vs low altitude at all corresponding times.

747 Lower panel: plasma norepinephrine (mean values  $\pm$  SD). Overall effects:  $p < 0.01$   
748 for hour,  $p < 0.0001$  for day, no significant effect of AMS. Post-hoc comparisons: \*\*\*  $p$   
749  $< 0.001$  for values vs low altitude at all corresponding times.

750 Univariate ANOVA for repeated measures and evaluation of global effects of altitude  
751 (day), circadian cycle (hour) and (AMS) and interactions in 8 subjects without AMS  
752 and 10 subjects with AMS. Post-hoc comparisons between corresponding times  
753 using Fisher's least significant difference test when overall significance of interactions  
754 involving hour was present.

755

756 **Figure 6:**

757 Upper panel: plasma renin activity (mean values  $\pm$  SD). Overall effects:  $p < 0.001$  for  
758 day,  $p < 0.005$  for hour x day, no significant effect of AMS. Post-hoc comparisons: \*\*\*  
759  $p < 0.001$  for values vs low altitude at all corresponding times.

760 Lower panel: plasma aldosterone (mean values  $\pm$  SD). Overall effects:  $p < 0.0001$  for  
761 hour,  $p < 0.0001$  for day,  $p < 0.0001$  for hour x day, no significant effect of AMS.

762 Post-hoc comparisons: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$  vs low altitude at  
763 corresponding time.

764 Univariate ANOVA for repeated measures and evaluation of global effects of altitude  
765 (day), circadian cycle (hour) and (AMS) and interactions in 8 subjects without AMS  
766 and 10 subjects with AMS. Post-hoc comparisons between corresponding times  
767 using Fisher's least significant difference test when overall significance of interactions  
768 involving hour was present.

769

770 **Figure 7:**

771 Upper panel: plasma atrial natriuretic factor (ANF) (mean values  $\pm$  SD). Overall  
772 effects:  $p < 0.001$  for day,  $p < 0.0001$  for hour x day, no significant effect of AMS.

773 Post-hoc comparisons: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$  vs low altitude at  
774 corresponding time.

775 Lower panel: plasma vasopressin (mean values  $\pm$  SD). Overall effects:  $p < 0.01$  for  
776 hour,  $p < 0.001$  for hour x day, no significant effect of AMS. Post-hoc comparisons:  
777 \*\*\*  $p < 0.001$  for values vs low altitude at all corresponding times.

778 Univariate ANOVA for repeated measures and evaluation of global effects of altitude  
779 (day), circadian cycle (hour) and (AMS) and interactions in 8 subjects without AMS  
780 and 10 subjects with AMS. Post-hoc comparisons between corresponding times  
781 using Fisher's least significant difference test when overall significance of interactions  
782 involving hour was present.

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786 **Links to Supplemental Material**

787 Figure S1a: <https://figshare.com/s/5ed25c088a9b31339198>

788 <https://doi.org/10.6084/m9.figshare.13214807>

789 Figure S1b: <https://figshare.com/s/8e558238e94c634fef79>

790 <https://doi.org/10.6084/m9.figshare.12302723>

791 Figure S2: <https://figshare.com/s/6601951f2ce7751ed516>

792 <https://doi.org/10.6084/m9.figshare.12302813>

793 Figure S3: <https://figshare.com/s/b3ebb232d4dc40726a02>

794 <https://doi.org/10.6084/m9.figshare.12302822>

795 Figure S4: <https://figshare.com/s/89a81373c6136866d9b7>

796 <https://doi.org/10.6084/m9.figshare.12302837>

797 Figure S5: <https://figshare.com/s/67e4d737e381f9d3027f>

798 <https://doi.org/10.6084/m9.figshare.12310001>

799 Figure S6: <https://figshare.com/s/37223939f26564ef45f5>

800 <https://doi.org/10.6084/m9.figshare.12310025>

801 Figure S7: <https://figshare.com/s/6735bd4030a2b258ad88>

802 <https://doi.org/10.6084/m9.figshare.12310043>

803 Figure S8: <https://figshare.com/s/09013c62694d90dbf59c>

804 <https://doi.org/10.6084/m9.figshare.12310091>

805 Figure S9: <https://figshare.com/s/3e66a1a813afcd10c637>

806 <https://doi.org/10.6084/m9.figshare.12310112>

807 Table S1: <https://figshare.com/s/b88271dfbff80930deec>

808 <https://doi.org/10.6084/m9.figshare.12310124>

809

810 Complete Material: <https://figshare.com/s/a875b1d13590afbaf184>

811 <https://doi.org/10.6084/m9.figshare.13214861>

Figure 1

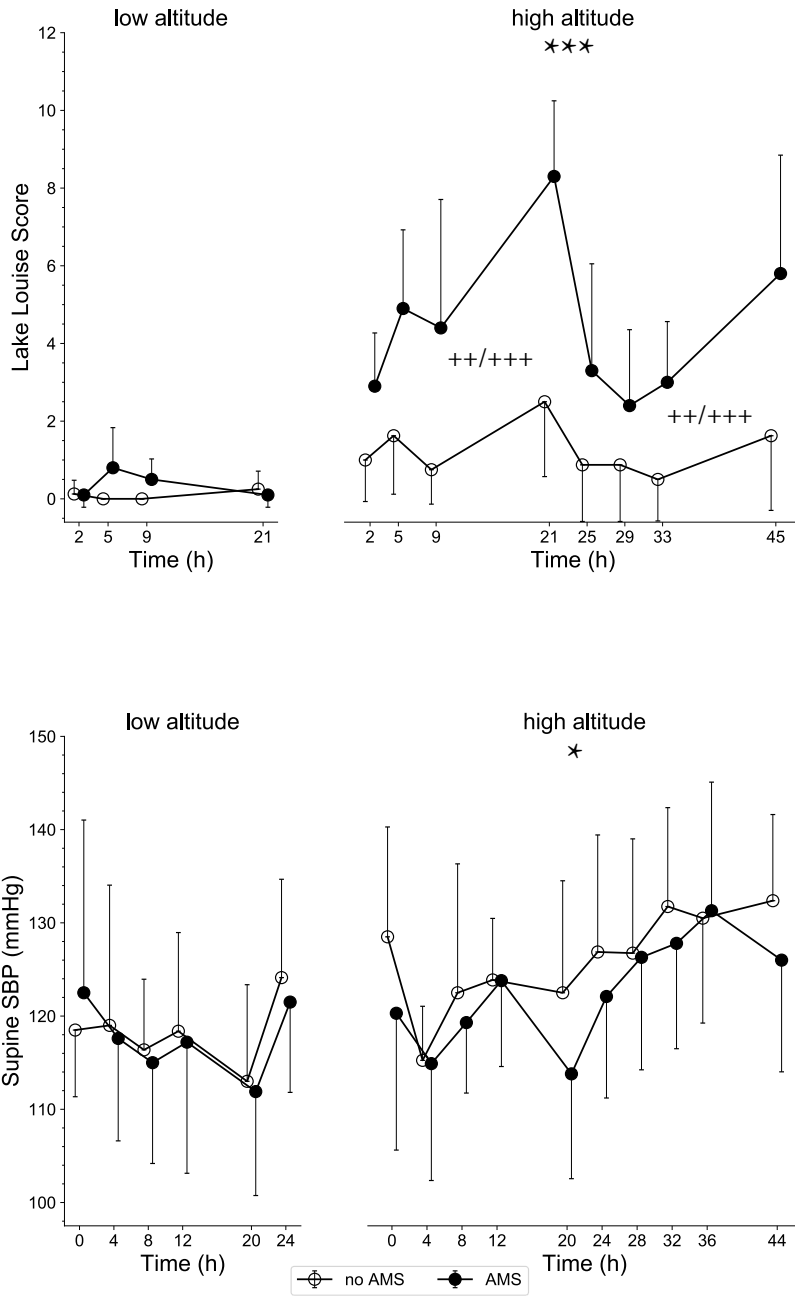


Figure 2

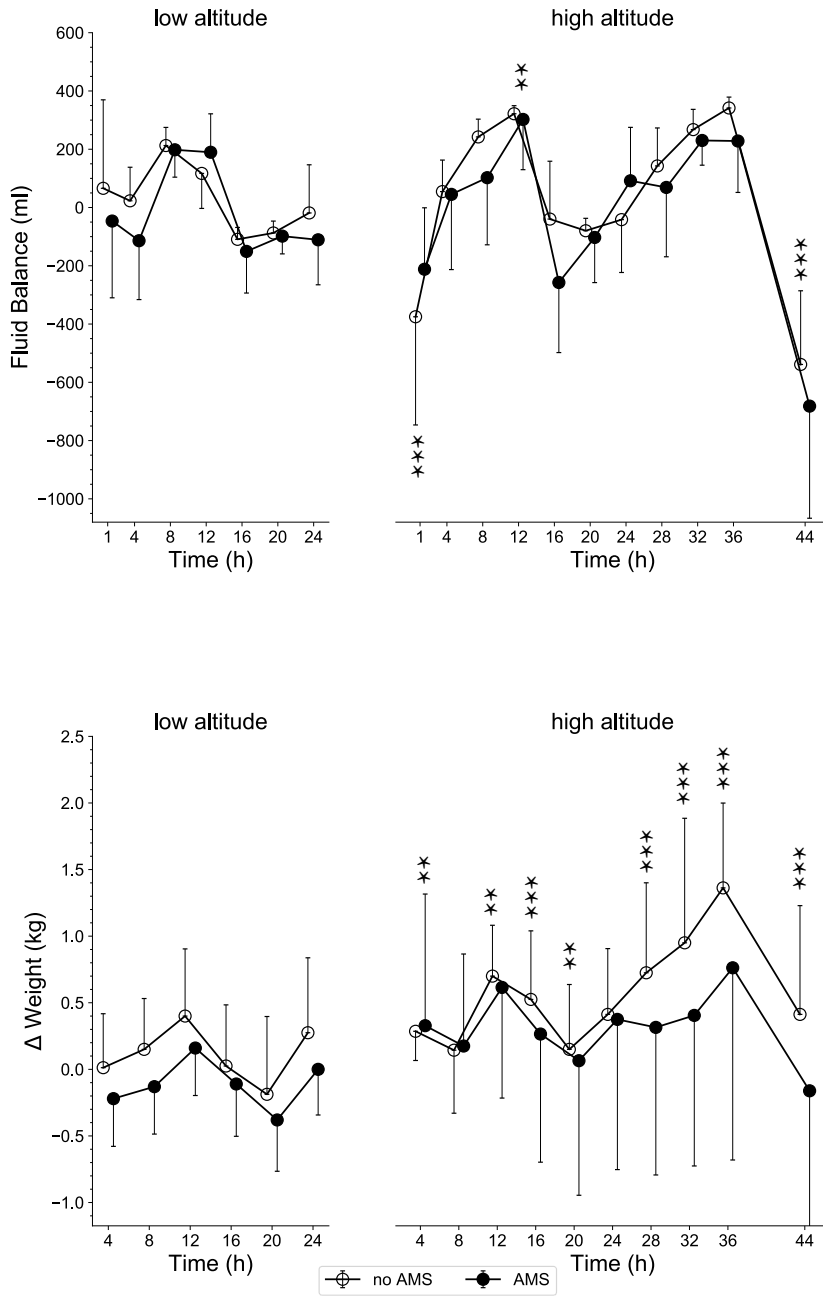


Figure 3

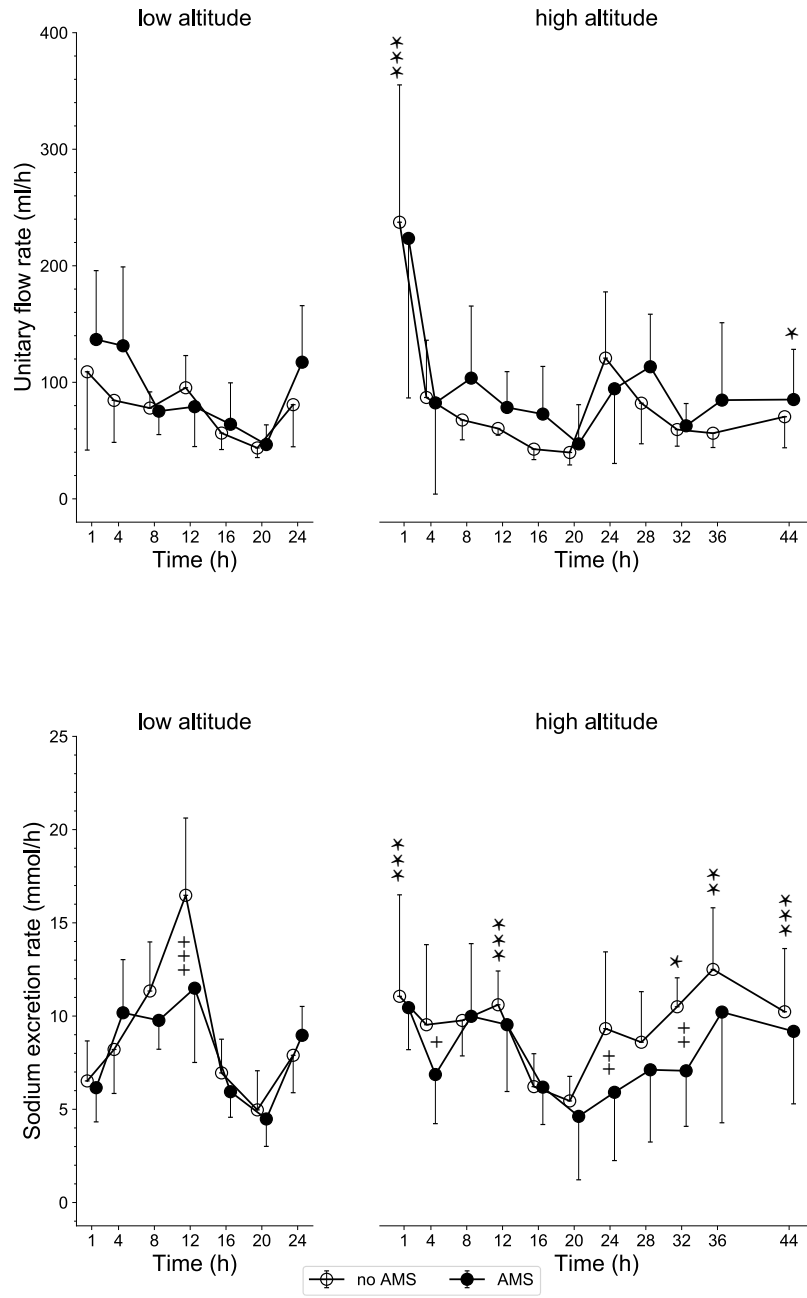


Figure 4

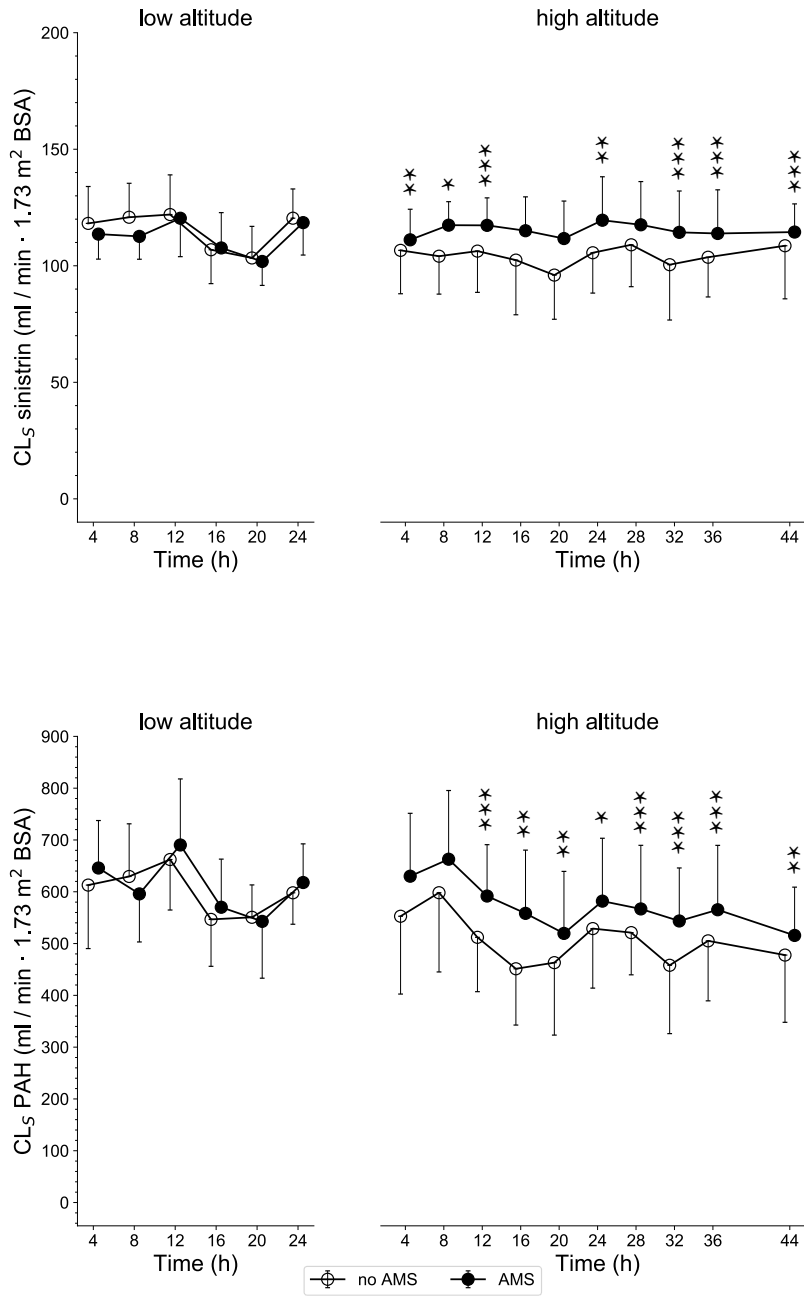


Figure 5

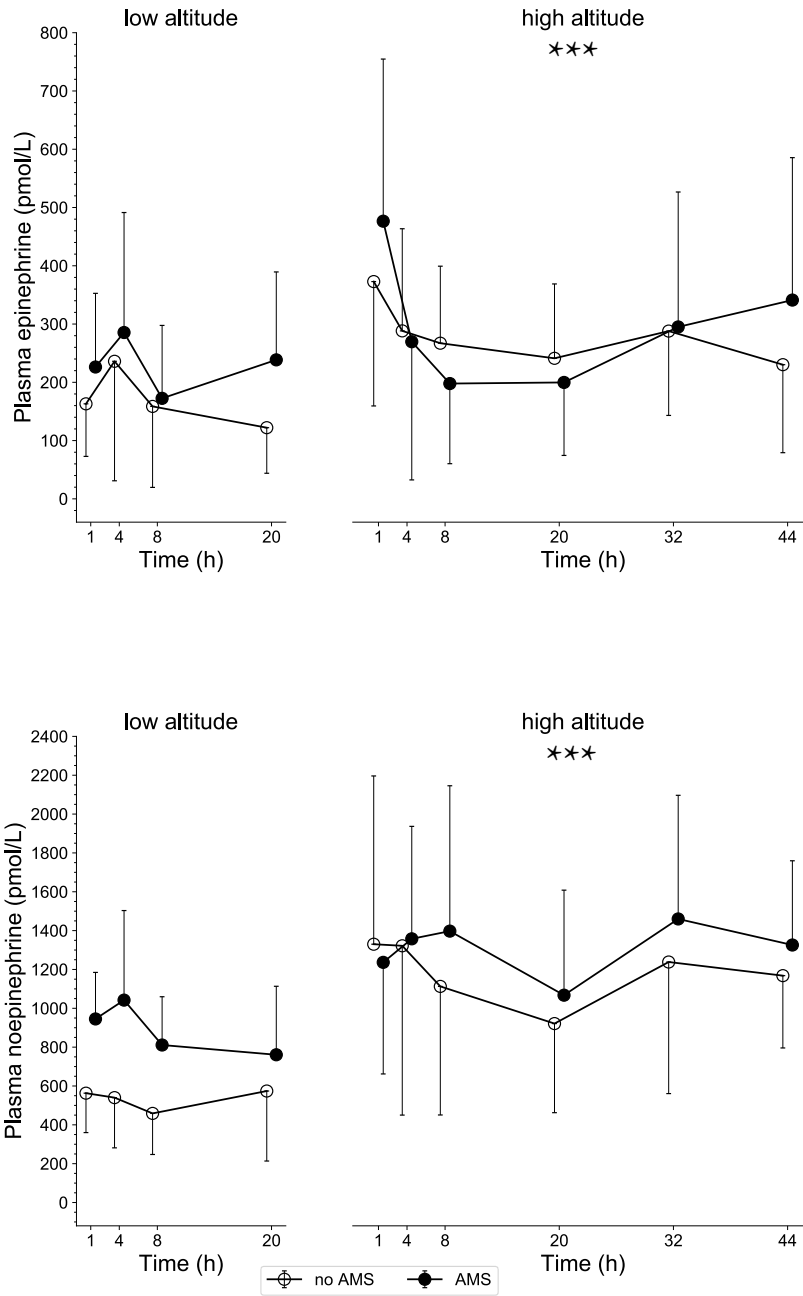


Figure 6

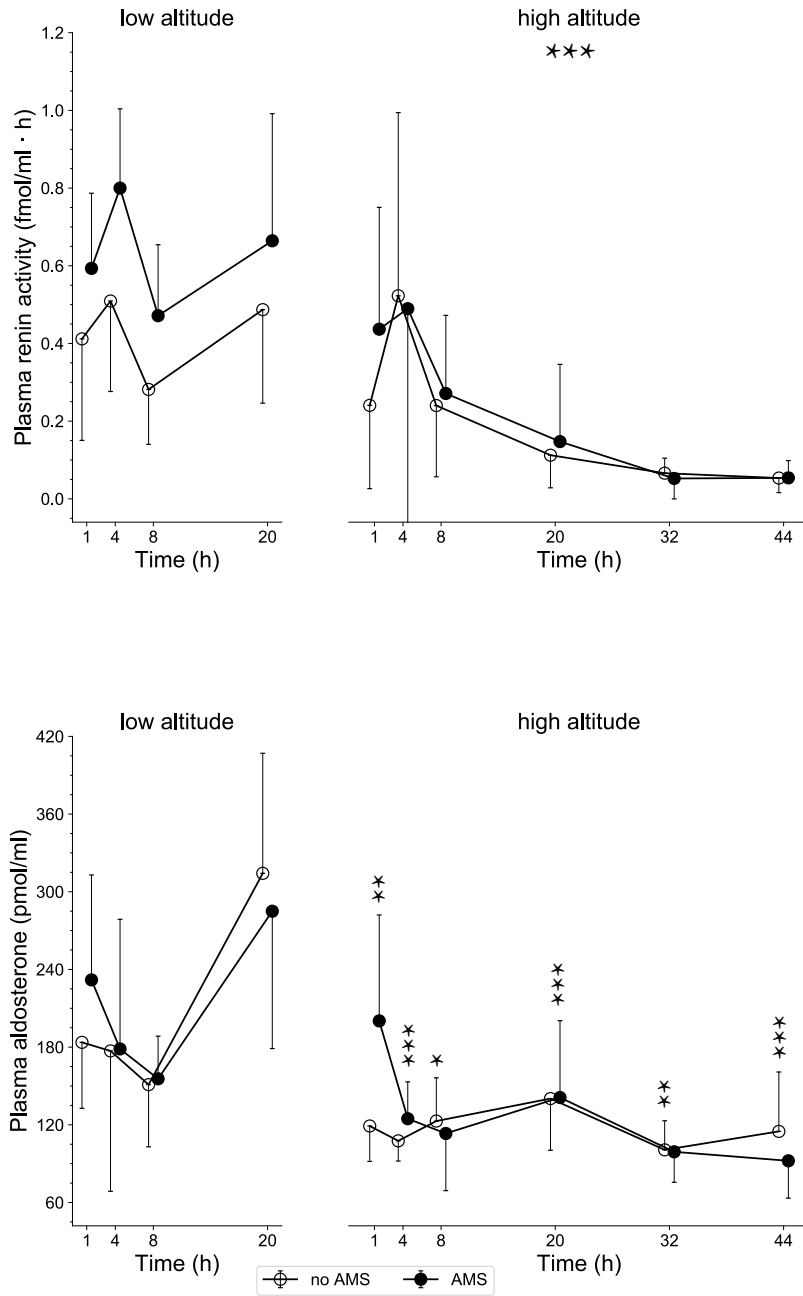


Figure 7

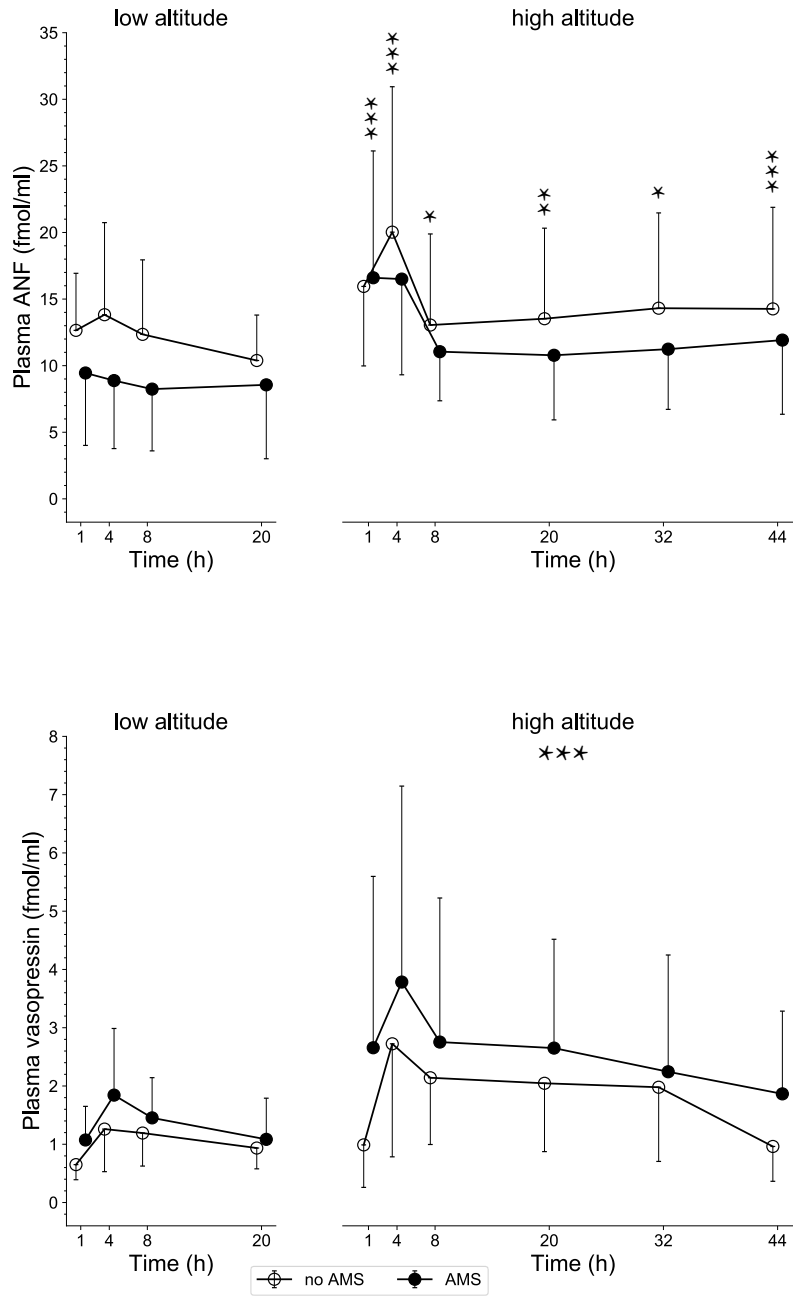




Table 1

	AMS	<i>Functional Score</i>	LLS total	LLS Q5	LLS Q4	Headache
2	no	0	0	0	0	no
5	no	0	2	2	2	no
6	no	1	5	5	4	yes
8	no	1	3	2	2	yes
12	no	1	4	3	3	yes
14	no	0	1	1	1	yes
15	no	1	4	2	2	no
17	no	1	5	5	4	yes
1	yes	2	8	6	4	yes
3	yes	2	13	9	7	yes
4	yes	3	14	9	9	yes
7	yes	2	8	6	4	yes
9	yes	2	9	7	4	yes
10	yes	2	11	7	4	yes
11	yes	3	13	9	6	yes
13	yes	2	14	9	6	yes
16	yes	2	13	10	6	yes
18	yes	2	8	5	5	yes

Individual maximal values for functional score, total Lake Louise score (LLS tot), Lake Louise questionnaire old version with 5 questions (LLS Q5) and new version not including sleep (LLS Q4). Subjects #6 and #17 reached maximal values only in one and subject #18 in 3 assessments.

Table 2

	AMS-	AMS+
n	8	10
age (years)	26.0 ± 7.7	29.9 ± 3.6
height (cm)	185,8 ± 6.1	181.4 ± 6.9
weight (kg)	78.5 ± 7.7	70.0 ± 6.7 *
BMI (kg/m <sup>2</sup> )	22.7 ± 2.5	21.3 ± 1.7
Hemoglobin <sup>1</sup> (g/l)	157.9 ± 5.8	157.2 ± 8.7
Hematocrit <sup>1</sup> (%)	46.3 ± 1.8	46.6 ± 2.6

Anthropometric data, mean values ± SD, \* denotes p=0.023, Student's t-test

<sup>1</sup> Measured at recruitment of subjects

**Table 3: Blood Gas Analysis**

		560m	----- 4559 m ----- day 1-----/----- day 2 -----/--- day 3---					p <sup>1</sup>	p <sup>2</sup>	
			1h	5h	9h	22h	33h	45h		
<i>SatO<sub>2</sub></i> (%)	AMS-	97.1±.3	76.0 ±3.0	76.3 ±4.1	79.1 ±3.6	80.0 ±2.6	80.1 ±1.9	83.0 ±2.1	< .0001	< 0.025
	AMS+	97.7±1.2	74.5 ±6.4	74.7 ±5.2	76.0 ±3.8	74.7 ±7.3	76.0 ±5.5	79.6 ±5.8		
<i>pO<sub>2</sub></i> (mmHg)	AMS-	83.7 ±8.3	39.5 ±2.5	39.2 ±2.9	41.9 ±3.3	44.7 ±2.5	42.9 ±1.3	46.3 ±2.4	< .0001	< 0.05
	AMS+	79.7 ±5.1	38.4 ±5.0	37.9 ±3.9	38.3 ±3.1	40.6 ±4.2	40.1 ±3.8	42.8 ±4.0		
<i>pCO<sub>2</sub></i> (mmHg)	AMS-	36.5 ±5.2	32.2 ±2.3	32.2 ±2.7	29.3 ±2.2	29.8 ±3.4	29.1 ±2.4	27.5 ±2.7	< .0001	ns
	AMS+	40.1 ±2.4	33.9 ±4.0	33.2 ±3.7	30.5 ±1.7	31.2 ±2.9	30.0 ±3.7	28.7 ±2.4		
<i>pH</i>	AMS-	7.44 ±.05	7.47 ±.01	7.48 ±.03	7.49 ±.02	7.47 ±.02	7.478±.12	7.46 ±.07	< .0001	ns
	AMS+	7.43 ±.02	7.47 ±.03	7.48 ±.02	7.49 ±.03	7.47 ±.02	7.48 ±.02	7.49 ±.03		
BE (mmol/l)	AMS-	-.16 ±1.67	-1.01 ±1.42	0.0 ±2.0	-1.56 ±1.1	-2.50 ±1.9	-2.50 ±1.5	-5.19 ±3.2	< .0001	= .025
	AMS+	1.42 ±1.8	.55 ±2.4	.56 ±2.7	-.41 ±1.7	-1.44 ±2.6	-1.55 ±2.3	-2.14 ±1.8		

Blood gas analysis in capillary blood obtained from a hyperemic ear lobe, mean values ± SD

p<sup>1</sup> overall value for interaction Day x Hour, significant difference from baseline value at 560 m at all time-points at 4559 m except for BE at 1 and 5 hours

p<sup>2</sup> overall value for comparison AMS+ vs AMS-, no significant interaction for AMS x Day x Hour