

1 Circular RNA repertoires are 2 associated with evolutionarily young 3 transposable elements

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10 **Abstract** Circular RNAs (circRNAs) are found across eukaryotes and can function in
11 post-transcriptional gene regulation. Their biogenesis through a circle-forming backsplicing
12 reaction is facilitated by reverse-complementary repetitive sequences promoting pre-mRNA
13 folding. Orthologous genes from which circRNAs arise, overall contain more strongly conserved
14 splice sites and exons than other genes, yet it remains unclear to what extent this conservation
15 reflects purifying selection acting on the circRNAs themselves. Our analyses of circRNA
16 repertoires across five species representing three mammalian lineages (marsupials, eutherians;
17 rodents, primates) reveal that surprisingly few circRNAs arise from orthologous exonic loci across
18 different species. Even the circRNAs from the orthologous loci are associated with young, recently
19 active and species-specific transposable elements, rather than with common, ancient transposon
20 integration events. These observations suggest that many circRNAs emerged convergently during
21 evolution – as a byproduct of splicing in orthologs prone to transposable element insertion.
22 Overall, our findings argue against widespread functional circRNA conservation.

24 Introduction

25 First described more than forty years ago, circular RNAs (circRNAs) were originally perceived as a
26 curiosity of gene expression, but they have gained significant prominence over the last 5-10 years
27 (reviewed in *Kristensen et al. (2019)*; *Patop et al. (2019)*). Large-scale sequencing efforts have fa-
28 cilitated the identification of thousands of individual circRNAs with specific expression patterns
29 and, in some cases, specific functions (*Conn et al., 2015*; *Du et al., 2016*; *Hansen et al., 2013*; *Pi-
30 wecka et al., 2017*). CircRNA biogenesis occurs through so-called “backsplicing” events, in which an
31 exon’s 3’ splice site is ligated onto an upstream 5’ splice site of an exon on the same RNA molecule
32 (rather than downstream, as in conventional splicing). Backsplicing occurs co-transcriptionally and

33 is guided by the canonical splicing machinery (*Guo et al., 2014; Ashwal-Fluss et al., 2014; Starke*
34 *et al., 2015*). It can be facilitated by complementary, repetitive sequences in the flanking introns
35 (*Dubin et al., 1995; Jeck et al., 2013; Ashwal-Fluss et al., 2014; Zhang et al., 2014; Liang and Wilusz,*
36 *2014; Ivanov et al., 2015*). Through intramolecular base-pairing and folding, the resulting hairpin-
37 like structures can augment backsplicing over the competing, regular forward-splicing reaction. In
38 most cases, backsplicing seems to be rather inefficient, given that circRNA expression levels are low
39 in most tissues. For example, it has been estimated that about 60% of circRNAs exhibit expression
40 levels of less than 1 FPKM (fragments per kilobase per million reads mapped) - a commonly applied
41 cut-off below which genes are usually considered to not be robustly expressed (*Guo et al., 2014*).
42 Due to their circular structure, circRNAs are protected from the activity of cellular exonucleases,
43 which is thought to favour their accumulation to detectable steady-state levels and, together with
44 the cell's proliferation history, presumably contributes to their complex spatiotemporal expression
45 patterns (*Alhasan et al., 2015; Memczak et al., 2013; Bachmayr-Heyda et al., 2015*). Overall higher
46 circRNA abundances have been reported for neural tissues (*Westholm et al., 2014; Gruner et al.,*
47 *2016; Rybak-Wolf et al., 2015*) and during ageing (*Gruner et al., 2016; Xu et al., 2018; Cortés-López*
48 *et al., 2018*).

49 CircRNAs are found in all eukaryotes (protists, fungi, plants, animals) (*Wang et al., 2014*). More-
50 over, it has been reported that circRNAs are frequently generated from orthologous genomic re-
51 gions across species such as mouse, pig and human (*Rybak-Wolf et al., 2015; Venøet al., 2015*),
52 and that their splice sites have elevated conservation scores (*You et al., 2015*). In these studies,
53 circRNA coordinates were transferred between species to identify "conserved" circRNAs. However,
54 the analyses did not distinguish between potential selective constraints actually acting on the cir-
55 cRNAs themselves, from those preserving canonical splicing features of genes in which they are
56 formed (so-called "parental genes"). A further obstacle to a thorough evolutionary understanding
57 lies in the observation that while long introns containing reverse complementary repeats seem to
58 be a conserved feature of circRNA parental genes, the reverse complementary repeat sequences
59 as such undergo rapid evolutionary changes (*Zhang et al., 2014; Rybak-Wolf et al., 2015*). Finally,
60 concrete examples for experimentally validated, functionally conserved circRNAs are still scarce.
61 At least in part, the reason may lie in the difficulty to specifically target circular vs. linear transcript
62 isoforms in loss-of-function experiments; only recently, novel dedicated tools for such experiments
63 have been developed (*Li et al., 2020*). At the moment, however, the prevalence of conserved and
64 hence likely functional circRNAs remains overall unclear.

65 Here, we set out to investigate the origins and evolution of circRNAs as well as potentially as-
66 sociated selective pressures. To this end, we generated a comprehensive set of circRNA-enriched
67 RNA sequencing (RNA-seq) data from five mammalian species and three organs. Our analyses un-
68 veil that circRNAs are typically generated from a distinct class of genes that share characteristic
69 structural and sequence features. Notably, we discovered that circRNAs are flanked by species-
70 specific and recently active transposable elements (TEs). Our findings support a model according
71 to which the integration of TEs is preferred in introns of genes with similar genomic properties,
72 thus facilitating circRNA formation as a byproduct of splicing around the same exons of ortholo-
73 gous genes across different species. Together, our work suggests that most circRNAs - even when

74 occurring in orthologs of multiple species and comprising the same exons - do nevertheless not
 75 trace back to common ancestral circRNAs but emerged convergently during evolution, facilitated
 76 by independent TE insertion events.

77 Results

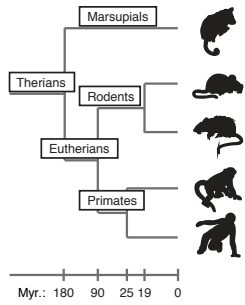
78 **A comprehensive circRNA dataset across five mammalian species**

79 To explore the origins and evolution of circRNAs, we generated paired-end RNA-seq data for three
 80 organs (liver, cerebellum, testis) in five species (grey short-tailed opossum, mouse, rat, rhesus
 81 macaque, human) representing three mammalian lineages (marsupials; eutherians: rodents, pri-
 82 mates) with different divergence times (**Figure 1A, Figure 1-Figure supplement 1A, Supplemen-
 83 tary Table 1**). To enrich for circRNAs, samples were treated with exoribonuclease (RNase R) prior
 84 to library preparation and sequencing. Using a custom pipeline, we subsequently identified cir-
 85 cRNAs from backsplice junction (BSJ) reads, estimated circRNA steady-state abundances, and re-
 86 constructed their isoforms (**Supplementary Table 2, Figure 1-Figure supplement 1B, Figure 1-
 87 Figure supplement 2**). In total, we identified 1,535 circRNAs in opossum, 1,484 in mouse, 2,038
 88 in rat, 3,300 in rhesus macaque, and 4,491 circRNAs in human, with overall higher numbers in
 89 cerebellum, followed by testis and liver (**Figure 1B, Supplementary Table 3**). Detected circRNAs
 90 were generally small in size, overlapped with protein-coding exons, showed considerable tissue-
 91 specificity, and were flanked by large introns (**Figure 1-Figure supplement 3**).

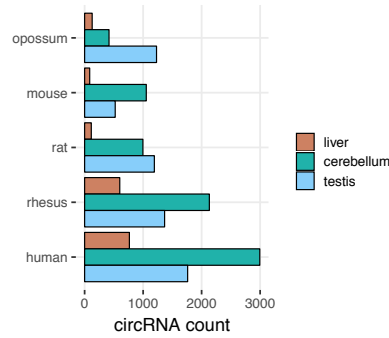
92 **The identification of circRNA heterogeneity and hotspot frequency is determined 93 by sequencing depth and detection thresholds**

94 A sizeable number of genes give rise to multiple, distinct circRNAs (*Venøet al., 2015*). Such “cir-
 95 cRNA hotspots” are of particular interest as they may be enriched for genomic features that drive
 96 circRNA biogenesis. A previous hotspot definition applied a cutoff of at least 10 structurally differ-
 97 ent, yet overlapping circRNAs produced from a genomic locus (*Venøet al., 2015*). However, given
 98 that reaching a threshold of 10, or any other threshold, of detectable circRNA species for a given
 99 locus likely strongly depends on the sequencing depth and the applied CPM (counts per million)
 100 threshold, we compared circRNA hotspots identified at different CPM thresholds (0.1, 0.05 and
 101 0.01 CPM). Moreover, to globally capture circRNA hotspot complexity, we considered genomic loci
 102 already as hotspots if they produced as a minimum two different, overlapping circRNAs at a given
 103 threshold. As expected, the number of hotspots - and the number of circRNAs these hotspots give
 104 rise to - strongly depend on the chosen CPM threshold (**Figure 1C** for human and rhesus macaque
 105 data; **Figure 1-Figure supplement 4** for other species). Thus, at 0.1 CPM only 16-27% of all de-
 106 tected circRNA loci are classified as hotspots. Decreasing the stringency to 0.01 CPM increases the
 107 proportion of hotspot loci to 32-45%. At the same time, the fraction of all circRNAs that originated
 108 from hotspots increased from 34-49% (0.1 CPM) to 59-76% (0.01 CPM), and the number of circR-
 109 NAs per hotspot increased from 2 to 6. Together, these observations suggest that at lower CPM
 110 thresholds, it is in particular the number of circRNAs per locus that increases, whereas the effect
 111 on the number of detectable independent circRNA loci is smaller. Furthermore, we observed that
 112 in many cases the same hotspots produced circRNAs across multiple organs (**Figure 1D**), and that

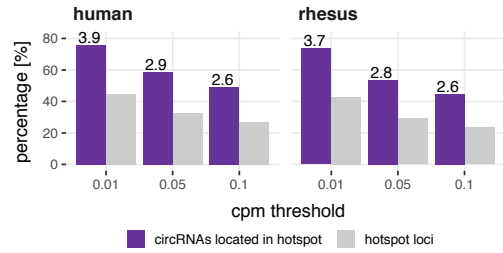
A: Species and phylogeny



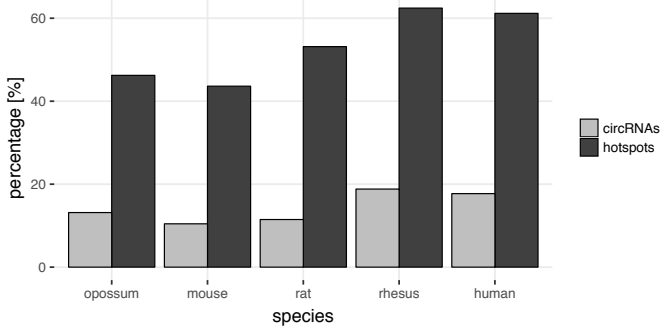
B: Number of circRNAs



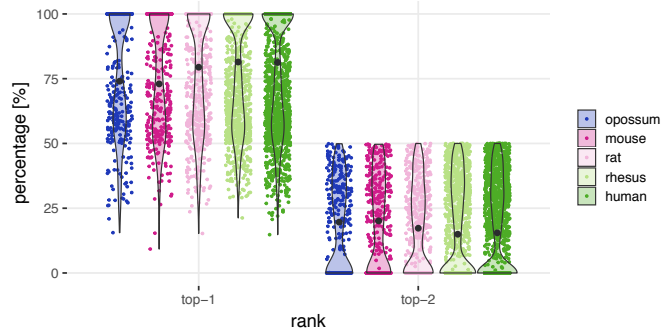
C: CircRNA hotspot loci by CPM



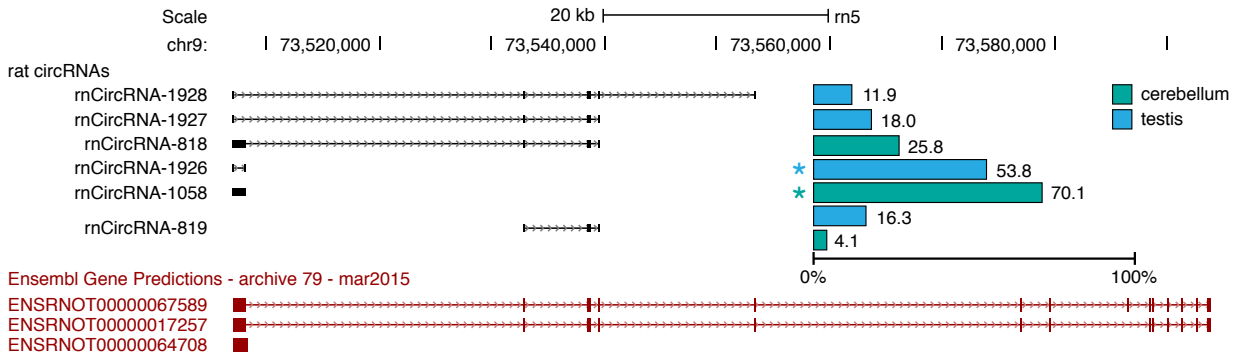
D: % of circRNAs and hotspots in multiple tissues



E: CircRNA expression strength in hotspots



F: UCSC genome browser view for *Kans11* hotspot in rat



113 there is usually one predominantly expressed circRNA per organ (**Figure 1E**). The *Kans11* hotspot
 114 locus is a representative example: it is a hotspot in rat, where it produces 6 different circRNAs (de-
 115 tails in **Figure 1F**); it is also a hotspot in all other species (producing 8, 5, 7, and 6 different circRNAs
 116 in opossum, mouse, rhesus macaque and human, respectively; data not shown).

117 The substantial increase in circRNA heterogeneity with decreasing CPM, as well as the overall
 118 low expression levels of many circRNAs, raised the question to what extent the majority of detected
 119 circRNAs in this and other studies reflect a form of gene expression noise rather than functional
 120 transcriptome diversity.

Figure 1. A: Phylogenetic tree of species analysed in this study. CircRNAs were identified and analysed in five mammalian species (opossum, mouse, rat, rhesus macaque, human) and three organs (liver, cerebellum, testis). B: Total number of detected circRNAs across species and tissues. The total number of circRNAs for each species in liver (brown), cerebellum (green) and testis (blue). C: CircRNA hotspot loci by CPM (human and rhesus macaque). The graph shows, in grey, the proportion (%) of circRNA loci that qualify as hotspots and, in purple, the proportion (%) of circRNAs that originate from such hotspots, at three different CPM thresholds (0.01, 0.05, 0.1). The average number of circRNAs per hotspot is indicated above the purple bars. D. Number of circRNA hotspot loci found in multiple tissues. The graph shows the proportion (%) of circRNAs (light grey) and of hotspots (dark grey) that are present in at least two tissues. E. Contribution of top-1 and top-2 expressed circRNAs to overall circRNA expression from hotspots. The plot shows the contribution (%) that the two most highly expressed circRNAs (indicated as top-1 and top-2) make to the total circRNA expression from a given hotspot. For each plot, the median is indicated with a grey point. F. Example of the *Kans11* hotspot in rat. The proportion (%) for each detected circRNA within the hotspot and tissue (cerebellum = green, testis = blue) are shown. The strongest circRNA is indicated by an asterisk. rnCircRNA-819 is expressed in testis and cerebellum.

Figure 1-Figure supplement 1. Overview of the dataset and the reconstruction pipeline.

Figure 1-Figure supplement 2. Mapping summary of RNA-seq reads.

Figure 1-Figure supplement 3. General circRNA properties.

Figure 1-Figure supplement 4. CircRNA hotspot loci by CPM (opossum, mouse, rat).

121 **CircRNAs formed in orthologous loci across species preferentially comprise consti-** 122 **tutive exons**

123 We therefore sought to assess the selective preservation – and hence potential functionality – of
124 circRNAs. For each gene, we first collapsed circRNA coordinates to identify the maximal genomic
125 locus from which circRNAs can be produced (**Figure 2A**). In total, we annotated 5,428 circRNA loci
126 across all species (**Figure 2A**). The majority of loci are species-specific (4,103 loci; corresponding to
127 75.6% of all annotated loci), whereas there are only comparatively few instances where circRNAs
128 arise from orthologous loci in the different species (i.e., from loci that share orthologous exons in
129 corresponding 1:1 orthologous genes; **Figure 2A**). For example, only 260 orthologous loci (4.8%
130 of all loci) give rise to circRNAs in all five species (**Figure 2A**). A considerable proportion of these
131 shared loci also correspond to circRNA hotspots (opossum: 30.0%, mouse: 25%, rat: 32.3%, rhesus
132 macaque: 44.6%, human: 60.4%). Thus, despite applying circRNA enrichment strategies in
133 library preparation and lenient thresholds for computational detection, the number of potentially
134 conserved orthologous circRNAs is surprisingly low.

135 PhastCons conservation scores are based on multiple alignments and known phylogenies, de-
136 scribing the conservation levels at single-nucleotide resolution (*Siepel et al., 2005*). To assess
137 whether circRNA exons differed from non-circRNA exons in their conservation levels, we calculated
138 phastCons scores for different exon types (circRNA exons, non-circRNA exons and UTR-exons). Cir-
139 cRNA exons showed higher phastCons scores in comparison to exons from the same genes that
140 were not spliced into circRNAs (**Figure 2B**), which would be the expected outcome if purifying se-
141 lection acted on functionally conserved circRNAs. However, other mechanisms may be relevant as
142 well; constitutive exons, for example, generally exhibit higher conservation scores than alternative
143 exons (*Modrek and Lee, 2003; Ermakova et al., 2006*). We thus analysed exon features in more
144 detail. First, the comparison of phastCons scores between exons of non-parental genes, parental
145 genes and circRNAs revealed that parental genes were *per se* highly conserved (**Figure 2B**): 85-
146 95% of the observed median differences between circRNA exons and non-parental genes could

147 be explained by the parental gene itself. Next, we compared the usage of parental gene exons
 148 across organs (**Figure 2C**). We observed that circRNA exons are more frequently used in isoforms
 149 expressed in multiple organs than non-circRNA parental gene exons. Finally, we analysed the se-
 150 quence composition at the splice sites, which revealed that GC amplitudes (i.e., the differences in
 151 GC content at the exon-intron boundary) are significantly higher for circRNA-internal exons than
 152 for parental gene exons that were located outside of circRNAs (**Figure 2D**).

153 Collectively, these observations (i.e., increased phastCons scores, expression in multiple tissues,
 154 increased GC amplitudes) raise the question of whether the above “circRNA-specific” exon proper-
 155 ties (**Figure 2B-D**) primarily reflect an enrichment for constitutive exons. Under this scenario, the
 156 supposed high conservation of circRNAs may not be directly associated with the circRNAs them-
 157 selves, but with constitutive exons that the circRNAs contain. Together with the small proportion
 158 of circRNAs with shared (orthologous) locations across species (see above), this raises the possibil-
 159 ity that circRNAs are overall not highly conserved and that many circRNAs “shared” across species
 160 (i.e., those arising from orthologous exonic loci) are actually not homologous. That is, rather than
 161 reflecting (divergent) evolution from common ancestral circRNAs (**Figure 2E, left panel**), circRNAs
 162 may frequently have emerged independently (convergently) during evolution in the lineages lead-
 163 ing to the different species, thus potentially often representing “analogous” transcriptional traits
 164 (**Figure 2E, right panel**).

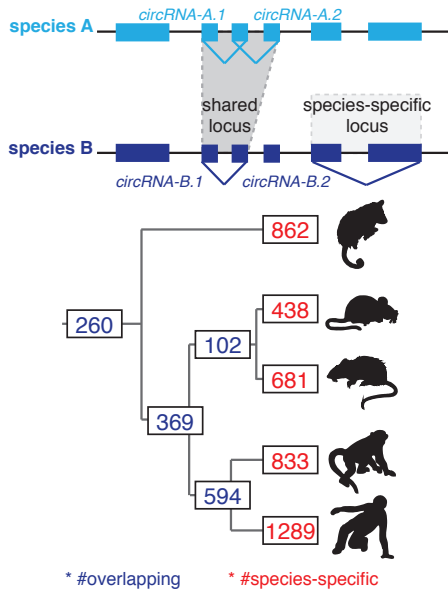
165 **CircRNA parental genes are characterised by low GC content and high sequence** 166 **repetitiveness**

167 To explore whether convergent evolution played a role in the origination of circRNAs, we set out
 168 to identify possible structural and/or functional constraints that may establish a specific genomic
 169 environment (a “parental gene niche”) potentially favouring analogous circRNA production. To this
 170 end, we compared GC content and sequence repetitiveness of circRNA parental vs. non-parental
 171 genes.

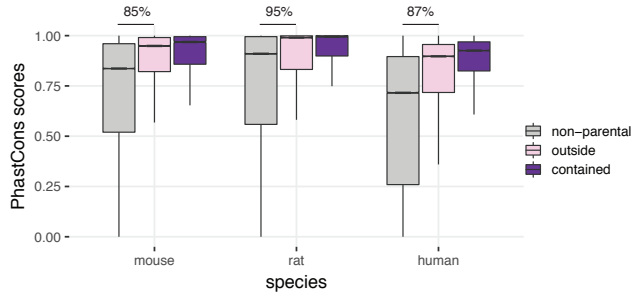
172 GC content is an important genomic sequence characteristic associated with distinct patterns
 173 of gene structure, splicing and function (*Amit et al., 2012*). We realised that the increased GC ampli-
 174 tude at circRNA exon-intron boundaries that we noted above (**Figure 2D**), was mainly caused by a
 175 local decrease of intronic GC content rather than an increase in exonic GC content (**Supplementary**
 176 **Table 4, Figure 2-Figure supplement 2**). We hence hypothesised that GC content may be a means
 177 of discriminating parental from non-parental genes. We grouped genes into five categories from
 178 low (L) to high (H) GC content (isochores; L1 <37%, L2 37-42%, H1 42-47%, H2 47-52% and H3 >52%
 179 GC content) (**Figure 3A**). Coding genes in rhesus macaque and human are characterised by a bi-
 180 modal GC content distribution (see peaks in L2 and H3 for non-parental genes). By contrast, the
 181 two rodents displayed a unimodal distribution (peak in H1), whereas opossum coding genes were
 182 generally GC-poor (in agreement with *Galtier and Mouchiroud (1998); Mikkelsen et al. (2007)*). No-
 183 tably, circRNA parental genes showed a distinctly different distribution than non-parental genes
 184 and a consistent pattern across all five species, with the majority of genes (82-94% depending on
 185 species) distributing to the GC-low gene groups, L1 and L2 (**Figure 3A**).

186 We next analysed intron repetitiveness – a structural feature that has previously been asso-

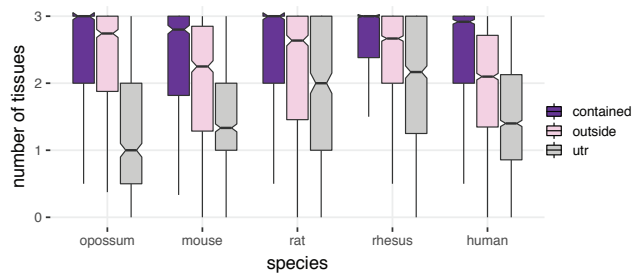
A: Overlap of collapsed circRNA loci



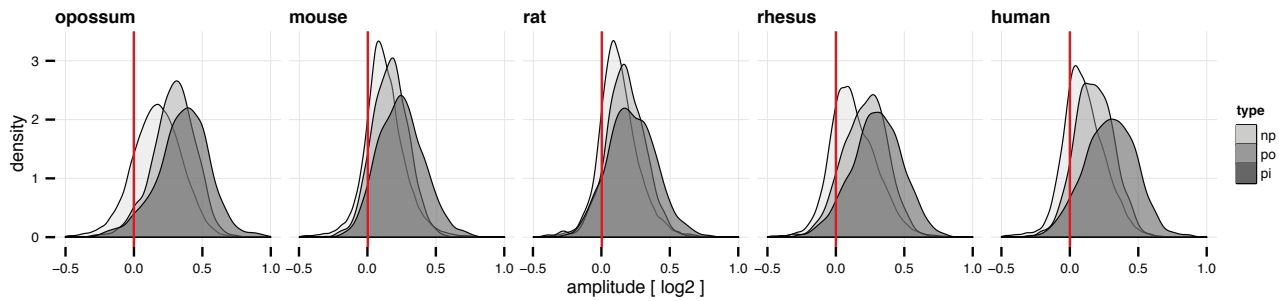
B: PhastCons score by exon type



C: Tissue frequency of exon types

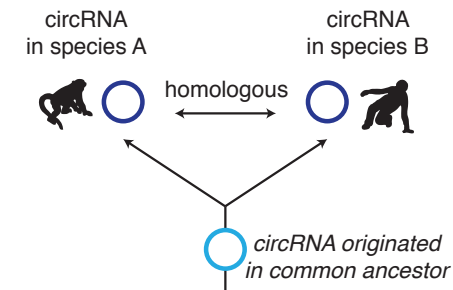


D: Splice site amplitude



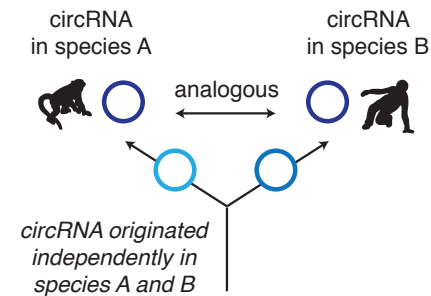
E: Alternative models for the evolution of overlapping circRNA loci

divergent evolution



-> circRNAs loci overlap, because they evolved from a common ancestor

convergent evolution



-> circRNA loci overlap, because of similar genomic constraints

187 ciated with circRNA biogenesis. We used megaBLAST to align all annotated coding genes with
 188 themselves to identify regions of complementarity in the sense and antisense orientations of the
 189 gene (reverse complement sequences, RVCs) (Ivanov et al., 2015). We then compared the level
 190 of self-complementarity between parental and non-parental genes within the same isochores (i.e.,
 191 per gene group with the same GC content), given that self-complementarity generally shows neg-

Figure 2. Evolutionary properties of circRNAs. A: CircRNA loci overlap between species. Upper panel: Schematic representation of the orthology definition used in our study. CircRNAs were collapsed for each gene, and coordinates were lifted across species. Lower panel: Number of circRNA loci that are species-specific (red) or circRNAs that arise from orthologous exonic loci of 1:1 orthologous genes (i.e., circRNAs sharing 1:1 orthologous exons) across lineages (purple) are counted. We note that in the literature, other circRNA "orthology" definitions can be found, too. For example, assigning circRNA orthology simply based on parental gene orthology implies calling also those circRNAs "orthologous" that do not share any orthologous exons, which directly argues against the notion of circRNA homology; that is, a common evolutionary origin (see **Figure 2-Figure supplement 1**). Overall, the orthology considerations we applied largely follow the ideas sketched out in *Patop et al. (2019)*. B: Distribution of phastCons scores for different exon types. PhastCons scores were calculated for each exon using the conservation files provided by ensembl. PhastCons scores for non-parental exons (grey), exons in parental genes, but outside of the circRNA (pink) and circRNA exons (purple) are plotted. The difference between circRNA exons and non-parental exons that can be explained by parental non-circRNA exons is indicated above the plot. C: Mean tissue frequency of different exon types in parental genes. The frequency of UTR exons (grey), non-UTR exons outside of the circRNA (pink) and circRNA exons (purple) that occur in one, two or three tissues was calculated for each parental gene. D: Distribution of splice site amplitudes for different exon types. Distribution of median splice site GC amplitude (log₂-transformed) is plotted for different exon types (np = non-parental, po = parental, but outside of circRNA, pi = parental and inside circRNA). Red vertical bars indicate values at which exon and intron GC content would be equal E: Different evolutionary models explaining the origins of overlapping circRNA loci.

Figure 2-Figure supplement 1. CircRNA loci overlap between species.

Figure 2-Figure supplement 2. Amplitude correlations.

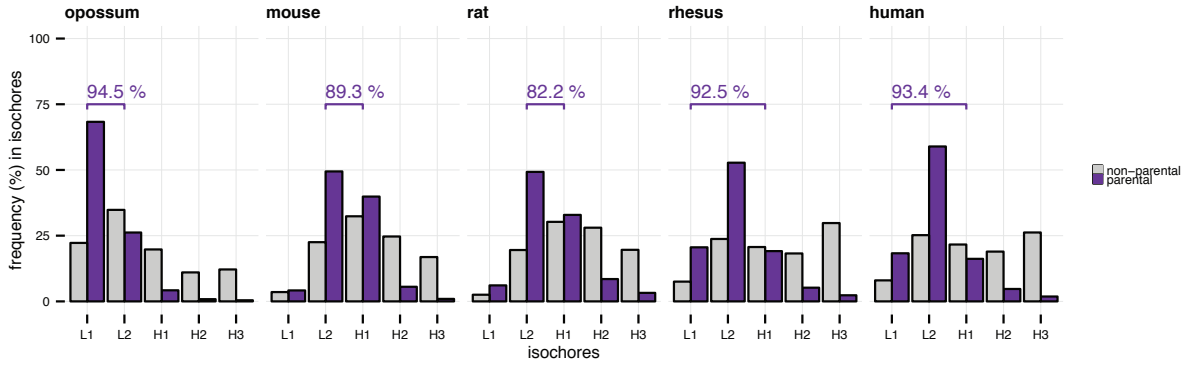
192 ative correlations with GC-content. This analysis revealed a stronger level of self-complementarity
 193 in sense and antisense for parental genes than for non-parental genes from the same isochore
 194 (**Figure 3B**).

195 CircRNA parental genes may also show an association with specific functional properties. Using
 196 data from three human cell studies (*Steinberg et al., 2015; Pai et al., 2012; Koren et al., 2012*), our
 197 analyses revealed that circRNA parental genes are biased towards early replicating genes, showed
 198 higher steady-state expression levels, and are characterised by increased haploinsufficiency scores
 199 (**Figure 3-Figure supplement 1**). Collectively, we conclude that circRNA parental genes exhibit not
 200 only distinct structural features (low GC content, high repetitiveness), but also specific functional
 201 properties associated with important roles in human cells.

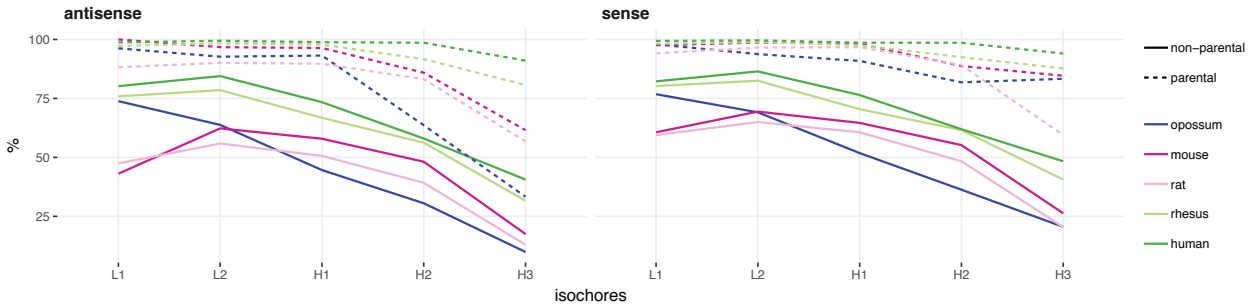
202 **Among the multiple predictors of circRNA parental genes, low GC content distin-** 203 **guishes circRNA hotspots**

204 The aforementioned analyses established that circRNA parental genes possess distinct sequence,
 205 conservation and functional features. Using linear regression analyses, we next sought to deter-
 206 mine which of these properties constitute the main predictors of parental genes. Our model used
 207 parental vs. non-parental gene as the response variable and several plausible explanatory vari-
 208 ables (i.e., GC content, exon and transcript counts, genomic length, number of repeat fragments
 209 in sense/antisense, expression level, phastCons score, tissue specificity index). After training the
 210 model on a data subset (80%), circRNA parental gene predictions were carried out on the remainder
 211 of the dataset (20%) (see **Material and Methods** for more information). Notably, predictions oc-
 212 curred with high precision (accuracy 72-79%, sensitivity of 75%, specificity 71-79% across all species)
 213 and uncovered several significantly associated features (**Table 1, Supplementary Table 5, Figure**
 214 **3-Figure supplement 2**). Consistently for all species, the main parental gene predictors are low
 215 GC content (log-odds ratio -1.84 to -0.72) and increased number of exons in the gene (log-odds

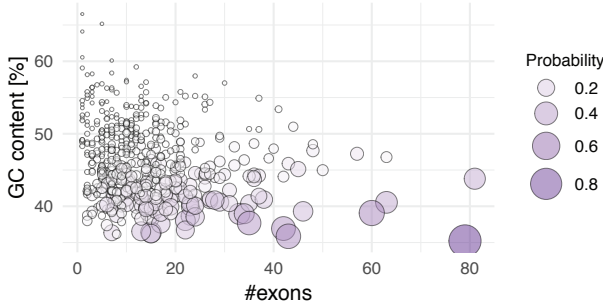
A: GC content of parental genes



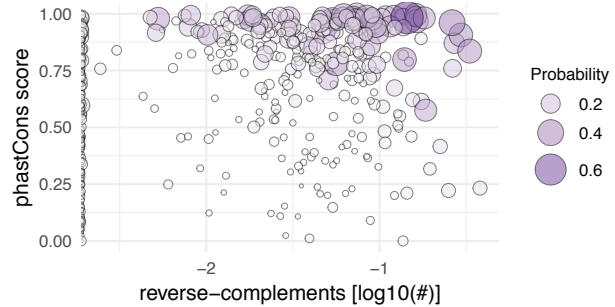
B: Complementarity in coding genes



C: GC content vs. exon count



D: PhastCons score vs. RVCs



E: Model of circRNA niche

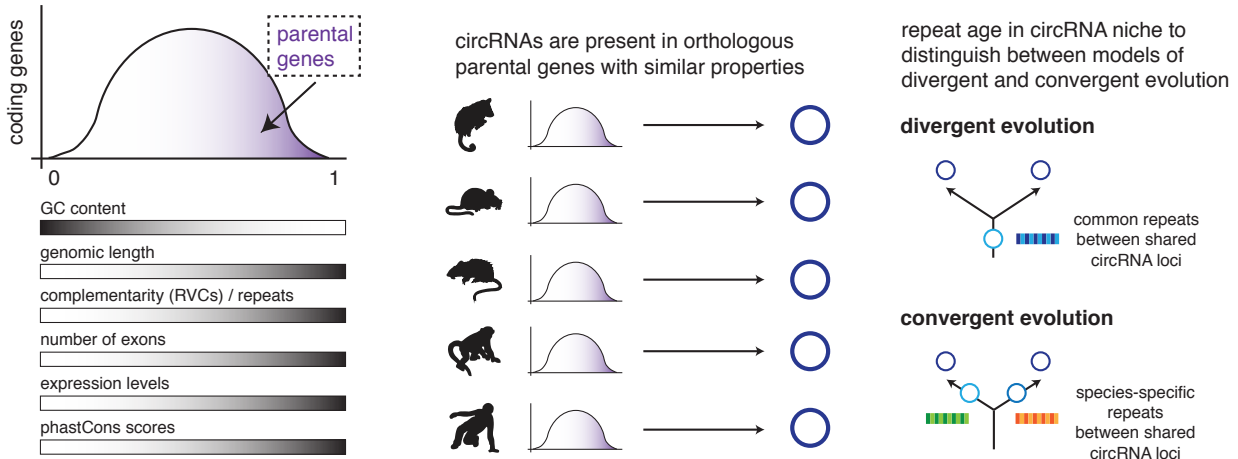


Figure 3. A: GC content of parental genes. Coding genes were classified into L1-H3 based on their GC content, separately for non-parental (grey) and parental genes (purple). The percentage of parental genes in L1-L2 (opossum, mouse, rat) and L1-H1 (rhesus macaque, human) is indicated above the respective graphs. B: Complementarity in coding genes. Each coding gene was aligned to itself in sense and antisense orientation using megaBLAST. The proportion of each gene involved in an alignment was calculated and plotted against its isochores. C-D: Examples of parental gene predictors for linear regression models. A generalised linear model (GLM) was fitted to predict the probability of the murine coding gene to be parental, whereby x- and y-axis represent the strongest predictors. Colour and size of the discs correspond to the p-values obtained for 500 genes randomly chosen from all mouse coding genes used in the GLM. E. Model of circRNA niche.

Figure 3–Figure supplement 1. Replication time, gene expression steady-state levels and GHIS of human parental genes.

Figure 3–Figure supplement 2. Distribution of prediction values for non-parental and parental circRNA genes.

Figure 3–Figure supplement 3. Validation of parental gene GLM on Werfel *et al.* dataset.

216 ratio 0.30 to 0.45). Furthermore, increased genomic length (log-odds ratio 0.17 to 0.26) and an increased proportion of reverse-complementary areas (repeat fragments) within the gene (log-odds ratio 0.20 to 0.59), increased expression levels (log-odds ratio 0.25 to 0.38) and higher phastCons scores (log-odds ratio 0.45 to 0.58) are also positively associated with circRNA production (**Table 1**, **Figure 3C-D**, **Supplementary Table 5**). Notably, these circRNA parental gene predictors were not restricted to our datasets but could be deduced from independent circRNA datasets as well. Thus, the analysis of mouse and human heart tissue data (*Werfel et al., 2016*) revealed the same properties; that is, circRNA parental genes are characterised by low GC content, they were exon-rich, and they showed enrichment for repeats (**Figure 3–Figure supplement 3**). Moreover, our linear regression models performed with comparable accuracy (74%), sensitivity (75%) and specificity (74%) to predict parental genes in the independent human and mouse data. We therefore conclude that the identified properties likely represented generic characteristics of circRNA parental genes that are suitable to distinguish them from non-parental genes.

229 A substantial amount of circRNAs are formed from circRNA hotspots (**Figure 1C**). We therefore asked whether among the distinct genomic features that our regression analysis identified as characteristic of parental genes, some would be suitable to further distinguish hotspots. First, we assessed whether hotspots were more likely to be shared between species than parental genes producing only a single circRNA isoform. Notably, the applied regression model did not only detect a positive correlation between the probability of a parental gene to be a hotspot and having orthologous parental genes in multiple species, but log-odds ratios increased with the distance and number of species across which the hotspot was shared (e.g., mouse: 0.29 for shared within rodents, 0.67 for shared with eutherian species and 0.72 for shared within therian species; **Supplementary Table 6**). Finally, we interrogated whether a particular feature would be able to specify circRNA hotspots among parental genes. A single factor, low GC content, emerged as a consistent predictor for circRNA hotspots among all circRNA-generating loci (**Supplementary Table 7**). Not surprisingly, the predictive power was lower than that of the previous models discriminating parental vs. non-parental genes, which had identified low GC content as well. These findings imply that hotspots emerge across species in orthologous loci that offer similarly favourable conditions for circRNA formation, including low GC content. Of note, the increased number of circRNAs that become detectable when CPM thresholds are lowered (see above, **Figure 1C**), is also in agreement

Table 1. A generalised linear model was fitted to predict the probability of coding genes to be a parental gene ($n_{\text{opossum}}=18,807$, $n_{\text{mouse}}=22,015$, $n_{\text{rat}}=11,654$, $n_{\text{rhesus}}=21,891$, $n_{\text{human}}=21,744$). The model was trained on 80% of the data (scaled values, cross-validation, 1000 repetitions). Only the best predictors were kept and then used to predict probabilities for the remaining 20% of data points (validation set, shown in table). Genomic length, number of exons and GC content are based on the respective ensembl annotations; number of repeats in antisense and sense orientation to the gene was estimated using the RepeatMasker annotation, phastCons scores taken from UCSC (not available for opossum and rhesus macaque) and expression levels and the tissue specificity index based on (Brawand *et al.*, 2011). An overview of all log-odds ratios and p-values calculated in the validation set of each species is provided in the table, further details can be found in **Supplementary Table 5**. Abbreviations: *md* = opossum, *mm* = mouse, *rn* = rat, *rm* = rhesus macaque, *hs* = human. Significance levels: **** < 0.001, *** < 0.01, ** < 0.05, 'ns' >= 0.05.

Predictor	Log-odds range (significance)	Species with significant predictor
Genomic gene length (bp)	rn: 0.26 (***)	rn, rm, hs
	rm: 0.17 (***)	
	hs: 0.26 (***)	
	md, mm: ns	
Number of exons	md: 0.45 (***)	md, mm, rn, rm, hs
	mm: 0.38 (***)	
	rn: 0.30 (***)	
	rm: 0.42 (***)	
GC content	hs: 0.32 (***)	md, mm, rn, rm, hs
	md: -1.84 (***)	
	mm: -1.09 (***)	
	rn: -0.72 (***)	
Repeat fragments (antisense)	rm: -1.44 (***)	md, mm, rm
	hs: -1.42 (***)	
	md: 0.28 (**)	
	mm: 0.20 (**)	
Repeat fragments (sense)	rm: 0.59 (***)	md, mm, rm
	rn, hs: ns	
PhastCons scores	hs: 0.58 (***)	hs
	md, mm, rn, rm: ns	
	mm: 0.58 (***)	
Mean expression levels	rn: 0.51 (***)	mm, rn, hs
	hs: 0.45 (***)	
	md: 0.34 (**)	
Tissue specificity index	rm: 0.38 (***)	md, rm, hs
	hs: 0.25 (**)	
	mm, rn: ns	
Tissue specificity index	md, mm, rn, rm, hs: ns	-

246 with the sporadic formation of different circRNAs whenever genomic circumstances allow for it.

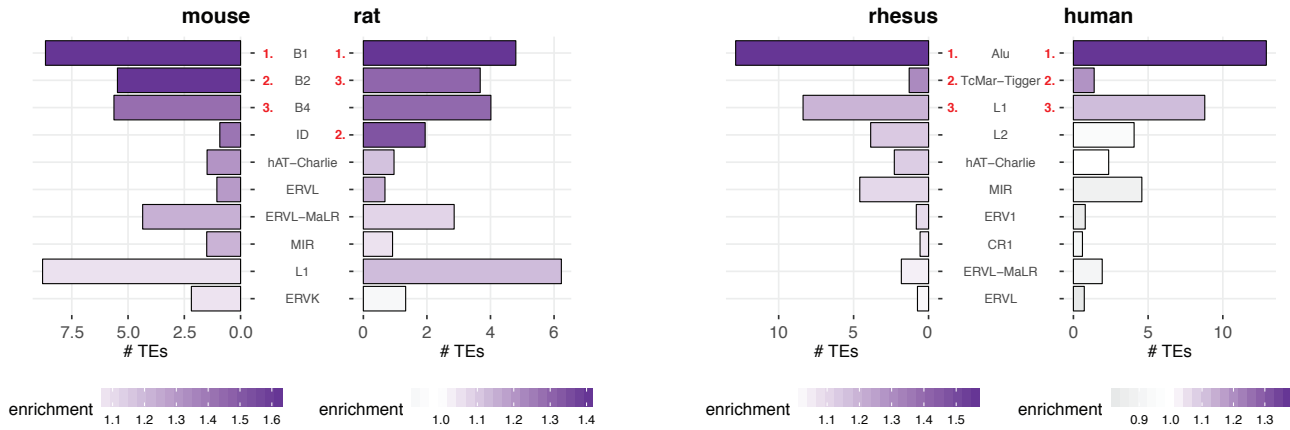
247 Collectively, our analyses thus reveal that circRNA parental genes are characterised by a set
 248 of distinct features: low GC content, increased genomic length and number of exons, higher ex-
 249 pression levels and increased phastCons scores (**Figure 3E**). These features were detected inde-
 250 pendently across species, suggesting the presence of a unique, syntenic genomic niche in which
 251 circRNAs can be produced (“circRNA niche”). While helpful to understand the genomic context of
 252 circRNA production, these findings do not yet allow distinguishing between the two alternative
 253 models of divergent and convergent circRNA evolution (**Figure 2E**). However, we reasoned that
 254 this aim would be in reach if we better understood the evolutionary trajectory and timeline that
 255 leads to the emergence of the circRNAs. Conceivably, the identified feature “complementarity and
 256 repetitiveness” of the circRNA niche might give access to this time component. Previous studies
 257 have associated repetitiveness with an over-representation of small TEs – such as primate Alu el-
 258 ements or the murine B1 elements – in circRNA-flanking introns; these TEs may facilitate circRNA
 259 formation by providing RVCs that are the basis for intramolecular base-pairing of nascent RNA
 260 molecules (*Ivanov et al., 2015; Jeck et al., 2013; Zhang et al., 2014; Wilusz, 2015; Liang and Wilusz,*
 261 *2014*). Interestingly, while the biogenesis of human circRNAs has so far been mainly associated
 262 with the primate-specific group of Alu elements, a recent study has highlighted several circRNAs
 263 that rely on the presence of mammalian MIR elements (*Yoshimoto et al., 2020*). A better under-
 264 standing of the evolutionary age of TEs in circRNA-flanking introns could thus provide important
 265 insights into the modes of circRNA emergence; that is, the presence of common (i.e., old) repeats
 266 would point towards divergent evolution of circRNAs from a common circRNA ancestor, whereas
 267 an over-representation of species-specific (i.e., recent) repeats would support the notion of con-
 268 vergent circRNA evolution (**Figure 3E**).

269 **CircRNA flanking introns are enriched in species-specific TEs**

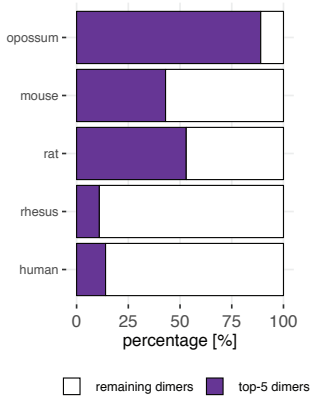
270 To assess potential roles of TEs in circRNA evolution, we first investigated the properties and com-
 271 position of the repeat landscape relevant for circRNA biogenesis - features that have remained
 272 poorly characterised so far - harnessing our cross-species dataset. As a first step, we generated
 273 for each species a background set of “control introns” from non-circRNA genes that were matched
 274 to the circRNA flanking introns in terms of length distribution and GC content. We then compared
 275 the abundance of different repeat families within the two intron groups. In all species, TEs belong-
 276 ing to the class of small, interspersed nuclear elements (SINEs) are enriched within the circRNA
 277 flanking introns as compared to the control introns. Remarkably, the resulting TE enrichment pro-
 278 files were exquisitely lineage-specific, and even largely species-specific (**Figure 4A**). In mouse, for
 279 instance, the order of enrichment is from the B1 class of rodent-specific B elements (strongest
 280 enrichment and highest frequency of >7.5 TEs per flanking intron) to B2 and B4 SINEs. In rat, B1
 281 (strong enrichment, yet less frequent than in mouse) is followed by ID (Identifier) elements, which
 282 are a family of small TEs characterised by a recent, strong amplification history in the rat lineage
 283 (*Kim et al., 1994; Kim and Deininger, 1996*); B2 and B4 SINEs only followed in 3rd and 4th position.
 284 In rhesus macaque and human, Alu elements are the most frequent and strongly enriched TEs
 285 (around 14 TEs per intron), consistent with the known strong amplification history in the common
 286 primate ancestor (reviewed in *Batzer and Deininger (2002)*) (**Figure 4A**). The opossum genome is

287 known for its high number of TEs, many of which may have undergone a very species-specific am-
 288 plification pattern (*Mikkelsen et al., 2007*), which is reflected in the distinct opossum enrichment
 289 profile (**Figure 4-Figure supplement 1**).

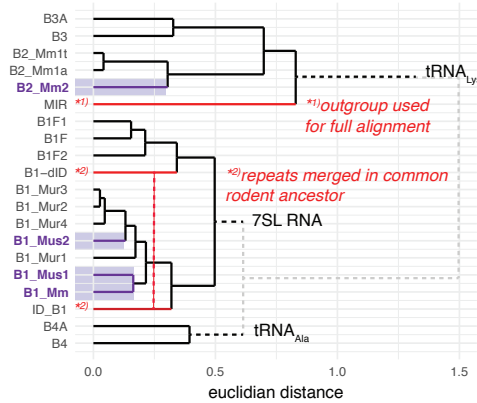
A: Enrichment of transposable elements in flanking introns



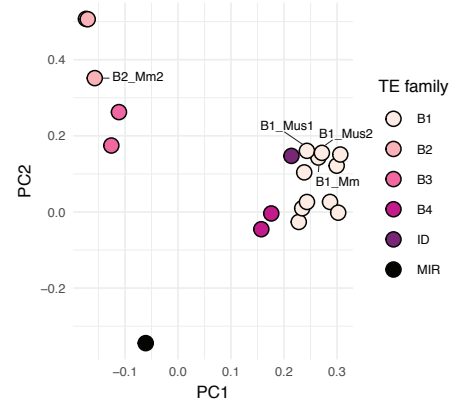
B: Top-5 dimer contribution



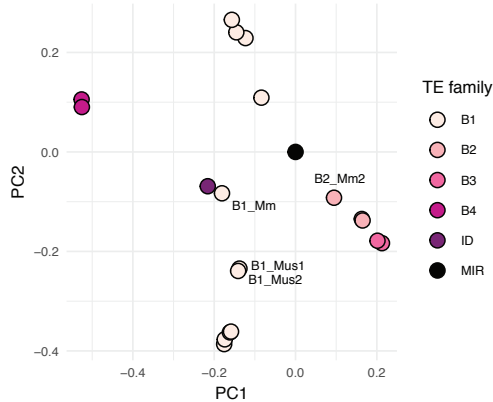
C: Repeat phylogeny, mouse



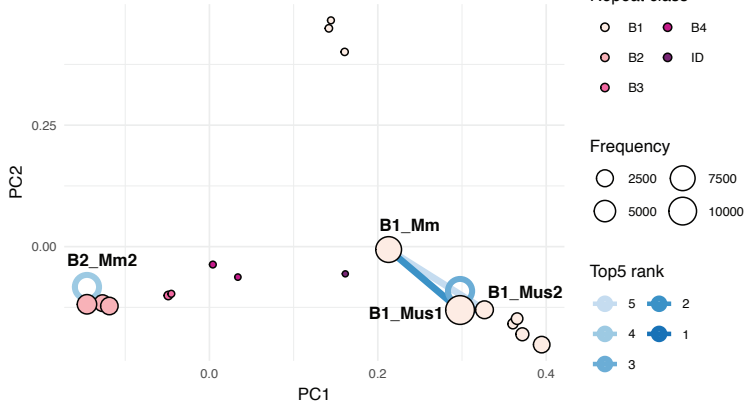
D: TE distance matrix, mouse



E: DeltaG dimers, mouse



F: Binding score, mouse



290 As pointed out above, TEs are relevant for circRNA formation because they can provide the RVCs
 291 that are the basis for intramolecular base-pairing of nascent RNA molecules (*Ivanov et al., 2015*;
 292 *Jeck et al., 2013; Zhang et al., 2014; Wilusz, 2015; Liang and Wilusz, 2014*). Folding of the pre-mRNA

Figure 4. A: Enrichment of TEs in flanking introns for mouse, rat, rhesus macaque and human. The number of TEs was quantified in both intron groups (circRNA flanking introns and length- and GC-matched control introns). Enrichment of TEs is represented by colour from high (dark purple) to low (grey). The red numbers next to the TE name indicate the top-3 enriched TEs in each species. B: Top-5 dimer contribution. The proportion of top-5 dimers (purple) to the remaining dimers (white) in flanking introns is shown. C: Phylogeny of mouse TEs. Clustal-alignment based on consensus sequences of TEs. Most recent TEs are highlighted. D: PCA for distance matrix of mouse TE families. PCA is based on the clustal-alignment distance matrix for the reference sequences of all major SINE families in mouse with the MIR family used as an outgroup. TEs present in the top-5 dimers are labelled. E: PCA based on deltaG for mouse TE families. PCA is based on the minimal free energy (deltaG) for all major SINE families in mouse with the MIR family used as an outgroup. TEs present in the top-5 dimers are labelled. F: PCA for binding score of mouse dimers. PCA is based on a merged and normalised score, taking into account binding strength (=deltaG) and phylogenetic distance. Absolute frequency of TEs is visualised by circle size. TEs present in the five most frequent dimers (top-5) are highlighted by blue lines connecting the two TEs engaged in a dimer (most frequent dimer in dark blue = rank 1). If the dimer is composed of the same TE family members, the blue line loops back to the TE (= blue circle).

Figure 4–Figure supplement 1. Enrichment of transposable elements in flanking introns for opossum.

Figure 4–Figure supplement 2. PCA and phylogeny of opossum, rat, rhesus macaque and human repeat dimers.

293 into a hairpin secondary structure with a paired RNA stem (formed by the flanking introns via the
 294 dimerised RVCs) and an unpaired loop region (carrying the future circRNA) leads to a configuration
 295 that is favourable for circRNA formation because it brings backsplice donor and acceptor sites into
 296 close proximity. In order to serve as efficient RVCs via this mechanism, TEs will need to fulfil certain
 297 criteria, and the dimerisation potential will likely depend on TE identity, frequency, and position.
 298 Moreover, while two integration events involving the same TE (in reverse orientation) will lead to
 299 an extended RVC stretch, different transposons from the same TE family also still share varying
 300 degrees of sequence similarity that depend on their phylogenetic distance. The sequence differ-
 301 ences that have evolved might compromise the base-pairing potential. To cover the dimerisation
 302 potential of the TE landscape in a comprehensive fashion, we deemed it vital to calculate the actual
 303 binding affinities between the dimerising sequences. As described below, we thus established a
 304 binding score that would account for this variety of factors influencing dimer formation and that
 305 would allow us to identify the TEs representing the most likely drivers of circRNA formation.

306 First, we noted that, similar to TEs overall (**Figure 4A**), RVCs were also enriched in SINE TEs
 307 (**Figure 4B**). Moreover, in some species, relatively few specific dimers represented the majority of
 308 all predicted dimers (i.e., top-5 dimers accounted for 89% of all dimers in flanking introns in opos-
 309 sum, 43% in mouse, 53% in rat, 11% in rhesus and 14% in human). We further realised that the
 310 phylogenetic distance between different TEs in a species was inadequate to categorise them with
 311 regard to their dimer potential; as shown for mouse (**Figure 4C-D**), phylogenetic age only sepa-
 312 rated large subgroups, but not TEs of the same family whose sequences have diverged by just a
 313 few nucleotides. By contrast, classification by binding affinities creates more precise, smaller sub-
 314 groups that lack, however, the information on phylogenetic age (**Figure 4E**). Therefore, we devised
 315 a binding score that integrates both phylogeny (age) and binding affinity information (see **Material**
 316 **and Methods**). Principal component analysis (PCA) showed that it efficiently separated different
 317 TE families and individual family members, with PC1 and PC2 of the binding score explaining ap-
 318 proximately 76% of observed variance (**Figure 4F**; **Figure 4–Figure supplement 2**). Moreover, this
 319 analysis suggests that the most frequently occurring dimers (top-5 dimers are depicted as blue

320 connecting lines in **Figure 4F**) are formed by recently active TE family members. In mouse, an illustrative example are the dimers formed by the B1_Mm, B1_Mus1 and B1_Mus2 elements (**Figure 4F**),
 321 which are among the most recent (and still active) TEs in this species (**Figure 4C**). Across species,
 322 our analyses allowed for the same conclusions. For example, the dominant dimers in rat were
 323 precisely the recently amplified ID elements, and not the more abundant (yet older in their amplification history) B1 family of TEs (**Figure 4-Figure supplement 2B**) (*Kim et al., 1994; Kim and*
 324 *Deininger, 1996*). In opossum, the most prominent dimers consisted of opossum-specific SINE1
 325 elements, which are similar to the Alu elements in primates, but possess an independent origin
 326 (**Figure 4-Figure supplement 2A**) (*Gu et al., 2007*). Finally, dimer composition within the primate
 327 lineage was relatively similar, probably due to the high amplification rate of AluJ and AluS/Z elements in the common primate ancestor and relatively recent divergence time of macaque and
 328 human (**Figure 4-Figure supplement 2C-D**) (*Batzler and Deininger, 2002*).

329
 330 In conclusion, the above analyses of RVCs revealed that dimer-forming sequences in circRNA
 331 flanking introns were most frequently composed of recent, and often currently still active, TEs.
 332 Therefore, the dimer repertoires were specific to the lineages (marsupials, rodents, primates) and/or
 333 even – as most clearly visible within the rodent lineage – species-specific.
 334
 335

336 **Flanking introns of circRNA loci shared across species are enriched in evolutionarily young TEs**

337
 338 We next compared the dimer composition of the two groups of introns, namely those that flanked
 339 circRNA loci whose exonic locations are in common between species and those that flanked species-specific circRNA loci. For this analysis – aimed at finally resolving the extent to which circRNA loci
 340 shared across species evolved from a common ancestor or independently from each other – we
 341 took into account the degradation rate (milliDiv, see hereafter), frequency, enrichment and age of the dimers. Briefly, the RepeatMasker annotations (*Smit et al., 2013*) (<http://repeatmasker.org>; see
 342 **Material and Methods** for more details) provide a quantification of how many “base mismatches
 343 in parts per thousand” have occurred between each specific repeat copy in its genomic context
 344 and the repeat reference sequence. This deviation is expressed as the milliDiv value. Thus, a high
 345 milliDiv value implies that a repeat is strongly degraded, typically due to its age (the older the repeat, the more time its sequence has had to diverge). Low milliDiv values suggest that the repeat is
 346 younger (i.e., it had less time to accumulate mutations) or that purifying selection prevented the accumulation of mutations. Using this rationale, we explored degradation rates for the top-5 dimers
 347 extracted in each species from the ensemble of parental genes, and then compared the milliDiv values associated with orthologous genes giving rise to circRNAs in multiple species (shared parental
 348 genes) to those for species-specific parental genes. Notably, dimers detected in shared parental
 349 genes are generally less degraded than those in species-specific parental genes (**Figure 5A**). In
 350 rat, for example, median milliDiv values for the dimers involving young TE classes (ID_Rn1+ID_Rn1,
 351 ID_Rn1+ID_Rn2 and ID_Rn2+ID_Rn2) range from 21 to 42.5 for shared parental genes and 26 to
 352 43.5 for species-specific parental genes, with the differences between shared and species-specific
 353 parental genes all being statistically significant (**Figure 5A, left panel**). By contrast, no significant milliDiv differences were found in the case of dimers involving older repeats (BC1_Rn+ID_Rn1
 354
 355
 356
 357
 358
 359

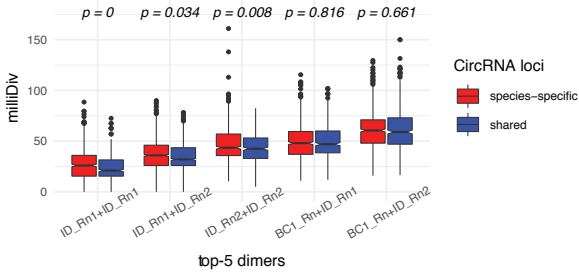
360 and BC1_Rn+ID_Rn2); thus, their degradation rates are comparable between shared and species-
361 specific parental genes. The human data (**Figure 5A, right panel**) and that from opossum, mouse
362 and macaque (**Figure 5-Figure supplement 1A**) revealed similar trends. For example, differences
363 in degradation rates between shared and human-specific parental genes were observed for the
364 dimers containing younger repeats such as AluSx1+AluY or AluSx+AluY (**Figure 5A, right panel**). In
365 conclusion, these analyses reveal that flanking introns of circRNAs are enriched in TEs with rather
366 species-specific integration and amplification rates, consistent with the idea of convergent circRNA
367 evolution driven by independent TE insertion events in orthologous genomic loci.

368 Low degradation rates could indicate that specific dimers are particularly important for the
369 production of functional circRNAs. For example, Alu elements, which the above dimer analyses
370 identified as important in human and rhesus macaque, are common to the primate lineage, and it
371 would be conceivable that the circRNA loci shared between both species emerged through TE in-
372 tegration in a common primate ancestor and were subsequently preserved by purifying selection.
373 Alternatively, differences in degradation rates may simply reflect the evolutionary age of integra-
374 tion events. In that case, we would predict that even though the circRNA parental genes are shared
375 between species, the enriched dimers would nevertheless stem from recent, independent integra-
376 tion events, rather than from ancestral, shared integration events. This scenario could occur if the
377 circRNA-producing genes were to act as “transposon sinks” that are prone to insertions of active
378 repeats due to specific features related to their sequence or structural architecture. To explore this
379 idea, we examined in greater detail the dimers in shared and species-specific parental genes. As
380 in our above analyses, we first created specific “dimer lists”, this time restricted to the two groups
381 of parental genes (shared/species-specific circRNA loci); using the top-100 most and least enriched
382 dimers, we compared the enrichment factors and mean age (categorised for simplicity into four
383 groups: 1 = species-specific, 2 = lineage-specific, 3 = eutherian, 4 = therian). The analysis revealed
384 that the most enriched and most frequent dimers are consistently formed by the youngest ele-
385 ments in both groups of genes, and that the frequency distribution of the top-100 dimers was sig-
386 nificantly different between species (see **Figure 5B** for mouse and rhesus macaque; other species
387 in **Figure 5-Figure supplement 1B**). In rhesus macaque, for example, the most frequent dimers
388 included the Alu element AluYra, which is characteristic for this species and absent from the hu-
389 man lineage. A representative example for such a shared circRNA-generating locus with young,
390 species-specific repeats is the *Akt3* locus (**Figure 5C**). Although *Akt3* circRNAs are shared between
391 human (upper panel), mouse (middle panel) and opossum (lower panel), the dimer landscapes
392 (top-5 dimers are highlighted in the figure) are entirely species-specific.

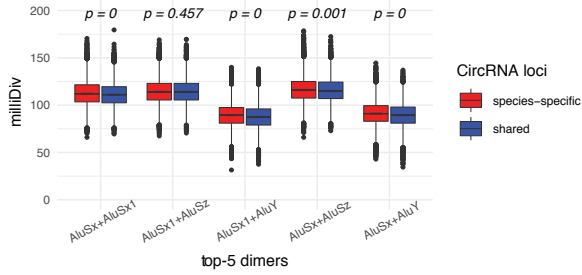
393 Taken together, we conclude that circRNAs are preferentially formed from loci that have ac-
394 quired TEs in recent evolutionary history. Such recent transposition events involved TEs that have
395 a higher degree of species-specificity than evolutionarily older TEs. Importantly, even in the case
396 of genomic loci whose capacity to generate circRNAs was shared across species, the actual repeat
397 landscapes revealed that they had acquired their TEs in evolutionarily recent times, as judged from
398 repeat degradation rates and age. Overall, these findings support a model according to which cir-
399 cRNAs are analogous, rather than homologous features of loci that have increased propensity of
400 attracting TEs, likely due to particular genomic features such as their GC content.

A: MilliDivs for top-5 dimers

Rat

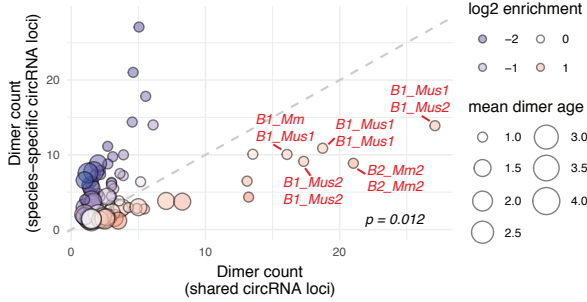


Human

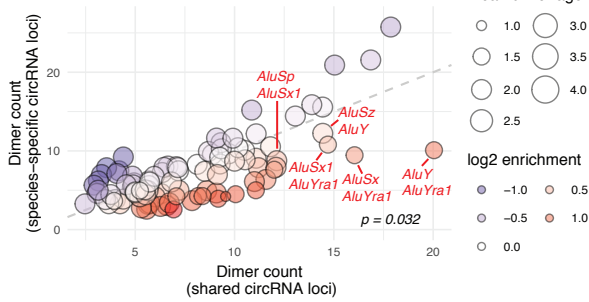


B: Dimer enrichment (shared vs. species-specific circRNA loci)

Mouse

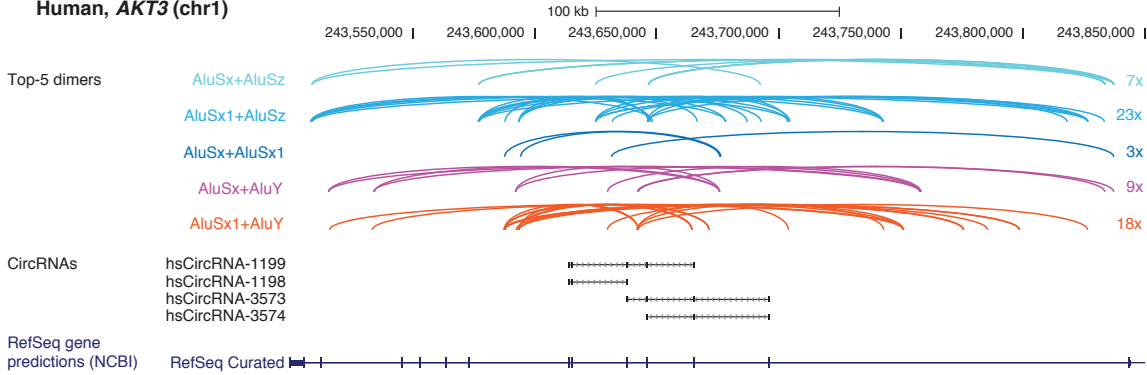


Rhesus

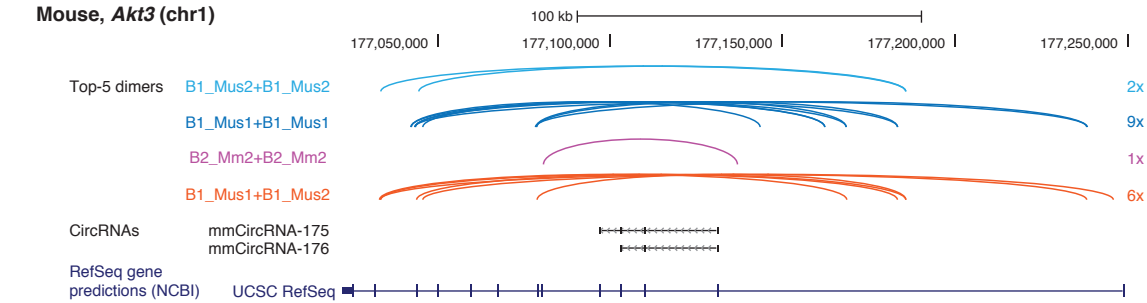


C: Examples of repeat landscape

Human, AKT3 (chr1)



Mouse, Akt3 (chr1)



Opossum, AKT3 (chr2)

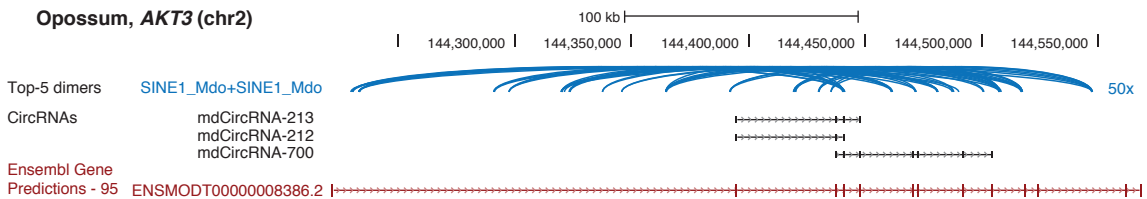


Figure 5. A: Degradation rates (MilliDivs) for top-5 dimers in rat and human. MilliDiv values for the top-5 dimers (defined by their presence in all parental genes) were compared between parental genes of species-specific (red) and shared (blue) circRNA loci in rat and human. Since dimers are composed of two repeats, their mean value was taken. A t-test was used to compare dimers between parental genes with shared and species-specific circRNA loci, with p-values plotted above the boxplots. Dimer order from left to right on the x-axis corresponds to their rank in the top-5 list (most frequent left) B: Dimer enrichment in shared vs. species-specific repeats in mouse and rhesus macaque. The frequency (number of detected dimers in a given parental gene), log₂-enrichment (shared vs. species-specific) and mean age (defined as whether repeats are species-specific: age = 1, lineage-specific: age = 2, eutherian: age = 3, therian: age = 4) of the top-100 most frequent and least frequent dimers in parental genes with shared and species-specific circRNA loci in mouse and rhesus macaque were analysed. The frequency is plotted on the x- and y-axis, point size reflects the age and point colour the enrichment (blue = decrease, red = increase). Based on the comparison between shared and species-specific dimers, the top-5 dimers defined by frequency and enrichment are highlighted and labelled in red. C: Species-specific dimer landscape for the *Akt3* gene in human, mouse and opossum. UCSC genome browser view for the parental gene, circRNAs and top-5 dimers (as defined in panel B). Start and stop positions of each dimer are connected via an arc. Dimers are grouped by composition represented by different colours, the number of collapsed dimers is indicated to the right-side of the dimer group. Only dimers that start before and stop after a circRNAs are shown as these are potentially those that can contribute to the hairpin structure. The human *Akt3* gene possesses two circRNA clusters. For better visualisation, only the upstream cluster is shown.

Figure 5–Figure supplement 1. Species-specific repeats contribute to the formation of shared circRNA loci.

401 Discussion

402 Different scenarios have been proposed for how circRNA evolution takes place (see e.g. *Patop et al.*
 403 *(2019)* for a review). Our analyses of an extensive new cross-species dataset strongly suggest that
 404 many circRNA loci that are shared across orthologous genes of different species – of which there
 405 are surprisingly few – have emerged by convergent evolution, driven by structural commonalities
 406 of their parental genes, rather than having evolved from common ancestral circRNA loci. Parental
 407 genes are composed of many exons, are located in genomic regions of low GC content, and are
 408 surrounded by an elevated number of TEs, together creating “circRNA niches” – genomic regions
 409 in which circRNAs are more likely to be generated. TEs are an indispensable feature of the niche,
 410 and in addition to their similarity in structure, orthologous parental genes thus also possess a sim-
 411 ilar, pronounced integration bias for transposons, which subsequently manifests in genomic “TE
 412 hotspots” that are shared across species. Accordingly, many TEs found within the circRNA niche
 413 possess species-specific amplification patterns and have been active only recently, or are still ac-
 414 tive even today. Due to their evolutionary youth, the genomic sequences of TEs in the circRNA
 415 niche are barely degraded, increasing the likelihood of intramolecular RNA secondary structures,
 416 which have previously been associated with circRNA biogenesis. Taken together, these findings
 417 suggest that circRNAs and TEs co-evolve in a species-specific and dynamic manner. Moreover, as
 418 most circRNAs are evolutionarily young, they are overall rather unlikely to fulfil crucial functions.
 419 This idea is in agreement with the generally low expression levels of circRNAs and with accumula-
 420 tion patterns that are frequently tissue-specific and confined to post-mitotic cells (*Guo et al., 2014;*
 421 *Westholm et al., 2014*). The model we present provides an explanation for how circRNAs can arise
 422 from shared (orthologous) exonic loci among species even if they themselves are not homologous
 423 (i.e., they do not stem from common evolutionary precursors that emerged in common ancestors).
 424 Finally, the properties we identified for the orthologous genomic niche can serve to predict circRNA
 425 parental genes with high confidence, opening the possibility to improve current circRNA prediction
 426 tools and to prioritise circRNAs for potential functional experiments.

427 TEs are a major component of most genomes and associated with various mechanisms that
428 shape genome architecture and evolution. For example, TE integration into exons (changing the
429 coding sequence) or at splice sites (potentially altering splicing patterns) may lead to the production
430 of erroneous transcripts (*Zhang et al., 2011*). Other integration events are less sensitive towards
431 creating such potentially hazardous “transcriptional noise”. For example, TEs that integrate in safe
432 distance to important regions of a gene - e.g. in the middle of a long intron - might not cause
433 more than a small increase in the transcript error rate that will in most cases be tolerable for the
434 organism. As a consequence, TEs are more likely to be tolerated in genes with long introns than
435 in short and compact genes. Moreover, long genes are known to be GC-poor (*Zhu et al., 2009*).
436 These characteristics overlap precisely with those that we identify for circRNAs, which are also
437 frequently generated from genes that are poor in GC and that have long introns, complex gene
438 structures, as well as many TEs. In other words, the propensity to produce circRNAs scales with
439 the same features that also predispose genes to transcriptional noise. Conceivably, many circRNAs
440 may thus represent, at their core, a side effect of the genes’ transcriptional noise. In agreement
441 with this model, a recent study in rat neurons has reported that the set of circRNAs that is upreg-
442 ulated after spliceosome inhibition is characterised by even longer flanking introns and an even
443 higher number of RVCs than the average circRNA (*Wang et al., 2019*). Why is it frequently the same
444 (orthologous) genomic loci and exons across species that independently develop the capacity for
445 circRNA production? It is plausible that this phenomenon can be put down to tolerance for error
446 rates. Let us consider repeat integration in close proximity to an exon boundary, which is an event
447 that will likely alter local GC content. For example, GC-rich SINE elements that integrate in close
448 proximity to a splice site can lead to a local increase in GC, which decreases the GC amplitude at
449 the exon-intron boundary. Especially in GC-low genes, this can interfere with the intron-defined
450 mechanism of splicing and cause mis-splicing (*Amit et al., 2012*). It is thus likely that TE integration
451 close to a very strong splice site (i.e., with strong GC amplitude, as typically found in canonical ex-
452 ons) would have fewer repercussions on transcript error rates than integration close to alternative
453 exons, whose GC amplitudes are less pronounced. Fully in line with such a model, we found that
454 exons that are used in circRNAs are typically canonical exons with strong GC amplitudes. While at
455 first sight, circRNA exons therefore appear to combine many rather specific, evolutionarily relevant
456 properties (in particular, increased phastCons scores), we deem it probable that these are a mere
457 consequence of a higher tolerance of canonical exon-flanking introns to TE integration.

458 Notably, this model may be taken even one step further by speculating whether circRNA prop-
459 erties for which a connection to TEs appears far-fetched, could in fact be ascribed to a transposon
460 effect after all. Such cases are, for example, the reported predisposition of circRNAs to RNA edit-
461 ing (*Ivanov et al., 2015*) and different methylation patterns at both the RNA and DNA level (*Zhou*
462 *et al., 2017; Euka et al., 2016; Deniz et al., 2019; Aktaş et al., 2017*). How could transposons
463 come into play? Briefly, intronic TEs can facilitate the formation of local secondary structures in
464 pre-mRNAs. On the one hand, this would interfere with splice-site accessibility and lead to an in-
465 crease in transcript error rates (*Salari et al., 2012; Melamud and Moulton, 2009*). On the other hand,
466 the secondary structures are associated with circRNA production. To avoid the negative impact of
467 TEs on gene transcription, several defence mechanisms have evolved to silence them. RNA edit-

468 ing, for example, is thought to have evolved as a mechanism to suppress TE amplification, and
 469 A-to-I RNA editing is indeed associated with intronic Alu elements to inhibit Alu dimers (*Lev-Maor*
 470 *et al., 2008; Athanasiadis et al., 2004*). In agreement with this notion, circRNA flanking introns are
 471 enriched in A-to-I editing sites, and knockdown of the editing machinery leads to an increase in
 472 circRNA levels (*Ivanov et al., 2015; Rybak-Wolf et al., 2015*). Based on such findings, the conclusion
 473 has been drawn that A-to-I editing could represent a mechanism to control circRNA production
 474 (*Ivanov et al., 2015; Rybak-Wolf et al., 2015*). However, the alternative scenario appears equally
 475 likely, in that changes in circRNA frequencies are actually a secondary effect caused by the primary
 476 purpose of A-to-I editing, namely the inhibition of Alu amplification. This notion is in line with the
 477 findings of (*Aktaş et al., 2017*) who showed that the nuclear RNA helicase DHX9 interacts with ADAR
 478 and can bind to inverted Alu elements that are transcribed as part of the gene (*Aktaş et al., 2017*).
 479 The loss of DHX9 leads to an increase of circRNA abundance from parental genes, in agreement
 480 with the model that DHX9 resolves TE-induced mRNA secondary structures to avoid interference
 481 with post-transcriptional processes (*Aktaş et al., 2017*). Similar reasoning can be applied to other
 482 modifications at the DNA and RNA level. Notably, DNA methylation interferes with TE amplification
 483 (*Yoder et al., 1997*), and has been connected to circRNA production (*Enuka et al., 2016*).

484 The modification N^6 -methyladenosine (m^6A) plays various roles in mRNA metabolism, including
 485 in mRNA splicing, degradation and translation (reviewed in *Zaccara et al. (2019)*). m^6A is enriched
 486 in circRNA exons and can trigger circRNA cleavage and degradation (*Zhou et al., 2017; Park et al.,*
 487 *2019; Di Timoteo et al., 2020*) and has therefore been viewed as a way to control circRNA levels
 488 dynamically and in a tissue-specific manner. However, increased levels of m^6A , which is deposited
 489 already on the nascent RNA, are part of a much broader mechanism for mRNA destabilisation
 490 (reviewed in *Lee et al. (2020)*). Hence, it is possible that increased levels of m^6A on circRNAs rather
 491 reflect the general targeting of faulty transcripts for rapid degradation.

492 These considerations - together with our evolutionary data - lead us to the interpretation that
 493 many circRNAs likely represent transcriptional noise caused by TEs integrated into parental genes.
 494 However, it is also clear that molecular functions have been identified for several circRNAs (e.g.
 495 *Hansen et al. (2013); Conn et al. (2015); Du et al. (2016)*), although the absolute number of vali-
 496 dated examples remains modest when compared to the high number of different circRNAs that
 497 have been detected across cell types, developmental stages and species. One would imagine that
 498 in order to evolve a function from noise, circRNAs need to reach critical, stable expression levels
 499 that bestow a positive effect on the organisms' fitness - a process that might take considerable
 500 time. Yet, circRNAs are not produced from scratch, but evolve from already existing functional
 501 genes, a process commonly known as exaptation (*Brosius and Gould, 1992*). A well-known ex-
 502 ample for this mechanism is provided by several miRNAs that evolved independently from each
 503 other in the same genomic position relative to the *Hox8* gene (*Campo-Paysaa et al., 2011*). For
 504 the circRNAs, the evolution of a function may be accelerated due to the presence of a clear exon
 505 structure and of regulatory elements from which the circRNAs can benefit. The production of struc-
 506 turally similar circRNAs from circRNA hotspots may accelerate this process, by providing different
 507 (back)splice sites and regulatory elements as evolutionary raw material, while keeping the internal
 508 exon sequence fairly similar. Once a circRNA emerges that is endowed with beneficial character-

509 istics and equipped with an initial set of regulatory elements, the typically rather low expression
510 level may increase. Robust expression and the acquisition of additional regulatory motifs (includ-
511 ing those for RNA-binding proteins) may ultimately render the circRNA independent of its original
512 regulation through reverse-complementary sequences (as described in *Ashwal-Fluss et al. (2014);*
513 *Conn et al. (2015); Okholm et al. (2020)*). Thus, given that circRNAs are produced from hundreds of
514 loci, in many cell types and across different developmental stages, beneficial circRNAs with useful
515 functions – such as those that have been reported – may emerge and be fixed in a species during
516 evolution.

517 In summary, our data suggests that many circRNA molecules do not carry specific molecular
518 functions. However, one may still speculate whether it is actually the process of RNA circularization
519 in itself, rather than the circRNA molecule, that is beneficial. For example, circularization may rep-
520 resent a mechanism to keep genes under control that have transformed into "transposon sinks",
521 by directing mRNA output from such transposon-rich loci towards non-productive, circular tran-
522 scripts. One could also argue that some level of splicing noise may be beneficial to engender gene
523 expression plasticity at circRNA loci. Finally, circRNAs have emerged as reliable disease biomark-
524 ers (*Memczak et al., 2015; Bahn et al., 2015*), and their utility for such predictive purposes is not
525 affected by our conclusions – on the contrary. While an altered circRNA profile will likely not have
526 a causal involvement in a disease, it could hint at misregulated transcription or splicing of the
527 parental gene, at a novel TE integration event, or at problems with the RNA editing or methyl-
528 ation machinery. The careful analysis of the circRNA landscape may thus teach us about factors
529 contributing to diseases in a causal fashion even if many or perhaps most circRNAs may not be
530 functional but rather represent transcriptional noise.

531 **Material and Methods**532 **Data deposition, programmes and working environment****Table 2.** Overview of external programmes.

Programme	Version
Blast	2.2.29+
BEDTools	2.17.0
Bowtie2	2.1.0
Clustal Omega	1.2.4
Cufflinks	2.1.1
FastQC	0.10.1
Mcl	14.137
R	3.0 and 3.1
Ruby	2.0 and 2.1
SAMTools	0.1.19
TopHat2	2.0.11
ViennaRNA	2.1.8

533 The raw data and processed data files discussed in this publication have been deposited in NCBI's
 534 Gene Expression Omnibus (*Edgar et al., 2002*) and are accessible through the GEO Series accession
 535 number [GSE162152](#). All scripts used to produce the main figures and tables of this publication
 536 have been deposited in the Git Repository [circRNA_paperScripts](#). This Git repository also holds
 537 information on how to run the scripts, and links to the underlying data files for the main figures.
 538 The custom pipeline developed for the circRNA identification can be found in the Git Repository
 539 [ncSplice_circRNAdetection](#).

540 **Library preparation and sequencing**

541 We used 5 µg of RNA per sample as starting material for library preparation, which were treated
 542 with 20 U RNase R (Epicentre/Illumina, Cat. No. RNR07250) for 1 h at 37°C to degrade linear RNAs,
 543 followed by RNA purification with the RNA Clean & Concentrator-5 kit (Zymo Research) according
 544 to the manufacturer's protocol. Paired-end sequencing libraries were prepared from the purified
 545 RNA with the Illumina TruSeq Stranded Total RNA kit with Ribo-Zero Gold according to the protocol
 546 with the following modifications to select larger fragments: 1.) Instead of the recommended 8 min
 547 at 68°C for fragmentation, we incubated samples for only 4 min at 68°C to increase the fragment
 548 size; 2.) In the final PCR clean-up after enrichment of the DNA fragments, we changed the 1:1 ratio
 549 of DNA to AMPure XP Beads to a 0.7:1 ratio to select for binding of larger fragments. Libraries were
 550 analysed on the fragment analyzer for their quality and sequenced with the Illumina HiSeq 2500
 551 platform (multiplexed, 100 cycles, paired-end, read length 100 nt).

552 Identification and quantification of circRNAs

553 Mapping of RNA-seq data

554 The [ensembl](#) annotations for opossum (monDom5), mouse (mm10), rat (rn5), rhesus macaque
555 (rheMac2) and human (hg38) were downloaded from Ensembl to build transcriptome indexes for
556 mapping with TopHat2. TopHat2 was run with default settings and the *-mate-inner-dist* and *-mate-*
557 *std-dev* options set to 50 and 200 respectively. The mate-inner-distance parameter was estimated
558 based on the fragment analyzer report.

Table 3. Ensembl genome versions and annotation files for each species.

Species	Genome	Annotation
Opossum	monDom5	ensembl release 75, feb 2014
Mouse	mm10	ensembl release 75, feb 2014
Rat	rn5	ensembl release 75, feb 2014
Rhesus macaque	rheMac2	ensembl release 77, oct 2014
Human	hg38	ensembl release 77, oct 2014

559 Analysis of unmapped reads

560 We developed a custom pipeline to detect circRNAs (**Figure1-Figure supplement 1B**), which per-
561 forms the following steps: Unmapped reads with a phred quality value of at least 25 are used to
562 generate 20 bp anchor pairs from the terminal 3' and 5'-ends of the read. Anchors are remapped
563 with bowtie2 on the reference genome. Mapped anchor pairs are filtered for 1) being on the same
564 chromosome, 2) being on the same strand and 3) for having a genomic mapping distance to each
565 other of a maximum of 100 kb. Next, anchors are extended upstream and downstream of their
566 mapping locus. They are kept if pairs are extendable to the full read length. During this procedure
567 a maximum of two mismatches is allowed. For paired-end sequencing reads, the mate read not
568 mapping to the backsplice junction can often be mapped to the reference genome without any
569 problem. However, it will be classified as "unmapped read" (because its mate read mapping to
570 the backsplice junction was not identified by the standard procedure). Next, all unpaired reads
571 are thus selected from the accepted_hits.bam file generated by TopHat2 (singletons) and assessed
572 for whether the mate read (second read of the paired-end sequencing read) of the anchor pair
573 mapped between the backsplice coordinates. All anchor pairs for which 1) the mate did not map
574 between the genomic backsplice coordinates, 2) the mate mapped to another backsplice junction
575 or 3) the extension procedure could not reveal a clear breakpoint are removed. Based on the re-
576 maining candidates, a backsplice index is built with bowtie2 and all reads are remapped on this
577 index to increase the read coverage by detecting reads that cover the BSJ with less than 20 bp,
578 but at least 8 bp. Candidate reads that were used to build the backsplice index and now mapped
579 to another backsplice junction are removed. Upon this procedure, the pipeline provides a first
580 list of backsplice junctions. The set of scripts, which performs the identification of putative BSJs,
581 as well as a short description of how to run the pipeline are deposited in the Git Repository [nc-](#)

582 [Splice_circRNA detection.](#)

583 Trimming of overlapping reads

584 Due to small DNA repeats, some reads are extendable to more than the original read length. There-
585 fore, overlapping reads were trimmed based on a set of canonical and non-canonical splice sites.
586 For the donor site GT, GC, AT, CT were used and for the acceptor splice site AG and AC. The trim-
587 ming is part of our custom pipeline described above, and the step will be performed automatically
588 if the scripts are run.

589 Calculation of CPM value

590 CPM (counts per million) values for BSJs were calculated for each tissue as follows:

$$\begin{aligned} counts &= \frac{counts_rep1 + counts_rep2 + counts_rep3}{3} \\ total\ Mapped\ Reads &= \frac{mapped\ Reads_rep1 + mapped\ Reads_rep2 + mapped\ Reads_rep3}{3} \\ CPM &= \frac{counts \cdot 10^6}{total\ Mapped\ Reads} \end{aligned}$$

591 Filtering of candidates based on CPM enrichment

592 To distinguish putative BSJs from the technical and biological noise background, the enrichment of
593 the previously (in untreated samples) defined junctions in RNase R treated samples was calculated.
594 The enrichment was defined as CPM increase in RNase R treated versus untreated samples:

$$enrichment = \frac{CPM_{RNaseR}}{CPM_{untreated}}$$

595 Candidates with a log2-enrichment of smaller 1.5, as well as less than 0.05 CPM, were removed.

596 Manual filtering steps

597 We observed several genomic loci in rhesus macaque and human that were highly enriched in
598 reads for putative BSJs (no such problem was detected for opossum, mouse and rat). Manual
599 inspection in the UCSC genome browser indicated that these loci are highly repetitive. The detected
600 BSJs from these regions do probably not reflect BSJs, but instead issues in the mapping procedure.
601 These candidates were thus removed manually; the regions are:
602 All following analyses were conducted with the circRNA candidates that remained after this step.

603 Reconstruction of circRNA isoforms

604 To reconstruct the exon structure of circRNA transcripts in each tissue, we made use of the junction
605 enrichment in RNase R treated samples. To normalise junction reads across libraries, the size
606 factors based on the geometric mean of common junctions in untreated and treated samples were
607 calculated as

Table 4. Removed regions during mapping.

species	tissue	chromosome	start	stop	strand
rhesus macaque	testis	7	164261343	164283671	+
rhesus macaque	testis	7	22010814	22092409	-
rhesus macaque	testis	19	52240850	52288425	-
rhesus macaque	testis	19	59790996	59834798	+
rhesus macaque	testis	19	59790996	59847609	+
human	testis	2	178535731	178600667	+
human	testis	7	66429678	66490107	-
human	testis	9	97185441	97211487	-
human	testis	12	97492460	97561047	+
human	testis	14	100913431	100949596	+
human	testis	18	21765771	21849388	+

$$geometric_mean = \left(\prod x \right)^{\frac{1}{length(x)}}$$

$$size_factor = median \left(\frac{x}{geometric_mean} \right)$$

608 with x being a vector containing the number of reads per junction. We then compared read cover-
609 age for junctions outside and inside the BSJ for each gene and used the log₂-change of junctions
610 outside the backsplice junction to construct the expected background distribution of change in
611 junction coverage upon RNase R treatment. The observed coverage change of junctions inside the
612 backsplice was then compared to the expected change in the background distribution and junc-
613 tions with a log₂-change outside the 90% confidence interval were assigned as circRNA junctions;
614 a loose cut-off was chosen, because involved junctions can show a decrease in coverage if their lin-
615 ear isoform was present at high levels before (degradation levels of linear isoforms do not correlate
616 with the enrichment levels of circRNAs). Next, we reconstructed a splicing graph for each circRNA
617 candidate, in which network nodes are exons connected by splice junctions (edges) (*Heber et al.,*
618 **2002**). Connections between nodes are weighted by the coverage in the RNase R treated samples.
619 The resulting network graph is directed (because of the known circRNA start and stop coordinates),
620 acyclic (because splicing always proceeds in one direction), weighted and relatively small. We used
621 a simple breadth-first-search algorithm to traverse the graph and to define the strength for each
622 possible isoform by its mean coverage. Only the strongest isoform was considered for all subse-
623 quent analyses.

624 Reconstruction and expression quantification of linear mRNAs

625 We reconstructed linear isoforms based on the pipeline provided by *Trapnell et al. (2012)* (Cufflinks
626 + Cuffcompare + Cuffnorm). Expression levels were quantified based on fragments per million
627 mapped reads (FPKM). Cufflinks was run per tissue and annotation files were merged across tissues
628 with Cuffcompare. Expression was quantified with Cuffnorm based on the merged annotation file.

629 All programs were run with default settings. FPKM values were normalised across species and
 630 tissues using a median scaling approach as described in *Brawand et al. (2011)*.

631 **Identification of shared circRNA loci between species**

632 Definition and identification of shared circRNA loci

633 Shared circRNA loci were defined on three different levels depending on whether the "parental
 634 gene", the "circRNA locus" in the gene or the "start/stop exons" overlapped between species (see
 635 **Figure 2A** and **Figure 2-Figure supplement 1**). Overall considerations of this kind have recently
 636 also been outlined in *Patop et al. (2019)*.

637 Level 1 - Parental genes: One-to-one (1:1) therian orthologous genes were defined between
 638 opossum, mouse, rat, rhesus macaque and human using the Ensembl orthology annotation (con-
 639 fidence intervals 0 and 1, restricted to clear one-to-one orthologs). The same procedure was per-
 640 formed to retrieve the 1:1 orthologous genes for the eutherians (mouse, rat, rhesus macaque,
 641 human), for rodents (mouse, rat) and primates (rhesus macaque, human). Shared circRNA loci be-
 642 tween species were assessed by counting the number of 1:1 orthologous parental genes between
 643 the five species. The analysis was restricted to protein-coding genes.

644 Level 2 - circRNA locus: To identify shared circRNA loci, all circRNA exon coordinates from a given
 645 gene were collapsed into a single transcript using the *bedtools merge* option from the BEDTools
 646 toolset with default options. Next, we used liftOver to compare exons from the collapsed transcript
 647 between species. The minimal ratio of bases that need to overlap for each exon was set to 0.5 (-
 648 *minMatch=0.5*). Collapsed transcripts were defined as overlapping between different species if they
 649 shared at least one exon, independent of the exon length.

650 Level 3 - start/stop exon: To identify circRNAs sharing the same first and last exon between
 651 species, we lifted exons coordinates between species (same settings as described above, *liftOver*,
 652 *-minMatch=0.5*). The circRNA was then defined as "shared", if both exons were annotated as start
 653 and stop exons in the respective circRNAs of the given species. Note, that this definition only
 654 requires an overlap for start and stop exons, internal circRNA exons may differ.

655 Given that only circRNAs that comprise corresponding (1:1 orthologous exons) in different
 656 species might at least potentially and reasonably considered to be homologous (i.e., might have
 657 originated from evolutionary precursors in common ancestors) and the Level 3 definition might
 658 require strong evolutionary conservation of splice sites (i.e., with this stringent definition many
 659 shared loci may be missed), we decided to use the level 2 definition (circRNA locus) for the analy-
 660 ses presented in the main text, while we still provide the results for the Level 1 and 3 definitions
 661 in the supplement (**Figure 2-Figure supplement 1**). Importantly, defining shared circRNA loci at
 662 this level allows us to also compare circRNA hotspots which have been defined using a similar
 663 classification strategy.

664 **Clustering of circRNA loci between species**

665 Based on the species set in which shared circRNA loci were found, we categorised circRNAs in the
 666 following groups: Species-specific, rodent, primate, eutherian and therian circRNAs. To be part of
 667 the rodent or primate group, the circRNA has to be expressed in both species of the lineage. To

668 be part of the eutherian group, the circRNA has to be expressed in three species out of the four
 669 species mouse, rat, rhesus macaque and human. To be part of the therian group, the circRNA
 670 needs to be expressed in opossum and in three out of the four other species. Species-specific
 671 circRNAs are either present in one species or do not match any of the other four categories. To
 672 define the different groups, we used the cluster algorithm MCL (*Enright et al., 2002; Dongen, 2000*).
 673 MCL is frequently used to reconstruct orthology clusters based on blast results. It requires input in
 674 *abc* format (file: *species.abc*), in which *a* corresponds to event a, *b* to event b and a numeric value *c*
 675 that provides information on the connection strength between event a and b (e.g. blast p-value). If
 676 no p-values are available as in this analysis, the connection strength can be set to 1. MCL was run
 677 with a cluster granularity of 2 (*option -l*).

678

```
679 $ mcxload -abc species.abc -stream-mirror -o species.mci -write-tab species.tab
```

```
680 $ mcl species.mci -l 2
```

```
681 $ mcxdump -icl out.species.mci.l20 -tabr species.tab -o dump.species.mci.l20
```

682 PhastCons scores

683 Codings exons were selected based on the attribute “transcript_biotype = protein_coding” in the gtf
 684 annotation file of the respective species and labelled as circRNA exons if they were in our circRNA
 685 annotation. Exons were further classified into UTR-exons and non-UTR exons using the ensembl
 686 field “feature = exon” or “feature = UTR”. Since conservation scores are generally lower for UTR-
 687 exons (*Pollard et al., 2010*), any exon labelled as UTR-exon was removed from further analyses to
 688 avoid bias when comparing circRNA and non-circRNA exons. Genomic coordinates of the remain-
 689 ing exons were collapsed using the *merge* command from the BEDtools toolset (*bedtools merge*
 690 *input_file -nms -scores collapse*) to obtain a list of unique genomic loci. PhastCons scores for all
 691 exon types were calculated using the conservation scores provided by the UCSC genome browser
 692 (mouse: phastCons scores based on alignment for 60 placental genomes; rat: phastCons scores
 693 based on alignment for 13 vertebrate genomes; human: phastCons scores based on alignment
 694 for 99 vertebrate genomes). For each gene type (parental or non-parental), the median phastCons
 695 score was calculated for each exon type within the gene (if non-parental: median of all exons; if
 696 parental: median of exons contained in the circRNA and median of exons outside of the circRNA).

697 Tissue specificity of exon types

698 Using the DEXseq package (from HTSeq 0.6.1), reads mapping on coding exons of the parental
 699 genes were counted. The exon-bins defined by DEXseq (filtered for bins ≥ 10 nt) were then mapped
 700 and translated onto the different exon types: UTR-exons of parental genes, exons of parental genes
 701 that are not in a circRNA, circRNA exons. For each exon type, an FPKM value based on the exon
 702 length and sequencing depth of the library was calculated.

$$FPKM = \frac{\text{counts_for_exon_type} \cdot 10^9}{\text{exon_type_length}/\text{sequencing_depth}}$$

703 Exons were labelled as expressed in a tissue, if the calculated FPKM was at least 1. The maximum
 704 number of tissues in which each exon occurred was plotted separately for UTR-exons, exons out-
 705 side the circRNA and contained in it.

706 GC amplitude

707 The ensembl annotation for each species was used to retrieve the different known transcripts in
 708 each coding gene. For each splice site, the GC amplitude was calculated using the last 250 intronic
 709 bp and the first 50 exonic bp (several values for the last n intronic bp and the first m exonic bp
 710 were tested beforehand, the 250:50 ratio was chosen, because it gave the strongest signal). Splice
 711 sites were distinguished by their relative position to the circRNA (flanking, inside or outside). A one-
 712 tailed and paired Mann-Whitney U test was used to assess the difference in GC amplitude between
 713 circRNA-related splice sites and others.

714 Parental gene analysis

715 GC content of exons and intron

716 The ensembl annotation for each species was used to retrieve the different known transcripts in
 717 each coding gene. Transcripts were collapsed per-gene to define the exonic and intronic parts.
 718 Introns and exons were distinguished by their relative position to the circRNA (flanking, inside or
 719 outside). The GC content was calculated based on the genomic DNA sequence. On a per-gene level,
 720 the median GC content for each exon and intron type was used for further analyses. Differences
 721 between the GC content were assessed with a one-tailed Mann-Whitney U test.

722 Gene self-complementarity

723 The genomic sequence of each coding gene (first to last exon) was aligned against itself in sense
 724 and antisense orientation using megaBLAST with the following call:

725

```
726 $ blastn -query seq.fa -subject seq.fa -task dc-megablast -word_size 12 -outfmt "6 qseqid qstart qend  

  727 sseqid sstart send sstrand length pident nident mismatch bitscore evalue" > blast.out
```

728

729 The resulting alignments were filtered for being purely intronic (no overlap with any exon). The
 730 fraction of self-complementarity was calculated as the summed length of all alignments in a gene
 731 divided by its length (first to last exon).

732 Generalised linear models

733 All linear models were developed in the R environment. The presence of multicollinearity between
 734 predictors was assessed using the *vif()* function from the R package *car* (version 3.0-3) to calculate
 735 the variance inflation factor (VIF). Predictors were scaled to be able to compare them with each
 736 other using the *scale()* function as provided in the R environment.

737 For parental genes, the dataset was split into training (80%) and validation set (20%). To find the
 738 strongest predictors, we used the R package *bestglm* (version 0.37). Each model was fitted on the
 739 complete dataset using the command *bestglm()* with the information criteria set to "CV" (CV = cross
 740 validation) and the number of repetitions $t = 1000$. The model family was set to "binomial" as we

741 were merely interested in predicting the presence (1) or absence (0) of a parental gene. Significant
 742 predictors were then used to report log-odds ratios and significance levels for the validation set
 743 using the default *glm()* function of the R environment. Log-odds ratios, standard errors and confi-
 744 dence intervals were standardised using the *beta()* function from the *reghelper* R package (version
 745 1.0.0) and are reported together with their p-values in **Supplementary Table 5**.

746 For the correlation of hotspot presence across the number of species, a generalised linear
 747 model was applied using the categorical predictors “lineage” (= circRNA loci shared within rodents
 748 or primates), “eutherian” (= circRNA loci shared within rodents and primates) and “therian” (= cir-
 749 cRNA loci shared within opossum, rodents and primates). Log-odds ratios, standard errors and
 750 confidence intervals were standardised using the *beta()* function from the *reghelper* R package (ver-
 751 sion 1.0.0) and are reported together with their p-values in **Supplementary Table 6**.

752 Comparison to human and mouse circRNA heart dataset

753 The circRNA annotations for human and mouse heart as provided by *Werfel et al. (2016)* were,
 754 based on the parental gene ID, merged with our circRNA annotations. Prediction values for parental
 755 genes were calculated using the same general linear regression models as described above (Sec-
 756 tion *Generalised linear models* in Material and Methods section) with genomic length, number of
 757 exons, GC content, expression levels, reverse complements (RVCs) and phastCons scores as pre-
 758 dictors. Prediction values were received from the model and compared between parental genes
 759 predicted by our and the Werfel dataset as well as between the predictors in non-parental and
 760 parental genes of the Werfel dataset (**Figure 3-Figure supplement 3**).

761 Integration of external studies

762 (1) Replication time

763 Values for the replication time were used as provided in *Koren et al. (2012)*. Coordinates of the dif-
 764 ferent replication domains were intersected with the coordinates of coding genes using BEDtools
 765 (*bedtools merge -f 1*). The mean replication time of each gene was used for subsequent analyses.

766

767 (2) Gene expression steady-state levels

768 Gene expression steady-state levels and decay rates were used as provided in Table S1 of *Pai et al.*
 769 *(2012)*.

770

771 (3) GHIS

772 Genome-wide haploinsufficiency scores for each gene were used as provided in Supplementary
 773 Table S2 of *Steinberg et al. (2015)*.

774 Repeat analyses

775 Generation of length- and GC-matched background dataset

776 Flanking introns were grouped into a matrix of i columns and j rows representing different genomic
 777 lengths and GC content; i and j were calculated in the following way:

$$i = seq(from = quantile(GCcontent, 0.05), to = quantile(GCcontent, 0.95), by = 0.01)$$

$$j = seq(from = quantile(length, 0.05), to = quantile(length, 0.95), by = 1000)$$

778 Flanking introns were sorted into the matrix based on their GC content and length. A second matrix
 779 with the same properties was created containing all introns of coding genes. From the latter, a
 780 submatrix was sampled with the same length and GC distribution as the matrix for flanking introns.
 781 The length distribution and GC distribution of the sampled introns reflect the distributions for the
 782 flanking introns as assessed by a Fisher's t Test that was non-significant.

783 Repeat definition

784 The RepeatMasker annotation for full and nested repeats were downloaded for all genomes using
 785 the UCSC Table browser (tracks "RepeatMasker" and "Interrupted Rpts") and the two files merged.
 786 Nested repeats were included, because it was shown that small repetitive regions are sufficient to
 787 trigger base pairing necessary for backsplicing (*Liang and Wilusz, 2014; Kramer et al., 2015*). The
 788 complete list was then intersected (*bedtools merge -f1*) with the above defined list of background
 789 and flanking introns for further analyses.

790 Identification of repeat dimers

791 The complementary regions (RVCs) that were defined with megaBLAST as described above, were
 792 intersected with the coordinates of individual repeats from the RepeatMasker annotation. To be
 793 counted, a repeat had to overlap with at least 50% of its length with the region of complementarity
 794 (*bedtools merge -f 0.5*). As RVCs can contain several repeats, the "strongest" dimer was selected
 795 based on the number of overlapping base pairs (= longest overlapping dimer). The "dimer list" ob-
 796 tained from this analysis for each species was further ranked according to the absolute frequency
 797 of each dimer. The proportion of the top-5 dimer frequency to all detected dimers, was calculated
 798 based on this list ($n_{top-5} / n_{all_dimers}$).

799 Binding scores of repeat dimers

800 Binding scores for each TE class (based on the TE reference sequence) were defined by taking into
 801 account the (1) phylogenetic distance to other repeat families in the same species and (2) its bind-
 802 ing affinity (deltaG) to those repeats. We decided to not include the absolute TE frequency into
 803 the binding score, because it is a function of the TE's age, its amplification and degradation rates.
 804 Simulating the interplay between these three components is not in scope of this study, and the in-
 805 tegration of frequency into binding score creates more noise as tested via PCA analyses (variance
 806 explained drops by 10%).

807

808 (1) Phylogenetic distance

809 TE reference sequences were obtained from Repbase (*Bao et al., 2015*) and translated into fasta-
 810 format for alignment (*reference_sequences.fa*). Alignments were then generated with Clustal Omega
 811 (v1.2.4) (*Sievers et al., 2011*) using the following settings:

812

813 `$ clustalo -i reference_sequences.fa -distmat-out = repeats.mat -guidetree-out = repeats.dnd -full`

814

815 The resulting distance matrix for the alignment was used for the calculation of the binding score.
816 Visualisation of the distance matrix (**Figure 4C, Figure 4-Figure supplement 1**) was performed us-
817 ing the standard R functions `dist(method="euclidian")` and `hclust(method="ward.D2")`. Since several
818 TE classes evolved independently from each other, the plot was manually modified to remove con-
819 nections or to add additional information on the TE's origin from literature.

820

821 (2) Binding affinity

822 To estimate the binding affinity of individual TE dimers, the free energy of the secondary structure
823 of the respective TE dimers was calculated with the RNAcofold function from the ViennaRNA Pack-
824 age:

825

826 `$ RNAcofold -a -d2 < dimerSequence.fa`

827

828 with `dimerSequence.fa` containing the two reference sequences of the TEs from which the dimer is
829 composed. The resulting deltaG values were used to calculate the binding score.

830

831 (3) Final binding score

832 To generate the final binding score, values from the distance matrix and the binding affinity were
833 standardised (separately from each other) to values between 0 and 1:

$$f(x) = \frac{x - \min(v)}{\max(v) - \min(v)}$$

834 with x being the binding affinity/dimer frequency and $\min v$ and $\max v$ the minimal and maximal
835 observed value in the distribution. The standardised values for the binding affinity and dimer fre-
836 quency were then summed up (= binding score) and classified by PCA using the R environment:

837

838 `$ pca <- prcomp(score, center=TRUE, scale.=FALSE)`

839

840 PC1 and PC2 were used for subsequent plotting with the absolute frequency of dimers represented
841 by the size of the data points.

842 Calculation of dimer degradation

843 RepeatMasker annotations were downloaded from the UCSC Table browser for all genomes. The
844 milliDiv values for each TE in a TE dimer were retrieved from this annotation for full and nested
845 repeats. A representative milliDiv was formed using the mean of the two values. Dimers were
846 then classified as species-specific or present in all species based on whether the circRNA parental
847 gene produced species-specific or shared circRNA loci. Significance levels for milliDiv differences

848 between the dimer classes were assessed with a simple Mann-Whitney U test (alternative set to
849 "less").

850 **Supplementary Data**

851 **Supplementary Tables and Figures**

852 Supplementary Tables and Figures are available as an attachment to this document.

853 **Supplementary Files**

854 **Supplementary File 1: CircRNA annotation file for opossum.** A gtf-file with all circRNA transcripts
855 including the transcript and exon coordinates.

856 **Supplementary File 2: CircRNA annotation file for mouse.** A gtf-file with all circRNA transcripts
857 including the transcript and exon coordinates.

858 **Supplementary File 3: CircRNA annotation file for rat.** A gtf-file with all circRNA transcripts includ-
859 ing the transcript and exon coordinates.

860 **Supplementary File 4: CircRNA annotation file for rhesus macaque.** A gtf-file with all circRNA tran-
861 scripts including the transcript and exon coordinates.

862 **Supplementary File 5: CircRNA annotation file for human.** A gtf-file with all circRNA transcripts
863 including the transcript and exon coordinates.

864

865 All gtf-files have been uploaded to the UCSC genome browser and can be viewed here:

866 **Opossum:** <http://genome.ucsc.edu/s/Frenzen/monDom5%20circRNA%20annotation>

867 **Mouse:** <http://genome.ucsc.edu/s/Frenzen/mm10%20circRNA%20annotation>

868 **Rat:** <http://genome.ucsc.edu/s/Frenzen/rn5%20circRNA%20annotation>

869 **Rhesus macaque:** <http://genome.ucsc.edu/s/Frenzen/rheMac2%20circRNA%20annotation>

870 **Human:** <http://genome.ucsc.edu/s/Frenzen/hg38%20circRNA%20annotation>

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881 **Author contributions**

882 Contributions to this publication are distributed as follows: Study design: F.G., D.G., H.K. and P.J.;
883 Experimental work: F.G. and P.J.; Bioinformatics data analyses: F.G.; Paper manuscript and discus-
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885 **Competing interests**

886 No competing interests.

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1111 **Figure 1–Figure supplement 1.**

1112 **Figure 1–Figure supplement 2.**

1113 **Figure 1–Figure supplement 3.**

1114 **Figure 1–Figure supplement 4.**

1115 **Figure 2–Figure supplement 1.**

1116 **Figure 2–Figure supplement 2.**

1117 **Figure 3–Figure supplement 1.**

1118 **Figure 3–Figure supplement 2.**

1119 **Figure 3–Figure supplement 3.**

1120 **Figure 4–Figure supplement 1.**

1121 **Figure 4–Figure supplement 2.**

1122 **Figure 5–Figure supplement 1.**