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Large-scale spatial variability in loess landforms and their

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evolution, Luohe River Basin, Chinese Loess Plateau

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13 Abstract: Loess landform variability across large spatial extents needs to be analyzed to 14 understand the formation and evolution of loess landscapes. This is becoming increasingly 15 possible via the automated analysis of remotely-sensed data. Here, we quantify loess 16 landforms using an object-based image analysis (OBIA) method and use this classification 17 to describe the spatial variability of loess landforms. Quantitative indicators are used to drive the spatial variability analysis of loess landforms and explain their spatio-temporal 18 19 evolution. Moreover, the hypsometric integral (HI) and topographic interpolation are 20 employed to investigate soil erosion and development patterns of loess landscape. Results 21 show that the OBIA method classified loess landforms to an accuracy of 88.7%. The 22 derived metrics in terms of the area, slope and complexity of landform shape allow the

determination of the spatial structure of the loess landscapes. The HI value of the entire basin is 0.486, representing the mature stage of landform development, with relatively severe surface erosion. Correlation analysis of HI values and related indicators in the subbasins shows that HI is poorly correlated with the area proportion of loess landform types and the total erosion volume in the basin but shows a relatively strong correlation with the volume of erosion per unit area.

29 Keywords: Loess landform variability, hypsometric integral, object-based image analysis,

- 30 landscape pattern indices, Chinese Loess Plateau
- 31 **1 Introduction**

32 Loess landscapes evolve due to the coupled effects of wind and water erosion (Xiong 33 et al., 2014). In the Chinese Loess Plateau, these landscapes have attracted widespread 34 attention, and numerous studies have been devoted to exploring their morphology, 35 morphological change, and formative processes (Eger et al., 2012; Zhu et al., 2018; Feng 36 et al., 2020; Li et al., 2020a; Guan et al., 2021; Hu et al., 2021). Less attention has been 37 given to the spatial patterns of loess landforms over large spatial extents and how they can 38 be used to infer loess landscape development through time based on the quantification of their spatial variability (Irvin et al., 1997; MacMillan et al., 2000; Wu et al., 2018; Yuan et 39 40 al., 2020; Wei et al., 2021a). Typical loess landforms are composed of four elements: loess 41 tablelands, loess ridges, loess hills, and loess gullies. Through a complicated combination 42 of spatial distribution, area structure, topographic factors and environmental conditions, 43 different loess landform elements shape the diverse loess landscapes, and these different landform expressions represent the spatial variability of the loess landforms (Tang et al., 44

45 2015; Xiong and Tang, 2019). Previous studies have proved that gully erosion is an 46 essential contributor to shaping the diverse loess landform patterns (Wang et al., 2021; Liu 47 et al., 2022b). Differential gully erosion in space not only changes the relative importance of these four elements but may change their shapes. The differences in the shape and 48 49 spatial distribution of the four landform types are worth highlighting in spatial variability. 50 This variability is recognized as specific manifestations of the different stages of loess 51 landform development (Li et al., 2020a). Numerous methods and theories have now been 52 proposed to explain the development of these stages (Stevens et al., 2013; Huang et al., 53 2019; Liu et al., 2020). They have contributed to a broader understanding of loess landform 54 formation and evolution mechanisms. However, in relation to the Chinese Loess Plateau, 55 such studies have tended to take a holistic perspective for the entire Chinese Loess 56 Plateau, and exploration of spatial differences in loess landforms has primarily concentrated on certain loess landform types (e.g., loess tableland) rather than spatial 57 58 variation in the relative importance and shape of different landform types. The analysis of 59 spatial variability dictates that a large spatial extent is necessary, given that complete 60 landform types and sufficient samples of landform entities are needed. Moreover, a large spatial extent may provide a broader perspective on the developmental modes and 61 62 evolutionary processes of loess landscapes. This aspect is the focus of this study. 63 Early descriptions of loess landform variability were artistic (e.g., in paintings), highly

65 developments in remote surveying methods (e.g., unmanned aerial vehicle, lidar and

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visual, but not quantitative (Xiong et al., 2018; Cheng et al., 2020). With the benefit of rapid

InSAR), high precision topographic data has become more accessible and has facilitated

more quantitative approaches (Lane et al., 1993; Hu et al., 2020; He et al., 2021; Xiong et 67 68 al., 2021). Amongst them is the automated classification of loess landforms based on the 69 analysis of imagery and/or digital elevation data by using either pixel-based (e.g. Dai et al., 70 2020) or object-based (e.g. Ding et al., 2021) approaches. Previous research found that 71 pixel-based methods are sensitive to the land cover type and atmospheric conditions 72 (Dingle and King, 2011; Chen et al., 2018; Jiang et al., 2021); and Object-based image 73 analysis (OBIA) approaches have been proven to be effective over large areas (Shruthi et 74 al., 2015; Liu et al., 2022a).

Classification is the precursor to describing and explaining the distribution of loess landform types. Descriptive indicators may be topographic factors or the shapes of twodimensional morphological features. Topographic indicators focus on terrain derivatives, and more than 100 have been described to date for loess landform description (Tang et al., 2008). Two-dimensional morphological indicators do not necessarily need altitudinal data and focus more on shapes. Thus, they can be used when terrain data (i.e., elevation data) are unavailable.

One development of morphological indicators adopts a macro-perspective using landscape pattern indices more commonly used in landscape ecology. Landscape pattern is quantified in terms of structural composition and spatial configuration (Tischendorf, 2001; Li and Wu, 2004; Wei et al., 2021b). Landscape pattern indices were firstly applied to ecology-related research in the 1980s (Krummel et al., 1987) and have since been gradually expanded to a broader range of fields with the development of dedicated calculation software (Neel et al., 2004; Buyantuyev and Wu, 2007; Hassett et al., 2012;).

The advantage of landscape pattern indices is that they can distinguish differences in the 89 90 characteristics of the study target at multiple scales in terms of patches, classes and 91 landscape in parallel to the quantification of common morphological characteristics (Wang 92 et al., 2014). Furthermore, indices are available to express the spatial distribution, 93 clustering, and diversity of investigated objects (McGarigal, 2001). Convenient calculation 94 and a wealth of optional indices make landscape pattern indices attractive to researchers. 95 To investigate the development of loess landforms, the assessment of the stages of loess landforms evolution is the foundation, represented by the extent of surface erosion. 96 97 The latter can be measured by comparing topographic changes for different periods and inferring the erosion rates from mass conservation (Antoniazza et al., 2019; Dai et al., 2021). 98 99 In this study, the loess landform development is inferred from the hypsometric integral (HI), 100 a widely used indicator in geomorphology, hydrology and active tectonics studies (Lifton and Chase, 1992; Ohmori, 1993; Willgoose and Hancock, 1998; Zhang et al., 2020). The 101 102 HI indicator describes the relative proportion of the basin area that lies at or above a given 103 elevation relative to the total basin topography, and the shape of the hypsometric curve 104 can be used to infer the stage of landform development (Strahler, 1952). This index was firstly introduced by Strahler (1952) and later extended to a broader range of fields. The 105 development of computational platforms and DEM data has reduced the calculation 106 107 difficulty of HI, which makes it more widely applicable and practicable (Luo, 1998). Building on an OBIA classification of loess landforms in the Luohe River Basin of the 108

development to quantify the large-scale spatial variability in loess landforms. This analysis

Chinese Loess Plateau, this study uses a system of descriptive indicators of loess landform

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is then used to understand the development of loess landscapes through the progressive process of gully erosion. The specific objectives of this study were to (1) assess the performance of the OBIA method in the classification of large-scale loess landforms, (2) construct a quantitative indicator system for describing loess landform variability in space and (3) analyze the evolution of loess landforms within the case study as compared with existing theories of loess landform development.

117 **2 Material and methods**

118 **2.1 Study area**

119 The Luohe River Basin is located at the hinterland of the Chinese Loess Plateau $(107^{\circ}32'-110^{\circ}06'E, 34^{\circ}54'-37^{\circ}19'N)$, with an area of approximately 3.68×10^{3} km² (Fig. 1). 120 The overall elevation is high in the northwest (maximum altitude of 1741 m) and low in the 121 122 southeast (minimum altitude of 410 m). The basin has a continental monsoon climate, with an average annual rainfall between 510 and 540 mm concentrated in July to September. 123 124 Intense rainfall leads to soil loss in this basin and affects approximately 64% of the total 125 area of the watershed (Wu et al., 2014). The study area has typical loess landform features, 126 and the loess landform patterns of the basin show significant regional variation. The main landform types of the basin from top to bottom are the hilly-gullied loess region upstream, 127 128 then the plateau gully region, and finally the plain terrace region downstream, with relatively 129 flat topography. According to the landform type map of the Chinese Loess Plateau, the landform types in the study area include loess tablelands, residual tablelands, gullies, and 130 131 low bedrock hills (Guo et al., 2015). Owing to the visible differences in the intensity of gully 132 erosion, it is an ideal sample area for studying the loess landform variability and its spatial distribution. For computational reasons and because it showed the full range of loess
landform types, the downstream area of the Luohe River Basin was selected as the study
area (Fig. 1b). The downstream area of Luohe River Basin is divided into several subbasins, and the actual analysis area is slightly smaller than the downstream of the Luohe
River Basin.



139 **Fig. 1.** Location of the study area (Fig. 1a), the associated Digital Elevation Model (DEM) (Fig. 1b) and

the Planet Explorer image of the study area (Fig. 1c)

141 **2.2 Data sources**

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The data used in the research are topographical, hydrological, and image-based. The topographical data were derived from the NASADEM released by the National Aeronautics and Space Administration (NASA) in 2020 with a spatial resolution of 1 arc second, considered the highest-quality freely-available product with global coverage (Crippen et al., High-resolution remote sensing images were used to produce higher density

spectral and texture information. The imagery of 5 m resolution downloaded from Planet 147 Explorer (https://www.planet.com/explorer/) was used. The image data were mainly 148 149 captured between January and April in 2021. During this period, less vegetation cover is 150 present on the surface, and the boundaries of loess morphology objects are more obvious, 151 which aids image segmentation and classification. Terrain factors (slope, aspect, curvature 152 and hill shade) and vector river data were also used as auxiliary layers to support the image segmentation process. The terrain factors used were calculated using the 1 arc second 153 154 resolution DEM at approximately 30 m resolution and vector river data provided by the 155 Upper and Middle Yellow River Reaches Administration.

156 **2.3 Methods**

157 **2.3.1 Loess landform interpretation based on the OBIA method**

158 The OBIA method was adopted to classify the loess landform types of the study area. The overall landform classification flowchart is shown in Fig. 2. The two most important 159 steps in the classification process are the segmentation of multiple layers and the selection 160 161 of classification features (Yan et al., 2006). In this research, the segmentation was conducted in the eCognition Developer 9.0 (Trimble) software using multiresolution 162 segmentation (MRS) (Johnson and Jozdani, 2018). MRS is a bottom-up region merging 163 technology starting from a pixel object (Nikfar et al., 2012; Liu et al., 2017). Adjacent image 164 165 objects are merged to meet the defined minimum growth criteria for heterogeneity; otherwise, the merging process stops. The image object generated after the segmentation 166 167 process is the smallest unit for further image interpretation. Multiple input layers are 168 necessary to provide as much information as possible to distinguish segmented objects.

169 Thus, DEM data, derived terrain factor layers, remote sensing images, and vector river



170 data are used in classification experiments.

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Fig. 2. Flow chart of loess landform classification process

In MRS, the main parameters that control image segmentation are the weight given to 173 different input layers, the segmentation scale parameter, the shape weight, and the 174 compactness weight. Firstly, considering that topographical and spectral features are 175 176 important factors in the classification process, the input layers were equally weighted in the 177 segmentation. The shape factor and smoothness were set to 0.3 and 0.5, respectively. Secondly, the scale parameter estimation (ESP) tool was adopted to determine the optimal 178 179 segmentation scale, developed by Dragut et al. (2010) to identify optimal scale parameters 180 automatically. ESP calculates the local variance of a certain scale as the average standard deviation of all image objects under its scale and selects the optimal segmentation scale 181 182 according to the local variance curve and its scale parameters (Drăgut et al., 2011). When the local variance reaches a maximum, and the rate of change up to a peak, the spatial 183 184 heterogeneity of all image objects in this scale is the largest. By analyzing and comparing 185 different extremum points, the optimal scale of image classification is ultimately determined

(Drăguţ et al., 2010). After several tests and fine-tuning, the final segmentation scale was
set to 45.

188 The classification of the segmented objects is conducted using a decision tree. By calculating and counting spectral, geometric, and terrain characteristics of image objects, 189 190 the spatial and attribute characteristics of different geomorphic types are statistically 191 analyzed, and the features with significant differences are selected to establish the 192 decision tree (Benz et al., 2004). Firstly, the image is divided into two parts: gully and non-193 gully areas. The gully is the most easily distinguished part of the loess landform because 194 of its low elevation and steep slope. In the meantime, the rich vegetation coverage causes low brightness values in the image, and this causes flatter gully bottoms also to be 195 196 classified as gully. According to these characteristics, the main body of the gully can be 197 extracted. Non-gully areas are divided into loess tableland, loess ridge, and loess hill according to indicators such as area, slope, and length-width ratio (Fig. 3). In this process, 198 manual visual interpretation is also applied for auxiliary landform classification in areas with 199 200 poor image feature discrimination to obtain higher classification accuracy.





Fig. 3. Decision tree for loess landform classification

203 Note: Elevation (Ele), Brightness (Bri), Positive terrain (PT), Mean slope (MS), Length-width ratio

204 (LWR).

205 2.2.2 Hypsometric integral

Three main methods are currently available to calculate the HI value: the integral curve method (Luo, 1998), the volume ratio method (Meerkerk et al., 2009), and the elevation– relief ratio method (Pike and Wilson, 1971). In this study, the integral curve method is applied. This method uses the relative height ratio (h/H) in the target area as the vertical axis and the relative area ratio (a/A) as the horizontal axis to draw the integral of the area elevation integral curve (Strahler curve) in [0,1] (Pérez-Peña et al., 2009). The smaller value of HI means that the erosion of the surface is more severe. The formula is as follows:

$$HI = \int_{1}^{0} f(x) dx$$
 (1)

The criteria for grading HI values are as follows: areas with HI above 0.6 are in the 'youth' stage, areas with HI between 0.35 and 0.6 are in the 'mature' stage, whereas areas with HI below 0.35 are in the 'old' stage of landscape development (Strahler, 1952).

217 **2.2.3 Erosion estimation**

The volume of surface material loss is a representative indicator of the intensity of 218 219 surface erosion. This research estimated the volume of erosion associated with loess 220 gullying by subtracting the current DEM from an interpolated initial surface, estimated as 221 the original topography prior to the onset of loess gully erosion. The initial surface is 222 theoretically based on the assumption that the current existing loess tableland represents 223 the original ground of the basin before the loess gully starts (Li and Lu, 1990). The 224 extracted loess tablelands were regarded as the remaining initial ground surface. The loess 225 tablelands were transformed into data points, and then spline interpolation was used to 226 interpolate an initial ground surface. The spline interpolation applies a mathematical 227 function to estimate values that minimize the overall surface curvature and is appropriate 228 for interpolation to generate smooth continuous surfaces such as elevations (Franke, 1982; Yang et al., 2015). The volume of material that should have been lost from the basin can 229 230 be estimated by counting positive and negative differences of DEMs by superimposing the 231 current DEM and the interpolated DEM. The quality of the interpolation results is evaluated 232 using the root mean square error (RMSE), which is calculated as follows:

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$$RMSE = \sqrt{\frac{1}{N} \sum_{k=1}^{N} (Z_i - z_i)^2}$$
(2)

234 Where N is the total number of validation points, Z_i is the real elevation of the validation

point, and z_i is the interpolated elevation of the validation point. The lower value of RMSE implies that the interpolation is better and the accuracy of the result is higher.

237 2.2.4 Landscape pattern index

238 Various landscape pattern indices are available to quantify loess landform types. 239 However, some of them have similar meanings and are strongly correlated (O'Neill et al., 240 1988; Wu et al., 2012; Rahimi et al., 2021). To ensure the selected indices are comprehensive and non-redundant, ten indices were employed to quantify the 241 characteristics and spatial distribution of loess landform types based on four themes; 242 243 morphological, topographic, spatial distribution, and quantitative structural. They are shown, along with their calculation methods, in Table 1 (Wu et al., 2017). Fragstats 4.2 244 software was used to calculate these landscape pattern indices, and a more detailed 245 246 introduction of these indices can be found in the documents for Fragstats (McGarigal,

- 247 **1995**).
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Table 1 Selected landscape index and its description							
index	Formulation	Formulation Formula description					
Mean patch size (MPS)	$MPS = \frac{A_i}{N_i} 10^{-6}$ <i>A_i</i> is the total area of loess landform <i>i</i> , <i>N_i is</i> the total number of patches of landform type <i>i</i> .	This indicator can reflect the average patch area size of landform types (unit: km ²).					
Mean patch perimeter (MPP)	$MPP = \frac{P_i}{N_i} 10^{-3}$ <i>P_i</i> is the total perimeter of loess landform <i>i</i> .	MPP reflects the mean patch perimeter of landform types					
Mean elevation (ME)	Calculated in ArcGIS platform	ME and MS reflect the					
Mean slope (MS)	Calculated in ArcGIS platform	topographical features of the patch					
Length–width ratio (LWR)	$LWR = \frac{1}{n} \sum_{i=1}^{n} \frac{l_i}{w_i}$ <i>I_i</i> is the length of patch i, <i>w_i</i> is the width of patch i, <i>n</i> is the total number of patch <i>i</i> .	LWR reflects the shape features of patches. The closer the value is to 1, the more similar it is to square.					

Circularity
$$CI = p_i / 2\sqrt{\pi a_i}$$
between patch shape and
circle. The closer the value is
to 1, the closer the patch is to
circle.Area weighted
mean shape $AWMSI = \sum_{i=1}^{n} [(\frac{0.25 p_i}{\sqrt{a_i}})(\frac{a_i}{A_i})]$ AWMSI reflects the
complexity of the patch, the
larger the value, the more
irregular the shapeArea weighted
mean shape n is the total number of patches of a certain loess
landform type.AWMSI reflects the
complexity of the patch, the
larger the value, the more
irregular the shapeLargest patch
index (LPI) $LPI = \frac{Max(a_1, a_2, \dots a_n)}{A} (100)$ LPI reflects the dominant type
in the loess landform
landscape.Mean nearest
neighbor
(MNN) $MNN = \sum_{i=1}^{n} d_{ij}$ MNN calculates the spatial
distance between patch i and its nearest patch
 $AI = [1 + \sum_{i=1}^{m} \sum_{j=1}^{n} \frac{P_{ij} \ln(P_{ij})}{2\ln(m)}]^* 100$ Al is a measure of the
aggregation
index (AI)Aggregation
index (AI) m is the total number of landform types, P_{ij} is the probability
that two adjacent grid cells randomly selected belong to
loess landform types i and j.Al is a measure of the
aggregation of the same type
of patches.

CI represents the similarity

249 **2.2.5 Subdivision of basins for analysis**

250	To analyze the correlation between loess landform variability and landform evolution,
251	the study area was divided into several sub-basins. The Soil and Water Assessment Tool
252	(SWAT) model was used to divide the sub-basins for allowing within study area
253	comparisons. The SWAT model was developed by the USDA Agricultural Research
254	Service to simulate land management processes and rainfall-runoff processes for a more
255	detailed spatial scale by dividing the watershed into smaller sub-watersheds (Arnold et al.,
256	1998; Zheng et al., 2010). On the basis of the topographic information provided by the
257	DEM data, the study area was divided into 10 sub-basins, with areas ranging from 122 $\rm km^2$
258	to 855 km^2 (the threshold for the sub-basin area was set at 150 km^2 , but one basin with an
259	area of 122 km ² was included to guarantee that the full study area was included.)

260 **3 Results**

261 **3.1 Classification results for loess landform types**

Fig. 4 presents the classification results of loess landforms in the study area. The classification results clearly demonstrate the differences in the spatial distribution of the loess landscape. By area, the classification suggested that the main landform types of the study area are loess tableland and loess gully, and the area of loess ridge and loess hill is relatively small. The loess tablelands are distributed on both sides of the Luohe River, the loess ridges are mainly distributed in the east and west regions of the study, and the loess hills are scattered across the study area.





Fig. 4. The classification results of loess landforms in the downstream of the Luohe River Basin A total of 62 loess tablelands, 330 loess ridges, and 121 loess hills were identified in the study area. The accuracy of the classification results was verified for 300 randomly selected points in the study area, and the selection of the verification points was stratified

274	to guarantee a certain number of points for each loess landform type. The resultant
275	confusion matrix is shown in Table 2. The number of total points that are correctly classified
276	after verifying is 266, the overall classification accuracy is 88.7%, and the kappa coefficient
277	is 0.801. Therefore, the OBIA method adopted in this study achieves an excellent
278	classification performance. Especially in the loess tableland, the most satisfactory
279	classification was performed with a commission error of 5.06%. However, the classification
280	of the loess hill and loess gully is poorly represented, with 25% and 22% commission errors
281	respectively. The points that failed the verification are mainly found in the loess gullies. The
282	main reason may be that the large-area terraces built in the study area changed the
283	topography of the gullies and simultaneously changed the characteristic spectral values of
284	the gullies on the image resulting in poor classification accuracy of the loess gullies.

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Table 2 Confusion matrix and accuracy statistics of extraction results.

	Tableland	Ridge	Hill	Gully	Prod. Ac	User. Ac	Com. Er
Tableland	169	4	0	5	94.94%	96.02%	5.06%
Ridge	1	21	0	3	84%	53.85%	16%
Hill	0	2	12	4	75%	100%	25%
Gully	6	12	0	64	78.05%	84.21%	21.95%

286 Note: Prod. Ac, Producer accuracy; User. Ac, User accuracy; Com. Er, commission error.

287 **3.2 Quantitative analysis of loess landform development degree**

The loess landform classification results suggest that the spatial distribution of different loess landforms is variable, reflecting the differences in the development stages of different regions. The area proportions and erosion of the loess landforms in each subbasin were counted to provide a more detailed analysis of the loess landform variability of the sub-basins. The simulated initial surface for the erosion estimation was generated by interpolation based on loess tablelands with an RMSE of ± 3.14 m. Although the interpolated results have limited precision, an approximate estimate of the amount of material eroded from the surface is provided. Table 3 shows the main loess landform features in each sub-basin, including the area proportion of different loess landform types, the total area of each sub-basin (TA), eroded volume (EV), and erosion volume per unit area (UEV).

It is evident that the composition of the loess landform varies considerably between 299 300 sub-basins. Loess gullies are the dominant landform type in all sub-basins, with the proportion of their area exceeding 50%, and in sub-basins 1, 4, 6, and 7 exceeding 80%. 301 302 This finding indicates that the whole basin has been subjected to intense erosion, and the 303 intersecting loess gullies fragment the surface. After the loess gullies, the dominant loess landform type in the basin is loess tableland, which is accompanied by a small number of 304 305 loess ridges and loess hills. Amongst these sub-basins, 8, 9 and 10 have the highest proportion of loess tablelands and contain most of the loess tablelands in the study area 306 307 (Fig. 5). This spatial distribution is due to the marked spatial variation in the loess 308 landscape of the study area. The western part of the basin belongs to the long ridge and 309 gully region, the surface of which is dominated by loess ridges and loess hills. The eastern part of the basin is a low mountain region, where only a few loess ridges and loess hills 310 311 can be found. The geographical conditions of the two parts of the region make it 312 challenging to preserve the large areas of loess tablelands. The loess tablelands are mainly 313 distributed along both sides of the Luohe River in the central part of the study area, and 314 the further downstream the area, the closer it is to the Guanzhong Plain and the flatter the ground is. Thus, a large area of loess tablelands is formed in sub-basins 8, 9 and 10. 315

Table 3 statistics of loess landform features in the sub-basins

sub-basin	tableland	ridge	hill	gully	TA	EV	UEV	HI
1	10.39%	7.02%	0.42%	82.17%	320.68	11.89	0.0371	0.457
2	44.57%	0.75%	0.05%	54.62%	202.61	7.14	0.0353	0.507
3	35.65%	1.72%	0.11%	62.53%	246.49	10.43	0.0423	0.464
4	11.64%	7.16%	0.71%	80.48%	188.71	8.51	0.0451	0.445
5	44.70%	1.30%	0.18%	53.81%	271.27	11.76	0.0433	0.468
6	6.14%	8.16%	0.29%	85.41%	340.33	10.69	0.0314	0.511
7	11.68%	3.65%	0.14%	84.53%	854.82	25.63	0.0300	0.593
8	45.48%	2.49%	0.10%	51.94%	772.68	45.17	0.0585	0.354
9	43.33%	2.67%	0.14%	53.87%	255.72	13.83	0.0541	0.396
10	44.05%	3.60%	0.03%	52.32%	122.27	3.32	0.0272	0.572

317 Note: TA, total area (km²); EV, eroded volume (km³); UEV, unit eroded volume (km³/km²).





Fig. 5. Spatial distribution of loess landform types in the sub-basins

The study area HI values of each of the ten sub-basins were calculated (Fig. 6) to 320 321 assess the correlation between the developmental stages and the spatial variability of the loess landforms. The HI value for the whole basin is 0.486, which implies that the study 322 area has transitioned from the youth stage of landform development to the mature stage. 323 324 The HI values for the sub-basins range from 0.354 to 0.593, with a wide range of variation 325 and spatial variability. The HI values of the sub-basins have a general decreasing trend 326 from north to south (direction of the Luohe River flow), which means that the degree of 327 surface erosion in this direction is gradually increasing. Sub-basins 6 and 7, located on 328 both sides of the Luohe River, have higher HI values than those in the central region. This 329 finding implies that erosion is more developed closer to the Luohe River. Sub-basins 8 and 9, which are downstream of the basin, have the lowest HI values of 0.345 and 0.396, 330 331 respectively. Therefore, the loess landform development is nearing its most mature. 332 Theoretically, the HI values for loess tableland areas should be greater than those for loess 333 ridge-hill areas, due to loess tableland being the primary form of early landform 334 development (Guo et al., 2015). However, in the present study, the opposite results are 335 found. The HI values in the loess tableland area (sub-basins 8 and 9) are smaller than those in the ridge-hill areas (sub-basins 1, 4, 6 and 7). This phenomenon may be because 336 337 sub-basins 8 and 9 are situated downstream of the Luohe River, with the accumulated river 338 runoff having caused significant scour and encouraged more intense gullying. Meanwhile, the loess tableland area is the primary inhabited zone, especially since the launch of the 339 340 "population transfer to tableland", where the population density has now reached 180 persons/km² (Guo et al., 2015; Zhang et al., 2016; Lu et al., 2017). Cultivation and human 341

- 342 activities have enhanced the erosion intensity in the loess tableland. Some studies have
- 343 shown that the modern erosion rate in the loess plateau is four times the average since
- 344 the Holocene geological period (He et al., 2004).





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Fig. 6. Spatial distribution of sub-basins and their HI curves

347 Some studies have emphasized that a correlation exists between the stage of 348 landform development and loess landform variability (Zhang et al., 2015; Li et al., 2019). Thus, we compared the HI values with sub-basin parameters (Fig. 7). The results show no 349 obvious relationship between the proportion of different loess landform types by area and 350 351 the HI values, as well as with the total erosion volume. Nevertheless, a strong linear relationship is observed with the erosion volume per unit area (UEV); the coefficient of 352 determination (R^2) between UEV and HI is 0.898. The explanation for this phenomenon is 353 354 that HI reflects the stage of landform development, and its value reflects the proportion of the original material left on the surface (Strahler, 1952). Loess gullies are directly related 355 356 to surface erosion. Thus, theoretically, they should show a certain correlation with HI values, 357 but this relationship is not observed in this study. The reason is that the factors affecting the volume of gully erosion include gully area and depth. Thus, considering only the gully 358

area fails to fully reflect the intensity of gully erosion. Correspondingly, the gully area shows
no strong correlation with HI values. The total erosion volume in a basin is controlled by
the surface erosion intensity, as well as the size of the basin. In the meantime, the UEV
can quantify the surface erosion intensity. This finding explains the high correlation
between UEV and HI values, but not the total erosion volume.





Fig. 7. Relationships and changes in HI values with loess landform features

366

3.3 Analysis of landform patterns

The landform pattern indicators suggest a significant difference between different loess landform types (Fig. 8). The most notable difference lies in the MPS and MPP indices. The average patch size of the loess tableland is 21.5 km², which is much larger than that of the loess ridge (0.42 km²) and the loess hill (0.06 km²) (Fig. 8a). For the loess tableland, the larger patch area is accompanied by a longer patch perimeter (Fig. 8b). In terms of topographical characteristics, the loess tableland has a smoother terrain with an average slope of 8.41° and the lowest average elevation. The average elevation and slope of the
loess ridge and the loess hill are significantly greater than that of the loess tableland, but
the topographical difference between the two types is not obvious (Fig. 8c; 8d).

376 The three types of loess landforms have similarly significant differences in 377 morphological indicators. The LWR index reflects the degree of patch extension. For this indicator, the order of the three types is loess ridge, loess tableland, and loess hill; this 378 order indicates that the shape of the loess ridge is narrower and longer (Fig. 8e). In contrast 379 to the LWR, the CI index reflects the similarity between the patch shape and the circle. As 380 observed, the shape of the loess hill is closest to a circle, followed by the loess tableland 381 382 and loess ridge (Fig. 8f). The AWMSI index reflects the complexity of the shape of the patch. The larger value implies that the shape of the patch is more complex. The AWMSI value of 383 384 the loess tableland is 8.62, which is much larger than the loess ridge (3.37) and the loess 385 hill (1.62), indicating the shape of the loess tableland is the most complex; the shapes of the loess ridge and loess hill are relatively simple (Fig. 8g). The main reason is that the 386 387 loess residual tableland dominates the loess tableland in the study area. The gullies eroded 388 their shape from multiple cut-in points and developed into a network with different levels of gullies inside, forming irregular loess residual tableland. The loess ridge and loess hill are 389 390 small in area and perimeter and simple in shape. As a result, the AWMSI index is low. By 391 contrast, the loess ridge and loess hill are independent elements separated by gullies with simple shapes. Accordingly, the AWMSI index is lower. 392

393 The LPI index reflects the proportion of the largest patch to the total study area and is 394 an indicator that measures the dominant landform type. The LPI index of the loess 395 tableland is much larger than that of the loess ridge and the loess hill, indicating that the loess tableland is in a dominant position in the study area (Fig. 8h). MNN and Al indices 396 397 reflect the connectivity and aggregation degree between landform patches, that is, the 398 spatial distance between patches and the concentration degree of patch distribution. In 399 general, the spatial distance between patches is smaller and the connectivity is higher 400 when the patch distribution is more aggregated. The MNN and AI indices of loess tablelands are the largest, followed by those of the loess ridge and loess hill landforms (Fig. 401 402 8i; 8j). The differences between loess ridge and hill landforms are not significant. The loess 403 tablelands in the study area originally belonged to the Luochuan tableland, which is a large loess tableland. Given that the erosion of the gullies is a gradual process, the spatial 404 405 distance between the loess tablelands cut by the gullies is small, and the distribution is 406 relatively dense. However, the locations of loess ridge and loess hill landforms are randomly distributed accompanied by a discrete spatial distribution, and the aggregation 407 408 degree is low, which leads to the low values of the MNN and Al indices.



Fig. 8. Quantitative indices of loess landform types. (a) mean patch size, (b) mean patch perimeter, (c) mean elevation, (d) mean slope, (e) length-width ratio, (f) circularity index, (g) area weighted mean shape index, (h) largest patch index, (i) mean nearest neighbor and (j) aggregation index. Standard deviations are shown for 8a through 8f, and 8h.

414 **4 Discussion**

415 **4.1 Analysis of evolution process of loess landform**

416 It is generally accepted that the evolution of loess landforms is gradual with four stages, from loess tableland to residual tableland, to loess ridge, and ultimately to an isolated loess 417 hill (Zhao and Cheng, 2014). Some scholars have emphasized that not all loess landform 418 419 systems follow this evolution model, special cases exist such as the direct evolution of 420 marginal areas of loess tableland into loess hill. Nevertheless, a consensus exists: the 421 evolutionary tendency of the loess tableland to the loess hill is a nearly universal 422 phenomenon (Tang et al., 2015). During the main phase of gully development, the material loss from individual loess landform objects gradually increases as surface erosion 423 424 progressively intensifies. Here we show that this evolution is accompanied by changes in 425 core quantitative indicators such as the shape and area of loess landforms (Fig. 8).

The analysis of quantitative indicators of loess landform patterns in the study area shows that the loess tableland area is usually relatively large. The terrain is gentle, with a slope of about 8°. However, in the edge zone of the loess tableland, the terrain is relatively steep, and it is susceptible to the influence of hydraulic erosion as loess gullies develop. The loess tableland is also the main region for human cultivation and habitation. Cultivated and residential lands are the main runoff and sediment source areas that contribute to soil 432 erosion; thus, soil erosion processes are accelerated for loess tableland (Chen et al., 2008). 433 This erosion process usually starts from the edge of the loess tableland and gradually 434 erodes inwards. Under the action of long-term edge erosion, the loess tableland gradually develops and forms the loess residual tableland. A specific example is shown in Fig. 9a. 435 436 Three loess residual tablelands originally belonged to a complete loess tableland. Several north-south oriented gullies eroded this area independently but these effects combined to 437 438 divide the loess tableland into three residual tablelands. In the meantime, new gullies developed and continued to erode the loess residual tablelands. 439

440 The residual tablelands have smaller areas (by definition), more complex shapes, and longer perimeters than the original loess tableland. With the continuous advancement of 441 442 the loess gullies, areas inside the loess residual tablelands are continuously eroded until 443 the gullies are entirely cut through. The loess residual tableland is decomposed into long 444 independent loess ridges. A specific example is shown in Fig. 9b. The three loess ridges and the loess residual tableland were originally a single loess tableland. The development 445 446 of the loess gullies divided the three loess ridges to form independent loess landform 447 objects. Given that the loess ridge is separated from the loess residual tableland, its area and perimeter are much smaller than those of the loess residual tableland, and its shape 448 449 is relatively simple. In this case, the total area of the three loess ridges separated from the loess residual tableland is 4.0 km², accounting for only 14.4% of the loess residual 450 tableland. The distribution of the parts cut through by the gullies is relatively random. Thus, 451 452 the distribution of the loess ridges is relatively scattered, resulting in a low degree of physical connection between the loess ridges and a low degree of distribution aggregation. 453

As a result, the loess ridge's AWMSI, MNN and AI indices are significantly lower than those
of the loess plateau (Fig. 8g; 8i; 8j).

456 The evolution from loess ridges to loess hills is relatively slow, mostly from short-wide ridges to irregular hills. Following Fig. 8e; 8f and 8h, differences between loess ridges and 457 458 loess hills are small in topographic and spatial distribution characteristics but larger with regard to shape characteristics, such as the LWR, CI, and LPI indices. The crest of the 459 loess ridge is relatively flat, but the slope is larger at the surrounding edges, which is more 460 prone to erosion. The evolution of loess ridge to loess hill includes two kinds of change. 461 462 One is that the edges of the short-wide loess ridges are eroded. Under the smoothing effect of the edge erosion, the shape of the loess ridge changes slightly and gradually forms a 463 loess hill. The second occurs where gullies cut through the main part of the long-narrow 464 465 loess ridge, and the separated loess landform object forms a loess hill. The specific case is shown in Fig. 9c. The loess hill shown in yellow has been completely separated from the 466 loess ridge, forming an independent individual object with a smaller area and a more 467 468 regular shape. This figure also shows the complete evolution process from the loess 469 tableland to the loess ridge and then to the loess hill, in which the gully development and erosion play a dominant role. 470



471 472

Fig. 9. Example diagram of development and evolution of loess landform types

473 **4.2 Perspectives on the methodological approach**

The gully interpretation method employed in this study utilizes OBIA combined with some visual interpretation, which is affected to a certain extent by the experience and knowledge of the interpreter. Although this method will be affected by subjective factors, it still has certain advantages in landform classification. Some scholars pointed out that the OBIA method is superior to the traditional pixel-based classification method because the analysis object has changed from a single pixel to a more meaningful geomorphological object (Aplin and Smith, 2011). In addition, some scholars use the machine learning
method to recognize loess landforms. However, the potential of the machine learning
method in large-scale image interpretation has not been fully explored (Ding et al., 2020;
Li et al., 2020b; Li et al., 2022).

HI values are an essential parameter for understanding loess landform development, 484 but limitations still exist. The prerequisite for applying the HI value is that the landform 485 486 development is a completely closed system by default, and the evolution of the landform is entirely controlled by internal forces (Strahler, 1952). However, the development of loess 487 488 landforms does not fully conform to this hypothesis, and its development is disturbed by 489 various factors, including rainfall, land use, and anthropogenic activities (Wang et al., 2016; 490 Zhou et al., 2021;). Some scholars have also developed other indicators to quantify the 491 stage of landform development, such as entropy. Entropy is a concept that relates to thermodynamics and describes the state of an element. Ai (1987) found that entropy was 492 also applicable to expressing the status of landform and proposed a method for calculating 493 494 landform entropy (Ai, 1987). Following this calculation method, we also calculated the 495 landform entropy of sub-basins in the study area (Fig. 10). The values of entropy and HI follow opposite trends. This finding represents two perspectives on the quantification of 496 497 landform development, from the standpoint of residual material in the basin and the 498 perspective of eroded material. The results of the correlation analysis between the HI values and the loess landform features show only a strong correlation between the HI 499 500 values and the UEV. Therefore, the correlation between the two types of quantitative 501 indicators and the UEV was also compared. The results are presented in Fig.11.





Fig. 10. Comparison of HI and entropy values in sub-basins

HI and entropy are correlated with UEV, more so for entropy (R²=0.933). Although the 504 theoretical basis of both indicators is W.M. Davis's (Davis, 1899) notion of a cycle of erosion, 505 it is evident that the HI indicator has received more widespread attention, whilst the entropy 506 indicator has only been applied to a small extent in the Chinese Loess Plateau. The entropy 507 508 is a density function constructed based on the HI; thus, a certain correlation exists between 509 entropy and HI. The distinction is that HI addresses a relatively closed landform system, 510 whereas, in entropy theory, the concept of the landform as an open system was introduced. 511 Ai and Yue (1988) concluded that the significance of entropy is not limited to the quantification of the landform development stage. The entropy value reflects the stage of 512 landform development in a closed landform system (only influenced by internal forces), 513 514 whilst the entropy value reflects the intensity of the interaction between internal and external forces in an open landscape system (influenced by internal and external forces) 515 516 (Ai and Yue, 1988). In relation to the theoretical background of HI, some modifications have 517 been made to the entropy to make them more relevant to the actual development of the 518 landform, and more applications can be considered in future studies.



519 520

Fig. 11. Correlation between the two landform development indicators and UEV

521 **5 Conclusions**

This study applied the OBIA method to classify loess landforms in a basin within the 522 Chinese Loess Plateau. It obtains excellent classification results with an accuracy of 88.7%. 523 The quantitative results of landform development show that the HI values of all sub-basins 524 525 are between 0.354 and 0.593, and the HI value of the entire basin is 0.486. This result 526 indicates that the landform development of the whole basin is in the mature stage, following 527 from severe surface erosion by gullying. Using quantitative indices based on terrain 528 attributes, shape and pattern shows significant differences in the individual morphology and spatial distribution of the loess landform types. These differences are mainly reflected 529 in area, shape complexity, length-width ratio, and spatial dispersion. These differences are 530 531 likely to be caused by different gully erosion intensities during loess landform evolution and partially autogenic gully erosion. Meanwhile, we provide a basis for describing the 532 morphology of the loess landform and monitoring large-scale loess gully changes, which 533 534 can help comprehensively understand the development and evolution of the loess 535 landforms.

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541 **Conflicts of interest**

542 The authors declare no conflict of interest.

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