

1 AltitudeOmics: Effects of 16 days acclimatization to hypobaric
2 hypoxia on muscle oxygen extraction during incremental exercise
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27 **Abstract**

28 **Introduction:** Acute altitude exposure lowers arterial oxygen content (CaO_2) and cardiac output
29 (\dot{Q}_c) at peak exercise, whilst O_2 extraction from blood to working muscles remains similar.
30 Acclimatization normalizes CaO_2 but not peak \dot{Q}_c nor peak oxygen consumption ($\dot{V}\text{O}_{2p}$). To what
31 extent acclimatization impacts muscle O_2 extraction remains unresolved.

32 **Methods:** Twenty-one sea-level residents performed an incremental cycling exercise to
33 exhaustion near sea level (SL), in acute (ALT1) and chronic (ALT16) hypoxia (5,260 m). Arterial blood
34 gases, gas exchange at the mouth and oxy- (O_2Hb) and deoxyhaemoglobin (HHb) of the vastus
35 lateralis were recorded to assess arterial O_2 content (CaO_2), \dot{Q}_c , and $\dot{V}\text{O}_2$. The HHb- $\dot{V}\text{O}_2$ slope was
36 taken as a surrogate for muscle O_2 extraction.

37 **Results:** During moderate-intensity exercise, HHb- $\dot{V}\text{O}_2$ slope increased to a comparable extent
38 at ALT1 (2.13 ± 0.94) and ALT16 (2.03 ± 0.88) compared to SL (1.27 ± 0.12), indicating increased O_2
39 extraction. However, the HHb/ CaO_2 ratio increased from SL to ALT1 and then tended to go back to SL
40 values at ALT16. During high-intensity exercise, HHb- $\dot{V}\text{O}_2$ slope reached a break point beyond which
41 it decreased at SL and ALT1, but not at ALT16.

42 **Discussion/Conclusion:** Increased muscle O_2 extraction during submaximal exercise was
43 associated with decreased CaO_2 in acute hypoxia. The significantly greater muscle O_2 extraction
44 during maximal exercise in chronic hypoxia is suggestive of an O_2 reserve.

45

46 **New and Noteworthy**

47 Near infrared spectroscopy (NIRS) allows for the continuous measurement of oxy- and
48 deoxyhaemoglobin concentrations (O_2Hb and HHb, respectively), providing estimates of local muscle
49 tissue oxygenation across the whole range of exercise intensities and exposures to hypoxia. During
50 incremental exercise HHb and $\dot{V}\text{O}_2$ both increase linearly and the slope of the relationship between
51 HHb and $\dot{V}\text{O}_2$ can be interpreted as an indirect index of local muscle O_2 extraction. Beyond 70% $\dot{V}\text{O}_{2p}$,
52 HHb increase is blunted but $\dot{V}\text{O}_2$ keeps increasing which is attributed to limitations in muscle O_2
53 extraction. The aim of this work was to characterize the HHb - $\dot{V}\text{O}_2$ relationship during incremental
54 cycling exercise to exhaustion at sea level (SL), in acute (ALT1) and during chronic (ALT16) exposure
55 to hypoxia at 5,260 m. NIRS measurements being scarce in chronic hypoxia, the present study would
56 bring an important new piece of information on continuously, albeit indirectly, measured muscle O_2
57 extraction during graded exercise to exhaustion.

58 The demonstrated presence of a muscle O_2 extraction reserve during chronic exposure is
59 coherent with previous studies on the same set of participants and others indicating both limited
60 muscle oxidative capacity and decrease in motor drive during chronic exposure to 5,260 m.

61

62 **Introduction**

63 The increase in oxygen consumption by the activated muscles during incremental cycling
64 exercise is met by a proportional increase in vascular mass oxygen transport [$\dot{Q}aO_2 = \text{cardiac output}$
65 ($\dot{Q}c$) x arterial oxygen content (CaO_2)]. Acute exposure to an altitude of 5,260 m decreases peak
66 oxygen consumption [$(\dot{V}O_{2p})$ (1–3)]. Two thirds of this decrease can be attributed to a reduction in
67 CaO_2 (4–6), and the remainder to reductions in $\dot{Q}c$ and muscle blood flow (6–8). These decreases in
68 CaO_2 and blood flow are accompanied by somewhat increased levels of lower limb O_2 extraction as
69 measured from femoral arterio-venous differences (9, 10). With acclimatization to altitude Hb
70 concentration and SaO_2 increase, normalizing CaO_2 at rest, and almost normalizing it at peak
71 exercise. Peak $\dot{Q}c$ remains blunted, limiting mass oxygen transport, whilst the arterio-venous O_2
72 difference returns towards normoxic values with no change in $\dot{V}O_{2p}$ as a result (2, 9, 11).

73 The reports of lower limb oxygen extraction levels at peak exercise in acute and chronic
74 hypoxia, as compared to normoxia, are based on femoral arterio-venous O_2 differences, which
75 represent global lower limb oxygen use, including non-contracting tissue (12). It is inferred that the
76 levels of extraction in the effluent venous blood from the activated muscles must be approaching
77 maximal levels, but this has not been measured directly.

78 Previous studies using invasive techniques showed that O_2 extraction increased at a given
79 absolute submaximal exercise intensity in both acute and chronic hypoxia as compared with
80 normoxia. Leg blood flow was similar after acclimatization to chronic hypoxia compared with
81 normoxia, indicative of the normalization of CaO_2 (10, 13). These studies also showed that at
82 maximal exercise leg blood flow was reduced in chronic hypoxia. Mild hyperoxic gas was then
83 administered upon exhaustion in chronic hypoxia, which resulted in an increased O_2 extraction
84 thereby suggesting that O_2 extraction was not limited after acclimatization (13). Similar results have
85 been reported using advanced analytical techniques from biopsies in the AltitudeOmics research
86 program (14).

87 Near infrared spectroscopy (NIRS) allows for the continuous measurement of oxy- and
88 deoxyhaemoglobin concentrations (O_2Hb and HHb , respectively), providing estimates of local muscle
89 tissue oxygenation across the whole range of exercise intensities and exposures to hypoxia (15–17).
90 The NIRS signal comprises both haemoglobin (Hb) and myoglobin (Mb) (18, 19), but the majority (\approx
91 70%) of the signal is derived from venous Hb of the capillary bed (16, 20) and HHb is thought to
92 reflect local O_2 extraction with less contamination from skin blood flow (15, 21–23).

93 At sea level, HHb concentrations result from the (im)balance between O_2 delivery and $\dot{V}O_2$ in
94 the tissue under the NIRS probe. Increased muscle oxygenation would indicate a greater increase in
95 O_2 delivery than $\dot{V}O_2$, whereas a decrease in muscle oxygenation would indicate a greater increase in
96 $\dot{V}O_2$ than O_2 delivery. The relationship between O_2 delivery and $\dot{V}O_2$ is arterial-venous difference,
97 which is therefore reflected by HHb (21). The mechanisms that could explain differences in O_2
98 extraction in chronic hypoxia compared to sea level could be for example an increased O_2 carrying
99 capacity from the increased haemoglobin mass which would implore study of the HHb/CaO_2
100 relationship. Another example would be a lower leg blood flow after acclimatization, which could
101 extend mean transit time and facilitate O_2 extraction, which would implore study of the $HHb/(\dot{Q}aO_2)$
102 relationship.

103 During incremental exercise HHb and $\dot{V}O_2$ both increase linearly and the slope of the
104 relationship between HHb and $\dot{V}O_2$ can be interpreted as an indirect index of local muscle O_2
105 extraction as a function of work rate (24). Beyond 70% $\dot{V}O_{2p}$, HHb increase is blunted but $\dot{V}O_2$ keeps
106 increasing which is attributed to limitations in muscle O_2 extraction (25–27).

107 The aim of this work was to characterize the HHb - $\dot{V}O_2$ relationship during incremental cycling
108 exercise to exhaustion near sea level (SL), in acute (ALT1) and during chronic (ALT16) exposure to
109 hypoxia at 5,260 m. The hypotheses were: first, muscle O_2 extraction approaches a maximum in
110 normoxia and acute hypoxia, as reflected by blunting of the HHb - $\dot{V}O_2$ slope. Second, muscle O_2
111 extraction does not approach a maximum in chronic hypoxia, as reflected by no blunting of the HHb -
112 $\dot{V}O_2$ slope, due to normalization of CaO_2 and associated increase in muscle O_2 supply. NIRS
113 measurements being scarce in chronic hypoxia, the present study would bring an important new
114 piece of information on continuously, albeit indirectly, measured muscle O_2 extraction during graded
115 exercise to exhaustion.

116

117 **Methods**

118 *Participants Recruitment and Screening*

119 This study was conducted as part of the AltitudeOmics project. Twenty-one young, healthy, sea-
120 level residents [age: 20.8 years, range: 19–23 years], were recruited near sea level in the region of
121 Eugene, Oregon, USA (130 m). Physical examinations and the U.S. Army Physical Fitness Test (APFT,
122 push-ups, sit-ups, and a 3.2-km run) (28) were performed to characterize health and fitness status.
123 Exclusion criteria included: being born at >1,500 m; having travelled to altitudes >1,000 m in the past
124 three months (including air travel); using prescription medications; smoking; being pregnant or
125 lactating; having a history of serious head injury (loss of consciousness); self or familial history of
126 migraine; known hematologic or cardiovascular abnormality (e.g., sickle cell trait, cardiac
127 arrhythmia); pulmonary function or diffusion capacity for carbon monoxide <90% of predicted; or
128 failure to meet the minimal age/gender standards for the APFT (28). With the exception of CaO_2 ,
129 watts at maximal exercise and $\dot{V}\text{O}_2\text{p}$, there is no overlap in the data presented (29).

130 *Ethical Approval*

131 The study was approved by the institutional review boards of the University of Colorado and the
132 University of Oregon and by the Human Research Protection Office of the US Department of Defense
133 and was performed according to the Declaration of Helsinki. The participants were informed about
134 procedures of this study, and written consent was given prior to participation.

135 *Experimental Design*

136 Familiarization with the experimental procedures included an incremental exercise test up to
137 exhaustion ($\dot{V}\text{O}_2\text{p}$ test) to assess the aerobic fitness of the participants and ensure that the inclusion
138 criteria were met. After familiarization, the participants underwent experimental trials near sea level
139 (SL) (130 m; barometric pressure ~749 mmHg) and two times at high altitude (5,260 m, Mt.
140 Chacaltaya, Bolivia; barometric pressures ~409 - 410 mmHg, on the 1st and 16th day at high altitude,
141 ALT1 and ALT16, respectively). For each participant, all ALT measurements were carried out around
142 the same time of day to minimize any confounding effects of their circadian rhythm. During ascent
143 (from 1,525 to 5,260 m) to Mt. Chacaltaya, the participants breathed supplemental oxygen (2 L/min,
144 nasal cannula, or mask). Administration of O_2 was ceased 2 hours before the ALT1 measurements
145 started. This ensured both standardized acute exposure at ALT1 and minimized influence of acute
146 mountain sickness (AMS) during ALT1 exercise. On days 2 to 4 of altitude exposure, the participants
147 lived La Paz, Bolivia (3,800 m; average barometric pressure ~487 mmHg) to continue acclimatizing at
148 a lower altitude. On Day 4 the participants visited 5,260 m for four to six hours. On Day 5, they
149 returned to 5,260 m, where they lived for an additional 13 days. No symptoms of AMS were
150 observed at ALT16 due to acclimatization.

151 *Experimental Protocol*

152 Before entering the exercise room, the participants laid down in a room dedicated to the
153 insertion of an arterial catheter (20–22 gauge) into a radial artery. After ~30 min of instrumentation,
154 the participants underwent a resting protocol described elsewhere (29). The participants then moved
155 on to the exercise protocol described hereafter. Participants were seated on an electrically braked
156 cycle ergometer (Velotron Elite, Racermate, Seattle, WA, USA). The protocol begun with a 3-min
157 resting baseline in pedalling position on the ergometer, with the right pedal down supporting the
158 relaxed leg. The participants then completed four 3-min stages at 70, 100, 130 and 160 watts,
159 followed by 15 watts/min increments until they could no longer maintain pedalling > 50 rpm despite
160 strong verbal encouragement. No specific pedalling frequency was required of the participants. This
161 protocol was developed to accommodate other research questions (30). Maximal power output

162 (watts) was calculated as: work rate of last stage completed + [(work rate increment) × (time into
163 final stage/duration of stage in seconds)] (31).

164 *Measurements*

165 *Arterial blood gas*

166 Blood samples (2 ml) were taken during the resting baseline, at the end of each of the four
167 three-min stages and immediately before the cessation of exercise (BL, 70W, 100W, 130W, 160W
168 and MAX). All samples were analysed immediately for arterial PO₂ (PaO₂), (Rapidlab 248; Siemens
169 Healthcare Diagnostics, Munich, Germany), haemoglobin concentration ([Hb]), and O₂ saturation
170 (SaO₂) (Radiometer OSM3; Radiometer Medical ApS, Copenhagen, Denmark). CaO₂ (mlO₂/dl) was
171 calculated as: $CaO_2 = 1.39 \text{ mlO}_2/\text{g Hb} \times [\text{Hb}] \text{ g/dl} \times (\text{SaO}_2/100) + (\text{PaO}_2 \text{ mmHg} \times 0.003$
172 $\text{mlO}_2/\text{ml/mmHg})$. Core body temperature was telemetrically recorded from an ingested pill
173 (CorTemp; HQInc, Palmetto, FL) to correct the blood gas values to measured body temperature.
174 Additionally, pulsed arterial O₂ saturation (SpO₂) was measured at the forehead by pulse oximetry
175 (Nellcor N-200, Mansfield, MA, USA).

176 *Cardiac output*

177 Continuous blood pressure was measured using the arterial catheter connected to a pressure
178 transducer put at heart level. Beat-to-beat cardiac output (\dot{Q}_c) was estimated with pulse contour
179 analysis of the blood pressure trace (32). The combination of arterial blood gas and cardiac output
180 allowed us to compute oxygen delivery ($\dot{Q}aO_2$) by multiplying \dot{Q}_c by CaO₂.

181 *Metabolic variables*

182 Throughout the exercise protocol, the participants breathed through a mouthpiece connected
183 to a pitot tube, which in turn was attached to a two-way, non-rebreathing valve (Hans-Rudolph 2700,
184 Hans-Rudolph, Shawnee, KS, USA). Ventilation was measured using a turbine spirometer (Universal
185 Ventilation Meter; Vacu-Med, Ventura, CA, USA) and corrected to BTPS. Expired fractions of O₂ and
186 CO₂ were measured using a pair of fast-responding gas analysers (O₂Cap Oxygen analyser; Oxigraf,
187 Mountain View, CA, USA) sampling from a port in the mouthpiece and 3-L mixing chamber
188 simultaneously. The turbine was calibrated using a 3-liter syringe (Hans-Rudolph 5530) and the gas
189 analysers were calibrated using gas mixtures of known concentrations of O₂ prior to each testing
190 session. $\dot{V}O_2$ was calculated from ventilation and gas concentrations using the Haldane
191 transformation. $\dot{V}O_{2p}$ was defined as the maximum 30 sec moving average of $\dot{V}O_2$.

192 *NIRS measurements*

193 Muscle HHb was obtained with a spatially resolved, continuous wave NIRS apparatus (Oxymon
194 MKIII, Artinis, Zetten, The Netherlands). The probe was directly attached to the skin using double-
195 faced transparent tape and wrapped with elastic non-transparent bandage to prevent any stray light
196 contamination and to limit any effects of differences in air temperature on skin blood flow between
197 measurements. It was placed on the right vastus lateralis 15 cm proximal and 5 cm lateral to the
198 superior border of the patella, using a source-detector spacing of 3.8 cm and differential path length
199 factor (DPF) of 4.0 (33). On the first experimental session, the location of the probe was marked on
200 the skin with indelible ink to ensure identical replacement during the following experiments. HHb is
201 expressed as absolute changes from resting baseline of each trial. This procedure is classically used in
202 NIRS signal analysis when no absolute value of HHb is available. Thus, HHb values reflect changes
203 from 0 at rest to maximal exercise, thus making between-condition comparisons possible. Sample
204 rate was set at 50Hz. Adipose tissue thickness at the site of the NIRS probe was measured using a
205 skinfold calliper.

206 *Data Acquisition*

207 All analogue data were sampled and recorded at 200 Hz on a personal computer for off-line
208 analysis (Powerlab 16/30; ADInstruments, Dunedin, New Zealand).

209 *Characterisation of muscle O₂ extraction*

210 Emitted light from the NIRS probe diffuses through arterial, capillary, and venous blood.
211 However, ~70% of HHb originates from the venous side of the capillary bed (16, 22), that is
212 immediately after O₂ has been offloaded from haemoglobin to the muscle. In this study, the slope of
213 HHb to $\dot{V}O_2$ was used to estimate muscle O₂ extraction as a function of work rate (24).

214 First, HHb and $\dot{V}O_2$ signals were filtered using a third order Butterworth filter with a cut-off
215 frequency at 1 Hz, operated in both the forward and the reverse directions to ensure for a zero-
216 phase distortion. Then, data from the start of exercise to $\dot{V}O_{2p}$ was divided in 20 windows of equal
217 duration, and the averaged values for HHb and $\dot{V}O_2$ were calculated for each window. At each time
218 point, the averaged HHb and $\dot{V}O_2$ responses were then normalised so that 70 W equals 0%, whilst
219 $\dot{V}O_{2p}$ represents 100% (Figure 1). The relationship between the normalized HHb and $\dot{V}O_2$ was fitted
220 to two linear segments, separated by a breakpoint using a piecewise equation. The first segment
221 went from the start of exercise to the breakpoint, and the second segment continues from the
222 breakpoint to exhaustion as previously described (24).

223 $y = s_1 \cdot x + b_1$ for $x \leq$ break point

224 $y = s_2 \cdot x + b_2$ for $x >$ break point

225 where s represents the slope of the relationship and b the y -intercept. Those two parameters
226 were estimated by a least-square linear regression (Matlab R2019b, MathWorks, Natick, MA). For
227 each exercise test, several possibilities were fitted, i.e., from 3 points in the first relationship and the
228 rest in the second to all points except 3 in the first relationship and 3 in the second. For each of the
229 relationships, the errors were computed as follows:

230 $error1 = \sum_{n=1}^{n=x} |HHb(x) - s_1 \cdot \dot{V}O_2(x) + b_1|$ for $x \leq$ break point

231 $error2 = \sum_{n=x}^{n=20} |HHb(x) - s_2 \cdot \dot{V}O_2(x) + b_2|$ for $x >$ break point

232 The best fit was obtained by minimizing the error of the two equations using the least square
233 method (Figure 2).

234 Finally, results from ALT1 and ALT16 were expressed in percentage of the SL $\dot{V}O_{2p}$ (Figure 3).

235 Exercise intensities below the break point were considered moderate whereas exercise
236 intensities above were considered high.

237 *NIRS - CaO₂ relationship*

238 In chronic hypoxia a significant increase in haemoglobin blood concentration ([Hb]) is expected
239 and has been previously reported in the AltitudeOmics population (34). NIRS signals are likely
240 affected by changes in [Hb]. Normalizing HHb by CaO₂ takes into account both the changes in [Hb]
241 after acclimatization and the changes in oxygen content during hypoxic exercise. Therefore, the
242 HHb/CaO₂ ratio was used to allow comparison between SL, ALT1 and ALT16.

243 *Data analysis and statistics*

244 All data are reported as mean \pm SD. The slopes of the relationships, the x and y coordinates of
245 breakpoint and the maximal power output at SL, ALT1 and ALT16 were compared using a one-way

246 ANOVA for hypoxic effect (Matlab R2019b, MathWorks, Natick, MA, USA) with an α level of 0.05.
247 Slopes beyond the breakpoint were tested against a zero using Student's t-test. Other parameters at
248 SL, ALT1 and ALT16 at rest and at maximal exercise were compared using a two-way ANOVA for
249 hypoxic and exercise effects (Matlab R2019b, MathWorks, Natick, MA, USA) with an α level of 0.05.
250 For significant interactions between hypoxia and exercise, pairwise comparisons were performed
251 using Tuckey's HSD post-hoc test.

252

253

Results

254 Environmental conditions are summarized in Table 1, which is a reproduction from a previous
255 publication (29). As expected, at rest and during incremental exercise, CaO_2 significantly decreased
256 from SL to ALT1, due to a decrease in PaO_2 and SaO_2 . There was a significantly increased CaO_2 from
257 ALT1 to ALT16, largely due to an increase in Hb concentration as previously described in the set of
258 participants of the present study (34), and an increase in SaO_2 , but CaO_2 remained significantly lower
259 at rest and during exercise at ALT16 compared to SL (Table 2).

260 Maximal power output, $\dot{V}\text{O}_{2p}$, $\dot{Q}c$ and CaO_2 data are summarised in Table 2, and results were as
261 expected, showing reduction in hypoxic conditions. $\dot{Q}c$ at maximal exercise significantly decreased by
262 $15\pm 5\%$ in ALT1 compared to SL and remained stable at ALT16 compared to ALT1, similarly $\dot{V}\text{O}_{2p}$
263 significantly decreased by $29\pm 11\%$ in ALT1 compared to SL and remained stable at ALT16 compared
264 to ALT1. $\dot{Q}a\text{O}_2$ (the product of $\dot{Q}c$ and CaO_2) significantly decreased in ALT1 compared to SL from 100
265 W to MAX, whereas no significant differences were found between SL and ALT16. $\dot{Q}a\text{O}_2$ significantly
266 increased from ALT1 to ALT16 from 100 W to MAX. NIRS data expressed in delta μM from resting
267 baseline are summarised in Table 3. A significant increase in HHb during ALT1 exercise, but not MAX,
268 compared to SL was observed, whereas there was no significant difference between SL and ALT16.

269 Muscle O_2 extraction during moderate exercise intensities significantly increased to a
270 comparable extent at ALT1 and ALT16 compared to SL, as indicated by the slope of the HHb - $\dot{V}\text{O}_2$
271 relationship before the breakpoint (Table 4 and illustrated in Figure 3). Beyond the breakpoint,
272 muscle O_2 extraction became blunted, at SL and ALT1, as indicated by the s2 slopes being significantly
273 lower than before the break point, whereas no such blunting in muscle O_2 extraction was found at
274 ALT16, the slope after the breakpoint being significantly greater than at SL and ALT1 (Table 4 and
275 illustrated in Figure 3). Additionally, at SL, the slope beyond the breakpoint was not statistically
276 different from zero, whereas at ALT1 and ALT16 it was different from zero. Yet, at ALT1 the slope
277 beyond the breakpoint was not statistically different from SL (Table 4).

278 As expected, the breakpoint was found around 70% $\dot{V}\text{O}_{2p}$ at SL. In values relative to SL, this
279 position was shifted to the left in both ALT1 and ALT16 compared to SL, with no difference between
280 ALT1 and ALT16 (Table 4 and illustrated in Figure 3). The y-intercept of the breakpoint was also
281 reduced to a comparable extent in ALT1 and ALT16 compared to SL. In values relative to each
282 condition, the position of the breakpoint was not significantly different between SL and ALT1 ($68.8 \pm$
283 5.5% and $69.5 \pm 15.3\%$, respectively, see supplementary material) and was significantly right shifted
284 in ALT16 (i.e., it happened later relative to $\dot{V}\text{O}_{2p}$ in each condition, $73.5 \pm 6.7\%$). The y-intercept of
285 the breakpoint was significantly reduced to a comparable extent in ALT1 and ALT16 ($83.3 \pm 18.2\%$
286 and $84.4 \pm 13.4\%$, respectively) compared to SL ($96.2 \pm 16.7\%$) in values relative to each condition.

287 The transition between 3-minute to 1-minute stages occurred at a different time than the break
288 point of the HHb- $\dot{V}\text{O}_2$ relationship. At sea level, only 4 participants had the stage transition occurring
289 between 65 and 75% of their $\dot{V}\text{O}_{2p}$ (so around their HHb- $\dot{V}\text{O}_2$ breakpoint) whilst 9 participants
290 showed a stage transition below 65% $\dot{V}\text{O}_{2p}$ and 8 other participants had it above 75% $\dot{V}\text{O}_{2p}$ (both
291 occurring at a different time than their HHb- $\dot{V}\text{O}_2$ breakpoint). At ALT1 and ALT16 the HHb- $\dot{V}\text{O}_2$
292 breakpoint systematically occurred before the stage transition (ALT1: 26 ± 12 vs. $93\pm 9\%$; ALT16 30 ± 11
293 vs. $94\pm 9\%$ $\dot{V}\text{O}_{2p}$, respectively, all $p < 0.05$).

294 The HHb/ CaO_2 ratio significantly increased during submaximal exercise (up to 160 W) from SL to
295 ALT1 and then tended to go back to SL values at ALT16 (Figure 4, panel C). These changes follow
296 those of HHb and CaO_2 (Figure 4, Panel A and B, respectively), indicating that the recovery of both
297 parameters seem comparable in magnitude and are likely interdependent. Ultimately the HHb/ $\dot{Q}a\text{O}_2$
298 follows the same trend (Figure 4, panel D).

299 Skinfold thickness at the site of the NIRS probes did not significantly change from SL to ALT1
300 (16.2 ± 7.9 and 15.4 ± 7.3 mm respectively), whereas it had significantly decreased to 13.9 ± 7.0 mm
301 at ALT16.

302 Supplementary figures can be seen at <https://doi.org/10.6084/m9.figshare.23653812>.

303

304 Discussion

305 The main finding of this study was that muscle O₂ extraction, as estimated with NIRS, was
306 elevated in ALT1 and ALT16 compared to SL during exercise intensities below the breakpoint. During
307 exercise intensities beyond the breakpoint, muscle O₂ extraction was blunted at SL and ALT1, but not
308 at ALT16 (Table 4 and illustrated in Figure 3). The present work gives insight into muscle oxygenation
309 dynamics during incremental exercise in acute and chronic hypoxia using an indirect yet
310 physiologically meaningful continuous measure of O₂ extraction in the working muscle.

311 *Low and moderate exercise intensities*

312 During low to moderate exercise intensities there was a greater HHb change for a given change
313 in $\dot{V}O_2$ in both ALT1 and ALT16 compared to SL indicated by the increased slopes before the
314 breakpoint in Table 4. This finding indicates that during exercise in hypoxia, there may be increased
315 O₂ extraction and decreased O₂ convective transport relative to metabolic demand in the working
316 muscles (24). This increase in muscle O₂ extraction during hypoxic exercise is in accordance with
317 previous reports of convective O₂ supply to the working limbs, whereas muscle O₂ conductance
318 would seem unchanged (9, 35, 36). A previous invasive study showed that fractional O₂ extraction
319 increased at the same absolute submaximal exercise intensity in acute and chronic hypoxia
320 compared to normoxia (10). This increased muscle O₂ extraction could, at least in part, serve as a
321 compensatory mechanism to counteract the effects of reduced O₂ supply during exercise in hypoxia,
322 as supported by the decrease in $\dot{Q}aO_2$ observed in acute hypoxia. However, there was no difference
323 in submaximal muscle O₂ extraction between ALT1 and ALT16 despite improvements in CaO₂ and
324 $\dot{Q}aO_2$ after two weeks of acclimatization (9) (Table 2). Since $\dot{Q}aO_2$ increased from ALT1 to ALT16, the
325 lack of improvement in muscle O₂ extraction at ALT16 is unlikely due to reduced O₂ supply.
326 Moreover, no differences were observed between SL and ALT16, even though CaO₂ during exercise
327 at ALT16, remained significantly lower than at SL. These results are in line with the CMRC Chacaltaya
328 1998 findings, when supplemental O₂ was given at exhaustion, allowing the participants to reach
329 higher exercise intensities, whilst O₂ extraction was reduced. The latter indicated that in acclimatized
330 lowlander, muscle O₂ extraction does not seem limited by O₂ delivery, at least during whole-body
331 exercise.

332 *High exercise intensities*

333 When muscle O₂ extraction becomes limiting during high intensity exercise, as indicated by a
334 blunting of the HHb- $\dot{V}O_2$ slope, any increase in $\dot{V}O_2$ beyond the breakpoint must be due to increases
335 in muscle blood flow (24). Thus, beyond the breakpoint, only an increase in blood flow would
336 increase O₂ supply and mitochondrial oxidative activity. In the present study, beyond the breakpoint
337 there was a blunting of the HHb- $\dot{V}O_2$ slopes at SL and ALT1 (slopes not different and SL slope not
338 different from zero), whereas no such blunting of the slope was observed at ALT16 (greater slope,
339 Table 4), meaning that O₂ extraction slowed down at SL and ALT1 beyond the breakpoint but did less
340 so at ALT16. This finding alludes to the presence of a muscle O₂ extraction reserve at high exercise
341 intensities following acclimatization to high altitude (i.e., some O₂ is remaining in the effluent blood
342 of the capillaries under the NIRS probe). Since $\dot{V}O_{2p}$ remained similar between ALT1 and ALT16
343 whilst $\dot{Q}aO_2$ at ALT16 had returned towards SL values, there are two possibilities: i) muscle O₂
344 extraction reserve was not fully utilized at ALT16; or ii) the mechanisms limiting $\dot{V}O_{2p}$ differ between
345 ALT1 and ALT16. In support of these hypotheses, there is direct evidence that muscle oxidative
346 capacity may have been limited at ALT16 in the present set of participants. Metabolomic, proteomic
347 profiling, mitochondrial respirometry and blood gas analyses were integrated to comprehensively
348 define the physiological responses of skeletal muscle energy metabolism. Results indicate that the
349 mitochondrial respiratory capacity of skeletal muscle is preserved at ALT16 (14), suggesting an
350 enhancement of muscle bioenergetics in chronic physiological hypoxia (37, 38). Mitochondria play a
351 central role in the adaptive responses by supporting greater resting muscle phosphorylation

352 potential and enhancing the efficiency of fatty acid oxidation. At first sight this seemed contradictory
353 with the findings of the present study, but this greater phosphorylation potential directs glucose
354 toward pentose phosphate and one-carbon metabolism pathways that support cytosolic redox
355 balance and purine nucleotide homeostasis (14). Muscle accumulation of free amino acids from
356 protein catabolism appears to be a primary driver of this response by coordinating cytosolic and
357 mitochondrial pathways to rid the cell of ammonia (14). This generates an anaplerotic imbalance that
358 may be initially adaptive but could ultimately limit muscle oxidative capacity in vivo independent of
359 oxygen availability (14).

360 In addition to muscle adaptations, previous work showed reduced muscle fatigue during
361 exercise above ~4,500m, indicating early onset of motor drive inhibition (5, 6, 39–42). In chronic
362 hypoxia this is partially corrected, as demonstrated by Goodall et al. (43) who reported reduced
363 indices of supraspinal fatigue following acclimatization to high altitude (ALT16) in the present set of
364 participants. It thus seems that increased O₂ supply in chronic hypoxia increased corticospinal
365 excitability. “Thus, increased O₂ supply in chronic hypoxia appears to have increased corticospinal
366 excitability. Yet, the increased excitability did not result in sufficient muscle activation requiring the
367 use of all the available O₂, leaving an O₂ extraction reserve at the cessation of maximum exercise.” In
368 other conditions, such as one-leg exercise, this functional reserve could be used (44).

369 *HHb to CaO₂ ratio*

370 The fact that the HHb/CaO₂ ratio increases during exercise at ALT1 and tends to go back toward
371 SL values at ALT16 strengthens the hypothesis that at ALT1, when O₂ supply was the most affected,
372 one compensatory mechanism was to increase O₂ extraction during submaximal exercise; whereas at
373 ALT16, when there was some recovery of O₂ supply, O₂ extraction partially returned towards SL
374 values. In support, previous work demonstrated muscle adaptation to chronic hypoxia exposure,
375 independent of other adaptations, which resulted in better contractility during and after repeated
376 contractions (45).

377 *Limitations*

378 We used the relationship between HHb and $\dot{V}O_2$ as a surrogate measure of muscle O₂ extraction
379 during exercise in humans. It is a non-invasive indirect measurement performed by another research
380 group and with findings comparable with the present normoxic values (24).

381 The relationship between muscle O₂ extraction and $\dot{V}O_{2p}$ has been investigated for nearly a
382 century (46) and remains matter of debate (47) since multiple factors along the O₂ transport system
383 interact and contribute to limit $\dot{V}O_{2p}$. The present study is not intended to revisit this debate but
384 rather brings a new important piece of information using an investigational technique scarcely used
385 during altitude acclimatization. As previously suggested, oxygen diffusion at the muscle level
386 contributes to limiting $\dot{V}O_{2p}$ at SL and ALT1, but likely does less so in ALT16, as indicated by the
387 absence of a blunting of the HHb - $\dot{V}O_2$ relationship, whilst accompanied by normalization of $\dot{Q}aO_2$
388 values.

389 Normalisation of $\dot{Q}aO_2$ during high altitude acclimatization coincides with increases in [Hb],
390 decreases in both subcutaneous fat thickness and skin blood flow (from decreased ambient
391 temperature, Table 1). Angiogenesis could be another confounding factor but no angiogenesis was
392 reported after height weeks of acclimatization at 4,100 m (48). HHb changes relative to the baseline
393 in each condition were used, which minimizes the effects mentioned above. In addition the present
394 results are consistent with previous catheter studies under similar experimental conditions, which
395 also suggested that O₂ extraction was not full in chronic hypoxia (13) and with previous studies on
396 the present set of participants (34, 43). Yet, future studies with more invasive methods are required
397 to validate the information provided by NIRS data.

398 The AltitudeOmics project had several goals (29), and the present exercise protocol, which
399 included stages of 3 minutes followed by stages of 1 minute, was a compromise to meet the needs
400 for all. This special exercise design may have influenced the HHb- $\dot{V}O_2$ relationship due to their
401 respective kinetics for the 3-minute and 1-minute stages. Yet, as stated in the result section, the
402 stage transition (from 3-minute to 1-minute) occurred at a different time than the HHb- $\dot{V}O_2$
403 breakpoint in all conditions, likely underlying the fact that the HHb- $\dot{V}O_2$ breakpoints relies on a
404 physiological mechanism rather than the exercise design. In addition, the values we found at sea
405 level match previously reported values by another research group using another exercise design (24),
406 suggesting a limited effect of the exercise design on the HHb- $\dot{V}O_2$ relationship.

407 Men and women were pooled in the present study which may be a confounding factor as the
408 HHb- $\dot{V}O_2$ relationship may differ between sex.

409 **Conclusions**

410 The present study demonstrated increased muscle O_2 extraction during submaximal exercise
411 during acute exposure to 5,260m, which may be attributable to decreased $\dot{Q}aO_2$. The presence of a
412 muscle O_2 extraction reserve during chronic exposure is coherent with previous studies on the same
413 set of participants (14, 43) and others (40, 49) indicating both limited muscle oxidative capacity and
414 decrease in motor drive during chronic exposure to 5,260 m. Therefore, for the flow conditions and
415 level of capillarization, the O_2 extraction reached is the maximal achievable, yet there is O_2 remaining
416 in the effluent blood on the venous side. Future studies should include local blood flow
417 measurements in parallel to muscle NIRS to relate the changes in O_2 extraction to expected changes
418 in local blood flow and O_2 supply in acute and chronic hypoxia.

419

420 **Authors' contribution**

421 Conceived and designed the experiments: ATL, AWS and RCR. Performed the experiments: NB,
422 OE, JLF, JEE and AWS. Analysed and interpreted data: NB and BK. Wrote the first version of the
423 manuscript and prepared the figures: NB. Revised the manuscript: AWS, JLF, BK, RCR, ATL. All authors
424 approved final version of the manuscript.

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426 This paper is part of a series titled "AltitudeOmics" that together represent a group of studies that
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431 Julian, A.T. Lovering, A.W. Subudhi, and R.C. Roach. A complete list of other investigators on this
432 multinational, collaborative effort involved in development, participants management and data
433 collection, supporting industry partners, and people and organizations in Bolivia that made
434 AltitudeOmics possible is available in the first paper in this series (29). The authors are extremely
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436 gas data collection for this study.

437 **Supplementary figure**

438 [10.6084/m9.figshare.23653812](https://doi.org/10.6084/m9.figshare.23653812)

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589 **Figure Legends**

590 **Figure 1:** Typical raw signals of deoxyhaemoglobin (HHb) top panel and oxygen consumption ($\dot{V}O_2$)
591 bottom panel from rest to exhaustion during the incremental protocol in participant #1 in acute
592 hypoxia (ALT1).

593 **Figure 2:** Example of fitted data using the double-linear method by Spencer et al. (24). Same data as
594 in Figure 1 i.e., participant #1 in acute hypoxia (ALT1).

595 **Figure 3:** Mean fit for all participants using the double-linear method by Spencer et al. (24). Plain line:
596 sea level (SL), dashed line: acute hypoxia (ALT1), dotted line: chronic hypoxia (ALT16). The
597 breakpoints are located by the vertical and horizontal thin dotted lines in each condition. Sea Level
598 $\dot{V}O_{2p}$ (%). SL: n = 21; ALT1: n = 16, ALT16: n = 21.

599 **Figure 4:** Panel A: deoxyhaemoglobin (HHb), Panel B: arterial oxygen content (CaO_2), Panel C: oxygen
600 delivery ($\dot{Q}aO_2$), and Panel D: HHb over $\dot{Q}aO_2$ ratio as a function of $\dot{V}O_2$, at sea level (SL, plain line), in
601 acute hypoxia (ALT1, dashed line), and chronic hypoxia (ALT16, dotted line). All values are normalized
602 with respect to SL maximal exercise. All values mean \pm SD. SL: n = 21; ALT1: n = 16, ALT16: n = 21.

603

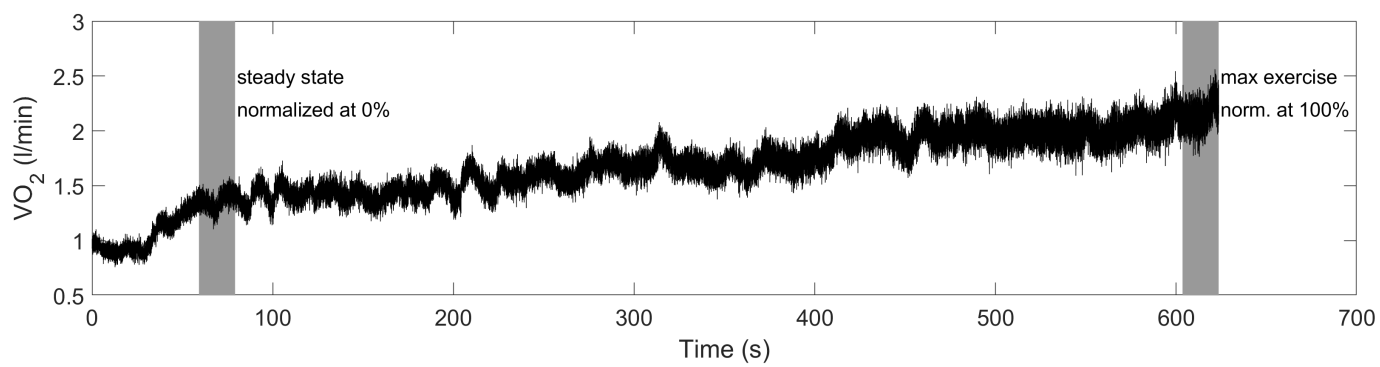
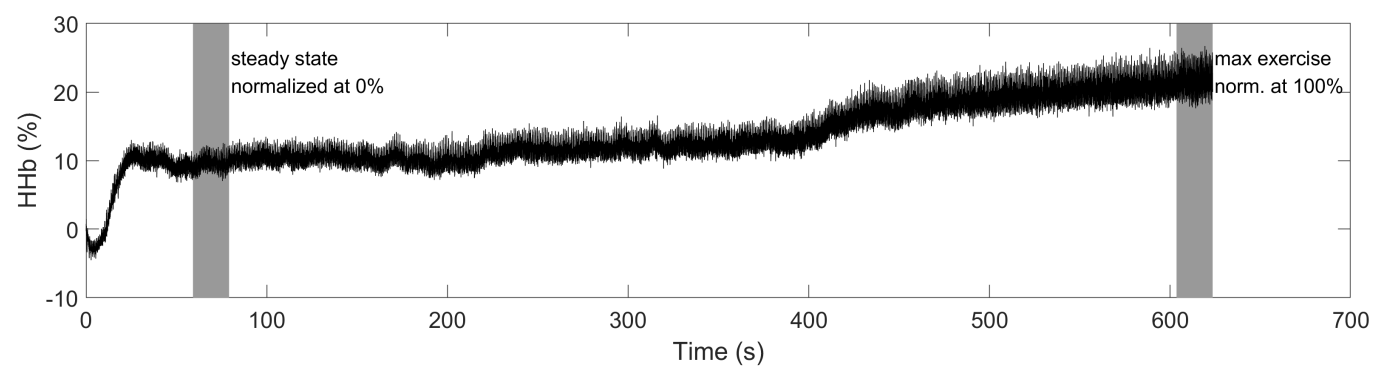


Figure 2

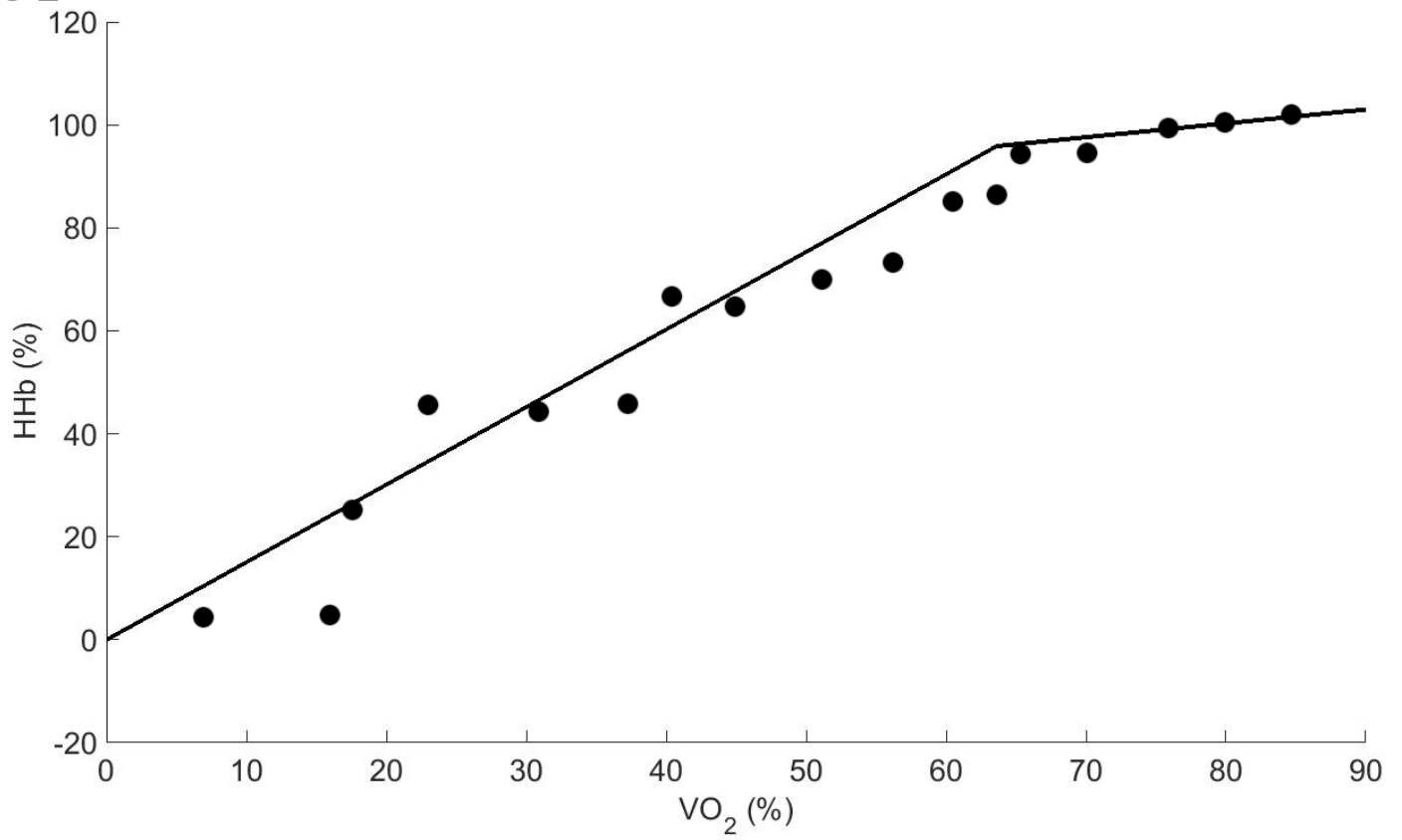


Figure 3

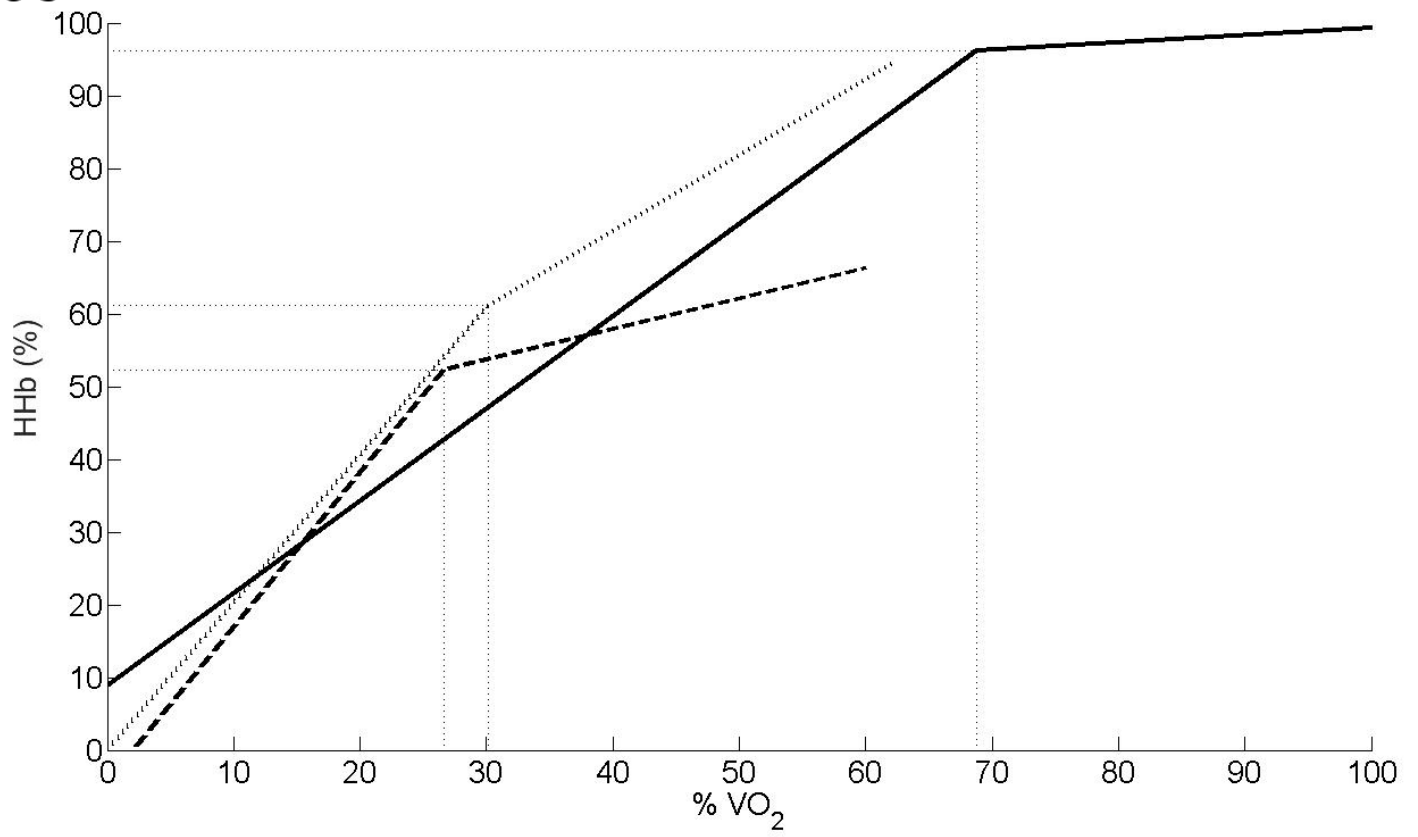


FIGURE 4

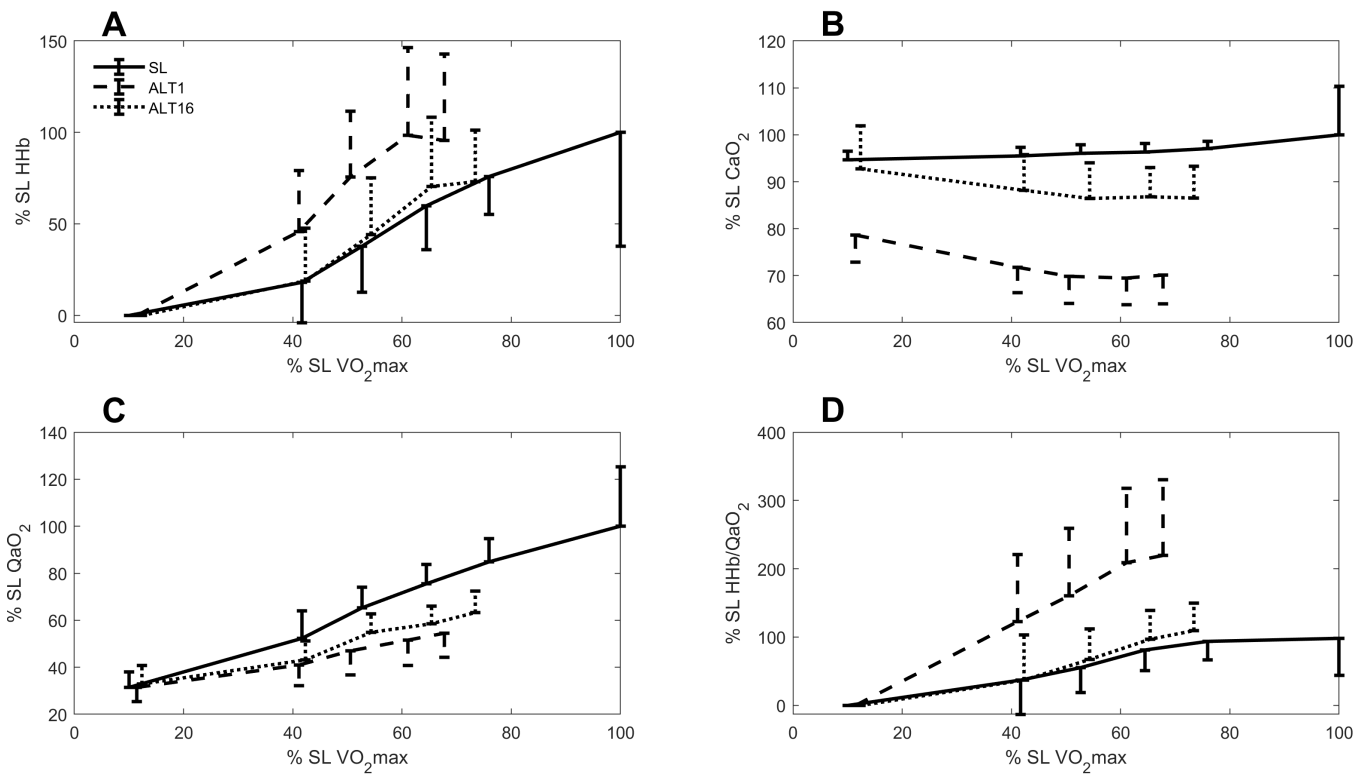


Table 1

	SL	ALT1	ALT16
Barometric pressure (mm Hg)	749.4 ± 2.4	409.1 ± 0.8 *	410.4 ± 1.0 *
Temperature (C)	22.8 ± 0.4	12.6 ± 2.2 *	19.1 ± 2.4 *†
Humidity (%)	39.2 ± 7.5	19.8 ± 7.5 *	14.2 ± 7.7 *†

All values are mean ± SD. * different from normoxia ($p < 0.05$), † different from ATL1 ($p < 0.05$).

Table 2

	SL	ALT1	ALT16
$\dot{V}O_2$ (mlO ₂ /min/kg)			
Rest	4.6 ± 0.9	5.0 ± 1.5	5.8 ± 1.5
70W	18.9 ± 2.5	18.0 ± 2.2	19.4 ± 2.7
100W	23.8 ± 2.9	22.0 ± 2.8	25.0 ± 3.6
130W	29.0 ± 4.1	26.1 ± 2.8	30.3 ± 3.5
160W	34.5 ± 5.1	29.5 ± 3.2	35.1 ± 4.1
Max	48.1 ± 8.8	30.7 ± 5.6 *	36.2 ± 6.2 *
$\dot{V}O_2$ (mlO ₂ /min)			
Rest	317 ± 74	361 ± 124	386 ± 99
70W	1270 ± 186	1277 ± 130	1281 ± 155
100W	1601 ± 108	1567 ± 187	1648 ± 174
130W	1951 ± 127	1917 ± 185	2051 ± 165
160W	2325 ± 182	2305 ± 222	2459 ± 279
Max	3345 ± 848	2239 ± 630 *	2427 ± 564 *
Power output (W)			
Max	258 ± 68	167 ± 43 *	173 ± 48 *
[Hb] (g/l)			
Rest	141 ± 14	143 ± 13	161 ± 21 **
70W	143 ± 14	145 ± 13	164 ± 20 **
100W	144 ± 14	146 ± 13	166 ± 20 **
130W	145 ± 13	151 ± 13	172 ± 19 **
160W	147 ± 13	156 ± 12	180 ± 14 **
Max	154 ± 15	151 ± 14	173 ± 20 **
PaO ₂ (mmHg)			
Rest	102.2 ± 6.9	39.5 ± 4.2 *	47.7 ± 5.0 **
70W	95.4 ± 4.9	32.9 ± 3.4 *	42.4 ± 3.4 **
100W	97.0 ± 4.8	32.5 ± 2.9 *	41.2 ± 3.3 **
130W	96.3 ± 5.8	33.0 ± 3.2 *	40.9 ± 3.3 **
160W	95.6 ± 7.3	33.3 ± 3.0 *	41.4 ± 4.4 **
Max	97.2 ± 8.3	34.3 ± 2.7 *	41.7 ± 3.9 **
SpO ₂ (%)			
Rest	96.1 ± 0.6	78.2 ± 4.9 *	83.0 ± 5.1 **
70W	95.5 ± 0.5	70.1 ± 4.6 *	77.6 ± 4.3 **
100W	95.4 ± 0.5	67.5 ± 4.5 *	75.0 ± 4.4 **
130W	95.1 ± 0.7	66.3 ± 5.3 *	74.4 ± 3.8 **
160W	94.8 ± 1.1	66.8 ± 5.3 *	73.7 ± 4.2 **
Max	93.8 ± 1.6	65.9 ± 5.8 *	71.8 ± 5.0 **
CaO ₂ (mlO ₂ /dl)			
Rest	19.3 ± 1.8	15.7 ± 1.5 *	18.8 ± 3.2 †
70W	19.3 ± 1.9	14.3 ± 1.7 *	17.9 ± 2.8 **
100W	19.4 ± 1.8	13.9 ± 1.6 *	17.6 ± 2.8 **
130W	19.5 ± 1.8	14.0 ± 1.8 *	18.0 ± 2.4 **
160W	19.6 ± 1.8	14.6 ± 1.6 *	18.5 ± 1.9 **
Max	20.4 ± 2.0	13.9 ± 1.7*	17.4 ± 2.2**
PaCO ₂ (mmHg)			
Rest	36.2 ± 4.1	27.0 ± 3.6 *	20.0 ± 3.4 **
70W	38.3 ± 2.6	26.7 ± 2.5 *	20.5 ± 2.4 **
100W	38.8 ± 3.2	25.3 ± 2.8 *	20.2 ± 2.2 **
130W	38.1 ± 3.7	23.3 ± 3.3 *	18.9 ± 2.2 **
160W	37.2 ± 4.3	22.4 ± 2.7 *	16.6 ± 3.6 **
Max	31.7 ± 4.8	21.3 ± 3.0 *	16.1 ± 2.6 **
$\dot{Q}C$ (l/min)			
Rest	6.4 ± 1.5	7.6 ± 1.3 *	7.2 ± 1.6 *
70W	10.7 ± 3.4	11.3 ± 2.2	10.0 ± 2.0
100W	13.3 ± 2.3	13.3 ± 1.7	12.8 ± 1.4
130W	15.2 ± 2.8	15.0 ± 2.1	14.5 ± 1.4
160W	16.7 ± 2.9	16.3 ± 2.4	15.2 ± 2.2
Max	19.6 ± 3.9	16.5 ± 3.1 *	16.0 ± 2.2 *

All values are mean \pm SD. $\dot{V}O_2$: oxygen consumption, [Hb]: blood haemoglobin concentration, SpO₂: pulsed arterial oxygen saturation, CaO₂: arterial oxygen content, PaCO₂: arterial pressure of carbon dioxide, HR: Heart Rate, \dot{Q}_c : cardiac output. * different from SL ($p < 0.05$), † different from ATL1 ($p < 0.05$).

Table 3

	SL			ALT1			ALT16		
	O ₂ Hb	HHb	THb	O ₂ Hb	HHb	THb	O ₂ Hb	HHb	THb
Rest	0	0	0	0	0	0	0	0	0
70W	-5.3 ± 4.1	2.6 ± 2.9	-2.6 ± 3.9	-6.2 ± 3.5	5.4 ± 3.8 *	-0.7 ± 3.7	-4.0 ± 3.4	3.1 ± 4.2	-0.9 ± 4.0
100W	-6.0 ± 4.5	5.0 ± 3.8	-1.0 ± 4.5	-8.1 ± 4.1	8.6 ± 5.0 *	0.5 ± 3.9	-4.9 ± 3.7	6.2 ± 4.8	1.3 ± 4.8
130W	-6.3 ± 6.4	8.1 ± 5.1	1.8 ± 9.3	-10.7 ± 5.3	11.7 ± 7.1 *	1.0 ± 4.6 *	-5.9 ± 4.2	10.2 ± 6.1	4.3 ± 5.5
160W	-8.2 ± 6.6	10.6 ± 6.0	2.4 ± 9.3	-12.9 ± 6.5	15.1 ± 9.0 *	2.2 ± 6.0	-6.9 ± 5.2	14.2 ± 8.0	7.3 ± 6.3
Max	-12.3 ± 8.3	15.4 ± 9.6	3.1 ± 11.1	-11.4 ± 6.0	13.3 ± 10.0	1.9 ± 6.4	-7.6 ± 4.7	13.5 ± 10.4	6.0 ± 8.4

All values are mean ± SD. O₂Hb: NIRS oxyhaemoglobin, HHb : NIRS deoxyhaemoglobin, THb : NIRS total Haemoglobin. * different from SL (p < 0.05), † different from ATL1 (p < 0.05).

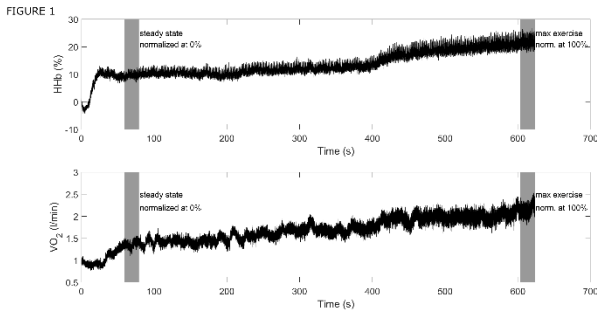
Table 4

	SL	ALT1	ALT16
s1	1.27 ± 0.12	2.13 ± 0.94 *	2.03 ± 0.88 *
s2	0.18 ± 0.44	0.42 ± 0.50	1.04 ± 0.58 *†
x (% $\dot{V}O_2$)	68.8 ± 5.5	26.6 ± 12.1 *	30.1 ± 11.5 *
y (% HHb)	96.5 ± 16.4	52.3 ± 21.7 *	61.1 ± 20.1 *

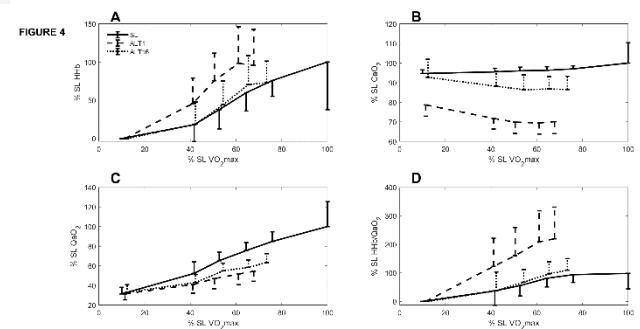
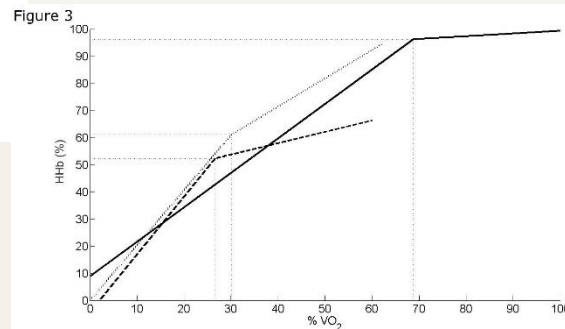
All values are mean ± SD. x: x coordinate of the breaking point, y: y coordinate of the breaking point, s1 slope before the breaking point, s2 slope beyond the breaking point. * different from normoxia ($p < 0.05$), † different from ATL1 ($p < 0.05$).

Effects of 16 days acclimatization to hypobaric hypoxia on muscle oxygen extraction during incremental exercise

METHODS



OUTCOME muscle O₂ extraction, as estimated with NIRS, was elevated in ALT1 and ALT16 compared to SL during exercise intensities below the breakpoint. During exercise intensities beyond the breakpoint, muscle O₂ extraction was blunted at SL and ALT1, but not at ALT16



CONCLUSION Increased muscle O₂ extraction during submaximal exercise was associated with decreased CaO₂ in acute hypoxia. The significantly greater muscle O₂ extraction during maximal exercise in chronic hypoxia is suggestive of an O₂ reserve.

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