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Author Manuscript

Faculty of Biology and Medicine Publication

This paper has been peer-reviewed but does not include the final publisher proof-corrections or journal pagination.

Published in final edited form as:

Title: Obesity and overweight associated with lower rates of colorectal cancer screening in Switzerland.

Authors: Fischer R, Collet TH, Zeller A, Zimmerli L, Gaspoz JM, Giraudon K, Rodondi N, Cornuz J

Journal: European journal of cancer prevention : the official journal of the European Cancer Prevention Organisation (ECP)

Year: 2013 Sep

Issue: 22

Volume: 5

Pages: 425-30

DOI: [10.1097/CEJ.0b013e32835f3b87](https://doi.org/10.1097/CEJ.0b013e32835f3b87)

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1 **Obesity and Overweight Associated with Lower Rates of Colorectal** 2 **Cancer Screening in Switzerland**

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19 **Word count**

20 Abstract: 250 words Text: 2809 words Number of references: 35

21 Number of tables: 3 Number of figures: 1 appendix figure

22 **Running head:** Predictors of Colorectal Cancer Screening

23 **Conflict of interest statement:** An investigator-initiated unrestricted research grant from Pfizer
24 (Switzerland) was provided for data collection and analysis. Pfizer had no role in the study
25 design, the choice of statistical analyses, or the preparation of the manuscript. None of the
26 authors have additional individual financial disclosures.

27 **Abstract**

28 **Background:** Screening for colorectal cancer (CRC) is associated with reduced CRC mortality,
29 but low screening rates have been reported in several settings.

30 **Objective:** To assess predictors of low CRC screening in Switzerland.

31 **Design & Participants:** Retrospective cohort of a random sample of 940 patients aged 50–80
32 years followed for 2 years from 4 Swiss University primary care settings. Patients with illegal
33 residency status and history of CRC or colorectal polyps were excluded.

34 **Main measures:** We abstracted socio-demographic data of patients and physicians, patient
35 health status and indicators derived from RAND's Quality Assessment Tools from medical
36 charts. We defined CRC screening as colonoscopy in last 10 years, flexible sigmoidoscopy in last
37 5 years, or fecal occult blood testing in last 2 years. We used bivariate and multivariate logistic
38 regression analyses.

39 **Key results:** Of 940 patients (mean age 63.9 years, 42.7% women), 316 (33.6%) had CRC
40 screening. In multivariate analysis, birthplace in a country outside of Western Europe and North
41 America (OR 0.65, 95% CI 0.45-0.97), male gender of the physician in charge (OR 0.67, 95% CI
42 0.50-0.91), BMI 25.0-29.9 kg/m² (OR 0.66, CI 0.46-0.96) and ≥ 30.0 kg/m² (OR 0.61, CI 0.40-
43 0.90) were associated with lower CRC screening rates.

44 **Conclusion:** Obesity, overweight, birthplace outside of Western Europe and North America, and
45 male gender of the physician in charge were associated with lower CRC screening rates in Swiss
46 University primary care settings. Physician perception of obesity and its impact on their
47 recommendation for CRC screening might be a target for further research.

48 **Keywords:** Colorectal Cancer; Cancer Screening; Obesity

49 **Background**

50 According to the World Health Organization (WHO), colorectal cancer (CRC) is the fourth
51 leading cause of cancer death worldwide. CRC screening using biennial fecal occult blood
52 testing has been shown to reduce CRC related mortality by about 14% [1]. Screening
53 colonoscopy every 10 years, flexible sigmoidoscopy every 5 years, or annual fecal occult blood
54 testing (FOBT) have been recommended by United States (U.S.) Guidelines [2] and a European
55 Panel [3] for patients older than 50. Several studies also support cost effectiveness of CRC
56 screening [4,5]. However, reported screening rates stay around 55% in the U.S. [6,7] and around
57 45% in European countries [8].

58 In search for a better understanding of these low rates, recent studies examined the factors
59 associated with CRC screening. Socio-economic factors such as white ethnicity, male gender,
60 lower age, higher education level and higher household income, and insurance coverage, were
61 found to be associated with higher CRC screening rates in several studies in the U.S. and Canada
62 [9–12]. Whereas presence of a chronic condition increased odds for CRC screening in one study
63 [11], no association with the number of chronic conditions was found in another [12]. A recent
64 review on the relationship between obesity and cancer screening found a complex pattern of
65 positive and negative associations between CRC screening and weight status throughout the
66 literature [13]. Several studies found that annual influenza vaccine was associated with higher
67 CRC screening rates [9,11]. The main reasons not to perform FOBT screening were patient
68 unawareness and physicians not recommending it [10].

69 Outside of Northern America, publications on correlates of CRC screening are rare. A recent
70 Spanish study found higher education level, periodic screening for breast and cervical cancer in
71 women, and knowledge about CRC and CRC screening to increase odds for initial participation

72 in a population-based screening program [14]. One study from Hong Kong found that physician
73 factors such as experience, academic appointment and agreement with CRC screening were
74 associated with higher screening rates [15]. However, we found no studies assessing the factors
75 associated with CRC screening in European countries without population-based screening
76 program, such as Switzerland.

77 The aim of this study was to assess patient and physician factors associated with lower rate of
78 CRC screening, in University primary care settings in Switzerland, a country without a
79 population-based screening program.

80 **Methods**

81 **Study design and patients**

82 The study design was previously published [16]. Briefly, this retrospective cohort study aimed to
83 assess the quality of preventive care and control of cardiovascular risk factors, and included a
84 random sample of all patients aged 50 to 80 years old, followed up by primary care physicians
85 (PCPs) in 4 Swiss University primary care settings in Basel, Geneva, Lausanne and Zurich
86 during 2005 and 2006. We limited the sample to this age group in order to have a high enough
87 prevalence of examined preventive indicators and eligibility for cancer screening. From the
88 initial random sample of 1889 patients, we excluded patients whose medical charts could not be
89 found (54), patients with emergency visits or nurse appointment only (125), those followed up in
90 specialized clinics only (117) or for less than one year (591), because of a possible lack of
91 adequate time to provide preventive care (Appendix Figure 1). For this study, we also excluded
92 12 patients known for CRC or colorectal polyps at the beginning of the review period, as the
93 focus was to assess cancer screening in average risk patients and not to follow up. In addition,
94 we excluded 50 patients with irregular residency status, as insurance coverage is not guaranteed
95 for these patients. The final sample consisted of 940 abstracted medical charts. A similar sample
96 size was used in previous studies on quality of care based on chart abstraction [17,18]. The
97 Institutional Review Board approved the study protocol at each site.

98 **Chart abstraction**

99 Based on previous studies on factors associated with CRC screening [9,10,15], we examined the
100 following indicators: patient demographic characteristics, including age, gender, civil status,
101 birthplace and occupation; characteristics of the physician in charge (defined as the physician
102 with whom the patient had the most visits over the review period), including gender, position,

103 and number of visits. BMI was calculated as reported body-weight in kilograms divided by
104 squared height in meters, or directly abstracted from the medical chart. As a surrogate to patient
105 health status, we calculated the Charlson combined age-comorbidity index [19,20] from reported
106 comorbid conditions and the patient's age. As this index only captures a limited list of conditions
107 that have a potential impact on survival, we abstracted the number of prescribed medications
108 over the two years review period.

109 As previously described [16], patient data were abstracted from medical charts by trained
110 medical students using a questionnaire form similar to previous studies [17,18,21]. The
111 questionnaire assessed the 33 selected indicators for chronic and preventive care derived from
112 RAND's Quality Assessment Tool System [21], as well as other covariates (demographics, co-
113 morbid conditions) based on the chart abstraction form from the Translating Research Into
114 Action For Diabetes Study [22]. Performed CRC screening was defined as documented
115 colonoscopy in the last 10 years, flexible sigmoidoscopy or double contrast barium enema in the
116 last 5 years, or fecal occult blood testing in the last 2 years, based on the U.S. Preventive
117 Services Task Force 2002 recommendation statement [23]. We considered any procedure or test
118 regardless of their indication, as data on indications was not always available. We used the 2002
119 recommendation statement instead of the 2008 statement [2] to measure compliance with
120 guidelines at the time of the review period (2005–2006). Patients who refused CRC screening
121 (n=22) were grouped with those not screened, even though screening was offered by the
122 physician, as this information might not be systematically documented in medical charts and
123 therefore not adequately captured by chart. To measure physician-initiated care, we repeated the
124 analysis categorizing these patients with the performed CRC screening group in a secondary
125 analysis.

126 As chart abstraction might underestimate quality of care by 5% to 10% compared to clinical
127 vignettes and standardized patients [24], inter-rater reliability was assessed by repeating the chart
128 abstraction on a random sample of patients (n=45) to detect a significant kappa value [16,25].
129 For CRC screening, the kappa value was 0.85. Influenza immunization indicators were validated
130 with an external administrative register at Lausanne, as previously reported [16]. For 230
131 patients, no BMI value could be abstracted or calculated from medical charts; we imputed these
132 missing values by the Gaussian normal regression imputation method from known values of
133 patient's age, gender, weight and height. We report median, lower and upper quartile of BMI
134 before and after imputation, and proportion of imputed BMI values for each BMI category. We
135 categorized age of the patients in three life decades, and categorized birthplace as Switzerland or
136 foreign country, further divided into Western European and North American countries, defined as
137 country in the child and adult mortality stratum A according to the Annex 1 of the WHO 2003
138 World Health Report [26], and outside of Western Europe and North America, mortality strata B
139 – E. We dichotomized continuous variables without normal distribution, i.e. Charlson combined
140 age-comorbidity index, the number of prescribed medications, and the number of visits to the
141 physician in charge, according to the median, and report interquartile ranges (IQR).

142 **Statistical analyses**

143 We used descriptive statistics and bivariate analyses to characterize the sample, and performed
144 multivariate logistical regression to analyze the predictors of adequate CRC screening. Results
145 are reported as percentages with 95% binomial exact confidence intervals (CI). To account for
146 clustering within each of the four University setting, we treated each site as a fixed effect.
147 Multivariate logistic regression analysis was repeated in sensitivity analyses using measured
148 BMI without imputation, and number of prescribed medications in addition to Charlson index.

149 We used Stata software (version 12.1, Stata Corp., College Station, Texas) for all statistical
150 analyses.

151 **Results**

152 **Patient description**

153 Table 1 shows characteristics of the 940 included patients. Mean age was 63.9 years (standard
154 deviation 8.8), and 402 patients (42.7%) were women. Median BMI was 27.9 (lower quartile:
155 24.9, upper quartile: 31.2) before imputation and 28.2 kg/m² (IQR 24.8 – 32.1) after imputation.
156 Most of the care was delivered by residents (89.5% vs. 10.5% by senior residents or attending
157 physicians) and 55.1% of patients were followed by female physicians. Annual influenza
158 vaccination was delivered to 22.3% of patients, whereas 77.7% did not receive vaccination.

159 **Colorectal cancer screening rates**

160 Table 2 shows CRC screening rates and describes screening methods. Only 316 (33.6%) of
161 patients eligible for CRC screening had adequate CRC screening. Refusal of CRC screening was
162 documented in 22 patients (2.3%). Fifty six percent had a colonoscopy, 41.3% had FOBT alone
163 or combined with endoscopy, and only 3.6% had flexible sigmoidoscopy alone. Double contrast
164 barium enema was not used for any patient.

165 Results of bivariate and multivariate logistical regression analyses are reported in Table 3. In
166 bivariate analyses, being overweight (BMI 25.0 to 29.9 kg/m²) and obese (BMI ≥30.0 kg/m²)
167 were associated with lower CRC screening rates (OR 0.64, CI 0.46 – 0.90, and OR 0.61, CI 0.43
168 – 0.88, respectively; p for trend = 0.007). Male gender of the physician in charge (OR 0.70, CI
169 0.53 – 0.93) was also negatively associated with CRC screening. Place of birth in a country
170 outside of Western Europe and North America was only borderline significant (OR 0.73, 95% CI
171 0.53 – 1.00).

172 In multivariate logistic regression analysis, being overweight (OR 0.66, CI 0.46 – 0.96) or obese
173 (OR 0.61, CI 0.40 – 0.90), and male gender of the physician in charge (OR 0.67, 95% CI 0.50 –

174 0.91; p for trend = 0.011) remained significantly associated with lower screening rates, and place
175 of birth outside of Western Europe and North America became significant (OR 0.65, 95% CI
176 0.45 – 0.97).

177 The proportion of imputed BMI values was considerably higher in normal and overweight than
178 in obese patients (23% and 34% vs. 13% respectively). A sensitivity analysis using measured
179 BMI without imputation confirmed the association of CRC screening with obesity (OR 0.63,
180 95% CI 0.51 – 0.99) and birthplace in a country outside of Western Europe and North America,
181 but not with overweight and physician gender. Including the total number of medications in
182 addition to Charlson combined age-comorbidity index did not significantly change the results. In
183 a secondary analysis considering CRC screening proposed by the physician rather than
184 performed screening, being overweight and obese (OR 0.65, 95% CI 0.45 – 0.93 and OR 0.62,
185 95% CI 0.42 – 0.91), and male gender of the physician in charge (OR 0.67, 95% CI 0.50 – 0.91)
186 were associated with CRC screening, but not with place of birth in a country outside of Western
187 Europe and North America.

188

189 **Discussion**

190 We found that only about one in three patients followed up in Swiss University primary care
191 settings were screened for CRC. This relatively low CRC screening rate is comparable to those
192 reported in some underserved populations in the U.S. [27]. We found three characteristics to be
193 associated with lower CRC screening rates: being overweight or obese, male gender of the
194 physician in charge, and being born in a country outside of Western Europe and North America.
195 None of the other measured socio-demographic factors (patient gender, occupation, civil status),
196 nor patient health status estimated by the Charlson combined age-comorbidity index reached
197 statistical significance.

198 As previously hypothesized [16], the absence of a population-based CRC screening program, or
199 the lack of systematic performance monitoring in Switzerland, might explain these low CRC
200 screening rates. This hypothesis is also supported by findings of a Canadian study, where higher
201 CRC screening rates were found in regions with recent implementation of a CRC screening
202 program [11]. Also, influenza vaccination has been shown to be associated with higher CRC
203 screening rates [9,11], but was not significant in our study, possibly due to the small sample size.

204 The negative association between BMI and CRC screening has been reported in previous studies
205 [28], but a recent review article by Fagan *et al.* showed a mixed pattern of association between
206 weight status and CRC screening without a clear trend towards a positive or negative association
207 throughout the literature [13]. The authors hypothesized that factors associated with CRC
208 screening might differ according to race and gender of the studied population. Overweight
209 persons might be less health conscious and therefore less demanding of health care. However,
210 previous studies have shown that lack of recommendation by the physician is the most frequent
211 reason to skip CRC screening, instead of patients not asking or refusing it [10]. Also, physicians

212 might have been less likely to recommend CRC screening to overweight and obese patients,
213 either because they think that these patients would not want screening or because of higher
214 priority on other medical conditions. However, in the latter case, one would expect the same to
215 be true for patients with higher Charlson combined age-comorbidity index. This potential
216 stigmatization of overweight and obese patients by their physicians has been documented
217 [29,30].

218 Female gender of the physician in charge has been associated with higher rates of screening
219 mammographies and cervical smears [31]. However, physician gender was not associated with
220 CRC screening in previous studies [15]. Female physicians might be more sensitized to cancer
221 prevention through their participation as patients in regional, population-based breast cancer
222 screening programs in Switzerland, and well-implemented screening for cervical cancer, whereas
223 no such program exists for cancer in men.

224 Our finding that CRC screening is associated with birthplace outside of Western Europe and
225 North America is consistent with previous studies that found ethnicity to be associated with CRC
226 screening [9–12]. These studies also found other socio-demographic factors such as gender and
227 educational level, to be predictors of CRC screening that did not reach statistical significance in
228 our results. This might be due to the relatively small sample size. A recent review by Naylor *et*
229 *al.* suggests that patient education and physician training in communication with patients of low
230 health literacy are paths for improving adherence to CRC screening in ethnic minorities [32].

231 Our study has several limitations. A first limitation was the potential underreporting in medical
232 charts of data such as patient refusal of screening. Process-based quality scores using abstraction
233 of medical charts have been found to underestimate the quality of care compared to clinical
234 vignettes and standardized patients by 5% and 10%, respectively [24]. Second, we considered

235 any initial sigmoidoscopy in the last 5 years or colonoscopy in the last 10 years as CRC
236 screening, because of lack of complete data on the indication, and excluded follow-up
237 colonoscopies for CRC or adenomatous polyps only. We therefore cannot exclude that some of
238 these endoscopic procedures were conducted for symptomatic indications, such as rectal
239 bleeding. Third, our results rely on imputed BMI and sensitivity analysis confirmed the
240 association between CRC screening and obesity only, but not for overweight and physician
241 gender. This might be due to loss of power in the statistical model (n=688) and potential
242 underreporting of BMI in normal weight and overweight, but not for obese patients. Fourth, our
243 sample in University primary care settings might not be representative of the general Swiss
244 population. In fact, our sample had a high proportion of patients with migratory background
245 (51%) and men (57%), and a higher prevalence of obesity than in the general Swiss population
246 (32% in our sample vs. 13% in the general Swiss population aged 55-74 years) [33]. Our model
247 was adjusted for these characteristics. Fifth, most of the care was delivered by residents in
248 postgraduate training. Similar to our study, a previous report on CRC screening showed no
249 difference in screening rates between physicians with or without completed postgraduate training
250 [15], but we did not find studies directly comparing screening rates between community-based
251 PCPs and University-based residents within a health care system with universal coverage. Sixth,
252 it was not possible to measure patient and physician knowledge and beliefs regarding CRC and
253 CRC screening, as this study was based on a retrospective review of medical charts. Seventh, due
254 to the relatively small sample size, the absence of statistical significance has to be interpreted
255 with caution, as the study might not have enough power to detect associations in subgroups.
256 These results may be important for clinical practice, as obesity has been suggested as a risk
257 factor for colorectal cancer [34,35]. Physician perception of obesity and its impact on their

258 recommendation for CRC screening might be a target for further research. A population-based
259 CRC screening program and a systematic performance monitoring might help improve the rate
260 of CRC screening.

261

262 **Acknowledgements**

263 Research funding for the collection and analysis of these data was provided by an investigator-
264 initiated unrestricted grant from Pfizer (Switzerland). Preliminary results were presented at the
265 Society of General Internal Medicine 34th Annual Meeting in Phoenix, AZ, USA, and at the
266 Swiss Society of General Internal Medicine 79th Annual Conference in Lausanne, Switzerland,
267 under the title of “Obesity and overweight are negative predictors of colorectal cancer screening
268 in a health care system combining fee for service and universal coverage”.

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Tables

Table 1: Patient characteristics

N=940

Age (years, n, %)		
50 – 59 years	345	36.7%
60 – 69 years	359	38.2%
70 – 80 years	236	25.1%
Gender (n, %)		
female	402	42.7%
Civil Status (N=931, n, %)		
married	490	52.6%
divorced or separated	214	23.0%
single	133	14.3%
widowed	94	10.1%
Birth place (N=930, n, %)		
Switzerland	453	48.7%
Other country, within Western Europe and North America*	194	20.9%
Other country, outside of Western Europe and North America*	283	30.4%
Occupation (N=921, n, %)		
employed	251	27.3%
retired	364	39.5%
freelance, at home, or in education	112	12.2%
social aid	109	11.8%
unemployed	85	9.2%
Body mass index† (n, %)		
<18.5 kg/m ²	14	1.5%
18.5 – 24.9 kg/m ²	230	24.5%
25.0 – 29.9 kg/m ²	391	42.6%
≥30.0 kg/m ²	305	32.4%
Charlson combined age-comorbidity index		
median, interquartile range	3	2 - 5
Number of prescribed medications		
median, interquartile range	4	2 - 6
Physician in charge‡		
position (N=931, n, %)		
resident	833	89.5%
senior resident	78	8.4%
attending / faculty	20	2.1%
gender (N=939, n, %)		
female	517	55.1%
number of visits (median, interquartile range)	6	4-9
Annual Influenza vaccination (n, %)		
done	210	22.3%
not done	730	77.7%

* Defined as countries in the child and adult mortality stratum A based on World Health Organization (WHO) mortality estimates [26].

† Two hundred and thirty missing values were imputed from patient age, gender, height and weight. The median body mass index (BMI) was 28.2 kg/m² (interquartile range 24.8 – 32.1) before imputation and 27.9 kg/m² (interquartile range 24.9 – 31.3) after imputation.

‡ Physician in charge is defined as the physician the patient had the most visits to.

Table 2: Colo-Rectal Cancer (CRC) screening**N=940**

CRC screening (n, %)		
not done *	624	66.4%
done	316	33.6%
Screening method (N=315, n, %)		
fecal occult blood test	130	41.3%
colonoscopy†	173	54.9%
flexible sigmoidoscopy‡	12	3.8%
double contrast barium enema	0	0%

* Including 22 (2%) patients who had refused CRC screening.

† In 47 patients with FOBT or sigmoidoscopy and subsequent colonoscopy, the latter was considered follow-up, not screening.

‡ Nine patients screened with FOBT and sigmoidoscopy grouped with FOBT.

Table 3: Factors associated with colorectal cancer screening

	Bivariate OR (95% CI)		Multivariable adjusted OR§ (95% CI)	
Age				
50 – 59 years	1 (ref.)		1 (ref.)	
60 – 69 years	1.45	(1.06 – 1.99)	1.29	(0.87 – 1.88)
70 – 80 years	1.18	(0.82 – 1.68)	0.90	(0.55 – 1.57)
Gender				
female	1 (ref.)		1 (ref.)	
male	1.04	(0.79 – 1.37)	1.01	(0.72 – 1.41)
Civil status				
married	1 (ref.)		1 (ref.)	
divorced or separated	1.02	(0.73 – 1.44)	0.94	(0.66 – 1.37)
widowed	1.34	(0.85 – 2.11)	1.19	(0.72 – 1.95)
single	1.05	(0.70 – 1.58)	0.94	(0.60 – 1.47)
Birth place				
Switzerland	1 (ref.)		1 (ref.)	
Other country, within Western Europe and North America*	1.02	(0.72 – 1.46)	1.02	(0.70 – 1.49)
Other country, outside of Western Europe and North America*	0.73	(0.53 – 1.00)	0.65	(0.45 – 0.97)
Occupation				
employed	1 (ref.)		1 (ref.)	
retired	1.28	(0.91 – 1.79)	1.32	(0.83 – 2.09)
freelance, at home or in education	0.78	(0.48 – 1.28)	1.00	(0.55 – 1.81)
social aid	1.10	(0.68 – 1.77)	1.10	(0.65 – 1.86)
unemployed	1.11	(0.66 – 1.86)	1.13	(0.62 – 2.06)
Body mass index^b				
<18.5 kg/m ²	0.56	(0.17 – 1.83)	0.32	(0.09 – 1.17)
18.5 – 24.9 kg/m ²	1 (ref.)		1 (ref.)	
25 – 29.9 kg/m ²	0.64	(0.46 – 0.90)	0.66	(0.46 – 0.96)
≥30 kg/m ²	0.61	(0.43 – 0.88)	0.61	(0.40 – 0.90)
Charlson combined age-comorbidity index				
≤ 3 points	1 (ref.)		1 (ref.)	
> 3 points	0.85	(0.65 – 1.11)	0.80	(0.58 – 1.11)
Physician in charge‡				
position resident	1 (ref.)		1 (ref.)	
senior resident	1.05	(0.65 – 1.71)	1.25	(0.74 – 2.11)
attending /faculty	1.32	(0.53 – 3.28)	1.33	(0.50 – 3.57)
gender female	1 (ref.)		1 (ref.)	
male	0.70	(0.53 – 0.93)	0.67	(0.50 – 0.91)
≤ 6 visits during 2 years follow-up	1 (ref.)		1 (ref.)	
> 6 visits during 2 years follow-up	1.18	(0.90 – 1.55)	1.15	(0.86 – 1.55)
Annual Influenza vaccination				
done	1 (ref.)		1 (ref.)	
not done	0.74	(0.4 – 1.01)	0.84	(0.58 – 1.20)

* Defined as countries in the child and adult mortality stratum A based on World Health Organization (WHO) mortality estimates [26].

† Two hundred and thirty missing values were imputed from patient age, gender, height and weight.

‡ Physician in charge is defined as the physician the patient had the most visits to.

§ Adjusted for age, gender, civil status, occupation, birth place, BMI, Charlson combined age-comorbidity index, annual influenza vaccination, number of visits to the physician in charge, and function, gender and age of the physician in charge, using a multilevel generalized linear model and treating each site as a random effect. N=897 due to missing values.

Appendix

Appendix Figure 1: Flowchart from the electronic administrative data to the final sample