

# BMJ Open Socioeconomic circumstances and respiratory function from childhood to early adulthood: a systematic review and meta-analysis

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## ABSTRACT

**Objective** Disadvantaged socioeconomic circumstances in early life have the potential to impact lung function. Thus, this study aimed to summarise evidence on the association between socioeconomic circumstances and respiratory function from childhood to young adulthood.

**Design** Systematic review and meta-analysis.

**Methods** Following the Preferred Reporting Items for Systematic Review and Meta-analysis guidelines, Medline, ISI-Web of Science and Scopus were searched from inception up to January 2018. Original studies on the association between socioeconomic circumstances and respiratory function in early ages (ie, participants younger than 25 years of age) were investigated. Two investigators independently evaluated articles, applied the exclusion criteria, extracted data and assessed the risk of bias using the Newcastle–Ottawa Scale. A meta-analysis of the standardised mean difference and 95% CI in respiratory function between participants from different socioeconomic circumstances was conducted, using a random-effects model.

**Results** Thirty-three papers were included in this review and 23 showed that disadvantaged socioeconomic circumstances were significantly associated with reduced respiratory function. The meta-analysis including seven papers showed a significant difference of  $-0.31$  (95% CI  $-0.42$  to  $-0.21$ ) litres in forced expiratory volume in the first second between children, adolescents and young adults from disadvantaged versus advantaged socioeconomic circumstances. Specifically a difference of  $-0.31$  (95% CI  $-0.51$  to  $-0.10$ ) litres in girls and  $-0.43$  (95% CI  $-0.51$  to  $-0.35$ ) litres in boys was observed.

**Conclusions** Children, adolescents and young adults from disadvantaged socioeconomic circumstances had lower respiratory function, and boys presented higher respiratory health inequalities. This information contributes to explain the social patterning of respiratory diseases, and might enable health policy makers to tackle respiratory health inequalities at early ages.

## INTRODUCTION

Disadvantaged socioeconomic circumstances have been associated with worse respiratory health outcomes, as for instance, underdeveloped lungs and a higher risk of respiratory disease in later life.<sup>1–3</sup> Studies on adult and

## Strengths and limitations of this study

- This study is the first systematic review and meta-analysis quantifying the magnitude of difference in respiratory function in early ages due to disadvantaged socioeconomic circumstances.
- It includes a broad literature search, screening and data extraction performed in duplicate, a firm study quality assessment and a comprehensive data analysis, including numerous sensitivity analysis.
- The review protocol has been developed in accordance with the Preferred Reporting Items for Systematic Review and Meta-analysis statement.
- The study limitations included the different estimates of forced expiratory volume in the first second presented in the studies and the high heterogeneity in the statistical analysis which also makes comparisons difficult. Nevertheless, we were able to perform the meta-analysis with two different estimates, showing that the effect size was quite similar independently of the estimate used.

older populations have demonstrated that individuals with lower socioeconomic position presented poorer respiratory function and a faster decline of lung volumes over time.<sup>3–5</sup> Low social class was also previously associated with a reduction in forced expiratory volume in the first second (FEV<sub>1</sub>) of more than 300 ml among men, and more than 200 ml among women.<sup>3</sup>

In the period from childhood to early adulthood, the association between socioeconomic circumstances and lung function has also been explored,<sup>6–10</sup> and disadvantaged socioeconomic circumstances were associated with poorer lung function attainment.<sup>6,7</sup> Growing evidence shows that childhood and adolescence constitute a critical time window for subsequent respiratory health<sup>11</sup> for several reasons. First, in this period lungs are growing,<sup>12</sup> and are highly susceptible to adverse influences, (eg, indoor and outdoor pollution, tobacco smoke, poor nutrition)

which might restrain lung development, modulate respiratory function and induce airway diseases.<sup>3 11 13 14</sup> Additionally, it is becoming evident that respiratory diseases have part of their origins in early childhood,<sup>15</sup> thus tracking respiratory function since this period has the potential to detect early life differences in respiratory growth, which might be influenced by the social context and the social determinants of health.<sup>16 17</sup> Moreover, it has been demonstrated that lung volumes tend to increase from birth until early adulthood,<sup>12 18</sup> therefore by studying this period we are able to assess inequalities in the maximal lung function attained.

Prior studies also suggest that there are sex differences in lung physiology and development, and these differences impact the incidence, susceptibility and severity of several lung diseases.<sup>19 20</sup> Specifically in spirometry tests, the studies demonstrated that throughout childhood and adolescence, boys have 7%–8% larger lungs, but girls have faster lung rates (shorter expiratory time constants), judged from the FEV<sub>1</sub>/forced vital capacity (FVC) ratio.<sup>12 21</sup>

Therefore, ascertaining the impact of early life socioeconomic circumstances on respiratory function is crucial to prevent uneven lung function growth among the different socioeconomic groups, which could result in unequal prevalence of respiratory diseases over the life course. Hence, this study aimed to systematically review the published evidence on the association between socioeconomic circumstances and respiratory function in children, adolescents and young adults, stratified by sex. Specifically, we aimed to assess the direction of this association, and to quantify its magnitude by conducting a meta-analysis, if possible, due to the nature of the studies.

## METHODS

This systematic review and meta-analysis was performed and is reported in accordance with Preferred Reporting Items for Systematic Review and Meta-analysis (PRISMA) guidelines.<sup>22</sup>

### Search strategy

A search in Medline, ISI-Web of Science and Scopus was conducted from inception up to 22 January 2018. The search expression included numerous MESH terms and other relevant words and expressions ('Lung function' OR spirometry OR 'FEV<sub>1</sub>' OR 'Forced Expiratory Volume' OR 'Forced Vital Capacity' OR 'FVC' OR 'pulmonary function' OR 'respiratory function' OR 'total lung capacity' OR tlc) AND ('socioeconomic factors' OR 'socioeconomic position' OR 'social class' OR 'socioeconomic determinants' OR 'socioeconomic class' OR poverty OR education OR income OR occupation OR wealth OR deprivation OR overcrowding OR unemployment) AND (infant OR child\* OR 'preschool child\*' OR adolesc\* OR youth OR teenager OR young OR 'young adult'). Further details on the search expression can be seen in (online supplementary table S1). Early life was considered the

period from childhood to early adulthood, which also matches the period of lung growth.<sup>12 23</sup> Evidence suggests that FEV<sub>1</sub> and FVC keep increasing from birth till 25 years of age, that is, young adulthood, then remain stable for about 5–10 years, and start declining in later adulthood.<sup>12</sup> Two researchers (Vânia Rocha and Sara Soares) independently screened all titles, abstracts and keywords, removed articles clearly failing to meet the inclusion criteria, and retrieved potentially eligible articles for full-text review. The reference lists of the reviewed articles were also screened for potentially relevant articles that the electronic search failed to identify. Any disagreement between the researchers was sorted out by consulting a third investigator (Sílvia Fraga).

### Eligibility criteria

The screening process occurred in three steps: first, articles were excluded based on title, abstract and keywords. In step 2, full texts of the articles were evaluated to determine eligibility based on previously defined criteria. And, in step 3, the selected articles were re-evaluated to determine their adequacy for data extraction. Therefore, during the whole screening process the investigators consecutively applied the following criteria to exclude studies: (1) That were not original peer-reviewed observational studies of the general population. (2) Not written in English, French, Portuguese or Spanish. (3) Not involving humans (eg, in vitro or animal studies). (4) That were review articles, editorials, methodological studies, conference or meeting abstracts, case reports or case studies, commentaries and letters or book chapters without original data. (5) With subjects older than 25 years. (6) That did not address respiratory function by different socioeconomic circumstances. (7) That did not report respiratory function with at least one spirometry value (eg, FEV<sub>1</sub>; FVC; ratio between FEV<sub>1</sub> and FVC, FEV<sub>1</sub>/FVC; forced expiratory flow, FEF) by at least one socioeconomic indicator (ie, education, income, occupation, etc). (8) In which socioeconomic factors or respiratory function variables were just used for adjustments.

### Data extraction

Data extraction was undertaken independently by the researchers in order to retrieve information on: authors and year; country; study design; sample size (total and number of subjects involved in the analysis of socioeconomic circumstances and respiratory function); female proportion; participants' age range or mean age with SD; information on diseases and/or respiratory symptoms; socioeconomic indicators; respiratory function indices, with the respective reference equations; and the relationship between socioeconomic circumstances and respiratory function indices.

### Quality assessment

The risk of bias of each study was assessed independently by two reviewers using the Newcastle–Ottawa Scale (NOS).<sup>24</sup> For longitudinal studies, the original eight-item

NOS for cohort studies was used to assess the three key areas of potential bias—selection of participants, comparability and measurements. For cross-sectional studies, only the relevant items were used assessing selection of participants, comparability and the associated factors.<sup>24 25</sup> More details on the items assessed can be found in online supplementary text S1 and S2. The NOS for cohort studies ranges between zero and nine stars and for cross-sectional studies ranges between zero and six. Any disagreements between the two reviewers were resolved by discussion with a third investigator (Sílvia Fraga).

### Data analysis

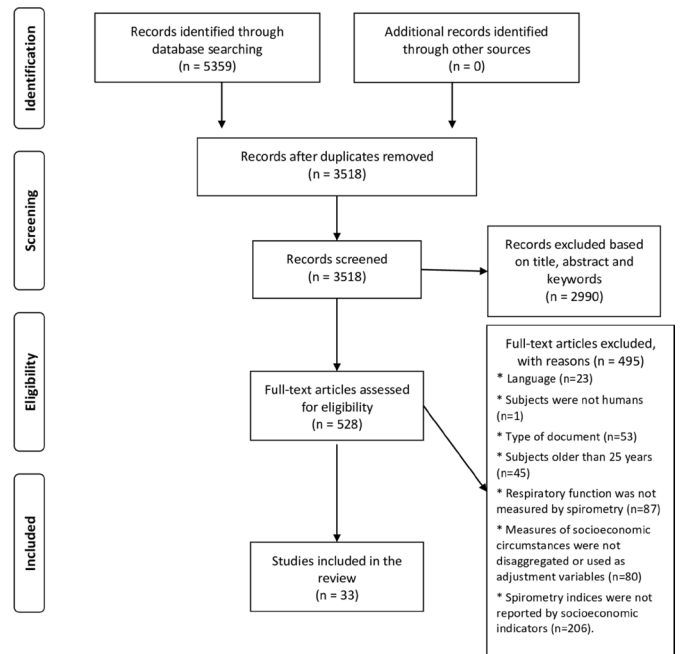
As summary measures, we extracted the direction of the association (eg, in-existent, positive or negative) and the magnitude of the association between the socioeconomic indicators and respiratory function indices. A positive association was considered when advantaged socioeconomic circumstances were associated with an increase in respiratory function or disadvantaged socioeconomic circumstances led to a decrease in respiratory function; a negative association was considered when advantaged socioeconomic circumstances were associated to a decrease in respiratory function or disadvantaged socioeconomic circumstances led to an increase in respiratory function.

Owing to the heterogeneity in the studies analyses, only articles that reported means and SD between advantaged and disadvantaged socioeconomic circumstances groups were brought forward into the meta-analysis. The estimates from articles reporting means and SD were transformed into standardised mean differences (SMDs) between advantaged and disadvantaged socioeconomic groups.

In the meta-analysis we also narrowed our focus to FEV<sub>1</sub> measurements, as this respiratory function indicator has been the most widely reported and best understood index in the medical literature.<sup>12</sup> Pooled SMDs and corresponding 95% CIs were calculated by the DerSimonian-Laird method assuming a random-effects model, to account for both within-study and between-study variances.<sup>26</sup> Between-study heterogeneity was quantified using I-squared (I<sup>2</sup>) statistic. This statistic describes the percentage of variation across studies due to heterogeneity rather than chance.<sup>27</sup> Visual inspection of the funnel plot, the Egger's regression asymmetry test and the Beggs' test were used for publication bias assessment.<sup>28</sup> A broadly symmetrical plot indicated a lower risk of bias against the publication of negative results.

### Sensitivity analyses

Sensitivity analyses were carried out in seven ways: (1) Applying a fixed-effects model, assuming an equal effect size across studies. (2) Conducting the meta-analysis including studies which reported the association between socioeconomic circumstances and lung function with  $\beta$ -coefficients from linear regression along with CIs, to test if the use of a different statistical measure would



**Figure 1** Preferred Reporting Items for Systematic Review and Meta-analysis (PRISMA) flow diagram of the literature search.

lead to different results. (3) Presenting the effect size by type of study. (4) Presenting the effect size by socioeconomic indicator. (5) Showing the effect size separately for healthy participants versus those who reported respiratory symptoms and diseases. (6) Showing the effect size separately for studies which presented adjusted values of FEV<sub>1</sub> and those who did not perform adjustments. (7) Repeating the meta-analysis with each study removed sequentially. The analyses were carried out with STATA (V.11.0, StataCorp, College Station, Texas, USA).

### Patient and public involvement

No patients were involved in this study, since we used data from previously published papers. However, this study aimed to raise awareness among the scientific community and policy makers on the effect of socioeconomic circumstances in respiratory function since the early ages, with a potential impact on respiratory health throughout the life course.

## RESULTS

Figure 1 presents the literature search flow diagram. The systematic database search identified 5359 publications; after removing duplicates, the title, abstract and keywords were screened in 3308 papers. Five hundred and twenty-eight were full-text screened, and from these thirty-three papers were included. The reference list screening did not retrieve any additional manuscript. The results of the quality assessment with NOS showed that from the 33 papers included, only two papers<sup>29 30</sup> had less than the median stars that can be attributed to each

study, that is, scored as low quality (online supplementary table S2a,b).

Table 1 shows the characteristics of the included articles, 14 longitudinal and 19 cross-sectional studies. Samples sizes ranged from 77<sup>31</sup> to 24010<sup>32</sup> participants, and the majority of studies reported lung function results for both sexes together, with the exception of six studies<sup>6 9 33–36</sup> that reported their findings separately for boys and girls, and one study<sup>37</sup> that merely included girls. Participants' age ranged from 5 to 24 years old. Countries classified as high, upper-middle, lower-middle and low-income levels were included, and no significant differences were found between them. Most of the included studies were performed in high-income countries, as for example, USA<sup>9 31 32 34 38–42</sup> or the UK,<sup>7 8 43–46</sup> or a lower-middle income country as India.<sup>35 45</sup>

From the 33 articles incorporated in this review, 27 used education as the socioeconomic indicator, or as part of an index of socioeconomic circumstances; reporting mainly both parents' education<sup>29 31 32 35–39 44 47–51</sup> or the mothers' education.<sup>9 37 40–42 46 52–54</sup> Occupation and income were reported in 12 studies, mainly as both parents' occupation<sup>30 35 36 38 44 46 47 53</sup> and family or household income.<sup>6 31 35 36 39 41 42 44 50 51</sup>

All the included studies reported estimates for FEV<sub>1</sub>, either as mean values of volume,<sup>69 1030 35 36 46 48–50 52 54</sup> mean difference,<sup>34 44 46</sup> percentages,<sup>29 39</sup> percentage of predicted,<sup>31 37 38 40–43 45 46 51 53 55</sup> percentage of change,<sup>7 32 33 56</sup> z-scores,<sup>8 45</sup> and/or the relation between FEV<sub>1</sub> and FVC.<sup>29 30 33 35 37 47 50</sup>

A positive association between the socioeconomic circumstances and the respiratory function indices was found in 23<sup>6 7 29–31 33–37 39 41–46 48 50 51 53 54 56</sup> of the 33 articles, showing a reduced respiratory function in children, adolescents and young adults from disadvantaged socioeconomic circumstances, followed by no association observed in 9 studies,<sup>8 9 32 38 40 47 49 52 55</sup> and a negative association in 1 study.<sup>10</sup>

Figure 2 illustrates the meta-analysis of SMD in FEV<sub>1</sub> between disadvantaged and advantaged socioeconomic groups by sex, including seven studies.<sup>6 30 35 36 50 54 57</sup> Overall, children, adolescents and young adults from disadvantaged socioeconomic circumstances presented a significantly lower FEV<sub>1</sub> of  $-0.31$  (95% CI  $-0.42$  to  $-0.21$ ) litres when compared with those from advantaged socioeconomic circumstances. This trend was observed in both girls and boys, but the effect size was higher in boys (SMD  $-0.43$ ; 95% CI  $-0.51$  to  $-0.35$  litres). The I<sup>2</sup> of the subanalysis in boys showed no heterogeneity (I<sup>2</sup> 0.0%,  $p=0.664$ ), in contrast with the high heterogeneity between the studies of girls (I<sup>2</sup> 71.2%,  $p=0.002$ ). The effect size for both sexes together was lower, being an SMD of  $-0.16$  (95% CI  $-0.24$  to  $-0.08$ ) litres between participants from disadvantaged versus advantaged socioeconomic circumstances. A funnel plot was computed to assess publication bias (figure 3), and its visual inspection did not indicate the presence of small-study effects. Egger's regression asymmetry test did not suggest significant small-study

effects ( $p=0.473$ ) and Beggs' test also confirmed the absence of publication bias ( $p=0.458$ ).

In the first sensitivity analysis, the use of a fixed-effects models slightly increased the pooled effect size in the meta-analysis (SMD  $-0.34$ ; 95% CI  $-0.38$  to  $-0.29$  litres) (online supplementary figure S1). Then, five further studies<sup>7 10 33 42 56</sup> were grouped into a meta-analysis of  $\beta$ -coefficients, showing that a decrease in one unit of socioeconomic circumstances leads to a reduction of  $-0.35$  ( $-0.77$  to  $0.07$ ) litres in FEV<sub>1</sub>, which is very similar to the effect size found in the meta-analysis of the means and SD (online supplementary figure S2). Grouping studies by design had no influence on the pooled effect size and we observed that the effect sizes of the subanalysis were very similar in both cross-sectional ( $-0.30$ ; 95% CI  $-0.44$  to  $-0.16$  litres) and longitudinal ( $-0.33$ ; 95% CI  $-0.52$  to  $-0.14$  litres) studies (online supplementary figure S3). Presenting the effect size by socioeconomic indicators had no influence on the pooled effect size, nevertheless it slightly reduced the heterogeneity in the subanalyses (online supplementary figure S4). We also observed that the effect size of socioeconomic disadvantage in FEV<sub>1</sub> was almost double in participants with respiratory symptoms and diseases ( $-0.44$ ; 95% CI  $-0.52$  to  $-0.36$ ) when compared with those without symptoms and diseases ( $-0.24$ ; 95% CI  $-0.37$  to  $-0.10$ ) (online supplementary figure S5). Grouping studies by adjusted estimates or not showed a higher effect size in the group of studies with adjusted estimates ( $-0.36$ ; 95% CI  $-0.51$  to  $-0.21$  vs  $-0.25$ ; 95% CI  $-0.42$  to  $-0.09$ ) (online supplementary figure S6). The adjustment variables were mainly age, sex, height and weight. Finally, excluding each study sequentially did not alter the final results (online supplementary figure S7).

## DISCUSSION

This study systematically reviewed the evidence on the association between socioeconomic circumstances and respiratory function in children, adolescents and young adults considering sex differences. From the 33 papers included, 23 showed that disadvantaged socioeconomic circumstances were associated with lower respiratory function in early ages. In the meta-analysis, which included seven studies, we also found a mean difference of  $-0.31$  litres in FEV<sub>1</sub> between participants from disadvantaged versus advantaged socioeconomic circumstances, specifically a difference of  $-0.31$  litres among girls and  $-0.43$  litres among boys. To the best of our knowledge, this is the first meta-analysis to quantify the association between socioeconomic circumstances and respiratory function in children, adolescents and young adults, and results are close to the findings reported in a previous non-systematic review in adults, which showed a lower FEV<sub>1</sub> of more than 0.2 litres among women and of more than 0.3 litres among men.<sup>3</sup>

Additionally, we observed that this difference was higher in boys, with boys of poorer socioeconomic circumstances presenting an overall difference of  $-0.43$  litres in FEV<sub>1</sub>

**Table 1** Characteristics of the included studies

Reference, year	Country	Study design	Sample size*	Percentage of women	Age (range/mean±SD)	Information on diseases/symptoms	Socioeconomic indicator	Respiratory function indices†	Relationship between respiratory function and socioeconomic indicators
Ware <i>et al</i> , 1984 <sup>38</sup>	USA	Longitudinal	7145	n.m.	6–9	Respiratory symptoms	SES index, parental education and occupation	FEV <sub>1</sub> , FVC (Dockery <i>et al</i> , 1983 equations)	No association
Goren and Goldsmith, 1986 <sup>39</sup>	Israel	Cross-sectional	n.m.	n.m.	Second and fifth grade	Respiratory symptoms	Crowding Index, parental education	FVC, FEV <sub>1</sub> , FEV <sub>1</sub> /FVC, PEF	Positive association—higher crowding index and lower maternal education was associated with reduced respiratory function measured by FEV <sub>1</sub> /FVC
Kaufmann <i>et al</i> , 1989 <sup>52</sup>	France	Cross-sectional	1160 (828)	48	6–10	n.m.	Maternal education	FEV <sub>1</sub> , FVC, FEF <sub>25-75</sub>	No association
Azizi and Henry, 1990 <sup>55</sup>	Malaysia	Cross-sectional	1214	42.1	7–12	Respiratory symptoms	Paternal education	FEV <sub>1</sub> , FVC, FEF <sub>25-75</sub>	No association
Kitchin <i>et al</i> , 1992 <sup>53</sup>	Australia	Longitudinal	223	≈50	8	Asthma and respiratory symptoms	Social class (parental occupation), maternal education	VC, FVC, FEV <sub>1</sub>	Positive association—lower social class was associated with reduced respiratory function measured by FVC and FEV <sub>1</sub> /FVC
Demissie <i>et al</i> , 1996 <sup>33</sup>	Canada	Cross-sectional	989 (916)	n.m.	5–13	n.m.	SES Score (parental income, education, occupation)	FEV <sub>1</sub> , FVC, FEV <sub>1</sub> /FVC	Positive association—low SES was associated with reduced respiratory function measured by FEV <sub>1</sub> and FVC, in boys
Lercher and Schmitzberger, 1997 <sup>54</sup>	Austria	Cross-sectional	644	n.m.	7.5–11	n.m.	Maternal education	FVC, FEV <sub>1</sub> , PEF, MEF <sub>25</sub> , MEF <sub>50</sub> , MEF <sub>75</sub>	Positive association—low maternal education was associated with reduced respiratory function measured by FEV <sub>1</sub>
Hancox <i>et al</i> , 2004 <sup>17</sup>	New Zealand	Longitudinal	1037 (980)	48	0–26	Asthma and respiratory symptoms	SES (parental occupation, education, income), parental income	FEV <sub>1</sub> /FVC	No association
Harik-Khan <i>et al</i> , 2004 <sup>34</sup>	USA	Cross-sectional	752	50.9	8–12	Healthy	Family head education, Poverty Index	FEV <sub>1</sub> , FVC (Hankinson <i>et al</i> , 1999 equations)	Positive association—poverty in boys and lower parental education in girls was associated with reduced respiratory function measured by FEV <sub>1</sub> , FVC
Raju <i>et al</i> , 2005 <sup>35</sup>	India	Cross-sectional	2616	40	5–15	Healthy	SES with Modified Kuppuswamy Scale (parental education and occupation, family income)	FEV <sub>1</sub> , FVC, FEV <sub>1</sub> /FVC, PEFR	Positive association—lower SES was associated with reduced respiratory function measured by all indices

Continued

Table 1 Continued

Reference, year	Country	Study design	Sample size*	Percentage of women	Age (range/mean±SD)	Information on diseases/symptoms	Socioeconomic indicator	Respiratory function indices†	Relationship between respiratory function and socioeconomic indicators
Balmer <i>et al</i> , 2008 <sup>31</sup>	USA	Longitudinal	77	55	6–8.9	Cystic fibrosis	Advantage Index (household income, parental education, social capital)	FEV <sub>1</sub> (Wang <i>et al</i> , 1993 equations)	Positive association—lower scores in the advantage index was associated with reduced respiratory function measured by FEV <sub>1</sub>
Bennett <i>et al</i> , 2008 <sup>39</sup>	USA	Cross-sectional	87	56.3	7–18	Cystic fibrosis	SES (parental education, occupation)	FEV <sub>1</sub>	Positive association—lower SES was associated with reduced respiratory function measured by FEV <sub>1</sub>
Suglia <i>et al</i> , 2008 <sup>9</sup>	USA	Cross-sectional	313	50	6–7	Respiratory symptoms	Maternal education	FVC, FEV <sub>1</sub> , FEF <sub>25–75</sub>	No association
Trabelsi <i>et al</i> , 2008 <sup>30</sup>	Tunisia	Cross-sectional	756	48.7	6–16	Healthy	SES (parental occupation)	FVC, FEV <sub>1</sub> , FEV <sub>1</sub> /FVC, PEF, MEF <sub>50</sub> <sup>†</sup> , MMEF <sub>25–75</sub>	Positive association—lower SES was associated with reduced respiratory function measured by all indices
Tennant <i>et al</i> , 2010 <sup>7</sup>	UK	Longitudinal	252	47.2	14	Respiratory symptoms	Social class (paternal occupation), housing conditions	FEV <sub>1</sub> (Pistelli <i>et al</i> , 2000 equations)	Positive association—lower social class was associated with reduced respiratory function measured by FEV <sub>1</sub>
Yogev-Baggio <i>et al</i> , 2010 <sup>56</sup>	Israel	Longitudinal	1181	≈55	9.3±1.6	Healthy and respiratory symptoms	Paternal education, housing density	Changes in FVC and FEV <sub>1</sub>	Positive association—lower fathers' education was associated with reduced respiratory function measured by FEV <sub>1</sub> in healthy children
Menezes <i>et al</i> , 2011 <sup>6</sup>	Brazil	Longitudinal	4005	51	14–15	Asthma and respiratory symptoms	Family income	FEV <sub>1</sub> , FVC	Positive association—lower family income was associated with reduced respiratory function measured by FEV <sub>1</sub> and FVC, in girls
Slachitova <i>et al</i> , 2011 <sup>32</sup>	Multiplet†	Cross-sectional	24 010	48.9	6–12	n.m.	Parental education	FEV <sub>1</sub> , FVC, PEF, MMEF	No association
Wu <i>et al</i> , 2012 <sup>10</sup>	Taiwan	Cross-sectional	3994	49.3	12.4±0.6	n.m.	Area-level SES (occupation, income, education)	FEV <sub>1</sub> , FVC, FEF <sub>25–75</sub> , PEF	Negative association—higher SES was associated with reduced respiratory function measured by FEV <sub>1</sub> , FVC, FEF <sub>25–75</sub>
Taylor-Robinson <i>et al</i> , 2013 <sup>43</sup>	UK	Longitudinal	8055 (5324)	47	<18	Cystic fibrosis	Index of multiple deprivation based on area of residence	FEV <sub>1</sub> % predicted	Positive association—reduced respiratory function measured by FEV <sub>1</sub> was found in the most deprived quintile when compared with the least deprived quintile

Continued

Table 1 Continued

Reference, year	Country	Study design	Sample size*	Percentage of women	Age (range/mean±SD)	Information on diseases/symptoms	Socioeconomic indicator	Respiratory function indices	Relationship between respiratory function and socioeconomic indicators
Rebacz-Maron and Parafiniuk, 2014 <sup>46</sup>	Tanzania	Cross-sectional	255	n.m.	12.8–24.0	n.m.	Family material situation, parental education	FEV <sub>1</sub> , FVC	Positive association—lower family material situation was associated with reduced respiratory function measured by FEV <sub>1</sub> and FVC (<17.5 years)
Siniarska et al, 2014 <sup>49</sup>	Poland	Cross-sectional	444	50.7	13–16	n.m.	SES (parental education, number of rooms, sibling size)	VC, FEV <sub>1</sub> , TV, MV, IRV, ERV, AP, RR	No association
Cogen et al, 2015 <sup>40</sup>	USA	Longitudinal	946	49.7	6–12	Cystic fibrosis	Maternal education, household income	FEV <sub>1</sub> (Wang et al 1993 and Hankinson et al 1999 equations)	No association
Galobardes et al, 2015 <sup>44</sup>	UK	Longitudinal	6378	49.8	7–8	Asthma and respiratory symptoms	Parental education and occupation, household income, housing tenure	FEV <sub>1</sub> , FVC, FEF <sub>25–75</sub>	Positive association—low paternal education was associated with reduced respiratory function measured by FEV <sub>1</sub>
Lum et al, 2015 <sup>8</sup>	UK	Longitudinal	2171 (1901)	≈50	5.2–11.8	Asthma and respiratory symptoms	Receiving free school meals, family affluence scale, index of multiple deprivation;	FEV <sub>1</sub> , FVC (equations for multiethnic schoolchildren, 2012)	No association
Martínez-Briseño et al, 2015 <sup>50</sup>	Mexico	Longitudinal	2641 (1671)	n.m.	8–17	Healthy	Monthly family income, parental education	FEV <sub>1</sub> , FVC, FEV <sub>1</sub> /FVC (Martínez-Briseño et al 2013 equations)	Positive association—lower income and education was associated with reduced respiratory function measured by all indices
Sanders et al, 2015 <sup>51</sup>	USA	Longitudinal	484	≈50	6–7	Cystic fibrosis	Maternal education, household income	FEV <sub>1</sub> (Wang et al 1993 equations)	Positive association—low maternal education was associated with reduced respiratory function measured by FEV <sub>1</sub>
Cakmak et al, 2016 <sup>51</sup>	Canada	Cross-sectional	2328 (1528)	≈50	9–11	Asthma and respiratory symptoms	Parental education, family income	FEV <sub>1</sub> , FVC	Positive association—lower education and income was associated with reduced respiratory function measured by FEV <sub>1</sub> , FVC
Lum et al, 2016 <sup>45</sup>	UK, India	Cross-sectional	1824 (2549)	43.7	5–17	n.m.	Socioeconomic circumstances	FEV <sub>1</sub> , FVC (GLI equations, 2012)	Positive association—lower SEC was associated with reduced lung function measured by respiratory function z-scores in Hyderabad

Continued

Table 1 Continued

Reference, year	Country	Study design	Sample size*	Percentage of women	Age (range/mean±SD)	Information on diseases/symptoms	Socioeconomic indicator	Respiratory function indices†	Relationship between respiratory function and socioeconomic indicators
Kuti <i>et al</i> , 2017 <sup>36</sup>	Nigeria	Cross-sectional	250	50.8	9–17	n.m.	Overcrowding, socioeconomic class (parental occupation and education)	FEV <sub>1</sub> , FVC, FEV <sub>1</sub> /FVC (Knudson <i>et al</i> 1983 equations)	Positive association—lower social class was associated with reduced lung function measured with FEV <sub>1</sub> and FVC in male participants from urban areas
Nowakowski <i>et al</i> , 2017 <sup>37</sup>	Poland	Cross-sectional	152	100	19–24	n.m.	SES Index (size of dwelling place, number of siblings, parental education)	FEV <sub>1</sub> , FVC, FEV <sub>1</sub> /FVC	Positive association—lower father's education and SES was associated with reduced respiratory function measured by FEV <sub>1</sub> /FVC
Ong <i>et al</i> , 2017 <sup>42</sup>	USA	Longitudinal	1375 (1050)	50	6–13	Cystic fibrosis	Maternal education, household income	FEV <sub>1</sub> (Wang <i>et al</i> 1993 and Hankinson <i>et al</i> 1999 equations)	Positive association—lower education and income was associated with reduced respiratory function measured by FEV <sub>1</sub>
Saad <i>et al</i> , 2017 <sup>46</sup>	UK	Cross-sectional	90	52.2	18–23	Asthma and respiratory symptoms	Socioeconomic status (parental and grand parental education and occupation)	FEV <sub>1</sub> , FVC, FEV <sub>1</sub> /FVC (NHANES III reference equations)	Positive association—higher maternal education and higher paternal occupation were associated with higher respiratory function measured by FVC.

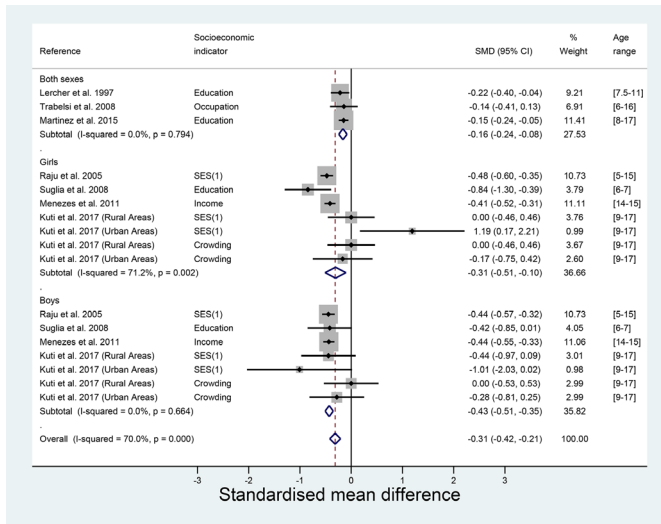
\*Total sample size (and the number of participants included in the analysis of lung function indices by SES indicator).

†When respiratory function indices were computed using reference equations, it is mentioned in brackets.

‡Multiple countries: Poland, Hungary, Slovakia, The Czech Republic, Netherlands, Germany, Austria, USA.

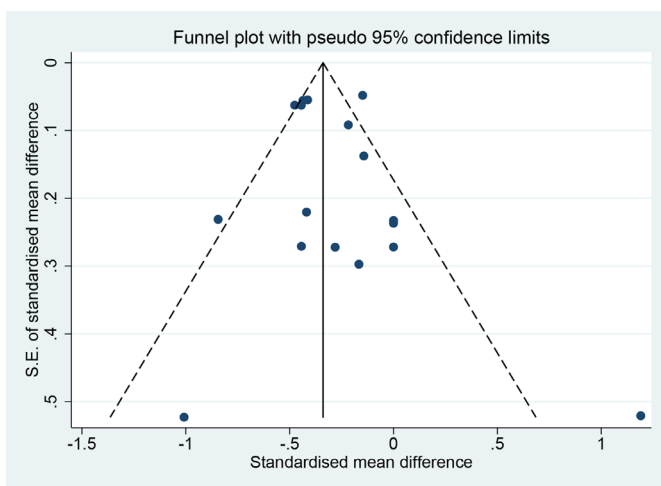
AP, apnoea; ERV, expiratory reserve volume; FEF, forced expiratory flow; FEV<sub>1</sub>, forced expiratory volume during first second; FEV<sub>1</sub>/FVC, ratio between FEV<sub>1</sub> and FVC; FVC, forced vital capacity; GLI, Global Lung Function Initiative; IRV, inspiratory reserve volume; MEF, maximal expiratory flow; MMEF, maximum mid-expiratory flow; MV, minute ventilation; n.m., not mentioned; NHANES, National Health and Nutrition Examination Survey; PEF, peak expiratory flow; PEFR, peak expiratory flow rate; RR, respiration rate per minute; SEC, socioeconomic circumstances; SES, socioeconomic status; TV, tidal volume; VC, vital capacity.





**Figure 2** Forest plot of the meta-analysis of the standardised mean difference (SMD) in forced expiratory volume in the first second (FEV<sub>1</sub>) between disadvantaged and advantaged socioeconomic groups, by sex. Note: Weights are from random effects analysis; SES(1): socioeconomic status classified using more than one socioeconomic indicator as education, occupation or/and income.

when compared with those of advantaged socioeconomic circumstances. Sex has previously been referred to as an important predictor of lung function, and standard morphometric methods confirmed that boys had larger lung size, more respiratory bronchioles and wider airway diameters compared with girls of the same age and stature, which explains their increased lung volumes.<sup>12 58 59</sup> However these anthropometric differences were not enough to clarify the differences found between boys from different socioeconomic circumstances. There is some prior evidence showing that socioeconomic inequalities in health, including outcomes of respiratory development and disease, are more pronounced in men of different age groups.<sup>19 20 60</sup> Several explanations



**Figure 3** Funnel plot from the meta-analysis of forced expiratory volume in the first second by socioeconomic circumstances.

have been proposed, either showing that with regards to health outcomes men are more sensitive to socioeconomic inequalities between groups,<sup>60</sup> or supporting the existence of biological and anatomical differences between men and women which lead to differences in lung function between the sexes.<sup>19 61 62</sup> Prior studies have reported that since the prenatal period lung maturation is more advanced in female fetuses than in the male,<sup>61</sup> that lung growth during adolescence is faster in girls than in boys,<sup>62</sup> or that the prevalence of respiratory diseases in childhood, for instance asthma and allergic rhinitis, is higher in boys.<sup>19</sup> All these hypotheses may help explain differences between boys and girls even at early ages; nevertheless further studies are needed to investigate this tendency. Sex differences seem to play an important role in both healthy and diseased lungs from very early life,<sup>19</sup> and considering these differences in epidemiological studies might be imperative to obtain reliable estimates on respiratory health inequalities.

FEV<sub>1</sub> has been the most widely reported index of respiratory function in the included studies. This finding confirmed previous evidence<sup>12 63</sup> suggesting that FEV<sub>1</sub> is by far the most reported index in medical literature as it provides information on airflow based on airway calibre and elasticity.<sup>64</sup> Moreover, it allows determine FEV<sub>1</sub>/FVC ratio, which is used to detect the presence of airway obstruction and to diagnose respiratory diseases.<sup>65</sup> Indeed, spirometry has been used as a pivotal screening test of general respiratory health, as it is simple, non-invasive, relatively inexpensive, and can provide information with the potential to prevent, identify and quantify respiratory diseases.<sup>63 66</sup> Nevertheless, we also observed that spirometry assessment has been mostly directed to specific populations, such as patients with respiratory symptoms,<sup>7 29 38 55 57</sup> asthma<sup>6 8 44 47 51 53</sup> or cystic fibrosis,<sup>31 39-43</sup> and its use in healthy children and adolescents<sup>30 34 35 50 56</sup> to monitor lung growth has been less explored. In fact, our sensitivity analysis confirmed that the effect of disadvantaged socioeconomic circumstances in participants with respiratory symptoms and disease are almost double compared with the effect on healthy participants, supporting the need for respiratory screening and continuous monitoring of these populations. However, evidence showed that the two respiratory diseases with the largest burden on patients and on society (asthma and chronic obstructive pulmonary disease) have part of their origins in early life<sup>15 67</sup> and tracking respiratory function in healthy children since this period might also have potential to detect early life differences in respiratory growth and in the maximal lung function attainment at early adulthood with clinical significance for future respiratory diseases.

Education, occupation and income were the most used socioeconomic indicators associated with respiratory function. These three indicators have been extensively referred to as most common to characterise socioeconomic position and to describe and evaluate health inequalities,<sup>68-70</sup> as single indicators<sup>4 42</sup> or as combination

into SES indexes.<sup>35 47</sup> Even though using different socioeconomic indicators may result in gradients of varying slopes, no single best socioeconomic indicator is suitable for all study aims and each indicator may be more or less relevant to the different health outcomes at different stages of the life course.<sup>71</sup> The SES indexes are intended to incorporate and therefore to adjust for different aspects of socioeconomic position but the effect from each single indicator remains unknown.<sup>71</sup> A single measure will not encompass the entire effect of socioeconomic circumstances on health, but it might be most appropriate for understanding the specific mechanisms of socioeconomic inequalities in health.<sup>71</sup> In fact, education was one of the most reported SES indicator, as either parents' education or maternal education. Maternal education is a good example of how socioeconomic factors might have an indirect effect on respiratory function, as previous studies have shown, this indicator is highly correlated with the nurture provided to the children, either by ensuring adequate nutritional intake,<sup>72 73</sup> which influences lung growth, or by avoiding health risk factors (eg, smoking during pregnancy or passive smoking, physical inactivity, etc)<sup>74</sup> with immediate or long-term consequences on respiratory health.

Additionally, maternal education was associated with children's height for age,<sup>75</sup> which is related with respiratory function;<sup>6 76 77</sup> however only 13<sup>6 8–10 32 33 35 46 49 52 54–56</sup> of the 33 included studies made adjustments for height. Therefore this study is an alert to the need for considering height when assessing lung function since higher height is associated with larger lung capacity,<sup>78</sup> and there is evidence that height is strongly socially patterned since childhood.<sup>75</sup> Age and sex are also important determinants of lung volumes and capacities.<sup>58</sup> However, only 13 studies<sup>6–8 10 32 42 44 46 47 50 55 56 79</sup> adjusted for sex and 15 adjusted for age.<sup>6 8 10 29 32 33 41 42 44 46 47 50 52 54 56</sup> These results were in line with our sensitivity analysis comparing studies with and without adjusted estimates that showed a higher effect size in the group of studies with adjusted estimates. Age, sex and height, considered the main predictors of lung function, were the more frequent adjustment variables, following previously established guidelines recommending that spirometry indices should account for these predictors to increase accuracy and reduce biased estimations.<sup>12 80</sup>

Other limitations should be acknowledged. The interpretation of spirometry results is also largely dependent on the use of appropriate reference values,<sup>65</sup> which was only mentioned by about a third (12 in 33) of the included studies. The high variability in the indicators of socioeconomic position reduced the power to detect statistically significant differences, making comparisons difficult. To address this issue we did a sensitivity analysis grouping studies by socioeconomic indicators, however, these results showed that grouping studies by these indicators would not influence the overall pooled effect size, although it slightly reduced heterogeneity in subanalyses. The different estimates of FEV<sub>1</sub> presented in

the studies (mean values, predicted values, percentages, z-scores) and the high heterogeneity in the statistical analysis make it difficult to compare studies, introducing a potential source of selection bias where only studies with extractable and comparable results are included in the meta-analysis. We addressed this in two ways, first by contacting authors for further data; and then by assessing publication bias with visual inspection of funnel plots and Egger's and Beggs' tests, which confirmed the absence of publication bias. Moreover, computing the meta-analysis with a different statistical measure ( $\beta$ -coefficients) showed a very similar result.

The studies included in both qualitative and quantitative syntheses mainly had a cross-sectional design (n=19) rather than longitudinal (n=14). We could expect that studies with longitudinal designs would show higher effects of disadvantaged socioeconomic circumstances in lung function since these studies collected data over time and are more appropriate to assess causal relationships; nevertheless, the effect sizes by type of study were quite similar for both cross-sectional and longitudinal studies. Moreover, as the exposure and the outcome are both measured in early ages, we hypothesise that the effects are not yet completely established, and perhaps if the outcome was measured during adulthood the differences would be more pronounced.

Finally, the reporting quality of the included articles should be considered. Nevertheless, only two articles were scored as low quality, having less than three stars in a maximum of six for cross-sectional studies. Therefore, we did not expect that the quality of articles had relevant implications in our conclusions.

## CONCLUSIONS

This systematic review and meta-analysis shows that children, adolescents and young adults from disadvantaged socioeconomic circumstances presented lower respiratory function, and respiratory health inequalities are higher among boys. These results highlight the implications of early disadvantaged socioeconomic circumstances for respiratory health. This evidence also contributes to explain the social patterning of respiratory diseases during adulthood and at older ages, and might enable health policy makers to tackle respiratory health inequalities at early ages.

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