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## Accuracy of Sensititre YeastOne echinocandins epidemiological cut-off values for identification of FKS mutant *Candida albicans* and *Candida glabrata*: a ten year national survey of the Fungal Infection Network of Switzerland (FUNGINOS).

Kritikos Antonios

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**UNIVERSITE DE LAUSANNE - FACULTE DE BIOLOGIE ET DE MEDECINE**

Département de Médecine Interne

Service des Maladies infectieuses

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**“Accuracy of Sensititre YeastOne echinocandins epidemiological cut-off values for identification of FKS mutant Candida albicans and Candida glabrata: a ten year national survey of the Fungal Infection Network of Switzerland (FUNGINOS).”**

THESE

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et présentée à la Faculté de biologie et de médecine de l’Université de Lausanne pour l’obtention du grade de

DOCTEUR EN MEDECINE

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*Monsieur le Professeur John Prior  
Vice-Directeur de l'Ecole doctorale*



Research note

## Accuracy of Sensititre YeastOne echinocandins epidemiological cut-off values for identification of FKS mutant *Candida albicans* and *Candida glabrata*: a ten year national survey of the Fungal Infection Network of Switzerland (FUNGINOS)

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### ABSTRACT

**Objectives:** Echinocandins represent the first-line treatment of candidaemia. Acquired echinocandin resistance is mainly observed among *Candida albicans* and *Candida glabrata* and is associated with FKS hotspot mutations. The commercial Sensititre YeastOne™ (SYO) kit is widely used for antifungal susceptibility testing, but interpretive clinical breakpoints are not well defined. We determined echinocandins epidemiological cut-off values (ECV) for *C. albicans/glabrata* tested by SYO and assessed their ability to identify FKS mutants in a national survey of candidaemia.

**Methods:** Bloodstream isolates of *C. albicans* and *C. glabrata* were collected in 25 Swiss hospitals from 2004 to 2013 and tested by SYO. FKS hotspot sequencing was performed for isolates with an MIC>ECV for any echinocandin.

**Results:** In all, 1277 *C. albicans* and 347 *C. glabrata* were included. ECV 97.5% of caspofungin, anidulafungin and micafungin were 0.12, 0.06 and 0.03 µg/mL for *C. albicans*, and 0.25, 0.12 and 0.03 µg/mL for *C. glabrata*, respectively. FKS hotspot sequencing was performed for 70 isolates. No mutation was found in the 52 'limit wild-type' isolates (MIC=ECV for at least one echinocandin). Among the 18 'non-wild-type' isolates (MIC>ECV for at least one echinocandin), FKS mutations were recovered in the only two isolates with MIC>ECV for all three echinocandins, but not in those exhibiting a 'non-wild-type' phenotype for only one or two echinocandins.

**Conclusion:** This 10-year nationwide survey showed that the rate of echinocandin resistance among *C. albicans* and *C. glabrata* remains low in Switzerland despite increased echinocandin use. SYO-ECV

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could discriminate FKS mutants from wild-type isolates tested by SYO in this population. **A. Kritikos, Clin Microbiol Infect 2018;24:1214.e1–1214.e4**

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## Introduction

*Candida* spp. are among the five major causes of bloodstream infections [1,2]. Echinocandins (caspofungin, anidulafungin, micafungin) are recommended as first-line treatment of candidaemia [3–5]. Echinocandin resistance in *Candida* spp. other than *Candida parapsilosis* is reported in <5% of isolates, being more frequent among *Candida glabrata* [6–10]. Hotspot mutations in the 1,3- $\beta$ -D-glucan synthase genes *FKS1* and *FKS2*, encoding for the target of echinocandins, are the unique mechanism of resistance identified so far [11]. The Clinical and Laboratory Standards Institute (CLSI) and the European Committee on Antimicrobial Susceptibility Testing (EUCAST) have established antifungal testing methods and clinical breakpoints for interpretation of MICs of echinocandins [12,13]. Because CLSI and EUCAST antifungal susceptibility methods are laborious, many centres use a commercial broth microdilution kit (Sensititre Yeast One™; Trek Diagnostics Systems, Thermo Fisher Scientific, Cleveland, OH, USA). Although the manufacturer recommends use of CLSI breakpoints for interpreting echinocandin MICs, no specific breakpoints have been defined for this method. Epidemiological cut-off values (ECV) for Sensititre Yeast One™ (SYO) have been calculated in some studies [14,15]. In one of them, these ECV could correctly identify a collection of isolates with known FKS mutations [15].

We determined echinocandin ECV among *Candida albicans* and *C. glabrata* bloodstream isolates tested by SYO from the Fungal Infection Network of Switzerland (FUNGINOS). The ability of ECV to discriminate FKS mutants from wild-type isolates was assessed.

## Materials and methods

*C. albicans* and *C. glabrata* bloodstream isolates were prospectively collected in a cohort of 25 Swiss medical centres (5 university and 20 university-affiliated hospitals) of the FUNGINOS network over a 10-year period (2004–2013). Antifungal susceptibility testing was performed by SYO for all isolates. MICs were determined by visual inspection and according to the manufacturer's recommendations [16]. In all, 1277 *C. albicans* and 347 *C. glabrata* were tested. Caspofungin MICs were determined for all isolates ( $n = 1624$ ), whereas susceptibility testing for micafungin and anidulafungin, included later in the SYO panel, was performed for 406 isolates. The ECVs were defined for each drug/species according to methods previously described, as the MIC value encompassing 97.5% of the theoretical distribution [15]. Sequencing of hotspots 1 and 2 of *FKS1* (*C. albicans* and *C. glabrata*) and *FKS2* (*C. glabrata* only) was performed using a Sanger chain termination method for all isolates with  $\text{MIC} \geq \text{ECV}$  for at least one echinocandin (referred to as 'limit wild-type' and 'non-wild-type' populations, respectively). Primers and details are provided in the Supplementary material (Table S1). Antifungal susceptibility testing of anidulafungin and micafungin was performed by SYO for all those isolates that were not initially tested for these drugs ( $n = 28$ ). This study was approved by the local ethics committee.

## Results

Distributions of the MICs of the three echinocandins for *C. albicans* and *C. glabrata* are represented in Fig. 1. ECV for

caspofungin, anidulafungin and micafungin were 0.12, 0.06 and 0.03  $\mu\text{g}/\text{mL}$  for *C. albicans*, and 0.25, 0.12 and 0.03  $\mu\text{g}/\text{mL}$  for *C. glabrata*, respectively.

FKS hotspots were sequenced in 70 isolates with MIC equal or above the ECV for at least one echinocandin. Although no mutation was found among the 52 'limit wild-type' isolates ( $\text{MIC} = \text{ECV}$ ), 2/18 (11%) of the 'non-wild-type' isolates ( $\text{MIC} > \text{ECV}$ ) harboured an FKS mutation: one *C. albicans* (R1361G in hotspot 2 of *FKS1*) and one *C. glabrata* (S663P in hotspot 1 of *FKS2*). Both amino acid substitutions are known to be associated with high levels of echinocandin resistance [11]. The two FKS mutants were the only isolates with  $\text{MIC} > \text{ECV}$  for all three echinocandins (MIC 1, 0.5 and 0.5  $\mu\text{g}/\text{mL}$  and 8, 2 and 4  $\mu\text{g}/\text{mL}$  for caspofungin, anidulafungin and micafungin, respectively), although no mutations were found among the 16 isolates classified as 'non-wild-type' for only one ( $n = 11$ ) or two ( $n = 5$ ) echinocandins.

The ECV breakpoints were a better predictor of FKS mutations for *C. glabrata* compared with *C. albicans* (Fig. 1). Overall, the MIC distribution curve of micafungin showed a clear distinction between the non-mutant population and the FKS mutant isolates, which were separated by at least three dilutions (Fig. 1). This distinction was less clear for anidulafungin and caspofungin with overlaps between the two populations.

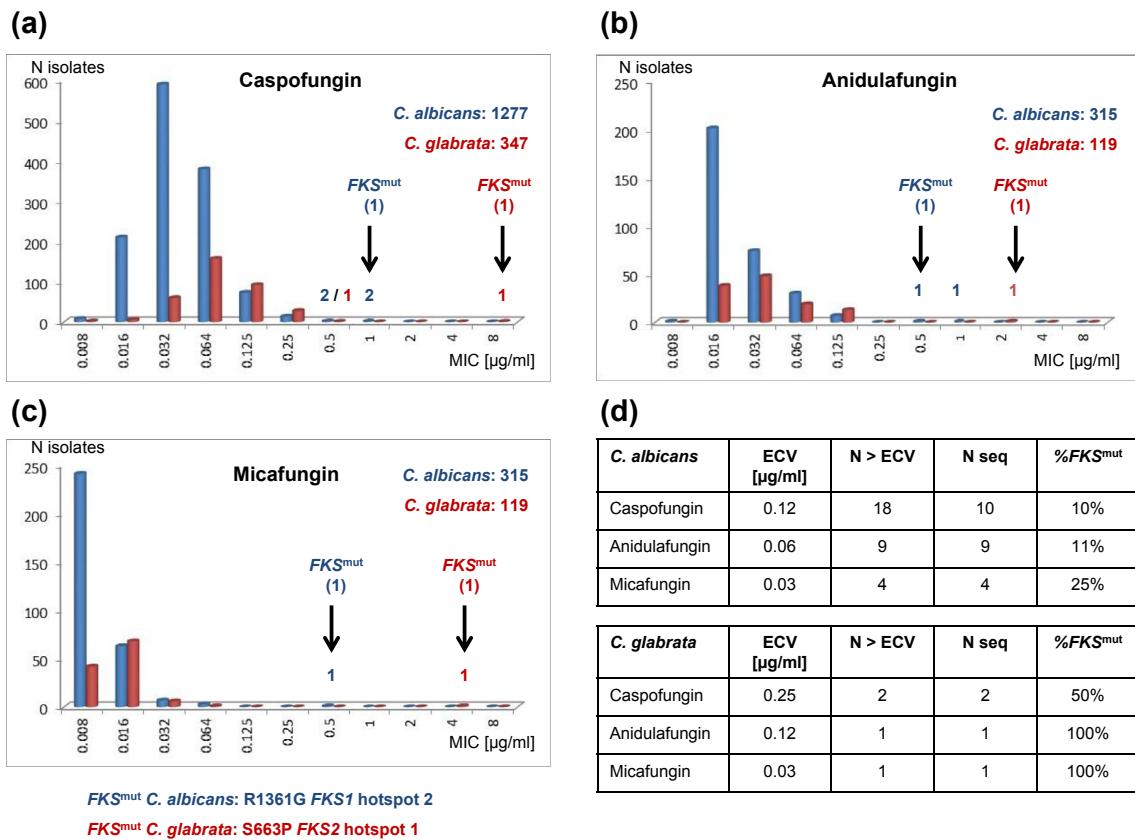
Data about echinocandin exposure before the candidaemic episode were obtained for 28 patients. Both patients infected with FKS mutant strains had received echinocandins within the last 3 months for 68 and 70 days, respectively. Only 2/26 (8%) of patients infected with non-FKS mutants have been exposed to echinocandins and duration was shorter (20 and 34 days).

Data for echinocandin consumption were available from three university hospitals, which represented 32% of isolates in this study. Caspofungin was the most widely used echinocandin: its consumption increased from 2.6 defined daily doses (DDD) per 1000 patient-days in 2004 to 5.4 in 2013 (Spearman's rank coefficient ( $r_s$ ): 0.976,  $p < 0.01$ ). We did not observe a significant increase in the rate of *C. parapsilosis* or other *Candida* spp. with intrinsically higher echinocandin MICs over the study period.

## Discussion

Although echinocandin resistance is an emerging concern, there are actually few epidemiological data about the rate of molecularly confirmed acquired resistance and the trends over years in relation to echinocandin consumption. This 10-year nationwide survey of *C. albicans* and *C. glabrata* bloodstream isolates in Switzerland showed that echinocandin resistance remained at a low level despite a significant increase in echinocandin use and was mainly associated with individual pre-echinocandin exposure of prolonged duration.

Compared with our study, Espinel-Ingroff *et al.* reported ECV values that were similar for *C. glabrata* and one dilution higher for *C. albicans* [15]. In their analysis, these ECVs correctly classified as non-wild-type about 90% of 81 isolates with known FKS hotspot mutations. This study provided crucial information about the sensitivity of these cut-offs for identifying hotspot mutations, but their positive and negative predictive values in routine clinical application were not determined. Our approach was different because we tested 70 isolates phenotypically classified as 'non-



**Fig. 1.** Distribution of MICs of *Candida albicans* and *Candida glabrata* isolates for the three echinocandins; caspofungin (a), anidulafungin (b) and micafungin (c). FKS<sup>mut</sup>, isolates with documented FKS hotspot mutations. (d) ECV, epidemiological cut-off values derived from the MIC distribution curves, N>ECV: number of isolates with MIC>ECV ('non-wild-type' isolates), N seq: number of 'non-wild-type' isolates for which FKS hotspot sequencing was performed (note: some isolates could not be recovered), %FKS<sup>mut</sup>, rate (percentage) of FKS hotspot mutant isolates among 'non-wild-type' isolates.

wild-type' or 'limit wild-type' according to the ECVs. The absence of FKS mutations among the 52 'limit wild-type' isolates suggests an excellent negative predictive value of these cut-offs. Although ECV were able to correctly identify the only two FKS mutants, only 11% of 'non-wild-type' isolates actually harboured a mutation. Using the same ECVs as Espinel-Ingroff *et al.* (i.e. one dilution higher for *C. albicans*) would increase the positive predictive value of FKS mutation to overall 33% [15]. Application of CLSI breakpoints, which is recommended by the SYO manufacturer, classified 26 (1.6%) isolates of this collection as 'non-susceptible' to at least one echinocandin with FKS mutations recovered in only 8% of them. Of note, CLSI criteria for resistance would have missed one of the mutants classified as 'intermediate' for anidulafungin and micafungin.

Importantly, micafungin ECV demonstrated a better ability to discriminate FKS mutants from wild-type population compared with other echinocandins and FKS mutations were always associated with MIC above the ECV cut-offs for all three echinocandins. However, these two mutations are known to be associated with a high level of echinocandin resistance and we cannot exclude that other mutations, which have been associated with low levels of resistance and were not found in this study, could be more difficult to detect on the basis of MIC values [11].

Our analysis was performed in a predominantly wild-type population with low prevalence of FKS mutations, which actually corresponds to epidemiological data reported from other European countries [7,8]. This may explain the lower ECV found in this analysis compared with the multicentre study of Espinel-Ingroff *et al.* [15]. These results should be validated in settings with higher rates of echinocandin resistance. Despite this limitation, our

analysis further supports the appropriateness of these ECV to exclude or predict FKS mutation-related echinocandin resistance among *C. albicans* and *C. glabrata* tested by SYO.

#### Transparency declaration

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## Appendix A. Supplementary data

Supplementary data related to this article can be found at <https://doi.org/10.1016/j.cmi.2018.05.012>.

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