1 Peer review bullying threatens diversity, equity and inclusion

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5 Abstract. Bullying during the peer review process is an overlooked form of academic bullying.

6 Implemented measures to limit its negative impact are insufficient, necessitating new initiatives to

7 protect individuals and the integrity of science. If unaddressed, peer review bullying will

- 8 undermine diversity, equity, and inclusion, particularly harming early-career researchers and
- 9 minorities.
- 10 Keywords: academia, authors, reviewers, editors, bullying behavior

11 **Peer review bullying is a prevalent form of academic bullying.** Academic bullying refers to 12 hostile or harmful behavior in academic settings such as laboratories, fieldwork, and conferences

- 13 (Figure 1) [1]. Academic bullying has numerous adverse effects on individuals [2, 3]. For these
- 14 reasons, efforts to mitigate it are being made by institutions and associations. Despite substantial

15 resources invested to improve the academic landscape, various forms of academic bullying remain

- 16 poorly addressed such as bullying during peer review [4].
- 17 Peer review bullying refers to an unfair and potentially aggressive behavior exhibited by reviewers
- 18 during the peer review process. It includes unconstructive criticism, which involves providing
- 19 harsh and dismissive feedback without offering suggestions for improvement, contrary to the
- 20 constructive approach a reviewer should take [5]. It also encompasses ad hominem attacks, where 21 reviewers make derogatory or personal comments about the author rather than focusing on the
- reviewers make derogatory or personal comments about the author rather than locusing on the research itself. Unfair bias is another form of bullying that arises when personal prejudices,
- 22 research riser. Onlan blas is another form of burlying that arises when personal prejudices, 23 competition, or conflicts of interest influence the review. It can also involve double standards
- 24 where stricter criteria are applied to specific authors or groups compared to others. This often leads
- 25 to gatekeeping, where reviewers use the process to exclude certain researchers, topics, or
- 26 viewpoints from publication. Intentionally delayed reviews can impact the author's publication
- 27 timeline and can be also considered a form of bullying. Plagiarism and idea theft, which involve
- 28 using or stealing an author's ideas or data without permission or proper attribution, are misconduct
- 29 behaviors and can also be considered forms of bullying (Figure 1).
- 30 Bullying during peer review has many negative effects on individuals because although one can
- 31 build a career without attending conferences, it is impossible to build a career without publishing
- 32 scientific papers [6]. It affects mental and physical health, causing stress, anxiety, and a loss of
- 33 confidence. It negatively impacts research progress, with extreme delays in publication
- 34 jeopardizing funding opportunities, tenure, and promotions. If peer review bullying is consistent,
- it can lead to a decrease in the quality of research by discouraging innovative and provocative
- 36 work, resulting in a less diverse body of scientific knowledge (Figure 1).

37 Peer review bullying is hard to detect and avoid. One major reason peer review bullying is 38 widespread is that it is difficult to detect. Editors often lack the training necessary to recognize 39 such behavior, which can result in them overlooking bullying even when they carefully screen 40 reviewer accompany bullying is subjective intentional and tenasted with

- 40 reviewer comments. Moreover, peer review bullying is subjective, intentional, and targeted, with
- actions aimed at causing harm or distress to a specific individual. What might appear neutral to an
 editor could be perceived very differently by the authors. Reviewers often know the authors from
- 42 reduce could be perceived very differently by the authors. Reviewers often know the authors from 43 previous interactions and research and can bully the authors without the editor realizing it, because

44 editors are unaware of the past interactions between both parties. No one expects editors to know

45 the authors' life trajectories and past interactions with the reviewers, as this is simply not possible.

46 This is a main flaw of the current publication system. The current model assumes complete

- 47 neutrality from reviewers, which is inherently impossible, and expects editors to fairly judge and
- 48 eliminate inappropriate behavior that they are unable to detect.

49 Peer review bullying is also difficult to avoid because peer review is one of many tasks that academics are expected to perform without formal training, and they may not always be aware of 50 51 the negative impact of their comments on the authors. Reviewers are also often not recognized for 52 their work, and some may view providing detailed, careful, respectful, truthful, and ethical reviews 53 as a waste of time. Moreover, in discussions with some editors, a major issue that was raised is 54 that some qualified scientists decline to peer review a manuscript simply because they find 55 grammatical errors in the abstract, immediately associating these English mistakes with poor 56 science. Even worse, sometimes they agree to review a paper, and only judge the quality of the 57 English instead of providing meaningful scientific feedback, despite some journal guidelines 58 clearly stating that they should focus solely on the scientific content.

59 Implemented measures to address peer review bullying are not enough. Despite the challenges 60 in detecting peer review bullying, many journals have introduced guidelines and policies for 61 reviewers and editors to help prevent it. For instance, to avoid targeted criticism, journals have introduced open peer review which consists of publishing reviewer comments, or double-blind 62 63 peer review, where both reviewers and authors remain anonymous. To recognize the work of 64 reviewers and encourage them to write constructive reports, journals have started to introduce initiatives like selecting a "Reviewer of the Year" or publishing the names of those who have 65 reviewed for the journal to recognize their contributions. Some journals have gone further by 66 67 establishing internal systems where reviewers are ranked based on the quality of their comments, 68 allowing future editors to identify those who have provided thorough feedback in the past and 69 many encourage diversity among reviewers and editorial boards [7] to reduce inherent biases and 70 promote fairer evaluations. Journals also often allow authors to blacklist some names from 71 reviewing their work, based on previous interactions between both parties.

72 However, not all these measures are widely adopted, as many journals have yet to implement them. 73 More critically, some of these measures such as double-blind peer review are not efficient in 74 limiting bullying, especially within small scientific communities where personal connections are 75 common and the author's identity can be easily deduced even without their name on the document. 76 Systems that rank peer review reports often struggle as well because it can be challenging to 77 evaluate the quality of reviews in a consistent manner. Blacklisting certain individuals from 78 reviewing a paper requires authors to know their bullies based on past interactions. This poses 79 another challenge, as reviewers who act poorly often do not disclose their identities. Even when 80 the authors manage to identify their bullies, there is no guarantee that their blacklist will be 81 respected, as this decision is often at the discretion of the editor and the specific policies of the 82 journal.

83 A radical solution to academic bullying during peer review requires a revolution in the current

84 publication system. Some traditional journals and recent initiatives have started experimenting

85 with new publication models [8, 9] such as publish, then peer review [9]. However, no one expects

86 a rapid shift in the status quo of the academic community away from conventional publication

87 systems. Until this happens, a more rapidly effective approach to combating academic bullying

88 during peer review is needed.

89 Suggested measures to limit peer review bullying. The first step to addressing peer review 90 bullying is to recognize its subjective nature and to implement accountability mechanisms that 91 allow authors to report bullying behavior and to ensure these reports are taken seriously. This 92 mechanism should not be confused with editorial decision appeals, which deal strictly with the 93 scientific quality of the comments left by the reviewers and typically take weeks or even months 94 to be judged by editorial boards. Bullying reports must be considered swiftly, and if bullying is 95 proven, the reviewer's comments must be annulled. When a certain reviewer exhibits repetitive 96 bullying behavior, journals should blacklist them from their contact list as reviewers. While many 97 reviewers may not notice this, and some might view it as an opportunity to avoid their peer-review 98 responsibilities, restricting bullies' access to peer review will undoubtedly enhance the overall 99 environment. Journals might even consider contacting the institutions of the reviewers in question 100 to make them aware of the situation. Editors of society journals may reach out to their respective 101 associations, which are likely to have codes of conduct to restrict membership and benefits for reviewers who engage in bullying behaviors. Editors should also pay particular attention if a 102 103 reviewer does not have an affiliation because these individuals cannot be held accountable for their

104 acts.

105 Another possible solution is to provide training programs for reviewers on how to write ethical 106 peer review reports. Training programs should not only focus on equipping reviewers with the skills and tips necessary to write thorough, efficient, and ethical reviews but also emphasize the 107 108 primary role of a reviewer: to evaluate the science. Reviewers should not act as copy editors or 109 form prejudices based solely on the writing quality as good English does not necessarily equate to 110 good science and vice versa. This approach helps prevent bullying against minorities, who often do not have English as their first language. 111

112 Broad-scale initiatives are also very important to limit peer review bullying. The Declaration on 113 Research Assessment (DORA) marked a significant milestone in academia. DORA underscored 114 the need to move away from relying on journal-based metrics, such as impact factors in judging 115 academic performance. A similar declaration focused on peer review could help address this type 116 of bullying especially since it is very likely that peer review bullying occurs more frequently when a paper is considered for publication in a high-impact journal because elements associated with 117 118 human nature, such as envy [10], also play a role during peer review [11]. The use of impact factors 119 in judging academic performance needs to be abolished [12] and a close collaboration between 120 academics, universities, associations, editors and publishers is needed to develop and agree on best 121 practices for peer review.

122 **Peer review bullying impacts diversity equity and inclusion.** Peer review bullying is more 123 likely to affect early career researchers (ECRs) and people from marginalized backgrounds [13] 124 (Figure 1). ECRs and minorities have less influence and authority in their fields and face hurdles 125 in gaining legitimacy making them more vulnerable to biases. Both ECRs and minorities may lack 126 access to mentors who can provide support in dealing with negative experiences. Considering that 127 ECRs often hold temporary positions, the negative impact of bullying can lead to higher attrition 128 rates among them [14], as they may choose to leave academia for more supportive and inclusive 129 environments. Dealing with peer review bullying is not straightforward and a tremendous effort is 130 needed to foster a healthy academic environment [15]. If left unaddressed, peer review bullying will shrink the pool of talented researchers, drain diverse perspectives and innovative ideas, and 131

132 weaken the overall research ecosystem.

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Figure 1. Manifestations and consequences of academic bullying. Academic bullying manifests in various forms in the laboratory, in the field, at conferences, and during processes such as peer review. These manifestations result in numerous consequences that impact individuals, their academic institutions, and science as a whole. It is important to note that the consequences of academic bullying are not mutually exclusive; individuals who experience bullying often suffer from some, many, or all of these effects, which can compound their overall impact. The figure was made with Canva and Adobe Illustrator.