

1 **High Mountain Asia hydropower systems threatened by climate-driven landscape instability**

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## 41 **Abstract**

42 Global warming-induced melting and thawing of the cryosphere are severely altering the volume and  
43 timing of water supplied from High Mountain Asia (HMA), adversely affecting downstream food  
44 and energy systems relied upon by billions of people. The construction of more reservoirs designed  
45 to regulate streamflow and produce hydropower is a critical part of strategies for adapting to these  
46 changes. However, these projects are vulnerable to a complex set of interacting processes that are  
47 destabilizing landscapes throughout the region. Ranging in severity and the pace of change, these  
48 processes include glacial retreat and detachments, permafrost thaw and associated landslides,  
49 rock-ice avalanches, debris flows, and outburst floods from glacial lakes and landslide-dammed lakes.  
50 The end result is large amounts of sediment being mobilized that can fill up reservoirs, cause dam  
51 failure, and degrade power turbines. Here, we recommend forward-looking design and maintenance  
52 measures and sustainable sediment management solutions that can help transition towards climate  
53 change-resilient dams and reservoirs in HMA, in large part based on improved monitoring and  
54 prediction of compound and cascading hazards.

55

## 56 **1. Introduction**

57 High Mountain Asia (HMA), the Earth's most important and vulnerable water tower<sup>1,2</sup>, is  
58 warming at a rate that is double the global average (0.32 °C/decade compared with the global  
59 average of 0.16°C/decade<sup>3,4</sup>) and is characterized by a rapidly changing cryosphere<sup>5,6</sup> and related  
60 changes in the hydrological and sedimentary regimes of mountain rivers<sup>7-9</sup>. The projected declining  
61 meltwater supply from HMA's glaciers and snowpacks in the near future (e.g., slightly before or after  
62 2050) coupled with population growth will likely exacerbate water stress and social instability in the  
63 region<sup>10-12</sup>. Dam construction and the creation of reservoirs to temporarily store meltwater for  
64 subsequent release during the dry season for irrigation and consumptive use are key strategies for  
65 water resource management<sup>1,13-15</sup>. Dams also have the potential to mitigate climate change by  
66 producing clean hydropower and thus to support the achievement of carbon neutrality for HMA  
67 countries<sup>16-19</sup>. However, there are social and environmental concerns associated with the  
68 development of hydropower projects (HPPs, both run-of-river systems and dams with large  
69 reservoirs) including human losses to HPP-related hazards, ecological fragmentation and biodiversity  
70 loss<sup>20-22</sup>. The hydropower potential in HMA exceeds 500 GW which could support over 350 million  
71 homes<sup>17</sup>, however most is untapped (Fig. 1c). There are currently over 650 HPPs (~240 GW) under  
72 construction or planned in HMA, in addition to the nearly 100 existing large HPPs mainly in the

73 upper Indus-Ganges-Yangtze river basins (with a median storage capacity of 0.25 km<sup>3</sup>), according to  
74 the Global Dam Watch<sup>20,21</sup>. Importantly, the new HPPs are being planned in locations closer to  
75 glaciers and glacial lakes in higher-altitude areas, making them more hazard-prone (Fig. 1a).

76 Dams and reservoirs are increasingly facing climate-related mountain landscape instabilities  
77 including glacier collapses or detachments (and related hazard cascades)<sup>23</sup>, rock-ice avalanches<sup>24-26</sup>,  
78 permafrost thaw and related landslides<sup>27</sup>, debris flows<sup>28</sup>, extreme lake outburst floods<sup>11</sup>, higher  
79 erosion rates<sup>29</sup>, and elevated sediment loads<sup>9</sup> that impact the short-term safety and longer-term  
80 sustainability of dams and reservoirs (Fig. 2 and Table S1). The rock-ice avalanche that triggered a  
81 flood in India's Chamoli district, Uttarakhand in February 2021 destroyed two HPPs (including one  
82 still under construction) and resulted in 204 dead or missing persons (190 of them workers from the  
83 HPPs)<sup>24,26</sup>. The 2013 Kedarnath disaster, also in Uttarakhand, started with extreme rainfall and  
84 snowmelt and resulted in a hazard chain including landslides, the Chorabari lake outburst, flash  
85 floods, and debris flows, which killed more than 6,000 people and damaged at least ten HPPs<sup>30,31</sup>.  
86 Such catastrophic disasters, together with many other HPP failures and related loss of lives (Fig. 2),  
87 illustrate the increasing risks to hydropower development and public safety in the steep mountain  
88 valleys of HMA<sup>18,19</sup>.

89 In this Perspective, we present an overview of climate-related mountain landscape instabilities  
90 and their threats to hydropower dams and reservoirs in HMA. We characterize mountain landscape  
91 instabilities across three broad categories: (1) melting and thawing of the cryosphere and slope  
92 instability (e.g., glacier detachments, rock/ice avalanches, rockfalls, landslides, and debris flows); (2)  
93 glacial lake outburst floods (GLOFs) and landslide lake outburst floods (LLOFs) associated with  
94 cryospheric changes and slope instability; (3) erosion and sediment loads associated with changing  
95 slope processes and extreme floods. We detail each of these first, and then discuss their impacts on  
96 dams and reservoirs and provide recommendations for climate change-resilient hydropower  
97 development in the region. Finally, future research priorities, challenges and opportunities for a  
98 deeper understanding of mountain landscape instability and cryospheric hazards and their societal  
99 impacts are presented.

## 101 **2. Melting and thawing of the cryosphere and slope instability**

102 Global warming has caused the rapid melting or thawing of the cryosphere (e.g., glaciers, snow,  
103 and permafrost) in the world's high-mountain areas, with accelerating ice mass losses in recent  
104 years<sup>5,6</sup>. The rapid decline in glaciers and permafrost thaw have altered the magnitude and frequency  
105 of related slope instabilities such as glacier detachments, rock/ice avalanches, rockfalls, landslides,  
106 and debris flows<sup>5,32,33</sup>.

### 107 **2.1 Melting and thawing of the cryosphere**

108 HMA is characterized by accelerating glacier retreat and permafrost thaw, shifting glacier  
109 equilibrium lines and permafrost boundaries to higher altitudes<sup>5-7</sup>. The glaciers experienced  
110 substantial mass loss ( $-21.1 \pm 5.2$  Gt/y) during 2000-2019, particularly in South-East HMA (Fig.  
111 3a)<sup>34-36</sup>. Future projections indicate that HMA glaciers will shrink by ~40% under Representative  
112 Concentration Pathway (RCP) 2.6, ~50% under RCP4.5, and ~70% under RCP 8.5 by 2100 (Fig. 3b),  
113 with equilibrium line altitudes (ELA) rising up to 800 m<sup>5-7,37,38</sup>. The permafrost ground temperatures  
114 are increasing and the active layer is thickening<sup>39,40</sup>. Active layer thickness over the Tibetan Plateau  
115 is projected to increase from the present  $2.3 \pm 0.7$  m to  $3.1 \pm 0.9$  m (RCP 4.5) and  $3.9 \pm 1.0$  m (RCP  
116 8.5) by 2100 (Fig. 3c), with a reduction of the permafrost area up to 42%<sup>41,42</sup>. The snow water  
117 equivalent of mountain snowpacks has also declined in recent years and is projected to decline  
118 drastically in spring and early summer in the future<sup>12</sup>.

119

### 120 **2.2 Changing slope instability**

121 Glacier retreat and permafrost thaw cause slope instabilities (e.g., glacier detachments, rock/ice  
122 avalanches, rockfalls, landslides, and debris flows)<sup>43-46</sup>. Climate change alters the thermal and basal  
123 properties of glaciers and can cause large-scale detachments of low-angle valley glaciers such as the  
124 collapses of the Aru twin glaciers in 2016 (this event caused the deaths of nine herders)<sup>23,47</sup>. Valley  
125 slopes newly exposed after glacier retreat are unstable paraglacial landscapes, due to the  
126 debuitressing effect<sup>48-52</sup>. Degradation of bedrock permafrost and increased water availability during  
127 the thaw season also destabilize slopes<sup>45,46</sup>. Permafrost degradation also intensifies thermokarst  
128 development (e.g., thaw slumps and active layer detachments, Fig. 3c and Fig. S1), particularly in  
129 ice-rich environments<sup>53</sup>.

130 The magnitude and frequency of slope instabilities have increased in high-mountain areas such  
131 as the European Alps and New Zealand in recent decades<sup>43-45</sup> and are projected to increase further in  
132 the near future causing increasing risks to expanding population and infrastructure<sup>5</sup>. However, robust

133 trend statistics for slope instability over the past decades are currently lacking across HMA<sup>5</sup>. Recent  
134 examples of slope instabilities include: the 2021 Chamoli disaster, which was triggered by a rock-ice  
135 avalanche that impacted older mass wasting deposits in previously glaciated terrain in the valley  
136 bottom and resulted in a disastrous debris flow<sup>24–26</sup>; the 2012 Seti disaster, caused by a rockfall onto  
137 a glacier that generated debris flows in the Seti valley, central Himalaya and caused 72 deaths<sup>54</sup>; and  
138 the 2010 Attabad landslide in the Hunza Valley, Karakoram and the resulting landslide-dammed lake  
139 that occurred in a periglacial environment, damaging over 200 houses and causing 20 deaths<sup>55</sup> (Fig.  
140 S2). Modelling studies suggest that future landslides in the Himalaya (e.g., the border region between  
141 China and Nepal where considerable glacial lakes exist) will increase in response to more frequent  
142 rainstorm events<sup>56</sup>.

143 Loose sediment exposed by glacial retreat or deposited by landslides can be remobilized during  
144 heavy rainfall or by further slope failures and evolve into debris flows<sup>57–59</sup> (Fig. S1). The 2010 debris  
145 flows in Tianmo Valley, Tibet were attributed to heavy rainfall and meltwater in a periglacial  
146 environment where frequent landslides increased sediment availability<sup>57,60</sup>. Precipitation is projected  
147 to increase in HMA associated with more rainstorms that may exacerbate slope instability<sup>5</sup>. Increased  
148 rainfall and its occurrence earlier in the year, coinciding with snowmelt, can increase the incidence of  
149 debris flows in valleys filled with glacial deposits (e.g., the 2013 Kedarnath disaster)<sup>30</sup>.

150

### 151 **3. Extreme floods**

152 Slope failures triggered by rapid climatic change and cryosphere degradation typically have  
153 significant local impacts (i.e., several kilometres downstream), but can also trigger a cascade of other  
154 hazards such as lake outburst floods that can extend hundreds of kilometres downstream and have  
155 important implications for the safety of mountain communities and infrastructure<sup>5,55,61–63</sup>.

156

#### 157 **3.1 Landslide lake outburst floods (LLOFs)**

158 Large-scale mass movements often occur on the slopes of deeply incised valleys and can block  
159 rivers, temporarily impounding lakes and potentially triggering LLOFs<sup>63–65</sup> (Table S2). These  
160 temporary natural dams can either be rapidly overtopped or continue to impound water for several  
161 days or months<sup>63</sup>. When such dams fail, large volumes of water can be suddenly released, causing  
162 floods with peak discharges up to several orders of magnitude greater than monsoon flood  
163 discharges<sup>65</sup>. In 2018, the Baige landslide blocked the Upper Yangtze River (an environment  
164 transitioning from permafrost to seasonally frozen ground; Fig. 1a) and created a landslide-dammed

165 lake, which suddenly drained after ten days. This resulted in a peak discharge of 33,900 m<sup>3</sup>/s (the  
166 10,000-year return period discharge estimated for the site is 11,500 m<sup>3</sup>/s<sup>66</sup>) that was 10 times higher  
167 than the normal flood discharge and had a runout distance exceeding 500 km (Fig. 2f).

168 LLOFs in paraglacial environments have been frequently recorded in HMA. In October 2018, a  
169 glacier detachment-triggered debris flow blocked the Yarlung Tsangpo River Gorge<sup>23,67</sup>. The  
170 resulting lake reached a volume of 550 million m<sup>3</sup> before overtopping and draining, generating a  
171 peak discharge of 32,000 m<sup>3</sup>/s (Fig. 4c). The debris flow originated from a very steep tributary valley  
172 characterized by an elevation drop of ~5000 m within 10 km (Fig. 4b). Similar large-scale LLOFs  
173 include the Yigong, Tianmo, and Guxiang LLOFs<sup>57,60,64</sup>. In 2000, a large landslide dammed lake on  
174 the Yigong River breached after 62 days, resulting in an unprecedented peak discharge of ~120,000  
175 m<sup>3</sup>/s (Fig. 4c) impacting as far downstream as India and Bangladesh<sup>68</sup>. Such slope failures and  
176 associated LLOFs in paraglacial environments are likely to increase in a rapidly warming  
177 atmosphere<sup>33</sup> (Fig. S3).

178

### 179 **3.2 Glacial lake outburst floods (GLOFs)**

180 GLOFs, another type of lake outburst flood, have caused devastating human and infrastructure  
181 losses in HMA<sup>69–75</sup>. Glacial lakes, impounded behind a moraine or ice dam, have the potential for  
182 sudden outburst, triggered by heavy rainfall, glacier avalanches or surges, increased hydrostatic  
183 pressure, and rapid ice melt<sup>62,75</sup>. Many moraine-dammed GLOFs are caused by ice avalanches or  
184 landslides into the lakes that generate displacement waves and result in dam failure through  
185 overtopping and erosion of the moraines<sup>62,70</sup> (Fig. S1b). The 1985 Dig Tsho GLOF was triggered by  
186 an rock-ice avalanche and destroyed the Namche HPP, causing five deaths and over US\$ 3 million  
187 damage<sup>61,76</sup>. Heavy rainfall-related GLOFs that destroyed downstream HPPs have also been reported  
188 in recent years (Table S1), including the 2013 Chorabari GLOF<sup>30</sup> and 2016 Gongbatongsha  
189 GLOF<sup>77,78</sup>. Schwanghart et al.<sup>18</sup> estimated that two-thirds of the existing and planned HPPs in the  
190 Himalaya are located in potential GLOF pathways and up to one-third of the HPPs could face GLOF  
191 discharges exceeding the local design flood.

192 Globally, rapid glacier retreat has expanded the number and size of glacial lakes, likely  
193 increasing the magnitude and frequency of GLOFs<sup>74,77,79–81</sup>. An updated inventory for the Himalayas  
194 suggests that the frequency of GLOFs increased after ~1950 (Fig. 4e)<sup>11</sup>. In the Karakoram, 179  
195 GLOFs have been recorded from 1533 to 2020 (mostly associated with ice-dammed lakes), with an  
196 increasing trend in recent decades<sup>69</sup>. From 1810 to 2018 in Kyagar, 34 GLOFs were recorded mainly

197 due to glacier surges; 26 of those GLOFs occurred since 1960, indicating a marked increase in  
198 occurrence frequency<sup>82</sup>. In the central Tien Shan, GLOFs from the ice-dammed Merzbacher lake  
199 have also increased in recent decades, with 65 being recorded during 1932-2011 and half of them  
200 occurring after 1990<sup>83</sup>. In the northern Tien Shan, the occurrence of GLOFs and related debris flows  
201 has increased since the 1950s, but their frequency reduced after 2000<sup>84</sup>. The attribution of GLOFs to  
202 anthropogenic global warming has strengthened<sup>85</sup> but remains uncertain, in part because of the  
203 relatively short time period since the advent of the satellite-era<sup>62,72</sup> and biases in GLOF reporting<sup>73</sup>,  
204 but also due to the competing topographic and seismic factors<sup>19</sup>. However, it is clear that the risks of  
205 GLOFs in HMA will increase in the next few decades<sup>70</sup>, associated with glacial lake expansion<sup>80</sup>,  
206 more frequent precipitation extremes<sup>5</sup> and avalanches<sup>86</sup>, possibly shortened glacier surge cycles<sup>87</sup>,  
207 and growing population and infrastructure exposure<sup>18</sup>.

208

### 209 **3.3 Heavy rainfall and snowmelt floods**

210 In addition to LLOFs/GLOFs, the incidence of floods triggered by heavy rainfall, snowmelt, and  
211 rain-on-snow events is also changing in HMA<sup>5</sup>. Climate change is likely to affect future rainfall  
212 patterns and more extreme rainfall events are projected<sup>4,88</sup>. These changes in the rainfall regime also  
213 translate into changes in river discharge. Wijngaard et al.<sup>88</sup> projected a substantial increase in the  
214 50-year return period discharge in the upstream Indus, Ganges, and Brahmaputra. A shift from  
215 snowfall to rainfall and rain-on-snow events in a warming atmosphere are also likely to trigger more  
216 flash floods in HMA<sup>5</sup>.

217

## 218 **4. Erosion and sediment fluxes**

219 As HMA has overall been getting warmer and wetter over the past decades<sup>4,9</sup>, both runoff and  
220 fluvial sediment yields have been increasing, the latter in response to expanding erodible landscapes,  
221 increasing thermally and pluvially-driven sediment sources, and increasing rainfall erosivity and  
222 sediment transport capacity<sup>9,89-92</sup>. Observations from 28 quasi-pristine headwater catchments in  
223 HMA indicate that their annual fluvial sediment yields have increased at an average rate of ~13% per  
224 decade (notwithstanding substantial sediment storage along river pathways<sup>93</sup>) (Fig. 4f), much faster  
225 than the increase in annual runoff (~5% per decade)<sup>9</sup>. Approximately 40% of HMA is underlain by  
226 permafrost<sup>4,9</sup>, and the increasing permafrost disturbances<sup>28,53</sup>, especially channel-connected thaw  
227 slumps<sup>89</sup>, in a warming climate will increase sediment sources from slopes to river systems.

228 With glacier mass loss, glacial erosion will change due to the reduced glacier velocity<sup>94</sup> on  
229 average eventually reducing sediment supply. However the basal sliding velocity of cold and  
230 polythermal glaciers, which are particularly common on the northern-central Tibetan Plateau<sup>6</sup>, may  
231 initially increase (and hence also the erosion) driven by an increase in lubricating subglacial  
232 meltwater before the peak water discharge<sup>95,96</sup>. Moreover, sediment yields from glacierized basins  
233 will likely initially increase and continue to remain high, even after peak water discharge, as  
234 increased meltwater in previously hydrologically less-active subglacial zones at higher altitudes  
235 begins to export accumulated sediment<sup>97</sup>, followed by an eventual decline<sup>95</sup>. Similarly, as glaciers  
236 shrink, sediment yields from newly exposed proglacial landscapes will also exhibit a similar trend,  
237 with an initial increase in sediment yield due to the increased availability of unconsolidated sediment  
238 on oversteepened slopes, followed by a decline when paraglacial landscapes progressively stabilize  
239 via negative feedbacks<sup>48-52</sup>. How long the increase in sediment yield lasts is likely to be  
240 scale-dependent, with a rapid decline occurring close to the source-region, but the increase potentially  
241 lasting decades to centuries (and even a millennium) at more distal locations<sup>49,50</sup>. Further, initial  
242 increases in supply will be modified by changing transport capacity<sup>90</sup>. Glacier retreat initially  
243 increases sediment transport capacity as a result of the increased meltwater, but also because the  
244 intensity of discharge variation increases<sup>98</sup>. Higher daily peak flows can substantially increase  
245 sediment transport capacity, since the latter is commonly a non-linear function of discharge excess  
246 over the critical value required for sediment transport. As peak water discharge passes, meltwater and  
247 the intensity of discharge variation will fall, and so will sediment transport capacity. Thus, peak  
248 sediment yield may occur close to, or just after, the peak water discharge (~2050 on average under  
249 RCP 4.5 in HMA<sup>8</sup>) close to the source-region but much later further downstream<sup>49</sup>.

250 With glacier retreat, sediment transport will likely become more dependent on extreme flood  
251 events<sup>51,99</sup>. Extreme floods can further increase fluvial sediment yields by exceeding topographic and  
252 erosional thresholds and flushing previously-stored sediment. LLOFs/GLOFs scour riverbanks,  
253 undercut hillslopes and even cause secondary landslides, and thus transport substantial amounts of  
254 sediment downstream<sup>77,100</sup>. The 2000 Yigong LLOF triggered translational landslides and resulted in  
255 substantial hillslope erosion, which accounted for ~70% of the total landslide-induced erosion  
256 occurring over a 33-y period<sup>100</sup>. The 2016 Gongbatongsha GLOF mobilized channel-defining  
257 boulders and produced a very peak of sediment flux in a Himalaya river<sup>77</sup>. Extreme rainfall events

258 can also abruptly increase sediment loads, as shown in the headwaters of the Yangtze<sup>89</sup> and  
259 Brahmaputra<sup>92</sup>.

260

## 261 **5. Impacts of mountain landscape instability on dams and reservoirs**

262 Current infrastructure in HMA may face more damage in the future, due to the increasing  
263 magnitude and frequency of multiple hazards. LLOFs/GLOFs are the most destructive hazards, since  
264 they have downstream impacts extending over tens to hundreds of kilometres and will continue to  
265 cause major social-economic losses involving roads, bridges, and HPPs<sup>66,76</sup> (Table S1).  
266 Rainstorm/snowmelt-induced flash floods can also threaten HPP safety by exceeding normal  
267 reservoir storage capacity and spillway design thresholds. Thus, existing HPPs that were designed  
268 using short-term historical gauge records, or climate-driven models where there are no records, may  
269 be exposed to higher magnitude damage (Box 1). For planned HPPs at higher altitudes that are close  
270 to glaciers and glacial lakes (e.g., <90 km in the Himalaya; Fig. 1a), the likelihood of HPP failures  
271 due to extreme floods will probably increase<sup>11,18</sup>.

272 The high erosion rates and the increase in fluvial sediment flux in a changing climate can  
273 threaten the sustainability of both reservoirs and run-of-river systems (Fig.1a, Fig. 4f, and Box 1).  
274 Higher sediment loads increase sedimentation in reservoirs and reduce their storage capacity,  
275 jeopardizing their role in water supply, irrigation, flood control, and hydropower generation<sup>22,101</sup>. The  
276 design of many existing reservoirs in HMA underestimates the potential for increasing sediment  
277 inflow (Table S1). The Koshi (China/Nepal) and the upper Indus (China/India/Pakistan) have high  
278 specific suspended sediment yields (over 1800 t/km<sup>2</sup>/y) and high reservoir sedimentation rates<sup>93,102</sup>.  
279 Compared with suspended sediment, bedload (commonly over 10% and up to 50% of the total load  
280 in mountain rivers and proglacial rivers, respectively<sup>103</sup>) is more destructive to dams and reservoirs,  
281 since this coarse sediment (e.g., gravels and boulders) is readily deposited and cannot be readily  
282 flushed through a dam, even with a sediment sluicing strategy<sup>22</sup>.

283 In addition, the deposition of coarser sediment behind a dam may block bottom outlets and the  
284 finer fractions enter water intakes causing severe abrasion of turbine blades and damage to hydraulic  
285 structures<sup>102</sup>. Many examples of turbine abrasion and subsequent reduction of power generation  
286 efficiency have been reported in the Himalaya and Tien Shan (Table S1), where the sediment  
287 contains high proportions of harder minerals. The turbines of the Nathpa Jhakri HPP (1,500 MW, the

288 largest HPP on the Sutlej River) had to be replaced shortly after commencing operation due to  
289 abrasion<sup>102</sup>.

290

## 291 **6. Towards climate change-resilient hydropower systems**

292 To minimize the adverse impacts of climate-driven mountain landscape instability on dams and  
293 reservoirs, we identify the following future actions (Box 1). First, maps of the distribution of  
294 paraglacial zones, sediment yield and hazard susceptibility, that better delineate current and future  
295 unstable landscapes and erosion-prone regions, should be produced, particularly for the HPP hotspots.  
296 Policy development regarding maintaining existing HPPs and planning of new HPPs should be  
297 guided by such hazard and risk maps.

298 Second, sediment issues must be viewed as a fundamental consideration for hydropower  
299 development. Sustainable sediment management strategies should be developed before reservoir  
300 construction. When planning future reservoirs, storage capacity design should consider potential  
301 storage losses associated with increasing sediment loads due to climate change<sup>9</sup> and provide  
302 additional storage to cope with climate-related hazards<sup>66</sup>. Sediment bypassing, sluicing, dredging,  
303 and drawdown flushing need to be considered as possible means of minimizing reservoir  
304 sedimentation and increasing reservoir lifespans<sup>22</sup>. Catchment management plans targeted at  
305 reducing slope instability and erosion rates, and involving measures such as reforestation and check  
306 dams, should be developed and implemented to reduce sediment discharge into new reservoirs<sup>104,105</sup>.  
307 For existing reservoirs, a reassessment of sediment management solutions aimed at enhancing  
308 sustainable sediment management is recommended.

309 Third, monitoring, forecasting, and early warning systems (EWS) should be further developed  
310 and implemented. Strategically oriented monitoring networks that measure high-altitude climate  
311 (e.g., >4000 m a.s.l.), glacier and permafrost dynamics, glacial lakes, unstable slopes, and water and  
312 sediment fluxes should be expanded for high-risk areas. High-resolution optical and SAR satellite  
313 imagery and seismic data offer a means of continually monitoring and assessing slope evolution,  
314 glacial lakes, potential LLOF/GLOFs, and potential hazard cascades<sup>24,25,106</sup>. Importantly, open  
315 data-driven dialogues among HMA countries must be enhanced to support both scientific research  
316 and risk reduction.

317 EWSs are in general lacking although several GLOF EWSs (e.g., the Kyagar GLOF EWS<sup>82</sup>)  
318 have been recently established. New EWSs should be forward-looking<sup>70</sup> and coupled with effective  
319 land-use zoning, community participation, social awareness, and emergency response strategies and

320 drills<sup>24,107</sup>. When potential hazard conditions are forecast, response mechanisms should be in place to  
321 permit reservoir regulation, such as drawing down the reservoir to limit the impacts of incoming  
322 floods. Where cascade reservoirs, particularly in a transboundary setting, exist or are planned, there  
323 is a need to establish coordination and data-sharing schemes and to adopt joint-operation strategies to  
324 better cope with hazards and to flush sediment through the cascade.

325

## 326 **7. Summary and perspectives**

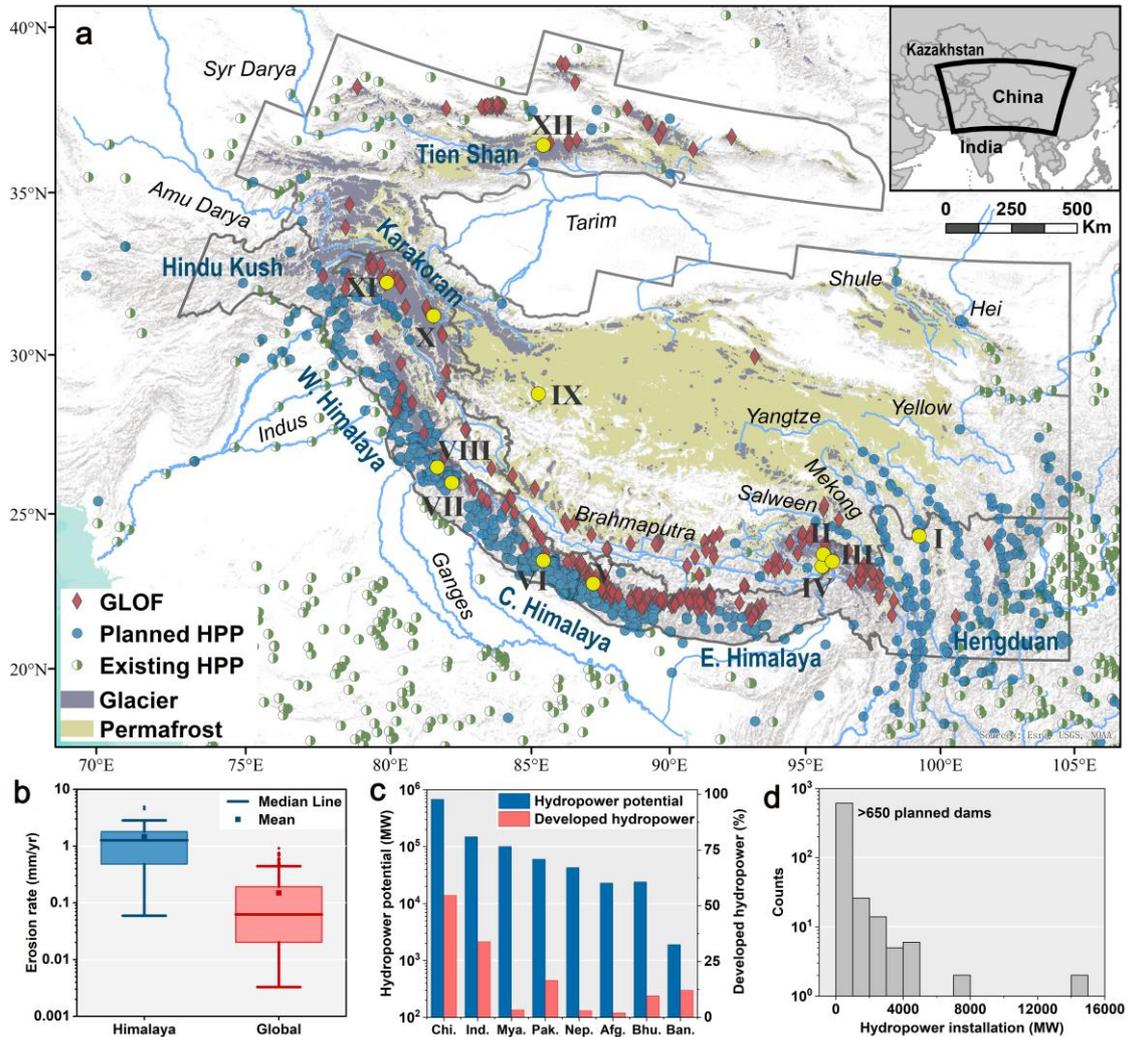
327 In HMA, atmospheric warming, cryosphere degradation, and mountain landscape instability  
328 will likely increase over the next few decades and even into the next century. The potential increase  
329 in multiple, cascading hazards add more uncertainty to the sustainability and resilience of the fragile  
330 HMA, with major implications for the safety of humans and infrastructure.

331 Future research in the region must target less-studied landscape responses to climate change<sup>91</sup>,  
332 including paraglacial adjustments, slope instability, hazard cascades, and glacial/permafrost erosion  
333 and related sediment yields, rather than focusing solely on cryosphere reduction and changes to  
334 freshwater supply<sup>1,2,7,8,10–12,15</sup>. Recently glacier status and glacial lakes in HMA have been  
335 mapped<sup>34–36,79–81,108–110</sup>, and knowledge regarding glacial lake evolution in relation to glacier changes  
336 has significantly improved<sup>79,111</sup>. Predictions of future glacial lake development and GLOF risks are  
337 also being produced<sup>70,86,112</sup>. However, well-validated, high-resolution, regional-scale maps of  
338 mountain permafrost, slope instability, evolving paraglacial landscapes, and sediment yields across  
339 HMA do not exist and their absence need urgent attention.

340 The challenges are to better understand the climatic, topographic, tectonic, and cryospheric  
341 drivers and potential increases of the compound and cascading hazards associated with climate  
342 change (e.g., uncertainties remain as to whether climate change and permafrost thaw played a role in  
343 triggering the 2021 Chamoli disaster<sup>24</sup>). Many of the disasters cited above occurred in steep  
344 paraglacial terrain and are characterized by hazard cascading processes<sup>24–26,67,68,77,113</sup>. Slope  
345 instabilities and megafloods produce high sediment loads that have important geomorphological,  
346 ecological, and societal implications.

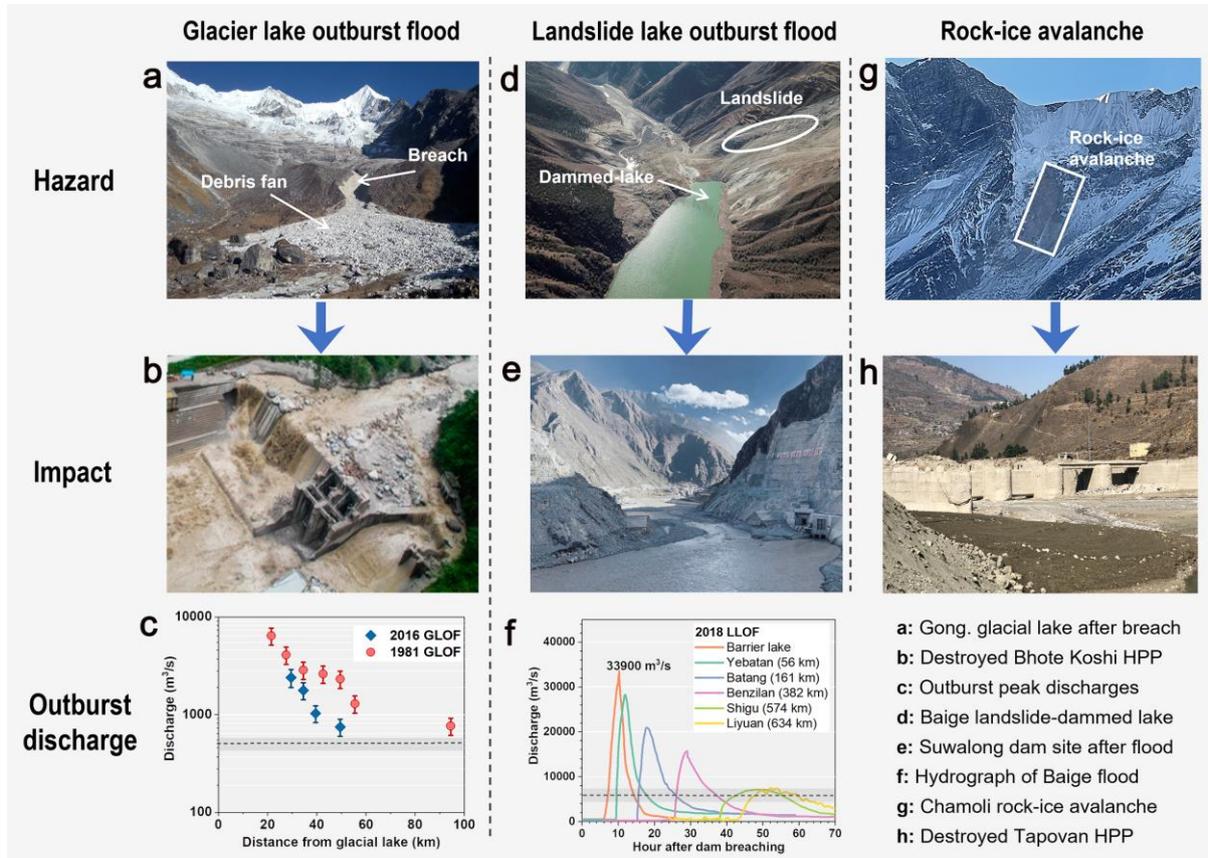
347 Opportunities are emerging. Real-time monitoring networks that integrate data from  
348 remote-sensing images, seismic signals, hydro-meteorological stations, community observations, and  
349 social media are being developed<sup>24,25,114</sup> and need rapid expansion into higher-altitudes at  
350 finer-resolution and larger scales. The real-time monitoring networks need to be integrated with

351 improved artificial intelligence and process-based modeling<sup>56,115</sup> and forward-looking EWSs<sup>70</sup>, to  
 352 benefit reductions of both the short- and long-term risks facing both humans and infrastructure  
 353 systems. The engineers, practitioners, policymakers, and stakeholders responsible for planning,  
 354 designing, constructing, and managing infrastructure (in particular dams and reservoirs) in the region  
 355 are urged to take account of these emerging processes, develop proactive adaptation measures and  
 356 adopt sustainable solutions, to minimize the negative impacts of climate change on these systems.  
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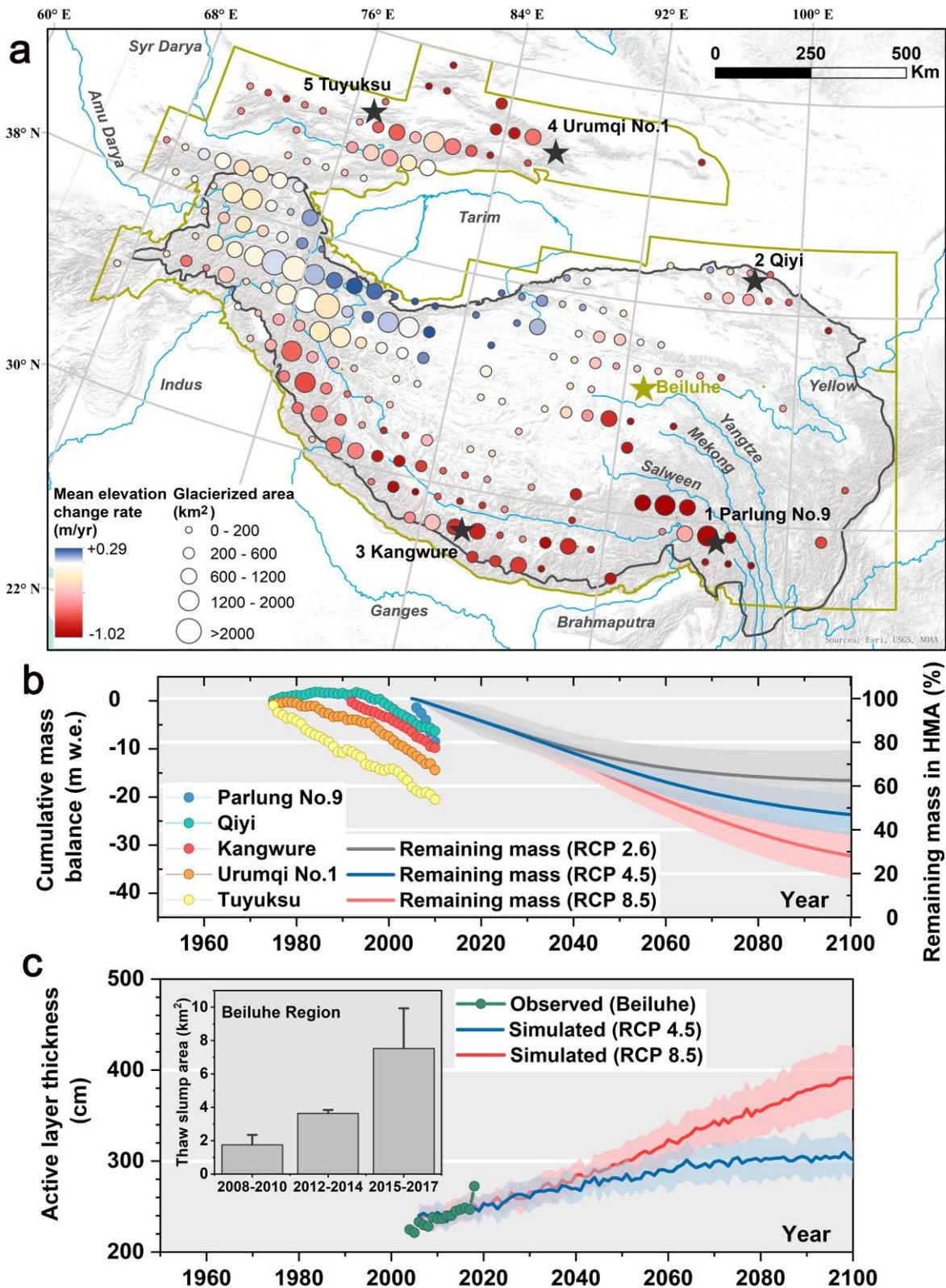


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 359 **Fig. 1. Glacial lake outburst floods (GLOFs)<sup>11,69–71</sup>, hydropower projects (HPPs), erosion rates, and**  
 360 **hydropower potential in HMA. (a)** Existing large HPPs and planned (or under construction) HPPs<sup>20,21</sup>. Yellow  
 361 dots denote locations of key examples of cryospheric hazards, including, I, Baige LLOF (2018); II, Yigong LLOF  
 362 (2000); III, Tianmo periglacial debris flows (2007; 2010); IV, Gyalha glacier detachment-debris flow-LLOF (2018);  
 363 V, Gongbatongsha Tsho GLOF (2016); VI, Seti rockfall-debris flow (2012); VII, Chamoli rock-ice  
 364 avalanche-debris flow (2021); VIII, Kedarnath GLOF-landslide-debris flow (2013); IX, Aru glacier detachment  
 365 (2016); X, Kyagar GLOF (frequently); XI, Attabad landslide and landslide-dammed lake (2010), XII, Merzbacher  
 366 GLOF (frequently). Boundaries of glaciers and permafrost are based on refs.<sup>116,117</sup>. Base map and the inset courtesy  
 367 of ESRI, USGS, and NOAA. **(b)** A comparison of modern erosion rates (1950s-2000s) in the Himalaya vs  
 368 global<sup>29,118</sup>. **(c)** Hydropower potential and developed hydropower as a percentage in eight major HMA countries

369 (updated from IHA and ref.<sup>17</sup>). (d) The statistics of the hydropower installation capacity of the planned HPPs in  
 370 HMA.  
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372  
 373 **Fig. 2. Field photos, outburst flood discharges, and destruction of HPPs caused by three types of hazard**  
 374 **chain. (a-c)** The destruction of the Upper BhotéKoshi HPP (Nepal) caused by the 2016 Gongbatongsha Tsho (Tibet,  
 375 China) GLOF (Photos courtesy of Bhoté Koshi hydroelectric project and M. Liu). The peak discharges of outburst  
 376 floods in 2016 (Gongbatongsha GLOF from Zhangzangbu Valley) and 1981 (Cirenmaco GLOF; a nearby GLOF  
 377 from the Zhangzangbu Valley) at different downstream sites were significantly higher than monsoon flood peak  
 378 discharges (gray dotted line)<sup>77</sup>. Error bars indicate estimated uncertainty. **(d-f)** The Baige landslide-dammed lake on  
 379 the upper Yangtze River in November 2018, the impacted Suwalong dam site after the Baige LLOF, and  
 380 downstream hydrographs following dam breaching, with distances noted parenthetically. The numbers in brackets  
 381 indicate the distances downstream from the barrier lake (Photos and data courtesy of Changjiang Water Resources  
 382 Commission and ref.<sup>66</sup>). **(g-h)** The damaged Tapovan Vishnugad HPP after the 2021 Chamoli rock-ice avalanche in  
 383 Uttarakhand, India. The dam and valley were fully covered by sediment and debris, including large boulders up to  
 384 ~8 m in diameter (Photos courtesy of M. F. Azam).



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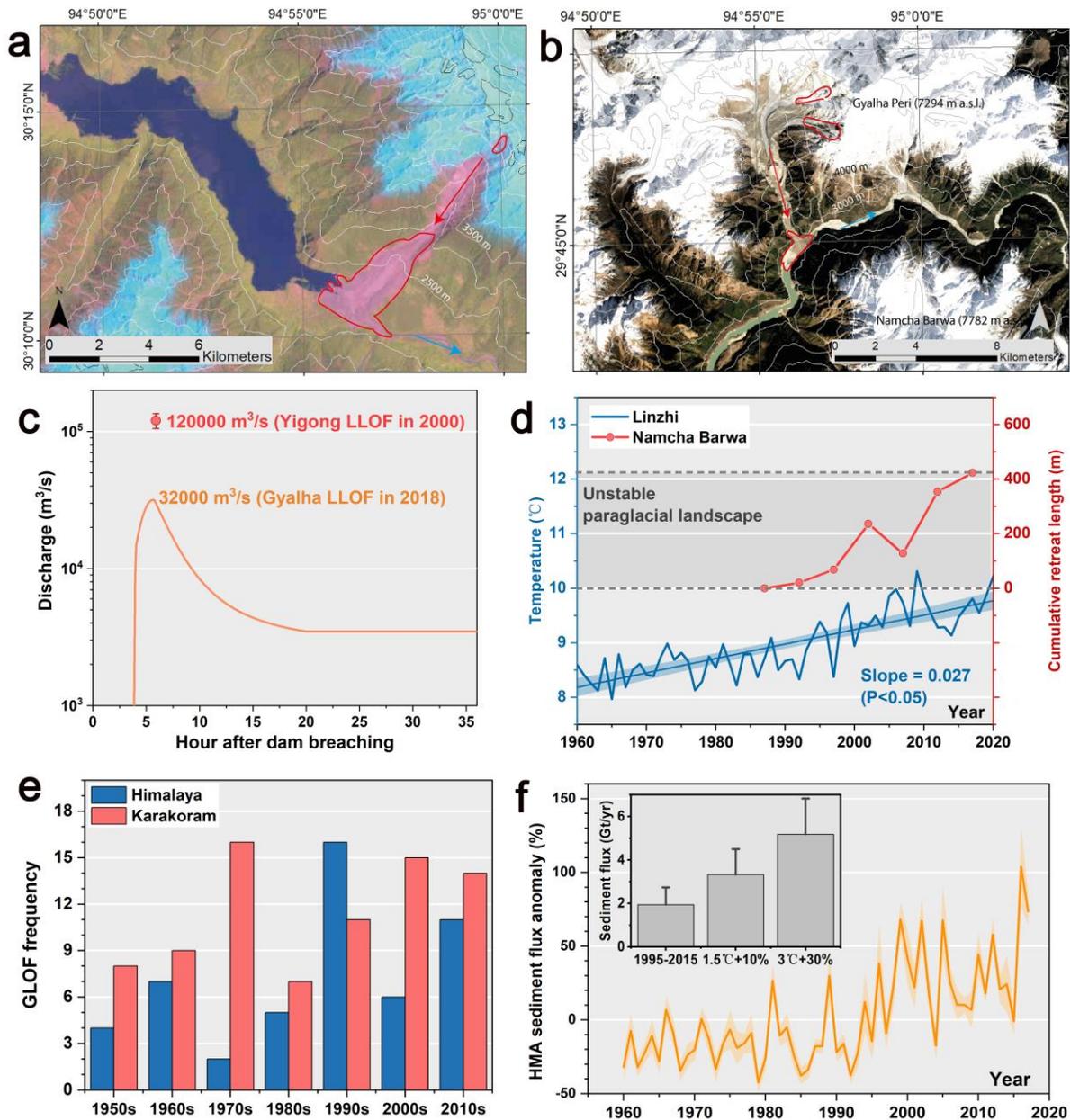
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**Fig. 3. Melting of glaciers and thawing of permafrost in a warming HMA.** (a) Changes in glacier mean elevation in HMA<sup>34</sup>. The dark-gray boundary marks the Tibetan Plateau. Base map courtesy of Esri, USGS, and NOAA. (b) Observed past and projected future glacier mass loss. The five glaciers (black stars in a) shown reflect different climate zones: Parlung No. 9 (monsoon-dominated zone), Qiyi and Kangwure (transitional zone), Urumqi No.1 and Tuyuksu (westerly-dominated zone)<sup>6,119,120</sup>. The projected glacier mass loss denotes the total glacier mass of HMA<sup>38</sup>. (c) Increasing permafrost active layer thickness on the Tibetan Plateau<sup>41</sup> and expanding areas in thaw slumps<sup>53</sup>. The shading associated with the two projections denotes standard errors.



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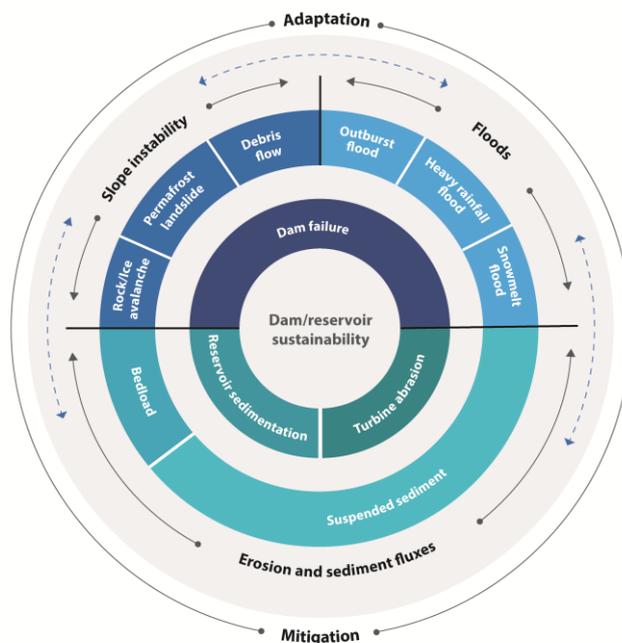
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**Fig. 4. LLOFs, GLOFs, and changing sediment fluxes in a rapidly warming HMA associated with glacier retreat.** (a-c) the Yigong landslide-dammed lake (May 2000; Landsat-5), the Gyalha (Sedongpu Valley) debris flow-dammed lake (October 2018; Sentinel-2A), and their outburst floods<sup>67,68</sup>. (d) Glacier retreat at nearby Namcha Barwa, due to atmospheric warming (data processed from Landsat 5-8 images). (e) Increasing GLOF frequency in the Himalaya and Karakoram<sup>11,69</sup>. (f) Increasing fluvial sediment fluxes from 28 quasi-pristine headwaters in HMA<sup>9</sup>. The inset shows the comparison between the present-day sediment flux and the projected sediment flux under a conservative (extreme) climate change scenario of an increase in temperature by 1.5°C (3°C) and an increase in precipitation by 10% (30%) from 1995-2015 to the middle of the 21st century. Error bars indicate estimated standard errors.

408 **Box 1. Conceptualizing the increasing threats to dam and reservoir sustainability due to climate change with**  
 409 **recommended solutions.** The outer light-grey ring denotes broad types of mountain landscape instabilities (slope  
 410 instability, floods, and erosion and sediment fluxes) associated with a changing climate. The dashed lines highlight  
 411 interactions between different components of mountain landscape instability. The inner ring highlights specific  
 412 threats to dams and reservoirs from different components of mountain landscape instabilities. Consideration of  
 413 these interactions in a changing climate must be seen as a fundamental requirement when planning adaptation and  
 414 mitigation strategies.



415

<b>Recommendations and future needs: towards climate change-resilient hydropower systems</b>
<ul style="list-style-type: none"> <li>Expand satellite- and ground-based mapping and monitoring networks for the climate, glaciers and permafrost, glacial lakes, paraglacial landscapes, unstable slopes, erosion rates, and sediment yields</li> </ul>
<ul style="list-style-type: none"> <li>Understand the cascading links between climate change, glacier retreat and permafrost thaw, slope instability, evolution of glacial lakes and landslide-dammed lakes, lake outburst floods, and downstream impacts</li> </ul>
<ul style="list-style-type: none"> <li>Predict future fluvial sediment loads and reservoir sedimentation in response to a changing climate and the associated evolving glacial, paraglacial, and fluvial processes</li> </ul>
<ul style="list-style-type: none"> <li>Develop forward-looking and sustainable sediment management solutions to minimize reservoir sedimentation and turbine abrasion</li> </ul>
<ul style="list-style-type: none"> <li>Establish real-time early warning systems using seismic signals<sup>25</sup> and enhance social awareness and drills and response strategies, especially for HPPs under construction, to minimize human and infrastructure losses</li> </ul>
<ul style="list-style-type: none"> <li>Further enhance transboundary cooperation by establishing data-sharing schemes and adopting joint-operation strategies to better cope with hazards and optimise sediment flushing</li> </ul>
<ul style="list-style-type: none"> <li>Assess the long-term trade-offs of using hydropower as an adaptation solution for climate change, including the economic effects on hydropower generation of changing runoff, sediment load, and hazard, the environmental effects on ecosystem fragmentation and biodiversity, societal effects on population migration, and the reduction in greenhouse gas emissions contributed by hydropower</li> </ul>
<ul style="list-style-type: none"> <li>Promote the inclusion of indigenous and local knowledge in policy, governance, and management, and secure local gains from dam and reservoir construction</li> </ul>

416

417 **Data availability**

418 The data shown in the figures are available in the publications cited and also available at  
419 <https://github.com/geolidf/HMA-hydropower>. Air temperature data are sourced from the China  
420 Meteorological Administration. Satellite images are available from the ESA/EC Copernicus Sentinels  
421 Scientific Data Hub (Sentinel-2 data) and the United States Geological Survey (Landsat data).  
422 Glacier boundary is available at the Randolph Glacier Inventory (RGI 6.0;  
423 [https://www.glims.org/RGI/rgi60\\_dl.html](https://www.glims.org/RGI/rgi60_dl.html)). Data on existing and planned HPPs are available at the  
424 Global Dam Watch (<http://globaldamwatch.org/fhred/>; <http://globaldamwatch.org/grand/>). Data on  
425 hydropower potential and developed hydropower are available at the International Hydropower  
426 Association (IHA; <https://www.hydropower.org/status-report>).

427

428 **Code availability**

429 The code used to produce Figs. 3 and 4 is available from the corresponding author on request.

430

431 **Correspondence** should be addressed to D. Li.

432

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443

444 **Author contributions**

445 DL and XL conceived the study. DL wrote the original draft. XL, DEW, TB, TZ, RJW, and SH edited  
446 the initial version and contributed ideas. DL and TZ designed the figures and the Box. JSF  
447 contributed to Fig. 4a-b. SN contributed to the Box. YN and AY contributed data on GLOFs. XS

448 contributed to Supplementary Figs. 1-3. All authors contributed to ideas and edits of subsequent  
449 revisions.

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### 451 **Competing interests**

452 The authors declare no competing interests.

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454 Supplementary information is available for this paper at

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