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1 **Subclinical Thyroid Dysfunction and the Risk of Cognitive Decline: a Meta-Analysis**  
2 **of Prospective Cohort Studies**

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36 **ABSTRACT**

37 **Context:** While both overt hyper- and hypothyroidism are known to lead to cognitive impairment, data on  
38 the association between subclinical thyroid dysfunction and cognitive function are conflicting.

39 **Objective:** To determine the risk of dementia and cognitive decline associated with subclinical thyroid dys-  
40 function among prospective cohorts.

41 **Data Sources:** Search in MEDLINE, EMBASE until November 2014.

42 **Study Selection:** Two physicians identified prospective cohorts that assessed thyroid function and cognitive  
43 outcomes (dementia; Mini-Mental State Examination, MMSE).

44 **Data Extraction:** Data were extracted by one reviewer following standardized protocols and verified by a  
45 second reviewer. The primary outcome was dementia and decline in cognitive function was the secondary  
46 outcome.

47 **Data Synthesis:** Eleven prospective cohorts followed 16,805 participants during a median follow-up of  
48 44.4 months. Five studies analyzed the risk of dementia in subclinical hyperthyroidism (n=6410), six in  
49 subclinical hypothyroidism (n=7401). Five studies analyzed MMSE decline in subclinical hyperthyroidism  
50 (n=7895), seven in subclinical hypothyroidism (n=8960). In random-effects models, the pooled adjusted RR  
51 for dementia in subclinical hyperthyroidism was 1.67 (95% confidence interval [CI] 1.04-2.69) and 1.14  
52 (95%CI 0.84-1.55) in subclinical hypothyroidism versus euthyroidism, both without evidence of significant  
53 heterogeneity ( $I^2=0.0\%$ ). The pooled mean MMSE decline from baseline to follow-up (mean 32 months)  
54 did not significantly differ between subclinical hyper- or hypothyroidism versus euthyroidism.

55 **Conclusions:** Subclinical hyperthyroidism might be associated with an elevated risk for dementia, while  
56 subclinical hypothyroidism is not, and both conditions are not associated with faster decline in MMSE over  
57 time. Available data are limited, and additional large, high-quality studies are needed.

58

## 59 CONTEXT

60 The prevalence of subclinical hypothyroidism (SHypo) reaches up to 10% in the elderly population, while  
61 subclinical hyperthyroidism (SHyper) has a prevalence of 2.4%, and 4.3% in the population aged  $\geq 80$   
62 years.<sup>1,2</sup> SHypo is biochemically defined as elevated serum thyroid-stimulating hormone (TSH, thyrotropin)  
63 levels, but free thyroxin (fT<sub>4</sub>) levels within laboratory reference ranges<sup>3</sup>, SHyper is defined as decreased  
64 serum TSH concentrations and normal fT<sub>4</sub> and fT<sub>3</sub> levels. The subclinical forms of thyroid dysfunction have  
65 previously been associated with increased risk of heart failure and coronary heart disease.<sup>4-6</sup> Furthermore,  
66 SHyper may negatively influence bone and mineral metabolism.<sup>7</sup>

67 While both overt hyper- and hypothyroidism are known to lead to cognitive impairment and clinical guide-  
68 lines recommend screening for thyroid dysfunction among patients with cognitive disorders<sup>8</sup>, data on the  
69 association between subclinical thyroid dysfunction (SCTD) and cognitive function remained conflicting. In  
70 the general population, the prevalence of mild cognitive impairment is 3-22%, with a higher prevalence  
71 among adults  $>70$  years (14-18%).<sup>9-11</sup> Mild cognitive impairment, a cognitive decline not normal for age but  
72 with essentially preserved functional activities, is believed to be the earliest clinical symptom of cognitive  
73 disorders and may be the stage to intervene with preventive therapies.<sup>11,12</sup> The progression rate from cogni-  
74 tive impairment to dementia in the general population aged  $> 65$  years is around 6-10% per year.<sup>11</sup> SHyper  
75 has also been associated with increased risk of dementia,<sup>13</sup> with one retrospective cohort reporting a hazard  
76 ratio of 1.6 (95% confidence interval [CI] 1.2-2.3) for dementia.<sup>14</sup> SHypo might also be associated with  
77 alterations in cognitive function,<sup>13,15,16</sup> with one case-control study reporting a nearly 4-fold increase in the  
78 odds ratio of dementia (OR=3.8, 95%CI 1.6-9.1).<sup>17</sup>

79 However, data on the association between SCTD and cognitive function are conflicting.<sup>18-20</sup>

80 Two recent meta-analyses yielded discrepant findings for SHypo, one showing a significant risk of cogni-  
81 tive alteration (composite endpoint of reduced Mini-Mental State Examination (MMSE), Wechsler Memory  
82 Scale-Revised, total memory quotient and Wechsler Adult Intelligence Scale scores) for SHypo individuals  
83 younger than 75 years with an OR of 1.56 (95%CI 1.07-2.27),<sup>21</sup> whereas the other found no decline in

84 MMSE in SHypo patients aged  $\geq 60$  years (pooled estimate [ES] 0.03, 95%CI  $-0.001-0.07$ ).<sup>22</sup> As both me-  
85 ta-analyses were limited by pooling heterogeneous study designs (prospective and retrospective data), and  
86 did neither examine the risk of dementia nor cognitive function associated with SHyper, we conducted a  
87 meta-analysis to determine whether SHyper and SHypo were associated with an increased risk of dementia  
88 or decline in cognitive function in prospective cohorts, the gold standard for observational data.

## 89 **METHODS**

### 90 **Data sources and Searches**

91 To perform this systematic review, we followed a pre-defined protocol registered on PROSPERO (Record:  
92 CRD42015019819). We conducted a systematic literature search in MEDLINE and EMBASE databases  
93 from inception until November 2014 searching for articles related to SCTD and cognitive decline and de-  
94 mentia. The Medical Subject Headings (Mesh) in Ovid MEDLINE included “thyroid disease”, “hypothy-  
95 roidism”, “hyperthyroidism”, “thyroid hormones”, “thyrotropin”, “subclinical hyperthyroidism”, “subclini-  
96 cal hypothyroidism”, “subclinical dysthyroidism”, “subclinical thyroid”, “cognition”, “dementia”,  
97 “memory”, “Alzheimer”, “cognitive”, “cohort studies”, “cohort”, “controlled clinical trial”, “epidemiologic  
98 methods”, “review”. We applied a cohort filter designed by the British Medical Journal knowledge infor-  
99 mation specialists<sup>23</sup> but did not use any other filters or restrictions including year limitations or language  
100 restrictions. A similar strategy with similar terms was used for EMBASE. Additionally, we searched the  
101 bibliographies of included articles, as well as key articles in the field, and contacted several authors for un-  
102 published subgroup data.

103

### 104 **Study Selection (Figure 1)**

105 Two reviewers (CR, DS) independently screened titles and abstracts of the search results and selected pub-  
106 lications. In a second step, the same two reviewers independently evaluated the full-text publications of the  
107 retrieved studies according to the following pre-specified eligibility criteria: prospective cohort studies  
108 among participants  $\geq 18$  years, including a SCTD and a euthyroid control group with thyroid function tests  
109 at baseline and assessment of cognitive function during follow-up, with published risk estimates or suffi-  
110 cient information to calculate them. We excluded studies examining solely participants with overt thyroid  
111 disease. Disagreements were resolved by an independent third author (NR). SHyper was defined as de-  
112 creased or undetectable TSH and normal  $fT_4$ , and SHypo as elevated TSH and normal  $fT_4$ . Cohort-specific  
113 TSH- and  $fT_4$ -cutoff levels were used to determine thyroid status. For dementia definition, we accepted all

114 validated assessments of memory and cognitive function, and did not exclude studies that reported other  
115 scales than MMSE. For our analyses, we also collected information on clinical dementia (**Supplement ta-**  
116 **ble 1**). Additionally, we gathered data on MMSE results at baseline and follow-up visits. Studies were in-  
117 cluded if they provided information on either dementia or MMSE outcomes, or both.

118

### 119 **Data Extraction and Quality Assessment**

120 Standardized data extraction forms were used to collect information from the included cohorts concerning  
121 patient characteristics, thyroid hormone levels, and scales for tests or criteria used to define memory func-  
122 tion, dementia or Alzheimer's disease (AD). Data were extracted by one reviewer (CR) and verified by a  
123 second independent reviewer (DS). Discrepancies were solved by a third author (NR). Two reviewers (CR,  
124 DS) independently assessed study quality using key indicators of cohort study quality<sup>24,25</sup>: origin of popula-  
125 tion (convenience versus population-based, the latter defined as a random sample of the general population),  
126 methods of outcome ascertainment and adjudication (considered as adequate if in each potential case per-  
127 formed by an expert panel blinded regarding the thyroid status and following defined outcome criteria),  
128 completeness of follow-up, assessment of the proportional hazard assumption and adjustment for confound-  
129 ers.

130

### 131 **Data Synthesis and Statistical Analysis**

132 We performed four main analyses on the association of 1) subclinical hyperthyroidism and dementia, 2)  
133 subclinical hypothyroidism and dementia, 3) subclinical hyperthyroidism and MMSE and 4) subclinical  
134 hypothyroidism and MMSE. We expressed the estimates of the association between SCTD (i.e. SHyper or  
135 SHypo) and outcomes as risk ratios (RR) for dementia or as between-group differences in mean changes  
136 from baseline for MMSE scores (MD). Only prospective data were analyzed. A  $RR > 1$  indicates a higher  
137 risk of an event in SCTD compared to euthyroids and a  $MD > 0$  indicates higher decline of MMSE in SCTD  
138 compared to euthyroids. To account for the different lengths of follow-up time across studies, we standard-  
139 ized MD per 1 year unit. We used most adjusted estimates provided by the studies as primary analysis. We

140 used an inverse variance random-effects meta-analysis to pool estimates across studies. Estimates of the  
141 association between SCTD and dementia were pooled on a log scale and latter exponentiated to be reported  
142 as RR. To evaluate heterogeneity across the studies, we used the Q statistic with a conservative p-value of  
143 0.10.<sup>26</sup> Furthermore, we calculated the I<sup>2</sup> statistic, indicating the proportion of variability in estimates of  
144 effects across studies that is not due to chance alone (<25% low, 25-75% increasing, >75% high heteroge-  
145 neity between studies).<sup>24</sup> We visually evaluated publication bias through funnel plots and, statistically, with  
146 the Egger's test.<sup>27,28</sup> To explore the sources of heterogeneity, we conducted several sensitivity analyses. Due  
147 to the small number of studies in each group, subgroup analysis with interaction tests could not be meaning-  
148 fully performed. All P-values were two-sided. All analyses were conducted using STATA software, version  
149 13.1 (College Station, Texas).



## 150 RESULTS

### 151 Study Selection

152 Of the 1505 reports initially identified, 1471 remained after removing duplicates. We excluded 1435 records  
153 on the basis of their abstracts and 25 after full text examination (**Figure 1**). Eleven studies met pre-specified  
154 eligibility criteria and were included in the analyses. Among those, 3 studies provided information on both  
155 dementia and MMSE outcomes (**Supplement Table 1, Section A**), 4 studies reported information on de-  
156 mentia outcomes only (**Supplement Table 1, Section B**), and 4 assessed MMSE outcomes only (**Supple-  
157 ment Table 1, Section C**). The agreement among the reviewers was 98.63% for the first screen of abstracts  
158 ( $\kappa=0.75$ ) and 89.74% for the full-text search ( $\kappa=0.71$ ).

159

### 160 Study Characteristics

161 Eleven cohorts reported data on 16,805 participants (**Table 1**). Two cohorts only included men.<sup>29,30</sup> Mean  
162 age was 70 years or higher, except for one study.<sup>31</sup> The follow-up time ranged from 12 to 152 months (me-  
163 dian follow-up 44.4 months). Eight cohorts excluded participants treated with thyroid hormones or medica-  
164 tions altering thyroid hormone levels, while three excluded the participants taking thyroid hormones or thy-  
165 roid altering medication in sensitivity analysis.<sup>32-34</sup>

166

### 167 Description and Quality of Studies

168 The quality of studies was heterogeneous. Nine cohorts were population-based and two were convenience  
169 samples (**Supplement Table 1**). All the cohorts used third generation TSH assays, except one using second  
170 generation tests and one that did not report test details.<sup>35,36</sup> Four studies had a formal adjudication committee  
171 for dementia diagnosis.<sup>29-32</sup> Seven studies provided information on attrition during follow-up.<sup>20,29,30,32,33,36,37</sup>  
172 Six studies provided information on non-violation of the proportional hazard assumption.<sup>29,30,33,34,37,38</sup> All  
173 studies reported adjusted data with various confounders, except one study that provided us unadjusted  
174 data.<sup>32</sup>

175

## 176 **Subclinical Hyperthyroidism and Dementia**

177 Among five cohorts analyzing the association between SHyper and dementia (n=6410, 329 cases of demen-  
178 tia, mean follow-up 68.3 months),<sup>29-31,37,38</sup> the pooled risk ratio [RR] of dementia was 1.67 (95%CI 1.04-  
179 2.69, I<sup>2</sup>=0.0%, p for heterogeneity=0.82) among SHyper patients compared with euthyroidism (**Figure 2**).  
180 Sensitivity analyses (**Table 2**) excluding one study with a convenience-based sample, one study that fol-  
181 lowed both patients with and without thyroid hormone replacement, or studies without or not reported for-  
182 mal adjudication for dementia, yielded similar results. As the Framingham study only analyzed the relation-  
183 ship with dementia using TSH tertiles (highest tertile: TSH 1.9-9.9 mU/L) and did not measure fT<sub>4</sub>,<sup>34</sup> we  
184 added this study in a sensitivity analysis and found comparable results. A sensitivity analysis excluding 475  
185 overlapping patients between two cohorts<sup>31,38</sup> yielded similar results; we did not include these data in the  
186 main analysis, as they examined different follow-up duration and were not based on peer-reviewed pub-  
187 lished results (the investigators sent us these data separately). The relationship between SHyper and AD was  
188 assessed by three studies only (n=3186, 108 AD cases, mean follow-up 75.0 months).<sup>30,31,38</sup> The pooled RR  
189 for AD was 1.67 (95%CI 0.79-3.51, I<sup>2</sup>=16.8%, p for heterogeneity=0.30).

190

## 191 **Subclinical Hypothyroidism and Dementia**

192 Among six studies analyzing the relationship between SHypo and dementia (n=7401, 416 cases of demen-  
193 tia, mean follow-up 64.6 months),<sup>29-32,37,38</sup> the pooled RR for dementia was 1.14 (95%CI 0.84-1.55,  
194 I<sup>2</sup>=0.0%, p for heterogeneity=0.49) (**Figure 2**). No individual study showed a statistically significant asso-  
195 ciation. Sensitivity analyses (**Table 2**) excluding a study with a convenience-based sample, studies with  
196 TSH cut-off <4.5mU/l and potentially including individuals in the euthyroid range, two studies that fol-  
197 lowed both patients with and without thyroid hormone replacement, studies without or not reported formal  
198 adjudication process for dementia, one study with additional unadjusted data, or 475 overlapping partici-  
199 pants between two cohorts<sup>31,38</sup> yielded similar results. The addition of the Framingham study<sup>34</sup> to the main  
200 analysis yielded similar results. Four studies analyzed the relationship between SHypo and AD (n=3823,

201 151 AD cases, mean follow-up 69.36 months).<sup>30-32,38</sup> The pooled RR for AD was 0.95 (95%CI 0.52-1.71,  
202  $I^2=0.0\%$ , p for heterogeneity=0.89).

203

#### 204 **Subclinical Hyperthyroidism and MMSE**

205 Among five studies reporting change in MMSE among participants with SHyper (n=7895, mean follow-up  
206 33.6 months),<sup>20,31,33,36,37</sup> the pooled mean MMSE decline in cognitive function per year was 0.01 points dif-  
207 ference from baseline (95%CI -0.14-0.15;  $I^2=23.5\%$ , p for heterogeneity=0.27; **Supplement Figure 1**).  
208 Results remained similar after excluding one study using a convenience-based sample or one study that  
209 followed both patients with and without thyroid hormone replacement (**Supplement Table 2**). Because the  
210 results of the main analyses between SHyper and dementia did not seem concordant with the results of the  
211 meta-analysis looking at the decrease of MMSE in SHyper participants, we undertook a sensitivity analysis  
212 including the two studies examining the relationship of SHyper and both MMSE and dementia<sup>31,37</sup>, which  
213 also showed no larger decline of MMSE among SHyper.

214

#### 215 **Subclinical Hypothyroidism and MMSE**

216 Among seven studies reporting change in MMSE in SHypo (n=8960; mean follow-up 32.2 months),<sup>20,31-  
217 33,35-37</sup> pooled mean MMSE per year declines did not significantly differ between SHypo and euthyroid  
218 groups (ES 0.01 points difference from baseline, 95%CI -0.10-0.12,  $I^2=27.6\%$ , p for heterogeneity=0.22;  
219 **Supplement Figure 1**). Sensitivity analyses (**Supplement Table 2**) excluding one study with a conven-  
220 ience-based sample, studies using TSH cut-offs <4.5mU/l, one study that followed both patients with and  
221 without thyroid hormone replacement, one study that might have subclinical hyperthyroid participants in the  
222 control group,<sup>35</sup> or one study using unadjusted data yielded similar results.

223

#### 224 **Publication bias**

225 Both graphical inspection and Egger's tests indicated little evidence of publication bias for all associations,  
226 although the number of available studies was small (**Supplement Figure 2**).<sup>39</sup>

227

**228 DISCUSSION AND CONCLUSION**

229 In this meta-analysis of 11 prospective cohorts, we found that SHyper, but not SHypo, might be associated  
230 with an elevated risk for dementia, while decline in MMSE over time was minimal for both conditions.  
231 SHyper showed also a similar pattern of higher risk for AD. Results for the association between SHyper and  
232 dementia remained similar when we pooled higher quality studies in sensitivity analysis, such as studies  
233 with formal adjudication process for the outcome assessment or population-based studies.

234 Our results for SHyper and risk for dementia are consistent with a non-systematic literature review summa-  
235 rizing results from 13 cross-sectional or case-control, and 10 cohort studies that found supportive evidence  
236 of an association between SHyper and cognitive impairment or dementia.<sup>40</sup> Of these 10 cohort studies, four  
237 did not meet the eligibility criteria for our systematic review: one due to missing subgroups of thyroid dys-  
238 function<sup>41</sup>, two analyzed only euthyroid participants<sup>42,43</sup> and one had a retrospective design<sup>14</sup>. Several other  
239 individual studies reported an association between SHyper and an elevated risk for dementia as well<sup>14,44,45</sup>: a  
240 retrospective nested case-control study including 2004 patients with SHyper reported a hazard ratio for de-  
241 mentia of 1.79 (95%CI 1.28-2.51), and a cross-sectional study found a positive association between SHyper  
242 and dementia in 1276 participants (33 with SHyper) aged  $\geq 65$  years (OR for dementia 4.1, 95%CI 1.3-  
243 13.1).<sup>14,44</sup> Van Osch et al prospectively studied 178 patients with AD and 291 community-dwelling controls  
244 without objective cognitive impairment, and found an adjusted OR for AD of 2.36 (95%CI 1.19-4.67) in  
245 participants in the lowest (TSH<1.3mU/l) versus highest TSH tertile (TSH>2.1mU/l).<sup>45</sup> Another population-  
246 based prospective cohort of 313 elderly adults with normal TSH that found that those with a decline of cog-  
247 nitive dysfunction had a mean TSH of 1.78mU/l, while those without decline had a mean TSH of 2.24mU/l  
248 (p=0.001).<sup>46</sup>

249  
250 Our findings might be consistent with the hypothesis that SHyper increases the risk of dementia, although  
251 decline in MMSE over time did not differ between SHyper and euthyroidism. In our meta-analysis, only  
252 two out of 11 studies published results on both dementia and MMSE in SHyper. Analyzing only these two  
253 studies showed no larger decline of MMSE among participants with SHyper. This discrepancy might be

254 explained by several factors: the length of follow-up of studies on SCTD and dementia was twice the dura-  
255 tion of studies on SCTD and MMSE (mean follow-up time 66 vs. 33 months), different population investi-  
256 gated, the modest sensitivity of MMSE as a diagnostic tool (79%)<sup>47,48</sup>, as well as for detecting mild cogni-  
257 tive impairment and subtle changes in specific cognitive domains, and the multimodal approach needed to  
258 diagnose dementia.<sup>49</sup> Furthermore, different scores were used as gold standard depending on the type and  
259 version of diagnostic criteria (**supplement table 1**). Factors increasing the plausibility of the association  
260 between SHyper and dementia were that results remained similar when we pooled higher quality studies in  
261 sensitivity analysis, such as studies with formal adjudication process for the outcome assessment or popula-  
262 tion-based studies, and that SHyper also showed a pattern of higher risk for AD. However, higher quality  
263 studies are needed.

264

265 Several pathways could explain the association of thyroid dysfunction with cognition and dementia. Thy-  
266 roid hormone has distinct effects on the cardiovascular system and thyroid dysfunction has been associated  
267 with several cardiovascular risk factors, including hypertension, dyslipidemia and atrial fibrillation.<sup>4,6</sup> In  
268 turn, these cardiovascular risk factors are associated with a higher risk of dementia and Alzheimer's Dis-  
269 ease.<sup>50</sup> Most studies included in our meta-analysis adjusted for cardiovascular risk factors. However, the  
270 number and type of variables that were adjusted for differed for each study. Other explanations for the asso-  
271 ciation include direct effects of thyroid hormone, such as neurotoxicity and altered gene expression in  
272 pathways relevant for dementia. The exact pathophysiological link between thyroid dysfunction and demen-  
273 tia remains unclear and requires more research.

274

275 Recently, two meta analyses on SHypo and cognitive impairment were published, yielding discrepant re-  
276 sults.<sup>21,22</sup> The first review included 13 studies and found a significant higher risk for cognitive alteration  
277 (composite endpoint of incidence or prevalence of dementia or difference in MMSE, Wechsler Adult Intel-  
278 ligence scale and Wechsler Memory-Revised score) in SHypo individuals younger than 75 years (OR 1.56;  
279 95%CI 1.07-2.27, p=0.02), and for dementia (OR 1.81; 95%CI 1.43-2.28, p<0.01).<sup>21</sup> However, the authors

280 pooled different designs (cross sectional, case-control, cohort studies), used a composite endpoint of clinical  
281 events and scales as primary outcome, and found a significant risk for the primary endpoint only in subclin-  
282 ical hypothyroid individuals younger than 75 years. As results were calculated on the basis of mean age,  
283 without availability of individual patient data, they may have been subject to potential aggregation bias  
284 (ecological fallacy).<sup>24,51</sup> Contrary to that meta-analysis, all studies in our meta-analysis but one (included  
285 only in a sensitivity analysis) measured fT<sub>4</sub> to define SCTD. The second meta-analysis analyzed 15 studies  
286 (9 cross-sectional, 6 prospective cohort studies) and found no association between SHypo and decline in  
287 cognitive function among people aged > 60 years (cross-sectional analysis: pooled ES for MMSE -0.01  
288 points difference from baseline [95%CI -0.09-0.08]; prospective analysis, pooled MMSE change: 0.03  
289 [95%CI -0.001-0.07] p=0.055, with heterogeneity [I<sup>2</sup>] of <0.001%),<sup>22</sup> which is consistent with our findings.  
290 In comparison to these two meta-analyses, we included only prospective cohorts (n=11) allowing us to re-  
291 duce the bias that could arise due to differing study designs. To make literature search broad enough, we  
292 excluded studies examining solely participants with overt thyroid disease but added no other exclusion cri-  
293 teria.

294 Two small placebo controlled trials (n=89; n=94) found no evidence that treatment of SHypo with levothy-  
295 roxine was associated with improved cognitive function.<sup>18,52</sup> However, these trials had several limitations.  
296 In the trial by Parle et al,<sup>52</sup> recruitment was based on a single thyroid function test, so that euthyroid partici-  
297 pants with transiently elevated TSH may have been included (50% in the placebo group were euthyroid at  
298 12 months), which may have underpowered the trial to detect an effect of hormone replacement.<sup>52</sup> Thyroxin  
299 substitution lasted only for 12-months, which may have been too short to affect cognitive function. In the  
300 trial by Jorde et al,<sup>18</sup> one third of participants did not attend follow-up. Because of numerous exclusion cri-  
301 teria, the study population was unusually healthy, with 57% of the participants having a TSH value between  
302 3.50 and 4.99mU/l, so that it probably included many euthyroid participants. The ongoing TRUST (Thyroid  
303 Hormone Replacement for Subclinical Hypothyroidism) trial (ClinicalTrials.gov: NCT01660126) may clar-  
304 ify whether treatment with levothyroxine in SHypo is associated with better cognitive outcomes over time.<sup>53</sup>

305 There are several strengths of our meta-analysis. By combining the results of 11 prospective cohorts, we  
306 analyzed a total of 432 cases of dementia and 160 cases of AD in more than 15,000 participants. By con-  
307 tacting several authors of these studies, we obtained additional data that allowed us to derive more uniform  
308 subgroup and sensitivity analyses. In comparison to the two other meta-analyses,<sup>21,22</sup> our results are less  
309 prone to bias due to pooling of heterogeneous study design and quality, because we only included prospec-  
310 tive cohorts. We also conducted a detailed literature search in several electronic databases with as few limi-  
311 tations as possible in order to retrieve the maximum number of studies available on the topic, and were able  
312 to include a larger number of prospective cohorts than previous meta-analyses.<sup>21,22</sup>

313  
314 Our meta-analysis has also several limitations. Except for two studies<sup>30,35</sup>, studies only examined Cauca-  
315 sians, limiting the generalizability to other populations. All studies were performed in participants with a  
316 mean age  $\geq 65$  years and the time of follow-up was relatively short, ranging between 12 and 70.8 months  
317 (152.4 months in the Framingham Study,<sup>34</sup> added in a sensitivity analysis). All but two studies<sup>20,33</sup> assessed  
318 thyroid function tests only at baseline, which is a limitation of most previously published large cohort stud-  
319 ies on the risks of thyroid dysfunction<sup>54,55</sup>. Some participants with SCTD at baseline may have normalized  
320 to euthyroidism or progressed to overt thyroid disease over time. Regarding the elderly participants in the  
321 included studies, we cannot exclude a certain degree of overdiagnosis of SHypo due to the physiological  
322 rise of TSH towards upper limits during normal ageing.<sup>56</sup> All these non-differential misclassification of  
323 thyroid status might bias the results towards no difference. The limited sensitivity of MMSE for detecting  
324 subtle changes in specific cognitive domains<sup>57</sup> may further limit our ability to detect a possible decline in  
325 cognitive function. A meta-analysis of observational studies requires cautious interpretation of the results  
326 and potential biases, and confounding and heterogeneity must be carefully investigated.<sup>58,59</sup> The quality of  
327 the incorporated studies was variable. We performed several sensitivity analyses to address differences be-  
328 tween the studies, as recommended,<sup>58</sup> although they should be interpreted with caution given the small  
329 number of studies. In study level meta-analysis, interpretation of subgroup data should be performed with  
330 caution. Because of the small amount of studies, no meaningful subgroup analysis could be performed.



331 There are multiple confounders for cognitive decline and dementia, the most important is age, others are  
332 depression/mood or cardiometabolic risk factors. All cohorts adjusted for age and several other confound-  
333 ers, but there was heterogeneity in the choice of confounders, which may lead to residual confounding. Bias  
334 in the selection of included studies cannot be excluded. To limit selection bias, we conducted a detailed  
335 literature search in several electronic databases with broad inclusion. We performed graphical and statistical  
336 assessment to assess selective reporting, but these analyses were not very sensitive considering the small  
337 number of studies included.<sup>25,28</sup> Although included cohorts enrolled community-dwelling adults in ambula-  
338 tory visits, who are therefore less likely to have an acute disease, some participants with non-thyroidal ill-  
339 ness may have been analyzed. Included studies addressed this problem differently: Two repeatedly meas-  
340 ured thyroid values<sup>20,33</sup>, one assessed and adjusted for rT3 (reverse triiodothyronine)<sup>38</sup>, and others adjusted  
341 for comorbidities. We cannot exclude that some participants had nonthyroidal illness.

342 What are the potential clinical and research implication of our findings? Our data suggest that SHyper might  
343 represent a potentially treatable risk factor for dementia. Given the relatively high prevalence of both SCTD  
344 and cognitive dysfunction in the aging population, even a modest increase of dementia incidence among  
345 individuals with SCTD might have public health implications. Data on benefit of SCTD treatment are  
346 scarce, therefore current guidelines do not recommend treatment for most adults with mild SCTD (serum  
347 TSH 0.1-0.45mU/l or 4.5-10.0mU/l).<sup>60,61</sup> Large randomized controlled trials are required to assess the effi-  
348 cacy of treatment in SCTD associated with dementia. For SHypo, the ongoing TRUST (Thyroid Hormone  
349 Replacement for Subclinical Hypothyroidism) trial (ClinicalTrials.gov: NCT01660126) will clarify this  
350 issue.<sup>62</sup>

351

352 In summary, our systematic review and meta-analysis indicates that SHyper, but not SHypo, might be asso-  
353 ciated with a modestly elevated risk of dementia. Neither SHyper nor SHypo were significantly associated  
354 with a faster decline in MMSE over time, as compared to euthyroidism. Available data were limited, and  
355 additional large, high-quality prospective cohort studies are needed.

356

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361

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