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1 **The efficiency of DNA extraction kit and the efficiency of recovery techniques to release**
2 **DNA using flow cytometry.**

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12 **Highlights**

- 13 • About 61% of DNA coming from 100 cells, keratinocytes, is recovered using the
14 Lyse&Spin-QIAamp DNA Mini Kit.
- 15 • About 23% of DNA is recovered using the combination of the QIAshredder and
16 QIAamp DNA Mini kit and the Microcon® 30 column.
- 17 • The extraction efficiencies of the Lyse&Spin-QIAamp DNA Mini Kit obtained by two
18 different laboratories are similar.
- 19 • The FLOQSwab™ allows releasing about 97% of the cells attached to it.

20 **Novelty Statement**

21 This research was carried out in the context of evidence evaluation considering activity level
22 propositions when the findings are a low level of DNA obtained from touched surfaces. In such
23 cases, knowledge of the DNA extraction kit efficiency and the efficiency of instrument to
24 release DNA is required to evaluate the significance of DNA quantity results.

25 Only a few studies dealt with these efficiencies. However, in these studies, the sole efficiency
26 of the swab alone or the sole efficiency of the extraction kit alone usually remains unknown.
27 This study aims at showing how the efficiency of DNA extraction kits and the yield of release
28 of cells from swabs can be measured.

29 We also reports on the impact of the laboratory, since DNA extraction using Investigator®
30 Lyse&Spin Basket-QIAamp DNA Mini kit from Qiagen were performed by two different
31 persons, operating manually, from two different laboratories.

32 **Abstract**

33 This research was carried out in the context of evidence evaluation considering activity level
34 propositions when the findings are a low level of DNA obtained from touched surfaces. In such
35 cases, knowledge of the extraction efficiency of the kit used by the laboratory is required to
36 evaluate the significance of DNA quantity results.

37 Flow cytometry has been used to investigate and measure DNA extraction efficiency. Flow
38 cytometry allows the scientist to obtain a fixed number of cells, so that the initial quantity of
39 DNA, before performing any extraction, is known. Small amounts of DNA compatible with the
40 quantity of DNA left by a hand touch were obtained using a number of 100 cells.

41 We report on the extraction efficiency of two commercial DNA extraction kits (QIAshredder-
42 QIAamp DNA Mini Kit using Microcon® 30 column, and Investigator® Lyse&Spin Basket-
43 QIAamp DNA Mini Kit) used to extract and purify low quantities of DNA. The impact of the
44 laboratory's performance on the extracted quantity has been assessed on the best performing
45 kit (Investigator® Lyse&Spin Basket-QIAamp DNA Mini Kit). This research also provides
46 data on the efficiency of a swab (FLOQSwab™ from COPAN) to release cells.

47 The results show that for the Investigator® Lyse&Spin Basket-QIAamp DNA Mini Kit, about
48 61% of DNA coming from the 100 cells is recovered with no difference between the extracts
49 obtained by two different laboratories. For the QIAshredder-QIAamp DNA Mini Kit, only
50 about 23% of the initial quantity of DNA is recovered. We also show that the FLOQSwab™
51 releases about 97% of the cells attached to it.

52 Flow cytometry proves to be a very efficient technique to obtain adequate estimates of DNA
53 extraction efficiency.

54 **Keywords:** Extraction efficiency, Flow cytometry, DNA swabs, DNA evidence evaluation.

55

56

57 **Introduction**

58 In forensic investigations, low levels of DNA are often recovered from touched surfaces. As
59 recommended by the ENFSI Guideline for Evaluative Reporting in Forensic Science [1], the
60 evaluation of these DNA traces should be carried out using activity-level propositions which
61 involves a relative assessment of the expected quantities of recovered DNA under the alleged
62 activity depending on the propositions of interest. In order to do so, the quantity of the recovered
63 DNA plays an important role and the efficiency of DNA extraction kit is one of the variables
64 that should be considered [2]. Without knowledge of the extraction efficiency of the kit used
65 by the laboratory, a meaningful evaluation of the findings would not be possible for DNA
66 expertise or research. This study aims at showing how the efficiency of DNA extraction kits
67 and the yield of release of cells from swabs can be measured.

68 Only a few studies dealt with the efficiency of extraction kits for traces of low levels of DNA
69 [3, 4]. In Browlow *et al.* [3] the obtained measure of extraction efficiency jointly considered
70 the type of surface and the efficiency of the swab used to collect and then release the cells and
71 DNA; however, the sole efficiency of the extraction kit alone remains unknown because DNA
72 traces were deposited on a surface. In Wood *et al.* [4], the efficiency of recovery techniques
73 was evaluated from recovery up to the release of cells and DNA. While this considers the
74 ability of the DNA swabs to release cells and DNA, which is a variable that affects the overall
75 efficiency of the DNA extraction process, the efficiency of the extraction kit itself remains
76 unknown since it combines the extraction efficiency of the kit and that of the release of cells
77 and DNA. To measure its specific efficiency of extraction, one needs to know the initial
78 quantity of DNA to be extracted. Flow cytometry is cited by Butts [5] as the most appropriate
79 method to select a low number of cells to be used as the starting material for the measure of the
80 extraction yield. In this research, we used flow cytometry to prepare constant number of cells
81 that will be directly submitted to the extraction procedures or deposited on swabs.

82 Extraction kits are used by different persons from different laboratories, operating manually or
83 using automated platforms, which influences the extraction efficiency. The impact of the
84 laboratory is reported as well.

85 This study has three objectives. The first is to measure the extraction efficiency of two
86 commercial DNA extraction kits (Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit,
87 and QIAshredder-QIAamp DNA Mini kit from Qiagen with Microcon® 30 spin column) used

88 to extract and purify low quantities of DNA based on initial quantities of DNA obtained using
89 flow cytometry. The second is to study the impact of the laboratory on the yield offered by the
90 best performing kit (Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit from Qiagen).
91 The last is to report on the efficiency of a swab (FLOQSwab™ from COPAN) to release cells
92 and show how to obtain it by the combined usage of a swab and an extraction kit (QIAshredder-
93 QIAamp DNA Mini kit from Qiagen with Microcon® 30 spin column).

94 **Methodology**

95 *Type and number of cells*

96 The method adopted here starts from a given and known number of cells obtained by cell
97 cytometry. The cells were selected using the P658282Z3001 FACS Aria IIu cytometer with
98 FACSDiva 8.0.1 version application.

99 The type of cells chosen for this study is adult keratinocytes, which are typical of skin cells.
100 Epidermal keratinocytes cell culture (Human Epidermal Keratinocytes – Neonatal) from Lonza
101 was performed according to manufacturer’s instructions. In order to avoid cell differentiation,
102 cells were passed before they reached 80% of confluence and we minimized the doubling
103 population. Cells were sorted after two population doublings. Propidium Iodide staining was
104 used to sort the nucleated, living, cells.

105 To select the number of cells representing a quantity of DNA obtained when touching a surface,
106 different numbers of cells were tested. First, four samples of 50, 100, 500 and 5000 cells were
107 prepared respectively twice, then directly introduced into a microtube of 1.5mL containing
108 180µL of a tissue lysis buffer (ATL buffer from Qiagen). Cell concentration was around
109 1million/ml and generates a flow rate of 900 events/sec. Given this concentration, the “Single-
110 cell” as the mode of precision used was chosen.

111 The extractions of these eight samples were performed using the combination of two kits:
112 QIAshredder and QIAamp DNA Mini kit from Qiagen, concentrated to a final volume of 25µL
113 with Microcon® 30 spin column. To simplify, these kits will be denoted as QIAshredder-
114 QIAamp DNA Mini kit. The quantities of results obtained on the four numbers of cells are
115 given in Table 1.

116

117 *Table 1: Table representing the average extracted quantity of respectively 50, 100, 500, 5000 cells*

Number of cells obtained by cell cytometry [cell]	50	100	500	5000
The average quantity of DNA obtained using the QIAshredder-QIAamp DNA Mini kit [pg]	125	250	1200	15000

118 One-hundred cells have been selected for the experiments as it led to an amount of around 125
119 pg of DNA, which corresponds to the average amount of DNA obtained in a previous study
120 focusing on DNA traces, obtained when touching a surface [6].

121 *Extraction efficiency of the kits*

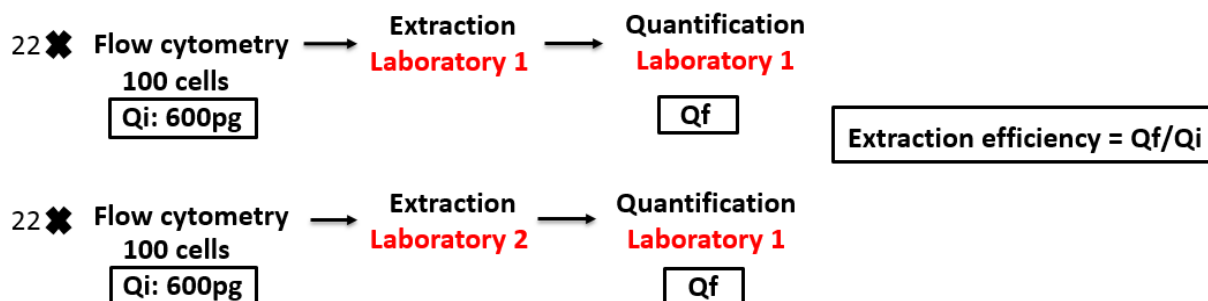
122 For each kit, extractions were made based on an initial preparation of 100 cells. Cell
123 concentration was low, generating a flow rate of around 20-40 events/sec. The “Purity”
124 precision mode was selected in order to increase the probability where a cell of interest could
125 be sorted.

126 The cells were directly introduced into each of the baskets containing 60µl of Phosphate
127 buffered saline (PBS) of pH 7.4, allowing the cells to be kept intact. The kits were used
128 following manufacturer’s instructions. Quantifications were performed directly following the
129 DNA extraction using the Investigator® Quantiplex kit from Qiagen on Rotor-Gene® Q
130 according to the manufacturer’s protocols. 30 extractions were performed using the
131 QIAshredder-QIAamp DNA Mini kit, following the body fluid protocol, concentrated to a final
132 volume of 25µL with Microcon® 30 spin column, whereas 22 extractions were made with the
133 Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit from QIAGEN with a final volume
134 of 60µL without using microcon® 30 spin column, due to laboratory constraints. The difference
135 between the two kits is the use of Spin basket for the Investigator® Lyse&Spin Basket-QIAamp
136 DNA Mini kit from QIAGEN instead of QIAshredder column and Microcon® 30 spin column.

137 *Effect of the laboratory*

138 The kit which was proven to be the best performing kit is the Investigator® Lyse&Spin Basket-
139 QIAamp DNA Mini kit from Qiagen. To study the impact of the laboratory’s performance on
140 the yield offered by this kit, the extractions were performed manually by two operators in two
141 different laboratories (Figure 1). One-hundred cells were selected, using the “Purity” precision
142 mode, then directly introduced into each of the 44 Lyse&Spin baskets containing 60µl of

143 Phosphate buffered saline (PBS) of pH 7.4, allowing the cells to be kept intact. Twenty-two
 144 extractions were made by each operator, with a final volume of 60µL. All the quantifications
 145 were performed together in the same run at the same time following the DNA extraction which
 146 was made two days after the flow cytometry.



147

148 *Figure 1: Illustration of the method used to study the impact of the laboratory on the yield offered by*
 149 *Investigator® Lyse&Spin basket-QIAamp DNA Mini kit. Qi is the initial quantity of DNA to be*
 150 *extracted, whereas Qf is the final extracted quantity of DNA.*

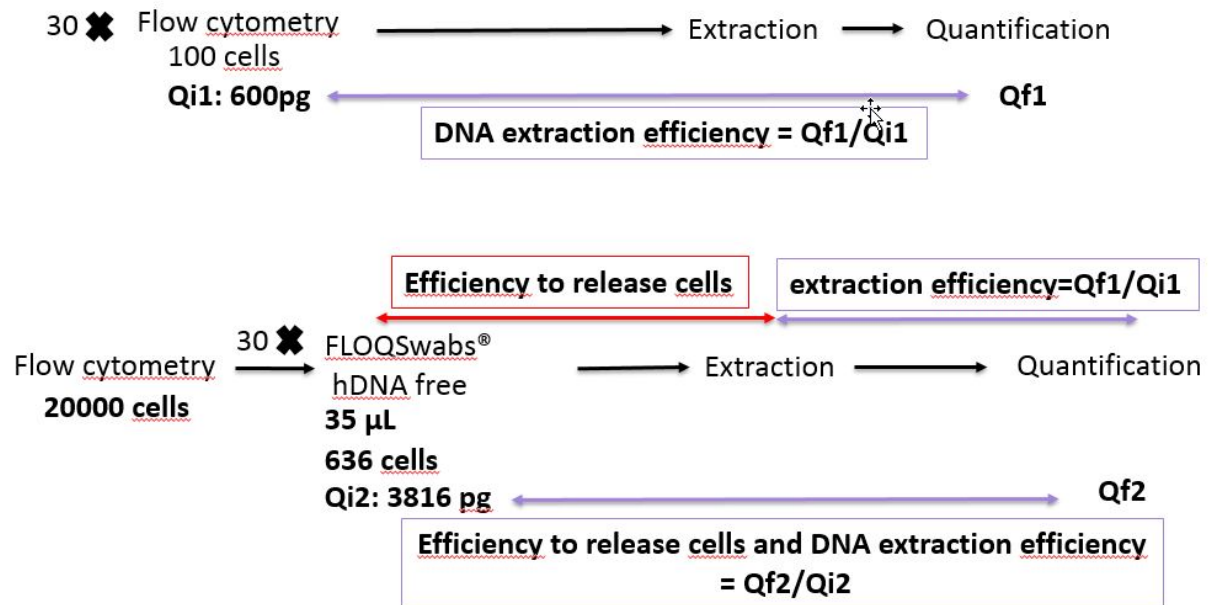
151 *Release of cells by the DNA swab*

152 Figure 2 describes the method used to study the efficiency of the FLOQSwab™ to release cells.
 153 The measure of the extraction efficiency for the QIAshredder-QIAamp DNA Mini kit has been
 154 already measured (see *Extraction efficiency of the kits*). In the following experiment, we will
 155 measure the joint yield (swab cells release and DNA extraction).

156 To measure it, 20000 cells were introduced into a microtube of 1,5mL containing 1.1 mL of
 157 PBS, to avoid the destruction of the plasma membranes. Because of the technical impossibility
 158 to directly deposit cells on the swab, the microtube was mixed by vortexing and 35µL (636
 159 cells) was pipetted on each 30 FLOQSwab™. To take into account the possible loss of cells
 160 being retained by the swab, the selected number of cells is higher than the number (100) used
 161 to study the extraction efficiency.

162 Swabs were dried during the afternoon before performing the DNA extraction using the
 163 QIAshredder-QIAamp DNA Mini kit. A concentrated final volume of 25µL was obtained at
 164 the end of the extractions using Microcon® 30 spin column. These 30 samples allowed for
 165 obtaining a joint measure of efficiency to release cells combined with the efficiency of the DNA
 166 extraction kit.

167



168

169 *Figure 2: Illustration of the method used to obtain the extraction efficiency of the kit and a joint*
 170 *measure of efficiency to release cells combined with the efficiency of the DNA extraction kit (in*
 171 *purple) in order to obtain the efficiency of the sampling device to release cells (in red).*

172 *Calculating efficiency*

173 The efficiency is measured by the ratio between the initial quantity of DNA (approximated in
 174 pg) and the final quantity of DNA (measured in pg after quantification). The initial quantity of
 175 DNA is related to the weight associated with 100 cells obtained by flow cytometry. There is an
 176 average of 6pg per cell [7] based on the following formula:

177 Average DNA quantity per cell = Average number of base pair per cell × 2 × average molecular
 178 weight of one base / N_A

179 Hence: Average DNA quantity per cell = $3 \times 10^9 \times 2 \times 660_{(g/mol)} / (6,022 \times 10^{23}_{(mol^{-1})})$

180 Using an average of 6pg of DNA per cell, the initial quantity of DNA was set to 600pg. The
 181 final quantity of DNA is the product of the concentration obtained after quantification and the
 182 volume left at the end of the extraction.

183 For the swab measure of release, the initial quantity of DNA is known: 636 cells were initially
 184 deposited on the FLOQSwab™ from COPAN. The quantity of cells released by the swab
 185 corresponds to the quantity of cells available for next extraction step (Figure 2). This quantity
 186 is unknown, but will be measured indirectly after the measure of the extracted quantity of DNA
 187 with the QIAshredder-QIAamp DNA Mini kit from Qiagen. The results obtained previously on

188 the extraction kit alone will be used to infer the swab cells release performance. This is
 189 illustrated in Figure 3 below.

190 The choice of the Beta distributions is motivated by the nature of the measured variable (a
 191 proportion). Beta distributions are ideally suited to model distributions between 0 and 1 (or 0%
 192 to 100%).

193 Mean and standard deviation of the distribution of the DNA extraction efficiency of the kit itself
 194 are known. Mean and standard deviation of the joint efficiency to release cells and extract DNA
 195 are also known following the above measurements.



196
 197 *Figure 3: Illustration of the extraction efficiency, of the efficiency to release cells and of the efficiency*
 198 *to release cells then extract DNA, with the parameters associated with each distribution that is known*
 199 *(in purple) or unknown (in red).*

200 By assuming that both extraction and release contribute jointly to the final product, it is easy to
 201 find parameters c and d of the beta distribution representing the efficiency of the swab to release
 202 cells. Dufresne [8] gives the equations of the moments for the product of two Beta distributions.
 203 The parameters of a Beta distribution can be defined based on the mean and the variance of the
 204 distribution [9]. Solving an equation with two unknowns, we obtain these parameters “c” and
 205 “d” as follows:

206

207
$$c = \frac{X^2 - XY}{XY + Y}$$

208

209
$$d = \frac{X - Y}{XY + Y}$$

210 With:

211
$$X = \frac{\text{mean3}/\text{mean1}}{1 - (\text{mean3}/\text{mean1})}$$

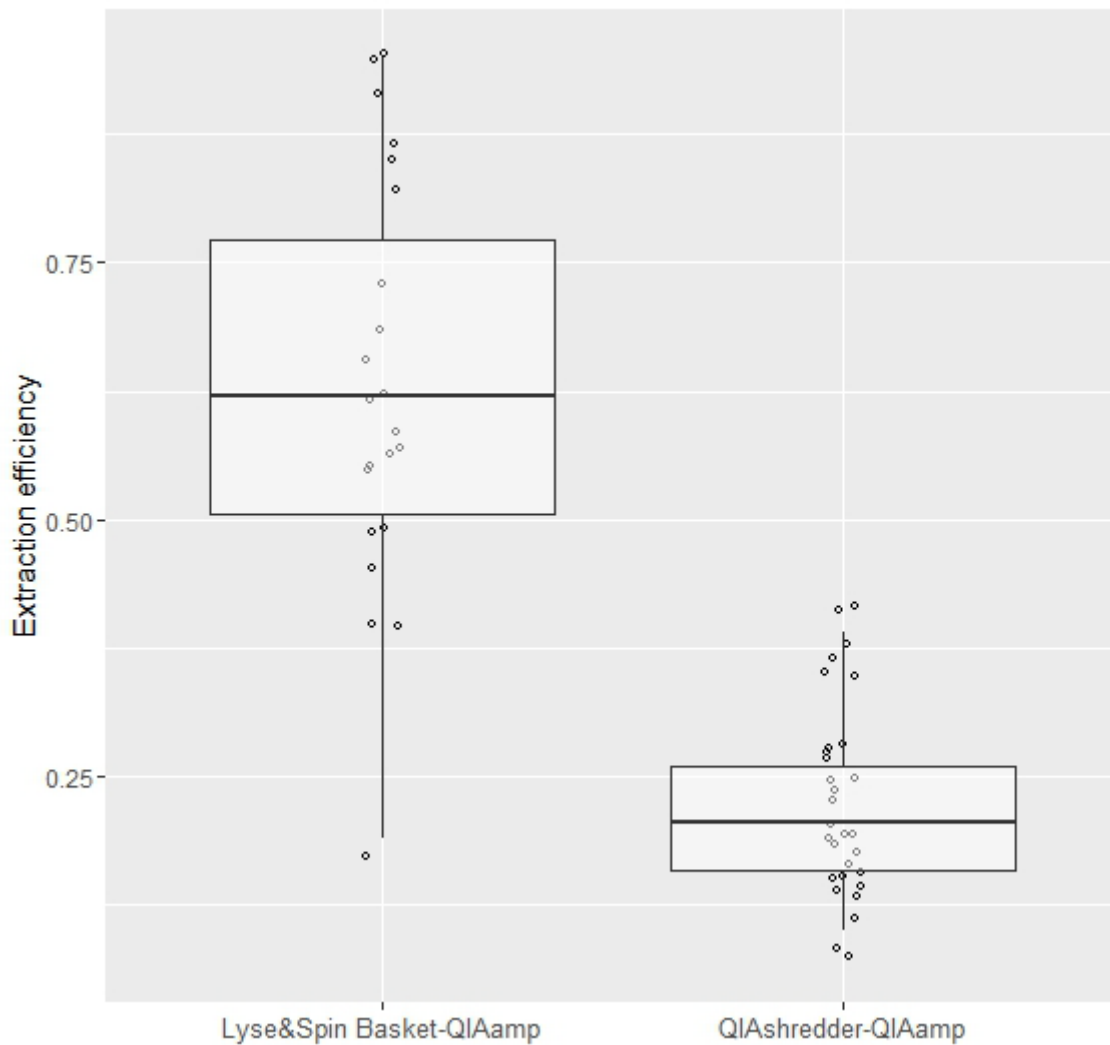
212 And

213
$$Y = \frac{Sd3}{\text{mean3} * \text{mean1}} * \frac{(a + b + 1)}{a + 1}$$

214 **Results**

215 *Efficiency of the extraction kits*

216 Figure 4 presents the DNA extraction efficiency obtained on the 22 and 30, respectively,
 217 samples following the extraction using each extraction kit:



218
 219 *Figure 4: Extraction efficiency of the Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit (Lyse*
 220 *Lyse&Spin Basket-QIAamp) and QIAshredder-QIAamp DNA Mini kit (QIAshredder-QIAamp).*

221 An average of 63% and 23% of the DNA is recovered respectively with Investigator®
 222 Lyse&Spin Basket-QIAamp DNA Mini kit and QIAshredder-QIAamp DNA Mini kit (Table
 223 2). We can observe that the Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit is more
 224 efficient. Further, it shows the importance of considering the extraction kit used when assessing
 225 a given amount of recovered DNA in an attempt to infer the initial quantity of DNA available.

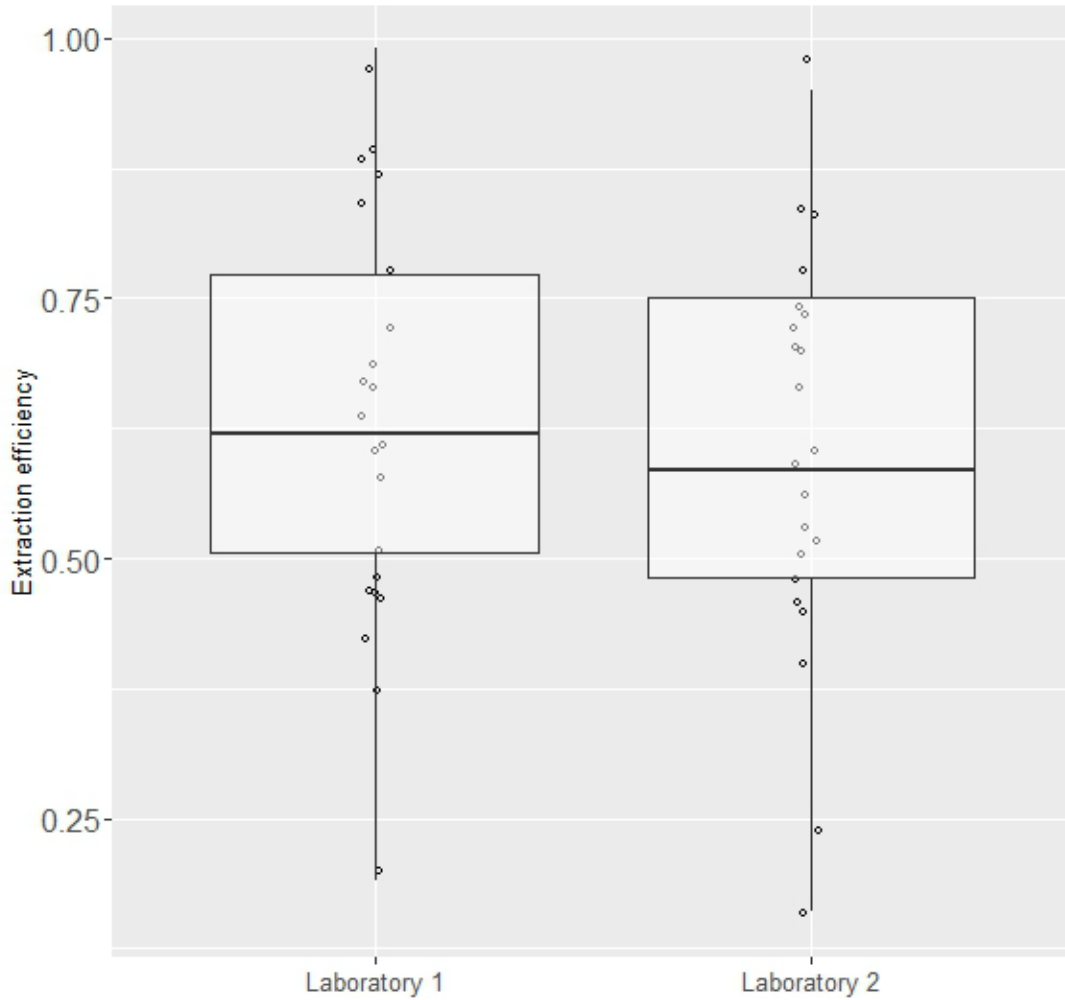
226 *Table 2: Summary statistics of the extraction efficiencies obtained using both kits following the*
 227 *analysis of 30 samples respectively.*

Extraction kit	Min	0.05 percentile	Median	Mean	0.95 percentile	Max
Lyse&Spin Basket- QIAamp DNA Mini kit	0.19	0.41	0.62	0.63	0.92	0.99
QIAshredder-QIAamp DNA Mini kit	0.10	0.11	0.20	0.23	0.39	0.43

228 *Impact of the laboratory*

229 Figure 5 shows the DNA extraction efficiency of the 22 samples using Investigator®
 230 Lyse&Spin Basket-QIAamp DNA Mini kit performed by each of the two laboratories.

231



232

233 *Figure 5: Extraction efficiency of the Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit*
 234 *performed by two laboratories.*

235 For the first laboratory, an average of 63% of the recovered DNA is observed. The efficiency
 236 is an average of 59% for the second laboratory (Table 3). The difference between the two means
 237 is not significant. The Bayes factor supports the hypothesis that there is no difference between
 238 the two means [10].

239 *Table 3: Summary statistics of the extraction efficiencies obtained using the Investigator® Lyse&Spin*
 240 *Basket-QIAamp DNA Mini kit performed by each of the two Laboratory. Laboratory 1 carried out the*
 241 *analysis on 30 samples. Laboratory 2 worked on 22 samples.*

Laboratory	Min	0.05 percentile	Median	Mean	0.95 percentile	Max
Laboratory 1	0.19	0.41	0.62	0.63	0.92	0.99

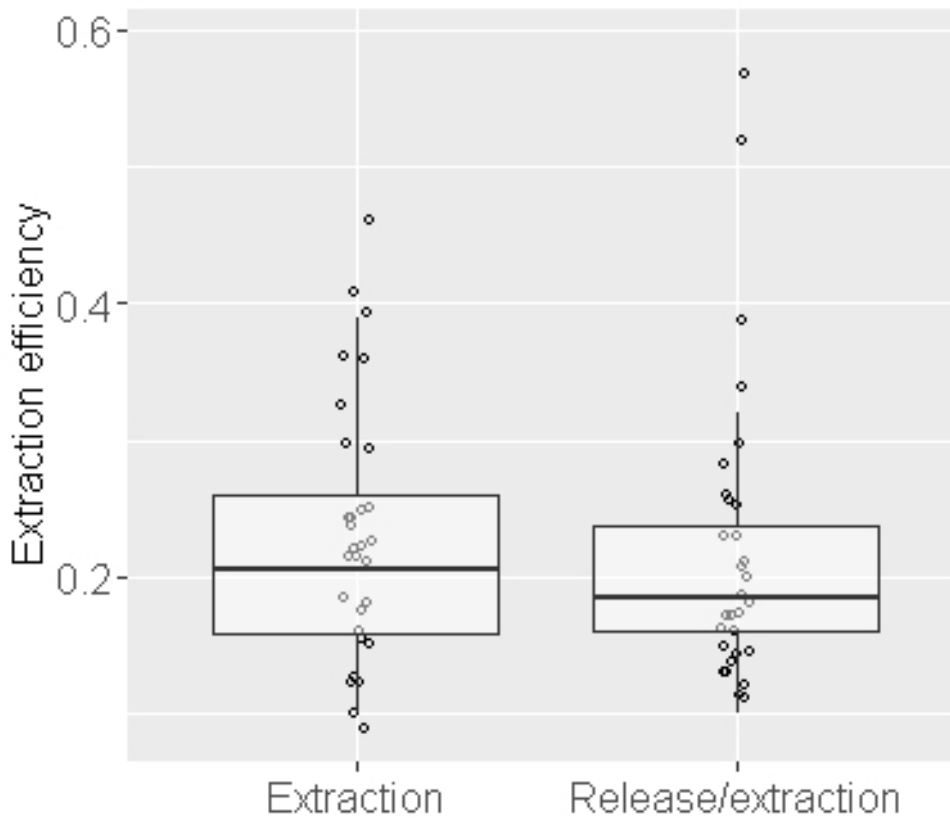
Laboratory 2	0.16	0.22	0.59	0.59	0.83	0.95
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242 Taken jointly, it means that, for the Lyse&Spin and QIAamp DNA mini Kit, about 61% of
 243 DNA was recovered with no difference between the yields obtained by two different
 244 laboratories.

245 *The efficiency of cells release from swabs*

246 The extraction kit used here is the QIAshredder-QIAamp DNA Mini kit for which the extraction
 247 efficiency has been reported in the section *Efficiency of the extraction kits*. We recall that for
 248 this kit, only about 23% of the initial quantity of DNA was recovered.

249 The efficiency results associated with the cell release and DNA extraction with the kit are shown
 250 in Figure 6, jointly with the results on the DNA extraction kit only. It represents 30 samples
 251 deposited on 30 FLOQSwab™ and subsequently extracted with the kit.



252

253 *Figure 6: Boxplot of the DNA extraction efficiency of QIAshredder-QIAamp DNA mini kit (left) with*
 254 *the boxplot of the efficiency associated with the cell release by the FLOQSwab™ and DNA extraction*
 255 *with the kit (right).*

256 About 22% of the initial quantity of DNA is recovered after the deposition on the FLOQSwab™
 257 and the extraction using the QIAshredder-QIAamp DNA Mini kit. The detailed data summary
 258 (Table 4) is below and compared the data obtained from the extraction kit alone.

259 *Table 4: Summary statistics of the extraction efficiency of the kit alone and of the efficiency associated*
 260 *with the cell release by the FLOQSwab™ combined with the DNA extraction using the kit. In total 30*
 261 *samples were analysed under both conditions.*

Efficiency	Min	0.05 percentile	Median	Mean	0.95 percentile	Max
Extraction kit alone	0.10	0.11	0.20	0.23	0.39	0.43
Release/Extraction	0.10	0.11	0.18	0.22	0.46	0.59

262 The average efficiency to extract DNA is close to the efficiency to release cells and to extract
 263 DNA. It means that the cell release efficiency is close to 100%. How we estimate the cell release
 264 efficiency is presented next.

265 Knowing the mean and the standard deviation of both distributions representing the DNA
 266 extraction efficiency and the efficiency to release cells taking into account the DNA extraction
 267 efficiency of QIAshredder-QIAamp DNA Mini kit, the parameter “c” and “d” of the beta
 268 distribution $Be(c, d)$ representing the efficiency of the swab release only can be calculated. A
 269 Beta distribution $Be(32.26, 0.98)$ was obtained.

270 To obtain simulated data for the efficiency of the swab to release cells, 1000 values were
 271 randomly sampled from this Beta distribution $Be(32.26, 0.98)$. Each value is a theoretical result
 272 of the efficiency – between 0 and 100% – to release cells by the swab.

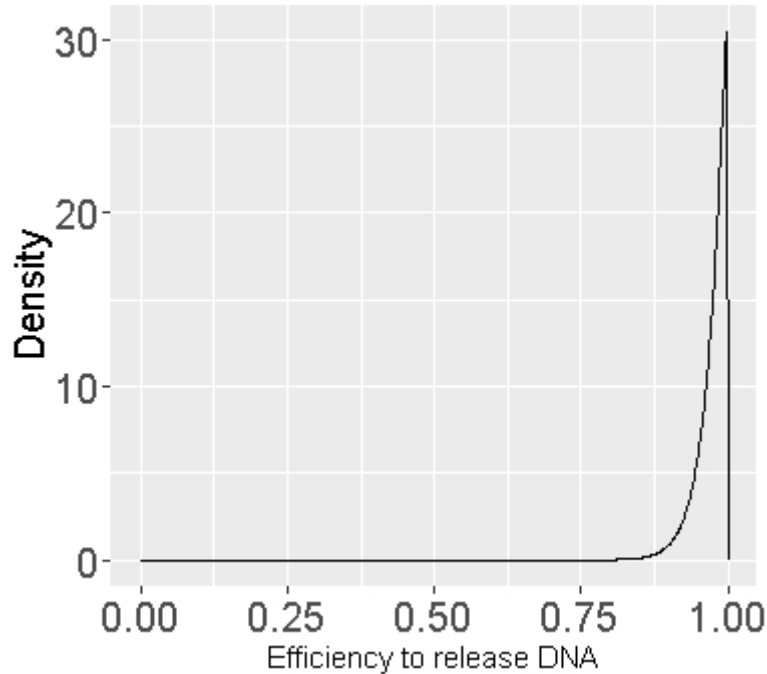
273 We can show that the FLOQSwab™ allows releasing about 97% of the cells on average.
 274 Summary statistics of the simulations are given below (Table 5 & Figure 7).

275 *Table 5: Summary statistics of the efficiency of the FLOQSwab™ to release cells, based on 1000*
 276 *simulated values taken from a $Beta(32.26, 0.98)$.*

Min	0.05 percentile	Median	Mean	0.95 percentile	Max
-----	-----------------	--------	------	-----------------	-----

0.82	0.92	0.98	0.97	1	1
------	------	------	------	---	---

277 The distribution representing these 1000 random samples is given in Figure 7.



278

279 *Figure 7: Beta probability distribution of 1000 simulated values taken from a Beta(32.26, 0.98)*
 280 *representing the efficiency of the FLOQSwab™ to release cells.*

281 **Discussion**

282 This study had three objectives.

- 283 • To measure the extraction efficiency of two commercial DNA extraction kits
 284 (Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit, and QIAshredder-QIAamp
 285 DNA Mini kit from Qiagen),
- 286 • To study the impact of the laboratory on the yield offered by the best performing kit
 287 (Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit),
- 288 • To report on the efficiency of a swab (FLOQSwab™ from COPAN) to release cells and
 289 to show how to obtain it.

290 In the first part of the study, four DNA extractions were made using QIAshredder-QIAamp
 291 DNA Mini kit showing an average efficiency of 41% (Table 1) against 23% (Table 2) with the
 292 30 samples. Further, a large variation (Figure 4 & Table 2) from 10% to 43% in the efficiency

293 can be observed. These two observations show that a large number of experiments (greater than
294 four) need to be done.

295 We report here a large difference of efficiency between both tested kits, despite the fact that the
296 kits are quite similar regarding the laboratory protocols. The difference between the two kits is
297 the use of Spin basket and no Microcon® 30 spin column for the Investigator® Lyse&Spin
298 Basket-QIAamp DNA Mini kit from Qiagen instead of the use of QIAshredder and microcon®
299 30 spin column for the QIAshredder-QIAamp DNA Mini kit. This observation can be a warning
300 regarding the evaluation considering proposition at the activity level if specific data of the
301 extraction kit should be used. In order to do this assumption, the impact of this different set of
302 data on the result of evaluation should be studied. A lab can perform experiments on efficiencies
303 with respect to its own method. If a lab is relying on data obtained using another kit, the impact
304 on the result of the evaluation (on the likelihood ratio) of these other data, compared to the
305 specific data of the laboratory, should be studied.

306 The large difference of efficiency between both tested kit could be explained by the different
307 number of the DNA pipetting. QIAshredder-QIAamp DNA mini kit (QIAamp DNA Mini kit
308 combined with QIAshredder and using the Microcon® 30 column) requires three DNA
309 pipetting operations, including the pipetting into the microcon® 30 column, whereas the
310 Investigator® Lyse&Spin Basket-QIAamp DNA Mini kit need only one. At each pipetting of
311 the total volume, a loss of DNA could occur with DNA being retained on the wall of the
312 microtube or of the tips both made of polypropylene. Indeed, Gaillard [11] shows that
313 adsorption of DNA to polypropylene tubes can occur. The large difference of efficiency
314 between both tested kits could also be explained by the different number of spins used to retain
315 DNA. Indeed, some DNA fragment could pass through the spin [12] instead of being retained.
316 QIAshredder-QIAamp DNA Mini kit has more spins and microcon® 30 column than the other
317 kit.

318 We have observed no significant difference between the DNA extraction efficiencies with the
319 same kit used by two laboratories. This observation suggests that the effect of the laboratory is
320 small compared to the variation due by the kit itself. However, given the limited number of
321 laboratories involved (2), we ought to take this conclusion with the necessary caution.

322 We have also noticed that the maximum of the efficiency to release cells and to extract DNA is
323 greater than the maximum of DNA extraction efficiency only. If the ratio of these two maximum

324 values were done, an efficiency of swab to release cells greater than 1 would be obtained.
325 However, this observation is possible, knowing that experiments are independent and knowing
326 the large variation between efficiencies. Therefore, taking the ratio of the two efficiencies
327 values seems not ideal. All data allowing determining both extraction efficiency and efficiency
328 to release cell and extract DNA should be used to estimate the efficiency of swab to release
329 cells, as shown in Part 2 (Methodology- *Calculating efficiency*).

330 We have shown a large variation in efficiencies for a same kit in the same operator. This could
331 be explained by the kit itself, but also by the flow cytometry. We suggest that the error
332 introduced by flow cytometry is negligible. The calibration and quality controls performed on
333 the instrument have shown that a variation on the cell number between 5 and 10% can occur,
334 depending of the cell type and the cell concentration. It means that with a target number cells
335 of 100, 90 to 110 cells will be selected. Therefore, the initial quantity of DNA may be slightly
336 estimated. This effect is considered negligible compared to the ratio between initial quantity of
337 DNA and final quantity of DNA. Because of this large variation, a distribution of efficiency
338 values (and not a single point estimate such as the mean) should be taken into account when
339 evaluating cases considering propositions at the activity level.

340 This study shows how flow cytometry can be a very effective tool to conduct DNA extraction
341 and cell release efficiency research.

342 In Wood *et al.* [4], an extraction efficiency around 81% was reported, using QIAamp® DNA
343 Investigator Kit (QIAGEN). This is higher than those reported in this paper: 23% and 63%,
344 using respectively, QIAshredder-QIAamp DNA Mini kit and Investigator® Lyse&Spin Basket-
345 QIAamp DNA Mini kit. However, when using QIAamp® DNA Investigator Kit (QIAGEN),
346 EtOH is added in the first step of extraction protocol. This step may increase the recovery of
347 DNA. Besides, the direct comparison between them has its limits. Indeed in *Wood et al.* [4],
348 acellular DNA was used whereas keratinocyte cells were used in this study. DNA traces,
349 obtained when touching a surface may be the results of a mix between acellular DNA, and cells
350 [13]. Therefore, the extraction efficiency obtained in *Wood et al.* [4] or in this study may
351 underestimate the extraction efficiency for DNA traces, obtained when touching a surface.
352 Indeed, Propidium Iodide staining was used to sort the nucleated, living, keratinocytes cells. In
353 that case, only porous cells are selected.

354 *Wood et al.* [4] obtained a lower efficiency of DNA release for nylon-flocked swabs (COPAN's
355 FLOQSwabs™) that could also be due to the use of acellular DNA instead of cells. Free DNA
356 and cell membranes could interact differently with the microfibers of the swab.

357 Regarding the ability of the swab to release cells, unfortunately, a fixed number of cells cannot
358 be directly deposited on the swab. A volume of the cell suspension containing a known
359 concentration of cells is pipetted onto the swab. A loss of cells and DNA could occur via the
360 pipetting, but the adsorption of cells and DNA to polypropylene tubes is limited by taking a
361 partial volume of 35 µL of a total volume mixed by vortexing. The efficiency of the swab to
362 release cells could be underestimated. In addition, the chosen initial number of cells allowed
363 obtaining quantity of DNA larger than the one obtained for touch DNA traces. In that case, the
364 efficiency to release cell could be overestimated.

365 The nylon-flocked swabs (COPAN's FLOQSwabs™) have a higher efficiency to release cells
366 than the two cotton swabs, Dryswab™ and Applimed SA [14]. However, samples of diluted
367 blood were used in Rocque et al. [14] instead of a fixed number of keratinocytes.

368 To obtain the final quantity of DNA, a quantification needs to be performed. To perform this
369 quantification, a loss of DNA could occur. However, the loss due to the use of a different
370 quantification kit is supposed to be negligible (limited number of pipetting). Regarding the
371 quantification, the quantity of DNA depends on the kit of quantification and the instrument of
372 quantification. For consistency in this study, a single operator performed the quantification
373 using the same kit and the same instrument in order to focus only on the impact of the laboratory
374 on the extraction efficiency.

375 **Conclusion**

376 Knowledge of the extraction efficiency of the kit used by the laboratory has a bearing on the
377 assessment of the expected quantities of DNA that could be the result of different types of
378 activities. It will impact the evaluation of the DNA results considering propositions at the
379 activity level, especially when the case involves a low level of DNA. We developed a method
380 to measure the efficiency of DNA extractions kits and the release efficiency of DNA swabs can
381 be measured using flow cytometry. Flow cytometry allows obtaining a fixed number of cells.
382 Therefore, the initial quantity of DNA, before performing an extraction, is known and
383 controlled. It proves to be a very efficient technique to obtain adequate estimates of DNA
384 extraction kit efficiency.

385 We measured the extraction efficiency of two commercial DNA extraction kits, Investigator®
386 Lyse&Spin Basket-QIAamp DNA Mini Kit, and QIAshredder-QIAamp DNA Mini Kit used to
387 extract and purify low quantities of DNA.

388 Results have shown that for the Lyse&Spin and QIAshredder-QIAamp DNA Mini Kit, about
389 61% of DNA is recovered with no difference between the extracts obtained by two different
390 laboratories. For the QIAshredder-QIAamp DNA Mini Kit, only about 23% of the initial
391 quantity of DNA is recovered.

392 Furthermore, we measured the efficiency of a swab, the FLOQSwab™ from COPAN, to release
393 cells and have shown that the FLOQSwab™ releases about 97% of the cells.

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