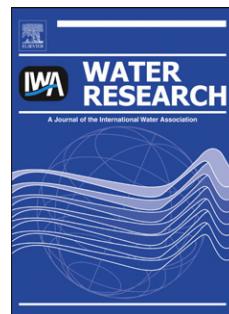


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High occurrence of Hepatitis E virus in samples from wastewater treatment plants in Switzerland and comparison with other enteric viruses

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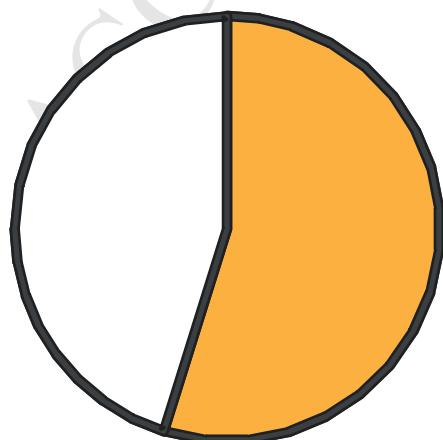
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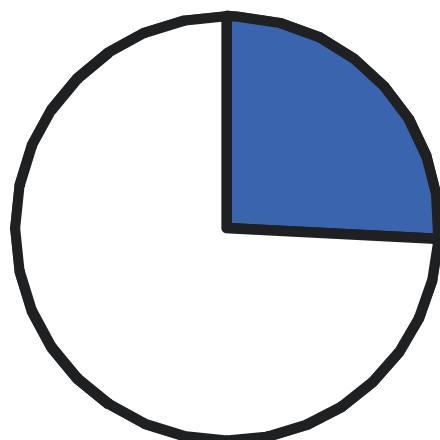
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Seasonal occurrence of Hepatitis E virus

Summer
2010



Winter
2010 - 2011



17 / 31

8 / 31

Highlights

- ▶ Hepatitis E virus (HEV) was searched in raw and treated wastewater in Switzerland.
- ▶ HEV was found frequently in influent wastewater, but was undetectable in effluent.
- ▶ HEV occurrences in wastewater are more frequent in summer (seasonal pattern).
- ▶ HEV found in wastewater does not seem to be produced by swine.
- ▶ HEV frequencies and concentration are lower than those of adenovirus and norovirus.

1 High occurrence of Hepatitis E virus in samples from wastewater treatment plants in Switzerland
2 and comparison with other enteric viruses.

3

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19

20

21 **Abstract**

22 Hepatitis E virus (HEV) is responsible for many enterically transmitted viral hepatitides around the
23 world. It is currently one of the waterborne diseases of global concern. In industrialized countries,
24 HEV appears to be more common than previously thought, even if it is rarely virulent. In
25 Switzerland, seroprevalence studies revealed that HEV is endemic, but no information was
26 available on its environmental spread. The aim of this study was to investigate –using qPCR– the
27 occurrence and concentration of HEV and three other viruses (norovirus genogroup II, human
28 adenovirus-40 and porcine adenovirus) in influents and effluents of 31 wastewater treatment
29 plants (WWTPs) in Switzerland. Low concentrations of HEV were detected in 40 out of 124 WWTP
30 influent samples, showing that HEV is commonly present in this region. The frequency of HEV
31 occurrence was higher in summer than in winter. No HEV was detected in WWTP effluent
32 samples, which indicates a low risk of environmental contamination. HEV occurrence and
33 concentrations were lower than those of norovirus and adenovirus. The autochthonous HEV
34 genotype 3 was found in all positive samples, but a strain of the non-endemic and highly
35 pathogenic HEV genotype 1 was isolated in one sample, highlighting the possibility of
36 environmental circulation of this genotype. A porcine fecal marker (porcine adenovirus) was not
37 detected in HEV positive samples, indicating that swine are not the direct source of HEV present
38 in wastewater. Further investigations will be necessary to determine the reservoirs and the routes
39 of dissemination of HEV.

40

41 **Keywords**

42 Hepatitis E, HEV, norovirus, adenovirus, wastewater, qPCR

43

44 **1. Introduction**

45 Many waterborne diseases, like gastroenteritis or hepatitis, are caused by viruses and are a major
46 threat to public health (Bosch et al., 2008). Human viruses such as adenovirus type 40 (HAdV-40)
47 and noroviruses (NoV) genogroup I (GGI) and genogroup II (GGII) are commonly found in
48 wastewater due to fecal excretion. Wastewater is treated physically, chemically, and biologically
49 in wastewater treatment plants (WWTPs) in order to eliminate or reduce contaminants before the
50 release of environmentally safe water. Fecal pollution of environmental water is a major health
51 concern since environmental waters are used for drinking water supply and food production.
52 Moreover, released viruses might reach diverse food items such as vegetables, fruits and raw
53 shellfish (Bosch et al., 2008). Some viruses, like HAdV-40 and NoV, are good fecal indicators for
54 evaluating the microbiological quality of environmental water, since they are excreted in high
55 concentrations and are persistent in environmental water (Roslev and Bukh, 2011). Furthermore,
56 viruses can be used to track the sources of fecal contamination (Roslev and Bukh, 2011). It is
57 possible to distinguish between human and animal sources of pollution, since many human and
58 animal viruses have a very narrow host spectrum. For example, HAdV-40, bovine adenovirus
59 (BAdV) and porcine adenovirus (PAdV) are good indicators for determining the source of fecal
60 contamination (Hundesa et al., 2006).

61

62 Hepatitis E is a waterborne disease responsible for over 50% of acute viral hepatitis cases in
63 endemic countries (Dalton et al., 2008; Meng, 2010). The disease is caused by the hepatitis E virus
64 (HEV), which is a non-enveloped positive-strand RNA virus (Dalton et al., 2008; Meng, 2010). HEV
65 infection in humans can be caused by 4 genotypes (GI, GII, GIII and GIV) resulting in a single
66 serotype (Dalton et al., 2008; Meng, 2010). Epidemics occur in countries with poor sanitation
67 systems (Asia, Africa, Middle East and Mexico) and are due to GI and GII (Dalton et al., 2008;
68 Meng, 2010). GI is a hyper-virulent genotype, responsible for most of the large outbreaks(Dalton
69 et al., 2008; Meng, 2010; Bose et al., 2011). Furthermore, GI strongly affects pregnant woman by

70 causing fulminant hepatic failure, which can lead to the death of both mother and child (Bose et
71 al., 2011). For a long time, HEV was considered non-endemic in industrialized countries as only
72 sporadic travel-associated cases were reported (Purcell and Emerson, 2008). However, the
73 increasing number of autochthonous cases and the high seroprevalence reported in certain
74 countries indicated that HEV is actually endemic to these countries (Purcell and Emerson, 2008).
75 These autochthonous cases are due to GIII in most industrialized countries and to GIV in Eastern
76 Asia (Purcell and Emerson, 2008; Lewis et al., 2010; Colson et al., 2012). Whereas GI and GII are
77 restricted to humans, GIII and GIV have a wider host range within mammals and their main
78 reservoir is suspected to be pigs and wild boar (Lewis et al., 2010; Meng, 2010; Rose et al., 2011;
79 Wachek et al., 2012). Hepatitis E has received ever more attention in recent years and is now
80 considered an emerging problem. Its success in spreading may illustrate weaknesses in water
81 management systems or food processes related to pork.

82

83 Studying the occurrence of enteric pathogens in influents at WWTP provides an efficient overview
84 of the presence of these pathogens in the population. HEV has been detected in WWTPs in France
85 (Clemente-Casares et al., 2003), Italy (La Rosa et al., 2010) and Spain (Clemente-Casares et al.,
86 2009; Rodriguez-Manzano et al., 2010). The presence of the non-endemic GI in wastewater was
87 recently reported in Spain and Italy (Clemente-Casares et al., 2009; La Rosa et al., 2010). HEV
88 seroprevalence rates in populations from industrialized countries are usually relatively low (i.e.
89 ranging from 1% to 5%) in comparison to those in developing countries, where rates from 15% to
90 60% have been reported (Dalton et al., 2008). Seroprevalence rates exceeded 20% in some
91 regions within the USA (Thomas et al., 1997; Meng et al., 2002) and Japan (Li et al., 2000),
92 showing that seroprevalence rates can reach locally unexpected higher values. However,
93 comparison of seroprevalence between regions is problematic due to a lack of standardised
94 serological tests (Bendall et al., 2010). In Switzerland, two blood donor studies reported HEV
95 seroprevalence of 3.2% and 4.9% respectively (Lavanchy et al., 1994; Kaufmann et al., 2011).

96 Furthermore, 26 cases of asymptomatic HEV seroconversion were recorded in a cohort of 667
97 workers including 332 WWTP workers in 5 years (Tschopp et al., 2009). Since these infections
98 were asymptomatic, it was hypothesized that the workers were infected by the low pathogenic
99 HEV GIII. However, neither the genotype involved in these seroconversions, nor the source of
100 infection, could be determined accurately.

101

102 The present study investigated the occurrence and the concentration of HEV in the influents and
103 effluents of 31 WWTPs located in the same area as the above mentioned cohort study (Jeggli et
104 al., 2004; Tschopp et al., 2009). The objectives were to assess the environmental circulation of
105 HEV in Switzerland and to determine whether HEV GI is present in wastewater. As points of
106 comparison, the occurrence and concentration of two human viruses, HAdV-40 and NoV-GGII,
107 were assessed. PAdV, a porcine fecal marker, was searched in order to evaluate whether any
108 detected HEV might be of porcine origin.

109

110 **2. Materials and methods**

111 **2.1. Sampling site selection**

112 Thirty-one municipal WWTPs were selected within the Canton of Zurich in Switzerland (about 1.39
113 million inhabitants; 1,729 km²). All WWTPs comprise a cleaning and an activated sludge step
114 ("Zurich WWTP website," 2013). The selection was made using the following criteria. First,
115 WWTPs where a seroconversion in workers had been ascertained in the recent cohort study on
116 hepatitis E incidence (Tschopp et al., 2009) were included. Second, the WWTP servicing Zurich's
117 international airport was included because international travelling increases the probability of the
118 occurrence of genotypes GI and GII. Third, WWTPs where occupational hygiene measurements
119 had been taken in a previous study (Oppliger et al., 2005; Daneshzadeh Tabrizi et al., 2010) were
120 included. Finally, further WWTPs were selected to represent a well-balanced sample of the whole
121 canton. The final sample included 6 very large (> 50,000 inhabitants and inhabitant-equivalents),

122 12 large (10,000–50,000 inhabitants and inhabitant-equivalents) and 13 small WWTPS (2,000–
123 10,000 inhabitants and inhabitant-equivalents). Very small WWTPs (< 2,000 inhabitants and
124 inhabitant-equivalents) were not included, but there was always a larger WWTP in the same area.
125 A total of 247 pig farms housing about 43,000 pigs were recorded in the Canton of Zurich
126 (“Federal Office of Statistics,” 2013). These WWTPs treat only household sewage and farmers are
127 not allowed to use these sewer systems to eliminate animal sewage. The processes used to
128 eliminate animal sewage are diverse (production of biogas, spreading on fields as a fertilizer...).

129

130 2.2. Sample collection

131 Both in 2010 and 2011, we collected one summer sample (defined as June to August) and one
132 winter sample (defined as November to January) from each WWTP. Each seasonal collection
133 campaign lasted four weeks. At each WWTP, 24-hour composite samples of both influent and
134 effluent were collected in parallel using sterile plastic bottles. The 248 samples collected were
135 stored at 4°C for up to 12 h, then frozen at -20°C and stored at -80°C for no more than 40 days.
136 Before concentration, samples were allowed to slowly liquefy at 4°C.

137

138 2.3. Generation of standard curve, calculation of virus concentration, and 139 controls

140 Standards were prepared from plasmids (pGEM-T cloning vector, Promega, Switzerland)
141 containing corresponding PCR products. DNA was quantified by spectrophotometry using a
142 Nanodrop ND-1000 spectrophotometer (Thermo Fisher Scientific, Switzerland), and 10-fold serial
143 dilutions, ranging from 10^6 to 1 genome equivalent (GE) copies/ μ L, were prepared for each
144 plasmid. The sets of serial dilutions were used to confirm the specificity and the efficiency of the
145 assays, to generate the standard curves, and to establish the limits of quantification (LOQ) and the
146 limits of detection (LOD). Based on these standard curves and dilution calculations, all qPCR
147 assays were converted from GE copies/reaction to GE copies/L.

148 Three duplex qPCR assays were developed to allow simultaneous detection of viruses: NoV-
149 GGII/RYMV and HEV/RYMV for RNA viruses, and HAdV-40/PAdV for DNA viruses. The reaction
150 efficiencies were measured on serial 10-fold dilution mixtures of 2 virus amplicons cloned in
151 pGEM-T as described for the monoplex assays. Cross-reactivity between the assays in duplex was
152 evaluated by comparing the amplification of the target in single-plasmid solution and in multiple
153 plasmid solution.

154

155 2.4. Virus control

156 To ensure that every sample had been treated appropriately to allow detection of target viruses,
157 we used the Rice Yellow Mottle Virus (RYMV) isolate CI116 as an internal positive control. RYMV is
158 a plant pathogen present mainly in Africa and Asia, but absent from Europe (Kouassi et al., 2005).
159 This virus is very resistant in the environment and is structurally similar to HEV (no envelope, one
160 single-strand RNA with positive polarity). Preliminary experiments showed that seeded RYMV is
161 efficiently recovered from wastewater (data not shown). Virus stock solutions were kindly
162 provided by Jean-Paul Brizard (IRD Montpellier) and were quantified by qPCR. An amount of $2 \times$
163 10^6 GE copies of RYMV was used to spike each sample. The quality of each sample was assessed
164 by the efficient amplification of RYMV. The sample validation threshold was 4×10^5 GE copies of
165 RYMV. Samples with an amplification of spiked RYMV under the threshold were reanalyzed or not
166 considered.

167

168 2.5. Virus concentration from water samples

169 Viruses were concentrated from water samples either by a membrane filtration procedure
170 adapted to HEV (method used in first year) or using a direct polyethylene glycol precipitation
171 (method used in second year). The membrane filtration procedure was based on the Viradel
172 method (Eaton and Franson, 2005). Briefly, 500 mL of cold water samples under agitation were
173 supplemented with 50 mM MgCl₂ and adjusted to a pH of 3.5 with HCl. Water was filtered

174 through a glass fiber pre-filter (AP20, Millipore, Switzerland) and an electronegative nitrocellulose
175 membrane (HA, Millipore, Switzerland) at 50 mL/min. Filters were washed with cold 0.05 M
176 glycine, 1.5% beef extract, pH=9.5. Filter surfaces were scratched and a bath sonication treatment
177 was applied for 5 min. Eluates were neutralized with diluted HCl and centrifuged at 2500g for 5
178 min at 4°C. The supernatant was spiked with RYMV and precipitated with PEG as described below.
179 The pellet was resuspended in 460 µL of PBS. Nucleic acids were directly extracted from 140 µL of
180 this suspension.

181

182 For the direct precipitation method, influent and effluent water samples were concentrated using
183 polyethylene glycol as described previously (Lewis and Metcalf, 1988) with the following
184 modifications. Briefly, 90 mL water samples were spiked with RYMV and clarified by
185 centrifugation in a swing-bucket rotor at 2500g for 5 min at 4°C. The liquid was carefully
186 recovered without disturbing the pellet and 30 mL of a stock solution of 32% PEG₈₀₀₀ and 1.2 M
187 NaCl were added to the recovered liquid. PEG precipitation was achieved by a short, vigorous
188 shaking followed by incubation for 16 h in ice. The solutions were then centrifuged at 10000g for
189 30 min at 4°C in a fixed-angle rotor. The pellet was drained from most of the supernatant and
190 directly treated with 560 µL of lysis buffer (AVL buffer, Qiagen, Switzerland) to start nucleic acid
191 extraction.

192

193 2.6. Evaluation of the efficiency of the virus concentration methods.

194 The recovery efficiency of the filtration method was evaluated by spiking raw wastewater samples
195 (n=3) with HEV (5×10^5 GE copies). Spiked samples were concentrated by filtration and
196 quantification was performed by qPCR after reverse transcription (RT). Using this approach, the
197 LOQ was established at 5.02×10^4 GE copies/L. The recovery efficiency of the PEG precipitation
198 method was determined by spiking water samples (n=5) with known quantities of HEV (5×10^5 GE

199 copies) and RYMV (2×10^6 GE copies). Spiked samples were precipitated with PEG and nucleic
200 acids were extracted from the pellets. HEV and RYMV were quantified by qPCR after RT.

201

202 **2.7. Extraction of viral nucleic acid**

203 RNA and DNA were extracted together from concentrated samples with the QIAamp Viral RNA
204 mini kit (Qiagen, Switzerland) using the manufacturer's protocol. After elution, an additive
205 ethanol precipitation cleaning step was carried out on the samples, using Glycoblue (Ambion,
206 Switzerland) as a co-precipitant. The nucleic acids were finally suspended again in 60 μ L of AVE
207 buffer and stored at -20°C until use.

208

209 **2.8. Reverse transcription**

210 Reverse transcription was carried out using the Superscript III first-strand synthesis system for RT-
211 PCR (Life Technologies, Switzerland) and a mixture of reverse primers priming towards the
212 particular RNA viruses to be detected (Table S1). The 20 μ L reaction mix included 10 μ L of RNA
213 solution and was prepared as per the manufacturer's protocol, using RNAsin (Promega,
214 Switzerland) as the RNase inhibitor. The reaction was incubated for 60 min at 50°C and heat-
215 inactivated at 70°C for 15 min. The cDNAs were finally diluted to 100 μ L with TE 0.1X. No
216 difference of RT efficiency was detected when using a single reverse primer or a mixture of
217 reverse primers in the reaction mix.

218

219 **2.9. qPCR assay**

220 Each reaction was performed on 5 μ L of nucleic acid solution with the qPCR core kit (No ROX, with
221 dUTP, Eurogentec, Switzerland) as per the manufacturer's protocol. All reactions were performed
222 in a RotorGene-3000 (Corbett Research/Qiagen, Switzerland) using the following profile: digestion
223 with uracil-N-glycosylase at 50°C for 2 min; initial denaturation at 95°C for 10 min; 45 cycles of 15
224 s denaturation at 95°C; and 30 s annealing and extension at 60°C. Each sample was analyzed in

225 triplicate and the corresponding mean was reported. No template controls were included in each
226 run. We followed good laboratory practices strictly and took all necessary standard precautions to
227 prevent PCR contamination (separate working areas and specific material for extraction,
228 preparation and amplification of samples). Quantitative data were obtained with RotorGene
229 software version 6.1.93 and were subsequently analyzed using custom-designed Excel
230 spreadsheets using the standard curve equation as a reference for the quantification. A
231 normalized fluorescence signal (Cq value) was considered to be positive when it was above the
232 threshold for Cq determination defined for the standard curve.

233

234 2.10. Nested PCR for detection of HEV GI or HEV GIII

235 Nested PCR was performed with a set of primers allowing specific amplification of HEV GI (La Rosa
236 et al., 2010). The reverse internal primer was modified to take into account the variability of HEV
237 GI in this region (Table S1). The first reaction was carried out on 5 µL of cDNA in a total volume of
238 50 µl containing *Pfu* PCR buffer 1× (Promega, Switzerland), 200 µM of each deoxynucleotide
239 (dATP, dCTP, dGTP, dTTP), 0.4 µM of each external primer, and a combination of polymerases–1 U
240 of *Taq* polymerase (Promega, Switzerland) and 0.2 U of *Pfu* polymerase (Promega, Switzerland) to
241 achieve efficient amplification at low error rate. PCR amplification included: an initial
242 denaturation step at 94°C for 1 min; followed by 35 cycles of denaturation at 94°C for 45 s; primer
243 annealing at 50° C for 45 s; and an extension step at 72°C for 1 min; and then a final extension
244 step at 72°C for 5 min. A second round of amplification was performed similarly to the first PCR,
245 using the internal primers and 0.5 µL of the first PCR product. PCR products were identified by
246 electrophoresis in 1.5% agarose gels and stained by ethidium bromide. Positive PCR samples were
247 confirmed by direct sequencing. Strict precautions were taken to avoid cross-contamination, as
248 described above. HEV GIII was detected with the same protocol using 3 GIII-specific primers to
249 allow efficient detection (Table S1).

250 **3. Results**251 **3.1. Validation of the qPCR assays**

252 With the exception of the qPCR assay to amplify the internal positive control RYMV, the specificity
253 and efficiency of the qPCR assays have been previously described (Table S1 and references
254 therein). We evaluated the ability of the different qPCR assays to efficiently amplify their targets
255 under our conditions. Reaction efficiencies and specificity were confirmed for all qPCR assays
256 (Supplemental Table S2).

257 Three duplex assays were developed for the detection of viruses: NoV-GGII/RYMV, HEV/RYMV,
258 and HAdV-40/PAdV. Duplex qPCRs showed equivalent reaction efficiencies to the corresponding
259 monoplex qPCR (Supplemental Table S2). Furthermore, no cross-reactivity was observed for any
260 duplex assay combination.

261

262 **3.2. Evaluation of the membrane filtration and PEG precipitation methods for
263 virus recovery from water samples**

264 The first method, based on a membrane filtration of HEV-spiked samples, showed a mean
265 recovery efficiency of 30% (n=3) and ranged from 12% to 45%. The second method was evaluated
266 based on a direct PEG precipitation of clarified wastewater samples. The recovery efficiency for
267 HEV had a mean of 39% (n= 5) and ranged from 25% to 53%. For RYMV, the recovery efficiency
268 ranged from 58% to 71% with a mean recovery efficiency of 66% (n=5).

269 As the PEG precipitation method could lead to the concentration of enzymatic inhibitors, the
270 effect of such compounds on PCR and RT efficiency was evaluated. Compared to the spiked
271 distilled water sample, PCR efficiency was reduced to 71% and 81% in influent and effluent water
272 samples respectively (Table S3). RT efficiency was reduced to 79% for influent water samples
273 (Table S4).

274

275 3.3. Occurrence and concentrations of HEV in influent wastewater

276 HEV was detected in 17 samples from summer 2010, 8 samples from winter 2010-2011, 14
277 samples from summer 2011 and 1 sample from winter 2011-2012 (Fig. 1). HEV occurrence in
278 summer was significantly higher than in winter (Marascuillo procedure, $p < 0.05$, Fig. 1). The
279 presence of the virus in wastewater was variable since not one WWTP was positive in all 4
280 successive samplings and the majority of WWTPs had a single occurrence. Only 7 of the WWTPs
281 did not test positively for HEV at all over the two consecutive years. There is no difference of HEV
282 occurrence between the size categories of the WWTP with 44.4% (8/18) of large WWTP ($> 10'000$
283 inhabitants) positive compared to 46.1% (6/13) of small WWTP ($< 10'000$ inhabitants), (Pearson
284 Chi-square = 0.009, df = 1, $p = 0.9$). The overall HEV concentration in the study was low since
285 values under the LOQ were reported for every sample but one. Therefore, the concentration of
286 HEV in wastewater was only determined in this single sample from summer 2011. A concentration
287 of 7.81×10^4 GE copies/L was found for this sample (Table 1).

288

289 3.4. Occurrence and concentrations of human and porcine fecal virus in influent
290 wastewater

291 NoV-GGII was detected in 30 summer samples and 30 winter samples (Table 1). Quantification
292 was possible for 21 summer samples and their concentrations ranged from 7.40×10^4 to $3.73 \times$
293 10^6 GE copies/L. In 22 winter samples, concentrations ranged from 1.22×10^4 to 9.99×10^5 GE
294 copies/L. HAdV-40 was detected in 30 summer samples and 31 winter samples (Table 1). In 26
295 summer samples, HAdV-40 concentrations ranged from 1.88×10^4 to 6.67×10^6 GE copies/L.
296 Twenty-four winter samples were quantifiable and showed concentrations ranging from $1.12 \times$
297 10^4 to 1.43×10^6 GE copies/L. The PAdV was not detected in summer, although 2 samples showed
298 traces of the virus in winter.

299

300 3.5. Detection of HEV genotype I in influent wastewater

301 To determine whether HEV GI is present in wastewater, a GI-specific semi-nested PCR was
302 performed on the HEV positive samples identified by qPCR. Only one sample produced a positive
303 221-bp PCR band, which was isolated and sequenced. This sample corresponded to a very large
304 WWTP (ARA27, Table 1). The new sequence was submitted to the Basic Local Alignment Search
305 Tool (BLAST) web server (US National Centre for Biotechnology Information) to search for near
306 identical sequences. The result revealed that the most closely related sequence (98% identity)
307 was a HEV genotype I strain isolated from Nepal (Genbank HM641296, Fig. S1). Alignment with
308 the corresponding 221-bp region of selected HEV strains of all genotypes showed that this new
309 sequence belongs to GI group of HEV strains (phylogenetic tree, Fig. 2).

310

311 3.6. Occurrence of viruses in WWTP effluents wastewater

312 We searched for HEV in effluent samples from WWTPs which had HEV positive influent samples
313 (14 summer samples and 1 winter sample). As a control, 10 randomly selected effluent samples
314 for which influent samples were negative for HEV were also included in the analysis. None of
315 these samples was positive for HEV. The NoV-GGII concentrations were evaluated in effluent
316 samples from WWTPs for which quantifiable virus loads were found in influent samples (21
317 summer samples and 22 winter samples). For most samples, NoV-GGII concentrations from
318 influent to effluent were reduced under the LOQ (1.86×10^4 GE copies/L) (Table 2). Only 2
319 summer and 3 winter effluent samples were above the LOQ (Table 2). However, traces of NoV-
320 GGII were still detected in 7 summer and 11 winter effluent samples. The HAdV-40 concentrations
321 were evaluated in effluent samples from WWTPs for which quantifiable HAdV-40 loads were
322 found in influent samples (26 summer samples and 24 winter samples). All but 3 effluent samples
323 were positive for HAdV 40 (Table 2). Among these, 13 summer and 5 winter samples displayed
324 quantifiable HAdV-40 levels. (Fig. 3).

325

326 **4. Discussion**

327 These results clearly demonstrate the presence of HEV in the Canton of Zurich, as previously
328 hypothesized by studies on HEV seroprevalence (Jeggli et al., 2004; Tschopp et al., 2009). We
329 showed a 32% (40/124) HEV occurrence in WWTP influent samples, with a significantly higher
330 occurrence in summer than in winter. This occurrence is similar to that observed in Spain
331 (Rodriguez-Manzano et al., 2010) and higher than that reported from WWTPs in Italy (La Rosa et
332 al., 2010). However, HEV quantification was only possible for one sample since virus
333 concentrations were too low in all the others. The calculated concentration was in the same range
334 as those found in Spain: 1×10^4 GE copies/L to 1×10^5 GE copies/L (Rodriguez-Manzano et al.,
335 2010).

336

337 Untreated wastewater contains many infectious agents and the safety of WWTP workers has
338 been of interest for many years. The study by Tschopp et al. (2009) showed that there was no
339 difference in the rates of HEV seroconversion between workers exposed to wastewater and
340 unexposed workers. Our results confirm that concentrations of HEV circulating in wastewater are
341 quite low compared to concentrations of HAdV-40 and NoV-GII – viruses which were found in
342 nearly all samples. In consequence, under the exposure conditions found in this study WWTP
343 workers' risk of exposure to HEV GIII is likely to be limited and comparable to the risk in the
344 general population. This conclusion is in line with the results of the cohort study carried out in the
345 same region (Jeggli et al., 2004; Tschopp et al., 2009). However, the risk of HEV infection for
346 individuals is difficult to assess since the infectious dose and the routes of transmission are not
347 clearly defined.

348

349 The concentration of infectious HEV particles in raw wastewater is probably lower than the
350 concentration of particles detected by qPCR since particles may be damaged by wastewater plant
351 treatments. It is difficult to assess the viability of HEV particles since the virus is refractory to *in*

352 *vitro* culture methods. However, infectious viral particles can survive wastewater treatment as
353 demonstrated by HAdV and other viruses (Calgua et al., 2011; Simmons and Xagorarakis, 2011). In
354 addition, some particles might not be recovered or might be damaged by the concentration
355 process. New methods with high recovery efficiency, low LOQ and preservation of the particles
356 still need to be developed (Connell et al., 2012). During our study's first year we used the
357 membrane filtration method to concentrate viruses from wastewater samples. However, some
358 influent wastewater samples were significantly turbid or contained particles that clogged the
359 double filter, requiring the continuous intervention of the experimenter. We tested the direct PEG
360 precipitation method to avoid clogging problems and to allow time-efficient processing of the
361 samples. This method, described previously (Lewis and Metcalf, 1988), has been used to
362 efficiently recover viruses from water samples (Aw and Gin, 2010; Tong et al., 2011). Moreover,
363 many virus species can be concentrated at the same using this method. Compared to the
364 membrane filtration method, the direct PEG precipitation method is more adapted to raw
365 wastewater samples, which have high turbidity and variable composition. Our comparison of the
366 2 methods showed that both methods have similar HEV recovery efficiencies. Since the PEG
367 precipitation method was highly more practical than the membrane filtration method, with no
368 interference on the results, we used it during our second year of study.

369

370 The WWTPs included in our study all used activated sludge treatment, but they varied in size,
371 structural organization and location. Our objective was not to determine the virus removal
372 capabilities of WWTPs, but rather to evaluate the possibility of virus release from those WWTPs to
373 environmental water. HEV was not detected in any effluent samples, which is in agreement with
374 the low concentrations detected in influent samples. However, we cannot completely rule out the
375 possibility of HEV release into environmental water since the detection method cannot detect
376 concentrations lower than 6.50×10^4 GE copies/L. Although wastewater treatment processes
377 efficiently reduced the concentrations of NoV-GGII in most samples, the presence of NoV-GGII

378 was still detected in 9 summer and 14 winter effluent samples. Furthermore, 2 summer and 3
379 winter effluent samples showed a NoV-GGII concentration higher than the LOQ (1.86×10^4 GE
380 copies/L). Other studies have reported the frequent release of NoV-GGII in WWTP effluent
381 (Katayama et al., 2008; Hewitt et al., 2011; Simmons and Xagoraraki, 2011). Although
382 concentrations of HAdV-40 were reduced in many of them, the virus persisted in effluent samples.
383 This result is explained by the highly resistant properties of this virus (Thurston-Enriquez et al.,
384 2003).

385

386 In this study, we observed that the occurrence of HEV in wastewater is significantly higher in
387 summer than in winter. This seasonal difference could depend on many factors, such as particle
388 stability, environmental conditions or outbreaks. The possible influence of incoming water flow is
389 unlikely, since there is no remarkable difference in flow between the seasons (Head of Zurich
390 WWTPs, pers. comm.). It is noteworthy that medical studies of HEV infection have never revealed
391 a seasonal pattern. Since HEV GIII usually causes an asymptomatic infection, it is possible that
392 most cases of HEV remain not diagnosed. We also found that NoV-GGII and HAdV-40 were
393 present in almost all influent water samples at high, stable concentrations (Table 1), as little
394 variation was observed between the 2 seasons. HAdV-40 is known to be widespread in the
395 European population, where it can cause outbreaks of gastroenteritis, mostly in children during
396 winter. After infection, HAdV-40 excretion by the host can last from months to years (Jiang, 2006)
397 and the consequent lack of a seasonal pattern for this virus in wastewater has been confirmed by
398 several studies (Jiang, 2006; Katayama et al., 2008). NoV-GGII is also common in the European
399 population and is frequently responsible for winter gastroenteritis outbreaks (Glass et al., 2009).
400 Our study in Switzerland clearly shows that NoV-GGII is present in wastewater in both winter and
401 summer, without any noteworthy variation. Other studies have found that NoV-GGII is present in
402 wastewater year-round, with higher concentrations in winter and lower concentrations in
403 summer (Katayama et al., 2008; Nordgren et al., 2009). Since NoV-GII outbreaks mainly occur in

404 the cold season and the typical shedding time is up to 8 weeks, further investigations are required
405 to understand the dynamics of NoV-GGII persistence in population.

406

407 In industrialized countries, most cases of HEV infection are due to the autochthonous zoonotic
408 GIII and GIV variants whose reservoir might be swine (Lewis et al., 2010; Meng, 2010; Rose et al.,
409 2011; Wacheck et al., 2012). In theory, swine manure is kept completely separate from
410 wastewater, but hypothetical dysfunctions or accidental contaminations cannot be absolutely
411 eliminated. Absence of the porcine fecal marker (i.e. PAdV) in our HEV-positive wastewater
412 samples indicates that HEV was unlikely excreted by swine.

413

414 Medical cases of HEV GI are not frequent in Europe since this genotype is non-endemic to the
415 region. However, the present study did detect GI in one sample, showing that its occurrence in
416 wastewater, although very rare, is still possible. Interestingly, studies in non-endemic Italy and
417 Spain, also showed the presence of GI in wastewater (Clemente-Casares et al., 2009; La Rosa et
418 al., 2010). Overall, these results show that GI can be detected in wastewater produced in
419 industrialized countries. It is assumed that GI released in wastewater is due to people who have
420 recently travelled to a GI-endemic country. This assumption is confirmed by the alignment of our
421 detected GI sequence—with a HEV strain originating from Nepal (Fig. 2 and S1). However, it is not
422 known if HEV GI present in wastewater can spread into the environment and infect a new host.
423 Follow-up studies are necessary, especially in the light of unknown reservoirs for HEV in
424 industrialized countries.

425

426

427 **5. Conclusions**

- 428 • HEV is present frequently but at low concentrations in raw wastewater in the Canton of
429 Zurich in Switzerland, indicating that HEV is common in the population of the area
430 studied.
- 431 • There was no evidence of HEV release from WWTPs into environmental water.
- 432 • HEV frequency depends on the season, with higher frequencies of HEV detection in
433 summer. The seasonal character of HEV occurrence has not been previously described
434 and requires further investigation to understand its causes.

435

436 **Author contributions**

437 FGM conceived the experiments and analyzed the data. DG organized and carried out the
438 sampling, FGM and DSB performed the research. FGM, AO and PH designed the project and wrote
439 the manuscript.

440

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448 plants for their constant support.

449

450 **Appendix. Supplementary material**

451 Table S1 – List of primers used in this study

452 Table S2 – qPCR assay characteristics

453 Table S3 – Detection of PCR inhibitors by measurement of the amplification efficiency of spiked
454 DNA in WWTP water samples and in distilled water samples

455 Table S4 – Detection of PCR inhibitors by measuring the amplification efficiency of a spiked DNA in
456 WWTP samples and in distilled water samples

457 Figure S1 – Alignment of the sequence of WWTP influent sample GI with the closest match in
458 NCBI

459

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- 577

578 **Figure legends**

579 Fig. 1 – Results of HEV detection in influent samples. Selected WWTPs were randomly labeled
580 with an identifier ranging from ARA01 to ARA31. A total of 31 influent samples were analyzed for
581 each season. Different letters indicate significant differences ($p < 0.05$) between seasonal
582 proportions according to multiple comparisons of proportions (Marascuillo procedure).

583

584 Fig. 2 – Phylogenetic tree of HEV strains. Analysis was based on a 221-bp region of HEV genome.
585 The new sequence GI from a positive WWTP influent (named "WWTP influent sample") and a
586 subset of HEV sequences of all genotypes were included in this analysis. Sequences were aligned
587 using MAFFT (Katoh and Standley, 2013) and the corresponding unrooted phylogenetic tree was
588 generated with Jalview (Waterhouse et al., 2009). Known sequences are labeled with Genbank
589 accession, country of origin, genotype, and start and final positions used for the alignment. The
590 location of the new sequence GI in the phylogenetic tree is indicated with an arrow.

591

592 Fig. 3 – Concentration of HAdV-40 in influent and effluent water samples. Results are expressed as
593 \log_{10} virus GE per liter. Box plots are generated with influent and effluent concentrations from
594 WWTPs where both influent and effluent samples were quantifiable (WWTPs in summer n = 13,
595 WWTPs in winter n = 5).

596

Table 5 – Detection and concentration of viruses in influent samples.

Sampling sites	HEV		NoV-GGII		HAdV-40		PAdV	
	summer	winter	summer	winter	summer	winter	summer	winter
ARA01			1.96×10^5	+	2.67×10^4	5.62×10^5		
ARA02	+		3.79×10^5	8.78×10^4	7.50×10^5	1.17×10^6		
ARA03	+		3.86×10^5	3.36×10^5	5.18×10^5	1.43×10^6		
ARA04			4.10×10^5	9.99×10^5	1.46×10^5	5.40×10^5		
ARA05	+		1.73×10^5	1.16×10^5	1.88×10^4	3.83×10^4		
ARA06	+		6.62×10^5	9.99×10^4	+	+		
ARA07	+			+	5.13×10^4	1.78×10^6	+	
ARA08				+	4.09×10^5	1.00×10^5	4.79×10^5	
ARA09			1.35×10^5	1.97×10^5	3.06×10^4	+		
ARA10	+			+	1.67×10^5	1.96×10^4		+
ARA11	+		6.50×10^5	1.28×10^5	1.39×10^5	4.78×10^5		
ARA12		+	+	+	4.21×10^4	2.19×10^4		
ARA13	+			7.40×10^4	5.83×10^4	+	3.66×10^5	
ARA14	+			5.60×10^5	2.82×10^5	5.33×10^5	4.07×10^5	
ARA15					1.24×10^4	3.63×10^5	2.52×10^5	
ARA16	+			1.77×10^5	7.83×10^4	+	7.65×10^5	
ARA17	+			1.53×10^5	+	4.45×10^5	1.96×10^4	
ARA18				+	2.63×10^4	7.55×10^4	1.95×10^5	
ARA19				8.75×10^4		2.06×10^4	1.56×10^4	
ARA20	+			+	+	1.45×10^5	+	
ARA21				1.83×10^5	2.91×10^4	6.67×10^6	+	
ARA22				3.06×10^5	3.63×10^4	8.56×10^5	1.48×10^5	
ARA23				3.73×10^6	+		+	
ARA24				1.16×10^5	5.88×10^4	3.07×10^4	1.15×10^5	
ARA25				+	1.22×10^4	+	6.15×10^5	+
ARA26	+			3.26×10^5	1.23×10^5	1.78×10^6	1.23×10^5	
ARA27		7.81×10^4		5.20×10^5	2.39×10^4	8.04×10^5	4.27×10^4	
ARA28				8.46×10^5	+	7.35×10^5	2.77×10^4	
ARA29				+	+	5.07×10^4	3.11×10^4	
ARA30				1.47×10^5	1.90×10^4	2.11×10^5	1.12×10^4	
ARA31				+	7.42×10^4	1.24×10^6	+	
mean	-	-	4.86×10^5	1.48×10^5	6.80×10^5	3.28×10^5	-	-
LOQ			6.50×10^4	1.86×10^4		9.27×10^3		5.67×10^3

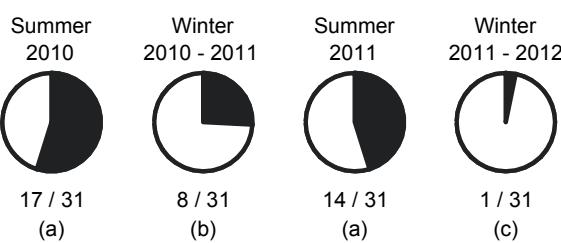
+: positive qPCR signal under the limit of quantification (LOQ). Blanc: no detection. Values are expressed in GE.L-1

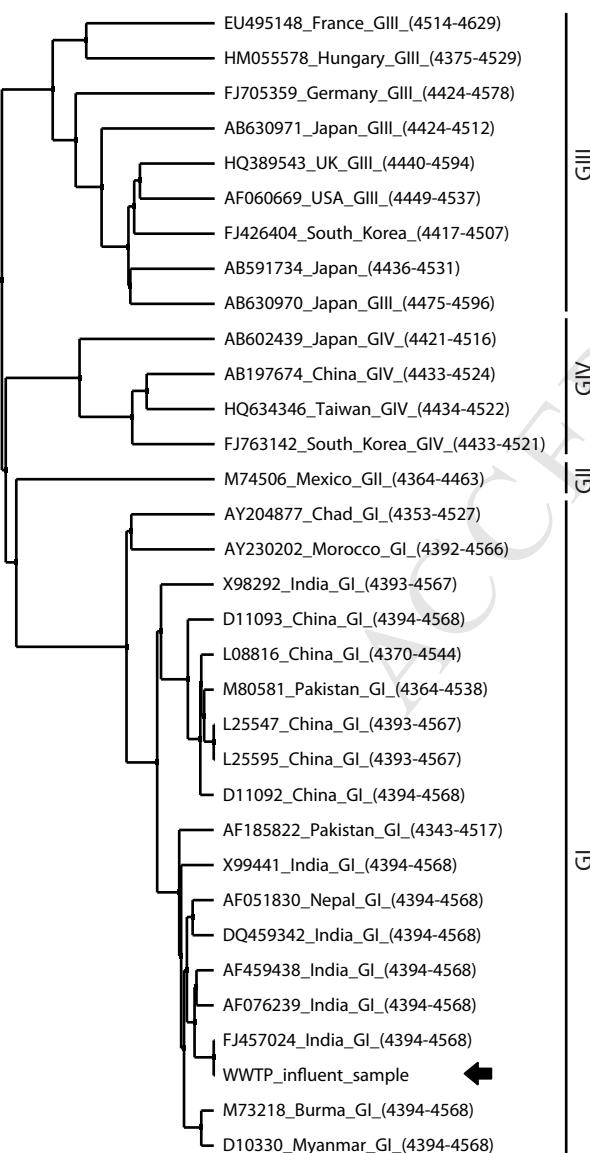
Table 6: Efficiency of the WWTP processes on virus concentration reduction.

	NoV-GGII		HAdV-40	
	summer	winter	summer	winter
Number of WWTPs with a quantifiable virus charge (influent) ¹	21	22	26	24
Detection results in the corresponding effluent samples	No detection	12	8	0
	Detection below LOQ ²	7	11	13
	Detection above LOQ	2	3	13
				5

¹Only WWTPs with an influent concentration of virus higher than LOQ are considered.

²LOQ = limit of quantification





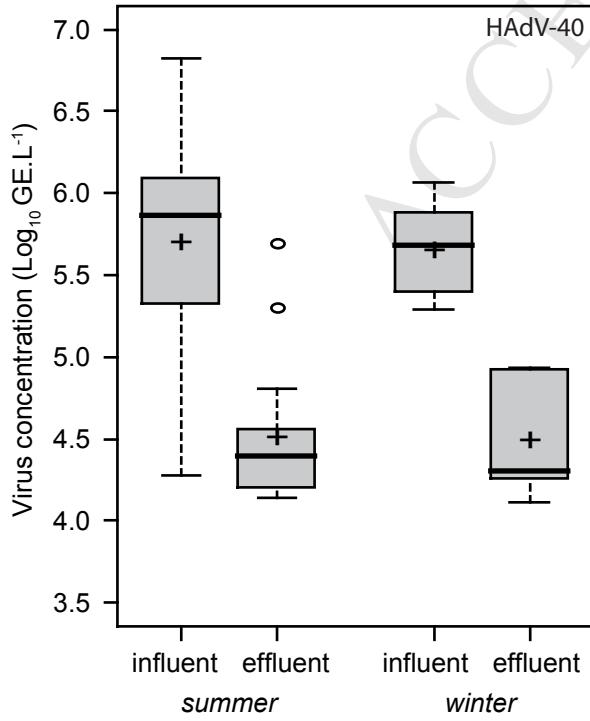


Table S1 – List of primers used in this study

Virus	Primer or probe name	Other name	Sequence (5'-3')	Orientation	Position ¹	Amplicon size	Reference
NoV-GGII (RNA virus)	Noro-fwd	JJV2F	CAAGAGTCAATGTTAGGTGGATGAG	+	5003–5028	98 bp	Jothikumar et al 2005
	Noro-rev	COG2Ra	TCGACGCCATCTTCATTCA	-	5100–5080		
	Noro-prob	RING2-TPa	(FAM-)TGGGAGGGCGATCGCAATCT(-TAMRA)	+	5048–5067		
HEV (RNA virus)	HEV-fwd	JVHEVF	GGTGGTTCTGGGGTGAC	+	5285–5301	70 bp	Jothikumar et al 2006
	HEV-rev	JVHEVR	AGGGGTTGGTTGGATGAA	-	5354–5337		
	HEV-prob	JVHEVP	(FAM-)TGATTCTCAGCCCTCGC(-TAMRA)	+	5308–5325		
RYMV (RNA virus)	RYMV-F2		CTTCAACGGGCTCCAGTG	+	3540–3557	80 bp	this study
	RYMV-R2		AGCGGCCAGGTGTTAGAAG	-	3619–3601		
	RYMV-prob2		(YYE-)GGATATCTGGACGGTTCC(-BHQ1)	+	3571–3590		
HAdV-40 (DNA virus)	HAdV-F	JTVXF	GGACGCCTCGGAGTACCTGAG	+	18895–18915	96 bp	Jothikumar et al 2005
	HAdV-R	JTVXR	ACIGTGGGGTTCTGAACTTGTT	-	18990–18968		
	HAdV-prob	JTVXP	(FAM-)CTGGTGCAGTCGCCGTGCCA(-BHQ1)	+	18923–18944		
PAdV (DNA virus)	PAdV3-F	VTB1-PoAdV3f	CCTCAACAACCTCATTGATACC	+	20574–20595	144 bp	Wolf et al 2010
	PAdV3-R	VTB1-PoAdV3r	CTTGCAGTAGCGGCCGT	-	20718–20702		
	PAdV3-prob	VTB1-PoAdV3probe	(YYE-)TACGGCCTGCGCTACCGCTCCA(-BHQ1)	+	20668–20690		
HEV (GI) (RNA virus)	nestedG1ext-fwd	1661	TTAYGGKGATGCCCTTGATGACACC	+	4329–4353	302 bp	Adapted from
	nestedG1ext-rev	1662	TRATAACGGCCATRTTCCAGACAGTATTCC	-	4630–4601		La Rosa et al 2010
	nestedG1int-fwd	1663	TGTTTGAGAATGACTTTCTGAGTTGAYT	+	4394–4423	175 bp	
	nestedG1int-rev	1664	TTCCAAAACCCCTCGCAGYGAC	-	4568–4548		
HEV (GIII) (RNA virus)	nestedG3ext-fwd	1669	GGYGACGCYTATGAGGAGT	+	4360–4378	298 bp	Adapted from
	nestedG3ext-rev	1670	GCTATRATYGCCATRTTCCA	-	4658–4639		La Rosa et al 2010
	nestedG3int-rev	1672	AGAGACTCCTCGGSGCYTG	-	4580–4561	220 bp	

¹ Genbank accession numbers: NoV-GGII no. X86557; HEV no. AF082843 (sHEV); RYMV no. L20893; HAdV no. AC_000008 (HAdV5); PAdV no. AB026117 (PAdV3); HEV / GI no. M73218; HEV no. AF082843 (sHEV)

² in combination with nestedG3ext-fwd (semi-nested PCR)

Table S2 – qPCR assay characteristics

2nd target (duplex qPCR)		efficiency	formulae	Cq threshold for LOQ	LOQ in water (GE copies/L)	Cq threshold for LOD
HEV	RYMV	93%	$Cq = -3.501 \times \log(\text{conc}) + 41.032$	35.08	6.50×10^4	42.00
NoV-GGII	RYMV	97%	$Cq = -3.385 \times \log(\text{conc}) + 39.609$	36.25	1.86×10^4	42.00
HAdV-40	PAdV	102%	$Cq = -3.272 \times \log(\text{conc}) + 39.748$	34.00	9.27×10^3	42.00
PAdV	HAdV-40	97%	$Cq = -3.394 \times \log(\text{conc}) + 40.180$	34.00	5.67×10^3	42.00
RYMV	HEV	99%	$Cq = -3.350 \times \log(\text{conc}) + 41.886$	36.00	9.36×10^4	42.00
RYMV	NoV-GGII	96%	$Cq = -3.411 \times \log(\text{conc}) + 42.168$	36.00	8.70×10^4	42.00

These LOQ values apply only to samples treated by direct PEG precipitation.

Table S3 – Detection of PCR inhibitors by measuring the amplification efficiency of a spiked DNA in WWTP water samples and in distilled water samples

	Quantification cycle ($\pm SD$)	Calculated concentration ($GE.L^{-1}$)	Range ($GE.L^{-1}$)	% efficiency
Influent water extracted sample (n=10)	29.6 ± 0.3	1.88×10^3	$1.38 \times 10^3 - 2.55 \times 10^3$	81%
Effluent water extracted sample (n=10)	29.8 ± 0.5	1.65×10^3	$6.63 \times 10^2 - 2.22 \times 10^3$	71%
Distilled water sample (n=8)	29.3 ± 0.3	2.31×10^3	$1.94 \times 10^3 - 3.09 \times 10^3$	

The spiked DNA is a RYMV PCR fragment cloned in pGEM-T. Range is defined by the minimal and the maximal calculated concentrations.

Table S4 – Detection of PCR inhibitors by measuring the amplification efficiency of a spiked DNA in WWTP samples and in distilled water samples

	Quantification cycle (\pm SD)	Calculated concentration (GE.L $^{-1}$)	Range (GE.L $^{-1}$)	% efficiency
Influent water extracted sample + spiked RNA mix (n=4)	26.0 \pm 0.2	6.20×10^4	5.93×10^4 – 6.33×10^4	79%
Elution buffer + spiked RNA mix (n=4)	25.6 \pm 0.1	7.87×10^4	7.53×10^4 – 8.16×10^4	

The spiked DNA is a RYMV PCR fragment cloned in pGEM-T. Range is defined by the minimal and the maximal calculated concentrations.

Figure S1 – Alignment of the sequence of WWTP influent sample G1 with the closest match in NCBI Blast

Hepatitis E virus isolate 5-05-gt1 polymerase (P) gene, partial cds
Sequence ID: gb|HM641296.1| Length: 326 Number of Matches: 1

Range 1: 152 to 326

Score	Expect	Identities	Gaps	Strand
307 bits (166)	2e-80	172/175 (98%)	0/175 (0%)	Plus/Plus

Query 1 TGTTGAGAATGACTTTCTGAGTTGATTCCACCCAGAATAATTCTCTAGGCCTTG 60

Sbjct 152 TGTTGAGAATGACTTTCTGAGTTGATTCCACCCAGAATAATTCTCTAGGCCTTG 211

Query 61 AGTGTGCTATTATGGAGGAGTGCAGGATGCCGCAGTGGCTCATCCGTTGTATCACCTTA 120

Sbjct 212 AGTGTGCTATTATGGAGGAGTGCAGGATGCCGCAGTGGCTCATCCGTTGTATCACCTTA 271

Query 121 TAAGGTCTGCGTGGATCTGCAGGCCCGAAGGAGTCAGTGCAGGGTTGGAA 175

Sbjct 272 TAAGGTCTGCGTGGATCTGCAGGCCCGAAGGAGTCAGTGCAGGGTTGGAA 326