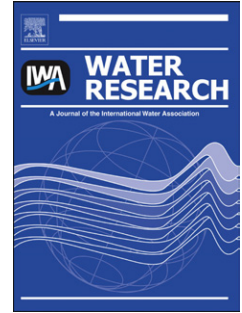


# Accepted Manuscript

High occurrence of Hepatitis E virus in samples from wastewater treatment plants in Switzerland and comparison with other enteric viruses

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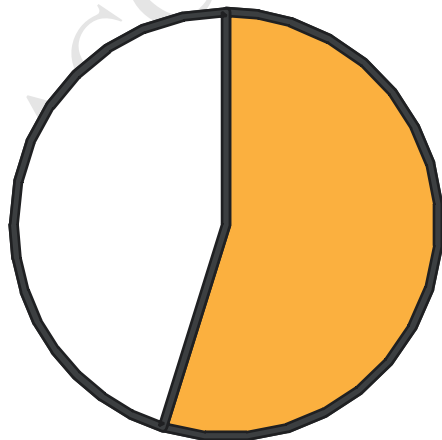
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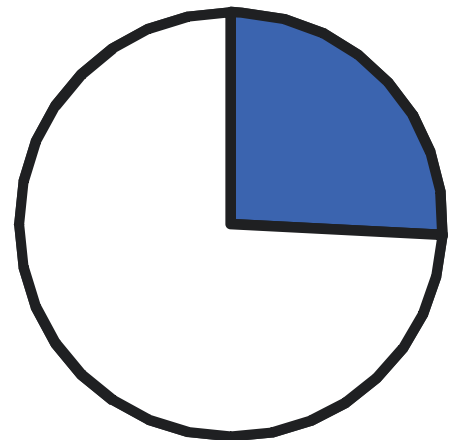
## Seasonal occurrence of Hepatitis E vir

Summer  
2010



17 / 31

Winter  
2010 - 2011



8 / 31

**Highlights**

- ▶ Hepatitis E virus (HEV) was searched in raw and treated wastewater in Switzerland.
- ▶ HEV was found frequently in influent wastewater, but was undetectable in effluent.
- ▶ HEV occurrences in wastewater are more frequent in summer (seasonal pattern).
- ▶ HEV found in wastewater does not seem to be produced by swine.
- ▶ HEV frequencies and concentration are lower than those of adenovirus and norovirus.

1 High occurrence of Hepatitis E virus in samples from wastewater treatment plants in Switzerland  
2 and comparison with other enteric viruses.

3

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20

**21 Abstract**

22 Hepatitis E virus (HEV) is responsible for many enterically transmitted viral hepatitis around the  
23 world. It is currently one of the waterborne diseases of global concern. In industrialized countries,  
24 HEV appears to be more common than previously thought, even if it is rarely virulent. In  
25 Switzerland, seroprevalence studies revealed that HEV is endemic, but no information was  
26 available on its environmental spread. The aim of this study was to investigate –using qPCR– the  
27 occurrence and concentration of HEV and three other viruses (norovirus genogroup II, human  
28 adenovirus-40 and porcine adenovirus) in influents and effluents of 31 wastewater treatment  
29 plants (WWTPs) in Switzerland. Low concentrations of HEV were detected in 40 out of 124 WWTP  
30 influent samples, showing that HEV is commonly present in this region. The frequency of HEV  
31 occurrence was higher in summer than in winter. No HEV was detected in WWTP effluent  
32 samples, which indicates a low risk of environmental contamination. HEV occurrence and  
33 concentrations were lower than those of norovirus and adenovirus. The autochthonous HEV  
34 genotype 3 was found in all positive samples, but a strain of the non-endemic and highly  
35 pathogenic HEV genotype I was isolated in one sample, highlighting the possibility of  
36 environmental circulation of this genotype. A porcine fecal marker (porcine adenovirus) was not  
37 detected in HEV positive samples, indicating that swine are not the direct source of HEV present  
38 in wastewater. Further investigations will be necessary to determine the reservoirs and the routes  
39 of dissemination of HEV.

40

**41 Keywords**

42 Hepatitis E, HEV, norovirus, adenovirus, wastewater, qPCR

43

## 44 **1. Introduction**

45 Many waterborne diseases, like gastroenteritis or hepatitis, are caused by viruses and are a major  
46 threat to public health (Bosch et al., 2008). Human viruses such as adenovirus type 40 (HAdV-40)  
47 and noroviruses (NoV) genogroup I (GGI) and genogroup II (GGII) are commonly found in  
48 wastewater due to fecal excretion. Wastewater is treated physically, chemically, and biologically  
49 in wastewater treatment plants (WWTPs) in order to eliminate or reduce contaminants before the  
50 release of environmentally safe water. Fecal pollution of environmental water is a major health  
51 concern since environmental waters are used for drinking water supply and food production.  
52 Moreover, released viruses might reach diverse food items such as vegetables, fruits and raw  
53 shellfish (Bosch et al., 2008). Some viruses, like HAdV-40 and NoV, are good fecal indicators for  
54 evaluating the microbiological quality of environmental water, since they are excreted in high  
55 concentrations and are persistent in environmental water (Roslev and Bukh, 2011). Furthermore,  
56 viruses can be used to track the sources of fecal contamination (Roslev and Bukh, 2011). It is  
57 possible to distinguish between human and animal sources of pollution, since many human and  
58 animal viruses have a very narrow host spectrum. For example, HAdV-40, bovine adenovirus  
59 (BAdV) and porcine adenovirus (PAdV) are good indicators for determining the source of fecal  
60 contamination (Hundesda et al., 2006).

61

62 Hepatitis E is a waterborne disease responsible for over 50% of acute viral hepatitis cases in  
63 endemic countries (Dalton et al., 2008; Meng, 2010). The disease is caused by the hepatitis E virus  
64 (HEV), which is a non-enveloped positive-strand RNA virus (Dalton et al., 2008; Meng, 2010). HEV  
65 infection in humans can be caused by 4 genotypes (GI, GII, GIII and GIV) resulting in a single  
66 serotype (Dalton et al., 2008; Meng, 2010). Epidemics occur in countries with poor sanitation  
67 systems (Asia, Africa, Middle East and Mexico) and are due to GI and GII (Dalton et al., 2008;  
68 Meng, 2010). GI is a hyper-virulent genotype, responsible for most of the large outbreaks (Dalton  
69 et al., 2008; Meng, 2010; Bose et al., 2011). Furthermore, GI strongly affects pregnant woman by

70 causing fulminant hepatic failure, which can lead to the death of both mother and child (Bose et  
71 al., 2011). For a long time, HEV was considered non-endemic in industrialized countries as only  
72 sporadic travel-associated cases were reported (Purcell and Emerson, 2008). However, the  
73 increasing number of autochthonous cases and the high seroprevalence reported in certain  
74 countries indicated that HEV is actually endemic to these countries (Purcell and Emerson, 2008).  
75 These autochthonous cases are due to GIII in most industrialized countries and to GIV in Eastern  
76 Asia (Purcell and Emerson, 2008; Lewis et al., 2010; Colson et al., 2012). Whereas GI and GII are  
77 restricted to humans, GIII and GIV have a wider host range within mammals and their main  
78 reservoir is suspected to be pigs and wild boar (Lewis et al., 2010; Meng, 2010; Rose et al., 2011;  
79 Wacheck et al., 2012). Hepatitis E has received ever more attention in recent years and is now  
80 considered an emerging problem. Its success in spreading may illustrate weaknesses in water  
81 management systems or food processes related to pork.

82

83 Studying the occurrence of enteric pathogens in influents at WWTP provides an efficient overview  
84 of the presence of these pathogens in the population. HEV has been detected in WWTPs in France  
85 (Clemente-Casares et al., 2003), Italy (La Rosa et al., 2010) and Spain (Clemente-Casares et al.,  
86 2009; Rodriguez-Manzano et al., 2010). The presence of the non-endemic GI in wastewater was  
87 recently reported in Spain and Italy (Clemente-Casares et al., 2009; La Rosa et al., 2010). HEV  
88 seroprevalence rates in populations from industrialized countries are usually relatively low (i.e.  
89 ranging from 1% to 5%) in comparison to those in developing countries, where rates from 15% to  
90 60% have been reported (Dalton et al., 2008). Seroprevalence rates exceeded 20% in some  
91 regions within the USA (Thomas et al., 1997; Meng et al., 2002) and Japan (Li et al., 2000),  
92 showing that seroprevalence rates can reach locally unexpected higher values. However,  
93 comparison of seroprevalence between regions is problematic due to a lack of standardised  
94 serological tests (Bendall et al., 2010). In Switzerland, two blood donor studies reported HEV  
95 seroprevalence of 3.2% and 4.9% respectively (Lavanchy et al., 1994; Kaufmann et al., 2011).

96 Furthermore, 26 cases of asymptomatic HEV seroconversion were recorded in a cohort of 667  
97 workers including 332 WWTP workers in 5 years (Tschopp et al., 2009). Since these infections  
98 were asymptomatic, it was hypothesized that the workers were infected by the low pathogenic  
99 HEV GIII. However, neither the genotype involved in these seroconversions, nor the source of  
100 infection, could be determined accurately.

101

102 The present study investigated the occurrence and the concentration of HEV in the influents and  
103 effluents of 31 WWTPs located in the same area as the above mentioned cohort study (Jeggli et  
104 al., 2004; Tschopp et al., 2009). The objectives were to assess the environmental circulation of  
105 HEV in Switzerland and to determine whether HEV GI is present in wastewater. As points of  
106 comparison, the occurrence and concentration of two human viruses, HAdV-40 and NoV-GGII,  
107 were assessed. PAdV, a porcine fecal marker, was searched in order to evaluate whether any  
108 detected HEV might be of porcine origin.

109

## 110 **2. Materials and methods**

### 111 **2.1. Sampling site selection**

112 Thirty-one municipal WWTPs were selected within the Canton of Zurich in Switzerland (about 1.39  
113 million inhabitants; 1,729 km<sup>2</sup>). All WWTPs comprise a cleaning and an activated sludge step  
114 ("Zurich WWTP website," 2013). The selection was made using the following criteria. First,  
115 WWTPs where a seroconversion in workers had been ascertained in the recent cohort study on  
116 hepatitis E incidence (Tschopp et al., 2009) were included. Second, the WWTP servicing Zurich's  
117 international airport was included because international travelling increases the probability of the  
118 occurrence of genotypes GI and GII. Third, WWTPs where occupational hygiene measurements  
119 had been taken in a previous study (Oppliger et al., 2005; Daneshzadeh Tabrizi et al., 2010) were  
120 included. Finally, further WWTPs were selected to represent a well-balanced sample of the whole  
121 canton. The final sample included 6 very large (> 50,000 inhabitants and inhabitant-equivalents),



122 12 large (10,000–50,000 inhabitants and inhabitant-equivalents) and 13 small WWTPS (2,000–  
123 10,000 inhabitants and inhabitant-equivalents). Very small WWTPs (< 2,000 inhabitants and  
124 inhabitant-equivalents) were not included, but there was always a larger WWTP in the same area.  
125 A total of 247 pig farms housing about 43,000 pigs were recorded in the Canton of Zurich  
126 (“Federal Office of Statistics,” 2013). These WWTPs treat only household sewage and farmers are  
127 not allowed to use these sewer systems to eliminate animal sewage. The processes used to  
128 eliminate animal sewage are diverse (production of biogas, spreading on fields as a fertilizer...).

129

## 130 2.2. Sample collection

131 Both in 2010 and 2011, we collected one summer sample (defined as June to August) and one  
132 winter sample (defined as November to January) from each WWTP. Each seasonal collection  
133 campaign lasted four weeks. At each WWTP, 24-hour composite samples of both influent and  
134 effluent were collected in parallel using sterile plastic bottles. The 248 samples collected were  
135 stored at 4°C for up to 12 h, then frozen at -20°C and stored at -80°C for no more than 40 days.  
136 Before concentration, samples were allowed to slowly liquefy at 4°C.

137

## 138 2.3. Generation of standard curve, calculation of virus concentration, and 139 controls

140 Standards were prepared from plasmids (pGEM-T cloning vector, Promega, Switzerland)  
141 containing corresponding PCR products. DNA was quantified by spectrophotometry using a  
142 Nanodrop ND-1000 spectrophotometer (Thermo Fisher Scientific, Switzerland), and 10-fold serial  
143 dilutions, ranging from  $10^6$  to 1 genome equivalent (GE) copies/ $\mu\text{L}$ , were prepared for each  
144 plasmid. The sets of serial dilutions were used to confirm the specificity and the efficiency of the  
145 assays, to generate the standard curves, and to establish the limits of quantification (LOQ) and the  
146 limits of detection (LOD). Based on these standard curves and dilution calculations, all qPCR  
147 assays were converted from GE copies/reaction to GE copies/L.

148 Three duplex qPCR assays were developed to allow simultaneous detection of viruses: NoV-  
149 GGII/RYMV and HEV/RYMV for RNA viruses, and HAdV-40/PAdV for DNA viruses. The reaction  
150 efficiencies were measured on serial 10-fold dilution mixtures of 2 virus amplicons cloned in  
151 pGEM-T as described for the monoplex assays. Cross-reactivity between the assays in duplex was  
152 evaluated by comparing the amplification of the target in single-plasmid solution and in multiple  
153 plasmid solution.

154

#### 155 2.4. Virus control

156 To ensure that every sample had been treated appropriately to allow detection of target viruses,  
157 we used the Rice Yellow Mottle Virus (RYMV) isolate CI116 as an internal positive control. RYMV is  
158 a plant pathogen present mainly in Africa and Asia, but absent from Europe (Kouassi et al., 2005).  
159 This virus is very resistant in the environment and is structurally similar to HEV (no envelope, one  
160 single-strand RNA with positive polarity). Preliminary experiments showed that seeded RYMV is  
161 efficiently recovered from wastewater (data not shown). Virus stock solutions were kindly  
162 provided by Jean-Paul Brizard (IRD Montpellier) and were quantified by qPCR. An amount of  $2 \times$   
163  $10^6$  GE copies of RYMV was used to spike each sample. The quality of each sample was assessed  
164 by the efficient amplification of RYMV. The sample validation threshold was  $4 \times 10^5$  GE copies of  
165 RYMV. Samples with an amplification of spiked RYMV under the threshold were reanalyzed or not  
166 considered.

167

#### 168 2.5. Virus concentration from water samples

169 Viruses were concentrated from water samples either by a membrane filtration procedure  
170 adapted to HEV (method used in first year) or using a direct polyethylene glycol precipitation  
171 (method used in second year). The membrane filtration procedure was based on the Viradel  
172 method (Eaton and Franson, 2005). Briefly, 500 mL of cold water samples under agitation were  
173 supplemented with 50 mM  $MgCl_2$  and adjusted to a pH of 3.5 with HCl. Water was filtered

174 through a glass fiber pre-filter (AP20, Millipore, Switzerland) and an electronegative nitrocellulose  
175 membrane (HA, Millipore, Switzerland) at 50 mL/min. Filters were washed with cold 0.05 M  
176 glycine, 1.5% beef extract, pH=9.5. Filter surfaces were scratched and a bath sonication treatment  
177 was applied for 5 min. Eluates were neutralized with diluted HCl and centrifuged at 2500g for 5  
178 min at 4°C. The supernatant was spiked with RYMV and precipitated with PEG as described below.  
179 The pellet was resuspended in 460 µL of PBS. Nucleic acids were directly extracted from 140 µL of  
180 this suspension.

181

182 For the direct precipitation method, influent and effluent water samples were concentrated using  
183 polyethylene glycol as described previously (Lewis and Metcalf, 1988) with the following  
184 modifications. Briefly, 90 mL water samples were spiked with RYMV and clarified by  
185 centrifugation in a swing-bucket rotor at 2500g for 5 min at 4°C. The liquid was carefully  
186 recovered without disturbing the pellet and 30 mL of a stock solution of 32% PEG<sub>8000</sub> and 1.2 M  
187 NaCl were added to the recovered liquid. PEG precipitation was achieved by a short, vigorous  
188 shaking followed by incubation for 16 h in ice. The solutions were then centrifuged at 10000g for  
189 30 min at 4°C in a fixed-angle rotor. The pellet was drained from most of the supernatant and  
190 directly treated with 560 µL of lysis buffer (AVL buffer, Qiagen, Switzerland) to start nucleic acid  
191 extraction.

192

## 193 2.6. Evaluation of the efficiency of the virus concentration methods.

194 The recovery efficiency of the filtration method was evaluated by spiking raw wastewater samples  
195 (n=3) with HEV ( $5 \times 10^5$  GE copies). Spiked samples were concentrated by filtration and  
196 quantification was performed by qPCR after reverse transcription (RT). Using this approach, the  
197 LOQ was established at  $5.02 \times 10^4$  GE copies/L. The recovery efficiency of the PEG precipitation  
198 method was determined by spiking water samples (n=5) with known quantities of HEV ( $5 \times 10^5$  GE

199 copies) and RYMV ( $2 \times 10^6$  GE copies). Spiked samples were precipitated with PEG and nucleic  
200 acids were extracted from the pellets. HEV and RYMV were quantified by qPCR after RT.

201

## 202 2.7. Extraction of viral nucleic acid

203 RNA and DNA were extracted together from concentrated samples with the QIAamp Viral RNA  
204 mini kit (Qiagen, Switzerland) using the manufacturer's protocol. After elution, an additive  
205 ethanol precipitation cleaning step was carried out on the samples, using Glycoblue (Ambion,  
206 Switzerland) as a co-precipitant. The nucleic acids were finally suspended again in 60  $\mu$ L of AVE  
207 buffer and stored at  $-20^\circ\text{C}$  until use.

208

## 209 2.8. Reverse transcription

210 Reverse transcription was carried out using the Superscript III first-strand synthesis system for RT-  
211 PCR (Life Technologies, Switzerland) and a mixture of reverse primers priming towards the  
212 particular RNA viruses to be detected (Table S1). The 20  $\mu$ L reaction mix included 10  $\mu$ L of RNA  
213 solution and was prepared as per the manufacturer's protocol, using RNAsin (Promega,  
214 Switzerland) as the RNase inhibitor. The reaction was incubated for 60 min at  $50^\circ\text{C}$  and heat-  
215 inactivated at  $70^\circ\text{C}$  for 15 min. The cDNAs were finally diluted to 100  $\mu$ L with TE 0.1X. No  
216 difference of RT efficiency was detected when using a single reverse primer or a mixture of  
217 reverse primers in the reaction mix.

218

## 219 2.9. qPCR assay

220 Each reaction was performed on 5  $\mu$ L of nucleic acid solution with the qPCR core kit (No ROX, with  
221 dUTP, Eurogentec, Switzerland) as per the manufacturer's protocol. All reactions were performed  
222 in a RotorGene-3000 (Corbett Research/Qiagen, Switzerland) using the following profile: digestion  
223 with uracil-*N*-glycosylase at  $50^\circ\text{C}$  for 2 min; initial denaturation at  $95^\circ\text{C}$  for 10 min; 45 cycles of 15  
224 s denaturation at  $95^\circ\text{C}$ ; and 30 s annealing and extension at  $60^\circ\text{C}$ . Each sample was analyzed in

225 triplicate and the corresponding mean was reported. No template controls were included in each  
226 run. We followed good laboratory practices strictly and took all necessary standard precautions to  
227 prevent PCR contamination (separate working areas and specific material for extraction,  
228 preparation and amplification of samples). Quantitative data were obtained with RotorGene  
229 software version 6.1.93 and were subsequently analyzed using custom-designed Excel  
230 spreadsheets using the standard curve equation as a reference for the quantification. A  
231 normalized fluorescence signal (Cq value) was considered to be positive when it was above the  
232 threshold for Cq determination defined for the standard curve.

233

#### 234 2.10. Nested PCR for detection of HEV GI or HEV GIII

235 Nested PCR was performed with a set of primers allowing specific amplification of HEV GI (La Rosa  
236 et al., 2010). The reverse internal primer was modified to take into account the variability of HEV  
237 GI in this region (Table S1). The first reaction was carried out on 5  $\mu$ L of cDNA in a total volume of  
238 50  $\mu$ L containing *Pfu* PCR buffer 1 $\times$  (Promega, Switzerland), 200  $\mu$ M of each deoxynucleotide  
239 (dATP, dCTP, dGTP, dTTP), 0.4  $\mu$ M of each external primer, and a combination of polymerases-1 U  
240 of *Taq* polymerase (Promega, Switzerland) and 0.2 U of *Pfu* polymerase (Promega, Switzerland) to  
241 achieve efficient amplification at low error rate. PCR amplification included: an initial  
242 denaturation step at 94°C for 1 min; followed by 35 cycles of denaturation at 94°C for 45 s; primer  
243 annealing at 50° C for 45 s; and an extension step at 72°C for 1 min; and then a final extension  
244 step at 72°C for 5 min. A second round of amplification was performed similarly to the first PCR,  
245 using the internal primers and 0.5  $\mu$ L of the first PCR product. PCR products were identified by  
246 electrophoresis in 1.5% agarose gels and stained by ethidium bromide. Positive PCR samples were  
247 confirmed by direct sequencing. Strict precautions were taken to avoid cross-contamination, as  
248 described above. HEV GIII was detected with the same protocol using 3 GIII-specific primers to  
249 allow efficient detection (Table S1).

### 250 **3. Results**

#### 251 3.1. Validation of the qPCR assays

252 With the exception of the qPCR assay to amplify the internal positive control RYMV, the specificity  
253 and efficiency of the qPCR assays have been previously described (Table S1 and references  
254 therein). We evaluated the ability of the different qPCR assays to efficiently amplify their targets  
255 under our conditions. Reaction efficiencies and specificity were confirmed for all qPCR assays  
256 (Supplemental Table S2).

257 Three duplex assays were developed for the detection of viruses: NoV-GGI/RYMV, HEV/RYMV,  
258 and HAdV-40/PAdV. Duplex qPCRs showed equivalent reaction efficiencies to the corresponding  
259 monoplex qPCR (Supplemental Table S2). Furthermore, no cross-reactivity was observed for any  
260 duplex assay combination.

261

#### 262 3.2. Evaluation of the membrane filtration and PEG precipitation methods for 263 virus recovery from water samples

264 The first method, based on a membrane filtration of HEV-spiked samples, showed a mean  
265 recovery efficiency of 30% (n=3) and ranged from 12% to 45%. The second method was evaluated  
266 based on a direct PEG precipitation of clarified wastewater samples. The recovery efficiency for  
267 HEV had a mean of 39% (n= 5) and ranged from 25% to 53%. For RYMV, the recovery efficiency  
268 ranged from 58% to 71% with a mean recovery efficiency of 66% (n=5).

269 As the PEG precipitation method could lead to the concentration of enzymatic inhibitors, the  
270 effect of such compounds on PCR and RT efficiency was evaluated. Compared to the spiked  
271 distilled water sample, PCR efficiency was reduced to 71% and 81% in influent and effluent water  
272 samples respectively (Table S3). RT efficiency was reduced to 79% for influent water samples  
273 (Table S4).

274

### 275 3.3. Occurrence and concentrations of HEV in influent wastewater

276 HEV was detected in 17 samples from summer 2010, 8 samples from winter 2010-2011, 14  
277 samples from summer 2011 and 1 sample from winter 2011-2012 (Fig. 1). HEV occurrence in  
278 summer was significantly higher than in winter (Marascuillo procedure,  $p < 0.05$ , Fig. 1). The  
279 presence of the virus in wastewater was variable since not one WWTP was positive in all 4  
280 successive samplings and the majority of WWTPs had a single occurrence. Only 7 of the WWTPs  
281 did not test positively for HEV at all over the two consecutive years. There is no difference of HEV  
282 occurrence between the size categories of the WWTP with 44.4% (8/18) of large WWTP ( $> 10'000$   
283 inhabitants) positive compared to 46.1% (6/13) of small WWTP ( $< 10'000$  inhabitants), (Pearson  
284 Chi-square = 0.009,  $df = 1$ ,  $p = 0.9$ ). The overall HEV concentration in the study was low since  
285 values under the LOQ were reported for every sample but one. Therefore, the concentration of  
286 HEV in wastewater was only determined in this single sample from summer 2011. A concentration  
287 of  $7.81 \times 10^4$  GE copies/L was found for this sample (Table 1).

288

### 289 3.4. Occurrence and concentrations of human and porcine fecal virus in influent 290 wastewater

291 NoV-GGII was detected in 30 summer samples and 30 winter samples (Table 1). Quantification  
292 was possible for 21 summer samples and their concentrations ranged from  $7.40 \times 10^4$  to  $3.73 \times$   
293  $10^6$  GE copies/L. In 22 winter samples, concentrations ranged from  $1.22 \times 10^4$  to  $9.99 \times 10^5$  GE  
294 copies/L. HAdV-40 was detected in 30 summer samples and 31 winter samples (Table 1). In 26  
295 summer samples, HAdV-40 concentrations ranged from  $1.88 \times 10^4$  to  $6.67 \times 10^6$  GE copies/L.  
296 Twenty-four winter samples were quantifiable and showed concentrations ranging from  $1.12 \times$   
297  $10^4$  to  $1.43 \times 10^6$  GE copies/L. The PAdV was not detected in summer, although 2 samples showed  
298 traces of the virus in winter.

299

### 300 3.5. Detection of HEV genotype I in influent wastewater

301 To determine whether HEV GI is present in wastewater, a GI-specific semi-nested PCR was  
302 performed on the HEV positive samples identified by qPCR. Only one sample produced a positive  
303 221-bp PCR band, which was isolated and sequenced. This sample corresponded to a very large  
304 WWTP (ARA27, Table 1). The new sequence was submitted to the Basic Local Alignment Search  
305 Tool (BLAST) web server (US National Centre for Biotechnology Information) to search for near  
306 identical sequences. The result revealed that the most closely related sequence (98% identity)  
307 was a HEV genotype I strain isolated from Nepal (Genbank HM641296, Fig. S1). Alignment with  
308 the corresponding 221-bp region of selected HEV strains of all genotypes showed that this new  
309 sequence belongs to GI group of HEV strains (phylogenetic tree, Fig. 2).

310

### 311 3.6. Occurrence of viruses in WWTP effluents wastewater

312 We searched for HEV in effluent samples from WWTPs which had HEV positive influent samples  
313 (14 summer samples and 1 winter sample). As a control, 10 randomly selected effluent samples  
314 for which influent samples were negative for HEV were also included in the analysis. None of  
315 these samples was positive for HEV. The NoV-GGII concentrations were evaluated in effluent  
316 samples from WWTPs for which quantifiable virus loads were found in influent samples (21  
317 summer samples and 22 winter samples). For most samples, NoV-GGII concentrations from  
318 influent to effluent were reduced under the LOQ ( $1.86 \times 10^4$  GE copies/L) (Table 2). Only 2  
319 summer and 3 winter effluent samples were above the LOQ (Table 2). However, traces of NoV-  
320 GGII were still detected in 7 summer and 11 winter effluent samples. The HAdV-40 concentrations  
321 were evaluated in effluent samples from WWTPs for which quantifiable HAdV-40 loads were  
322 found in influent samples (26 summer samples and 24 winter samples). All but 3 effluent samples  
323 were positive for HAdV 40 (Table 2). Among these, 13 summer and 5 winter samples displayed  
324 quantifiable HAdV-40 levels. (Fig. 3).

325



#### 326 4. Discussion

327 These results clearly demonstrate the presence of HEV in the Canton of Zurich, as previously  
328 hypothesized by studies on HEV seroprevalence (Jeggli et al., 2004; Tschopp et al., 2009). We  
329 showed a 32% (40/124) HEV occurrence in WWTP influent samples, with a significantly higher  
330 occurrence in summer than in winter. This occurrence is similar to that observed in Spain  
331 (Rodriguez-Manzano et al., 2010) and higher than that reported from WWTPs in Italy (La Rosa et  
332 al., 2010). However, HEV quantification was only possible for one sample since virus  
333 concentrations were too low in all the others. The calculated concentration was in the same range  
334 as those found in Spain:  $1 \times 10^4$  GE copies/L to  $1 \times 10^5$  GE copies/L (Rodriguez-Manzano et al.,  
335 2010).

336

337 Untreated wastewater contains many infectious agents and the safety of WWTP workers has  
338 been of interest for many years. The study by Tschopp et al. (2009) showed that there was no  
339 difference in the rates of HEV seroconversion between workers exposed to wastewater and  
340 unexposed workers. Our results confirm that concentrations of HEV circulating in wastewater are  
341 quite low compared to concentrations of HAdV-40 and NoV-GII – viruses which were found in  
342 nearly all samples. In consequence, under the exposure conditions found in this study WWTP  
343 workers' risk of exposure to HEV GIII is likely to be limited and comparable to the risk in the  
344 general population. This conclusion is in line with the results of the cohort study carried out in the  
345 same region (Jeggli et al., 2004; Tschopp et al., 2009). However, the risk of HEV infection for  
346 individuals is difficult to assess since the infectious dose and the routes of transmission are not  
347 clearly defined.

348

349 The concentration of infectious HEV particles in raw wastewater is probably lower than the  
350 concentration of particles detected by qPCR since particles may be damaged by wastewater plant  
351 treatments. It is difficult to assess the viability of HEV particles since the virus is refractory to *in*

352 *vitro* culture methods. However, infectious viral particles can survive wastewater treatment as  
353 demonstrated by HAdV and other viruses (Calgua et al., 2011; Simmons and Xagorarakis, 2011). In  
354 addition, some particles might not be recovered or might be damaged by the concentration  
355 process. New methods with high recovery efficiency, low LOQ and preservation of the particles  
356 still need to be developed (Connell et al., 2012). During our study's first year we used the  
357 membrane filtration method to concentrate viruses from wastewater samples. However, some  
358 influent wastewater samples were significantly turbid or contained particles that clogged the  
359 double filter, requiring the continuous intervention of the experimenter. We tested the direct PEG  
360 precipitation method to avoid clogging problems and to allow time-efficient processing of the  
361 samples. This method, described previously (Lewis and Metcalf, 1988), has been used to  
362 efficiently recover viruses from water samples (Aw and Gin, 2010; Tong et al., 2011). Moreover,  
363 many virus species can be concentrated at the same using this method. Compared to the  
364 membrane filtration method, the direct PEG precipitation method is more adapted to raw  
365 wastewater samples, which have high turbidity and variable composition. Our comparison of the  
366 2 methods showed that both methods have similar HEV recovery efficiencies. Since the PEG  
367 precipitation method was highly more practical than the membrane filtration method, with no  
368 interference on the results, we used it during our second year of study.

369

370 The WWTPs included in our study all used activated sludge treatment, but they varied in size,  
371 structural organization and location. Our objective was not to determine the virus removal  
372 capabilities of WWTPs, but rather to evaluate the possibility of virus release from those WWTPs to  
373 environmental water. HEV was not detected in any effluent samples, which is in agreement with  
374 the low concentrations detected in influent samples. However, we cannot completely rule out the  
375 possibility of HEV release into environmental water since the detection method cannot detect  
376 concentrations lower than  $6.50 \times 10^4$  GE copies/L. Although wastewater treatment processes  
377 efficiently reduced the concentrations of NoV-GGII in most samples, the presence of NoV-GGII

378 was still detected in 9 summer and 14 winter effluent samples. Furthermore, 2 summer and 3  
379 winter effluent samples showed a NoV-GGII concentration higher than the LOQ ( $1.86 \times 10^4$  GE  
380 copies/L). Other studies have reported the frequent release of NoV-GGII in WWTP effluent  
381 (Katayama et al., 2008; Hewitt et al., 2011; Simmons and Xagorarakis, 2011). Although  
382 concentrations of HAdV-40 were reduced in many of them, the virus persisted in effluent samples.  
383 This result is explained by the highly resistant properties of this virus (Thurston-Enriquez et al.,  
384 2003).

385

386 In this study, we observed that the occurrence of HEV in wastewater is significantly higher in  
387 summer than in winter. This seasonal difference could depend on many factors, such as particle  
388 stability, environmental conditions or outbreaks. The possible influence of incoming water flow is  
389 unlikely, since there is no remarkable difference in flow between the seasons (Head of Zurich  
390 WWTPs, pers. comm.). It is noteworthy that medical studies of HEV infection have never revealed  
391 a seasonal pattern. Since HEV GIII usually causes an asymptomatic infection, it is possible that  
392 most cases of HEV remain not diagnosed. We also found that NoV-GGII and HAdV-40 were  
393 present in almost all influent water samples at high, stable concentrations (Table 1), as little  
394 variation was observed between the 2 seasons. HAdV-40 is known to be widespread in the  
395 European population, where it can cause outbreaks of gastroenteritis, mostly in children during  
396 winter. After infection, HAdV-40 excretion by the host can last from months to years (Jiang, 2006)  
397 and the consequent lack of a seasonal pattern for this virus in wastewater has been confirmed by  
398 several studies (Jiang, 2006; Katayama et al., 2008). NoV-GGII is also common in the European  
399 population and is frequently responsible for winter gastroenteritis outbreaks (Glass et al., 2009).  
400 Our study in Switzerland clearly shows that NoV-GGII is present in wastewater in both winter and  
401 summer, without any noteworthy variation. Other studies have found that NoV-GGII is present in  
402 wastewater year-round, with higher concentrations in winter and lower concentrations in  
403 summer (Katayama et al., 2008; Nordgren et al., 2009). Since NoV-GII outbreaks mainly occur in

404 the cold season and the typical shedding time is up to 8 weeks, further investigations are required  
405 to understand the dynamics of NoV-GGII persistence in population.

406

407 In industrialized countries, most cases of HEV infection are due to the autochthonous zoonotic  
408 GIII and GIV variants whose reservoir might be swine (Lewis et al., 2010; Meng, 2010; Rose et al.,  
409 2011; Wacheck et al., 2012). In theory, swine manure is kept completely separate from  
410 wastewater, but hypothetical dysfunctions or accidental contaminations cannot be absolutely  
411 eliminated. Absence of the porcine fecal marker (i.e. PAdV) in our HEV-positive wastewater  
412 samples indicates that HEV was unlikely excreted by swine.

413

414 Medical cases of HEV GI are not frequent in Europe since this genotype is non-endemic to the  
415 region. However, the present study did detect GI in one sample, showing that its occurrence in  
416 wastewater, although very rare, is still possible. Interestingly, studies in non-endemic Italy and  
417 Spain, also showed the presence of GI in wastewater (Clemente-Casares et al., 2009; La Rosa et  
418 al., 2010). Overall, these results show that GI can be detected in wastewater produced in  
419 industrialized countries. It is assumed that GI released in wastewater is due to people who have  
420 recently travelled to a GI-endemic country. This assumption is confirmed by the alignment of our  
421 detected GI sequence—with a HEV strain originating from Nepal (Fig. 2 and S1). However, it is not  
422 known if HEV GI present in wastewater can spread into the environment and infect a new host.  
423 Follow-up studies are necessary, especially in the light of unknown reservoirs for HEV in  
424 industrialized countries.

425

426

427 **5. Conclusions**

- 428 • HEV is present frequently but at low concentrations in raw wastewater in the Canton of  
429 Zurich in Switzerland, indicating that HEV is common in the population of the area  
430 studied.
- 431 • There was no evidence of HEV release from WWTPs into environmental water.
- 432 • HEV frequency depends on the season, with higher frequencies of HEV detection in  
433 summer. The seasonal character of HEV occurrence has not been previously described  
434 and requires further investigation to understand its causes.
- 435

**436 Author contributions**

437 FGM conceived the experiments and analyzed the data. DG organized and carried out the  
438 sampling. FGM and DSB performed the research. FGM, AO and PH designed the project and wrote  
439 the manuscript.

440

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445 Ipozet for his gift of a HEV genotype 3 stock, Dr. Giuseppina La Rosa for providing HEV genotype I  
446 cloned DNA, and Stephanie Héritier and Dennis Thonney (WWTP Lausanne) for their help in this  
447 project. We also very much thank the workers and the head of the Canton of Zurich's sewage  
448 plants for their constant support.

449

**450 Appendix. Supplementary material**

451 Table S1 – List of primers used in this study

452 Table S2 – qPCR assay characteristics

453 Table S3 – Detection of PCR inhibitors by measurement of the amplification efficiency of spiked  
454 DNA in WWTP water samples and in distilled water samples

455 Table S4 – Detection of PCR inhibitors by measuring the amplification efficiency of a spiked DNA in  
456 WWTP samples and in distilled water samples

457 Figure S1 – Alignment of the sequence of WWTP influent sample GI with the closest match in

458 NCBI

459

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462 enteric viruses in tropical urban wastewaters. *Journal of applied microbiology* 109 (2), 716–  
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576 [serreinigungsanlagen.html](http://www.awel.zh.ch/internet/audirektion/awel/de/betriebe_anlagen_baustellen/abwas)

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578 **Figure legends**

579 Fig. 1 – Results of HEV detection in influent samples. Selected WWTPs were randomly labeled  
580 with an identifier ranging from ARA01 to ARA31. A total of 31 influent samples were analyzed for  
581 each season. Different letters indicate significant differences ( $p < 0.05$ ) between seasonal  
582 proportions according to multiple comparisons of proportions (Marascuillo procedure).

583

584 Fig. 2 – Phylogenetic tree of HEV strains. Analysis was based on a 221-bp region of HEV genome.  
585 The new sequence GI from a positive WWTP influent (named "WWTP influent sample") and a  
586 subset of HEV sequences of all genotypes were included in this analysis. Sequences were aligned  
587 using MAFFT (Kato and Standley, 2013) and the corresponding unrooted phylogenetic tree was  
588 generated with Jalview (Waterhouse et al., 2009). Known sequences are labeled with Genbank  
589 accession, country of origin, genotype, and start and final positions used for the alignment. The  
590 location of the new sequence GI in the phylogenetic tree is indicated with an arrow.

591

592 Fig. 3 – Concentration of HAdV-40 in influent and effluent water samples. Results are expressed as  
593  $\log_{10}$  virus GE per liter. Box plots are generated with influent and effluent concentrations from  
594 WWTPs where both influent and effluent samples were quantifiable (WWTPs in summer  $n = 13$ ,  
595 WWTPs in winter  $n = 5$ ).

596

Table 5 – Detection and concentration of viruses in influent samples.

Sampling sites	HEV		NoV-GGII		HAdV-40		PAdV	
	summer	winter	summer	winter	summer	winter	summer	winter
ARA01			$1.96 \times 10^5$	+	$2.67 \times 10^4$	$5.62 \times 10^5$		
ARA02	+		$3.79 \times 10^5$	$8.78 \times 10^4$	$7.50 \times 10^5$	$1.17 \times 10^6$		
ARA03	+		$3.86 \times 10^5$	$3.36 \times 10^5$	$5.18 \times 10^5$	$1.43 \times 10^6$		
ARA04			$4.10 \times 10^5$	$9.99 \times 10^5$	$1.46 \times 10^5$	$5.40 \times 10^5$		
ARA05	+		$1.73 \times 10^5$	$1.16 \times 10^5$	$1.88 \times 10^4$	$3.83 \times 10^4$		
ARA06	+		$6.62 \times 10^5$	$9.99 \times 10^4$	+	+		
ARA07	+		+	$5.13 \times 10^4$	$1.78 \times 10^6$	+		
ARA08			+	$4.09 \times 10^5$	$1.00 \times 10^5$	$4.79 \times 10^5$		
ARA09			$1.35 \times 10^5$	$1.97 \times 10^5$	$3.06 \times 10^4$	+		
ARA10	+		+	+	$1.67 \times 10^5$	$1.96 \times 10^4$		+
ARA11	+		$6.50 \times 10^5$	$1.28 \times 10^5$	$1.39 \times 10^5$	$4.78 \times 10^5$		
ARA12		+	+	+	$4.21 \times 10^4$	$2.19 \times 10^4$		
ARA13	+		$7.40 \times 10^4$	$5.83 \times 10^4$	+	$3.66 \times 10^5$		
ARA14	+		$5.60 \times 10^5$	$2.82 \times 10^5$	$5.33 \times 10^5$	$4.07 \times 10^5$		
ARA15				$1.24 \times 10^4$	$3.63 \times 10^5$	$2.52 \times 10^5$		
ARA16	+		$1.77 \times 10^5$	$7.83 \times 10^4$	+	$7.65 \times 10^5$		
ARA17	+		$1.53 \times 10^5$	+	$4.45 \times 10^5$	$1.96 \times 10^4$		
ARA18			+	$2.63 \times 10^4$	$7.55 \times 10^4$	$1.95 \times 10^5$		
ARA19			$8.75 \times 10^4$		$2.06 \times 10^4$	$1.56 \times 10^4$		
ARA20	+		+	+	$1.45 \times 10^5$	+		
ARA21			$1.83 \times 10^5$	$2.91 \times 10^4$	$6.67 \times 10^6$	+		
ARA22			$3.06 \times 10^5$	$3.63 \times 10^4$	$8.56 \times 10^5$	$1.48 \times 10^5$		
ARA23			$3.73 \times 10^6$	+		+		
ARA24			$1.16 \times 10^5$	$5.88 \times 10^4$	$3.07 \times 10^4$	$1.15 \times 10^5$		
ARA25			+	$1.22 \times 10^4$	+	$6.15 \times 10^5$		+
ARA26	+		$3.26 \times 10^5$	$1.23 \times 10^5$	$1.78 \times 10^6$	$1.23 \times 10^5$		
ARA27		$7.81 \times 10^4$	$5.20 \times 10^5$	$2.39 \times 10^4$	$8.04 \times 10^5$	$4.27 \times 10^4$		
ARA28			$8.46 \times 10^5$	+	$7.35 \times 10^5$	$2.77 \times 10^4$		
ARA29			+	+	$5.07 \times 10^4$	$3.11 \times 10^4$		
ARA30			$1.47 \times 10^5$	$1.90 \times 10^4$	$2.11 \times 10^5$	$1.12 \times 10^4$		
ARA31			+	$7.42 \times 10^4$	$1.24 \times 10^6$	+		
mean	-	-	$4.86 \times 10^5$	$1.48 \times 10^5$	$6.80 \times 10^5$	$3.28 \times 10^5$	-	-
LOQ		$6.50 \times 10^4$		$1.86 \times 10^4$		$9.27 \times 10^3$		$5.67 \times 10^3$

+: positive qPCR signal under the limit of quantification (LOQ). Blanc: no detection. Values are expressed in GE.L-1

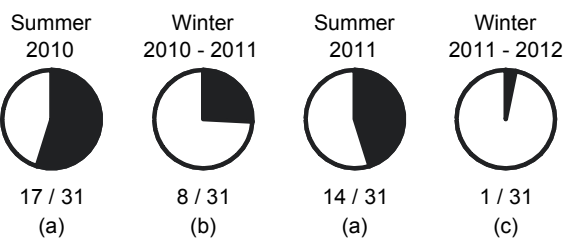
Table 6: Efficiency of the WWTP processes on virus concentration reduction.

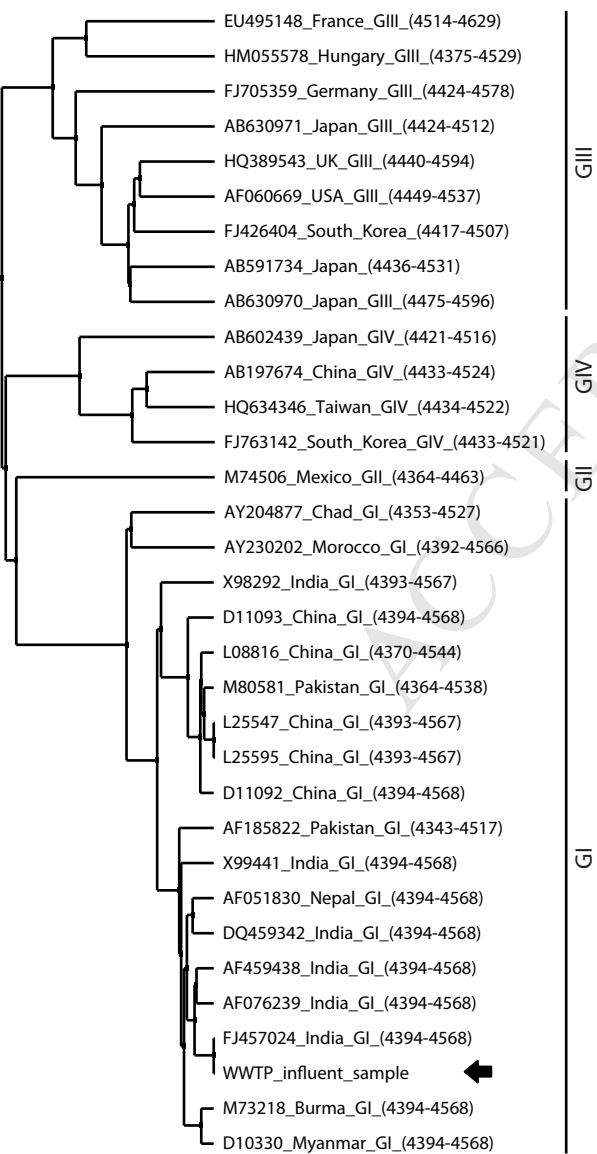
		NoV-GGII		HAdV-40	
		summer	winter	summer	winter
Number of WWTPs with a quantifiable virus charge (influent) <sup>1</sup>		21	22	26	24
Detection results in the corresponding effluent samples	No detection	12	8	0	3
	Detection below LOQ <sup>2</sup>	7	11	13	16
	Detection above LOQ	2	3	13	5

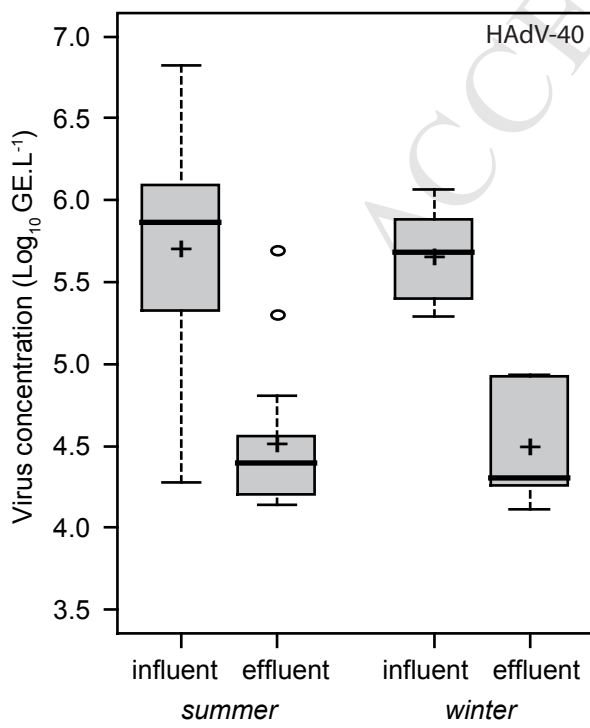
<sup>1</sup>Only WWTPs with an influent concentration of virus higher than LOQ are considered.

<sup>2</sup>LOQ = limit of quantification

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**Table S1 – List of primers used in this study**

Virus	Primer or probe name	Other name	Sequence (5'-3')	Orientation	Position <sup>1</sup>	Amplicon size	Reference	
NoV-GGII (RNA virus)	Noro-fwd	JV2F	CAAGAGTCAATGTTTAGGTGGATGAG	+	5003–5028	98 bp	Jothikumar et al 2005	
	Noro-rev	COG2Ra	TCGACGCCATCTTCATTACACA	-	5100–5080			
	Noro-prob	RING2-TPa	(FAM-)TGGGAGGGCGATCGCAATCT(-TAMRA)	+	5048–5067			
HEV (RNA virus)	HEV-fwd	JVHEVF	GGTGGTTTCTGGGGTGAC	+	5285–5301	70 bp	Jothikumar et al 2006	
	HEV-rev	JVHEVR	AGGGGTTGGTTGGATGAA	-	5354–5337			
	HEV-prob	JVHEVP	(FAM-)TGATTCTCAGCCCTTCGC(-TAMRA)	+	5308–5325			
RYMV (RNA virus)	RYMV-F2		CTTCAACGGGCTCCAGTG	+	3540–3557	80 bp	this study	
	RYMV-R2		AGCGGCCAGGTGTTAGAAG	-	3619–3601			
	RYMV-prob2		(YYE-)GGATATCTGGGACGGTTCCT(-BHQ1)	+	3571–3590			
HAdV-40 (DNA virus)	HAdV-F	JTVXF	GGACGCCTCGGAGTACCTGAG	+	18895–18915	96 bp	Jothikumar et al 2005	
	HAdV-R	JTVXR	ACIGTGGGGTTTCTGAACTTGTT	-	18990–18968			
	HAdV-prob	JTVXP	(FAM-)CTGGTGCAGTTCGCCCGTGCCA(-BHQ1)	+	18923–18944			
PAdV (DNA virus)	PAdV3-F	VTB1-PoAdV3f	CCTCAACAACCTCATTGATACC	+	20574–20595	144 bp	Wolf et al 2010	
	PAdV3-R	VTB1-PoAdV3r	CTTGCACTAGCGGCCGT	-	20718–20702			
	PAdV3-prob	VTB1-PoAdV3probe	(YYE-)TACGGCCTGCGCTACCGCTCCCA(-BHQ1)	+	20668–20690			
HEV (GI) (RNA virus)	nestedG1ext-fwd	1661	TTAYGGKGATGCCTTTGATGACACC	+	4329–4353	302 bp	Adapted from La Rosa et al 2010	
	nestedG1ext-rev	1662	TRATAACGGCCATRTCCAGACAGTATTCC	-	4630–4601			
	nestedG1int-fwd	1663	TGTTTGAGAATGACTTTTCTGAGTTTGAYT	+	4394–4423			175 bp
	nestedG1int-rev	1664	TTCCAAAACCCTCGCAGYGAC	-	4568–4548			
HEV (GIII) (RNA virus)	nestedG3ext-fwd	1669	GGYGACGCYATGAGGAGT	+	4360–4378	298 bp	Adapted from La Rosa et al 2010	
	nestedG3ext-rev	1670	GCTATRATYGCCATRTTCCA	-	4658–4639			
	nestedG3int-rev	1672	AGAGACTCCTTCGGSGCYTG	-	4580–4561			220 bp

<sup>1</sup> Genbank accession numbers: NoV-GGII no. X86557; HEV no. AF082843 (sHEV); RYMV no. L20893; HAdV no. AC\_000008 (HAdV5); PAdV no. AB026117 (PAdV3); HEV / GI no. M73218; HEV no. AF082843 (sHEV)

<sup>2</sup> in combination with nestedG3ext-fwd (semi-nested PCR)

**Table S2 – qPCR assay characteristics**

	2nd target (duplex qPCR)	efficiency	formulae	Cq threshold for LOQ	LOQ in water (GE copies/L)	Cq threshold for LOD
HEV	RYMV	93%	$Cq = -3.501 \times \log(\text{conc}) + 41.032$	35.08	$6.50 \times 10^4$	42.00
NoV-GGII	RYMV	97%	$Cq = -3.385 \times \log(\text{conc}) + 39.609$	36.25	$1.86 \times 10^4$	42.00
HAdV-40	PAdV	102%	$Cq = -3.272 \times \log(\text{conc}) + 39.748$	34.00	$9.27 \times 10^3$	42.00
PAdV	HAdV-40	97%	$Cq = -3.394 \times \log(\text{conc}) + 40.180$	34.00	$5.67 \times 10^3$	42.00
RYMV	HEV	99%	$Cq = -3.350 \times \log(\text{conc}) + 41.886$	36.00	$9.36 \times 10^4$	42.00
RYMV	NoV-GGII	96%	$Cq = -3.411 \times \log(\text{conc}) + 42.168$	36.00	$8.70 \times 10^4$	42.00

These LOQ values apply only to samples treated by direct PEG precipitation.

**Table S3 – Detection of PCR inhibitors by measuring the amplification efficiency of a spiked DNA in WWTP water samples and in distilled water samples**

	Quantification cycle ( $\pm$ SD)	Calculated concentration ( $\text{GE} \cdot \text{L}^{-1}$ )	Range ( $\text{GE} \cdot \text{L}^{-1}$ )	% efficiency
Influent water extracted sample (n=10)	$29.6 \pm 0.3$	$1.88 \times 10^3$	$1.38 \times 10^3 - 2.55 \times 10^3$	81%
Effluent water extracted sample (n=10)	$29.8 \pm 0.5$	$1.65 \times 10^3$	$6.63 \times 10^2 - 2.22 \times 10^3$	71%
Distilled water sample (n=8)	$29.3 \pm 0.3$	$2.31 \times 10^3$	$1.94 \times 10^3 - 3.09 \times 10^3$	

The spiked DNA is a RYMV PCR fragment cloned in pGEM-T. Range is defined by the minimal and the maximal calculated concentrations.

**Table S4 – Detection of PCR inhibitors by measuring the amplification efficiency of a spiked DNA in WWTP samples and in distilled water samples**

	Quantification cycle ( $\pm$ SD)	Calculated concentration (GE.L <sup>-1</sup> )	Range (GE.L <sup>-1</sup> )	% efficiency
Influent water extracted sample + spiked RNA mix (n=4)	26.0 $\pm$ 0.2	6.20 $\times 10^4$	5.93 $\times 10^4$ – 6.33 $\times 10^4$	79%
Elution buffer + spiked RNA mix (n=4)	25.6 $\pm$ 0.1	7.87 $\times 10^4$	7.53 $\times 10^4$ – 8.16 $\times 10^4$	

The spiked DNA is a RYMV PCR fragment cloned in pGEM-T. Range is defined by the minimal and the maximal calculated concentrations.

**Figure S1 – Alignment of the sequence of WWTP influent sample G1 with the closest match in NCBI Blast**

Hepatitis E virus isolate 5-05-gt1 polymerase (P) gene, partial cds  
 Sequence ID: gb|HM641296.1| Length: 326 Number of Matches: 1

Range 1: 152 to 326

Score Expect Identities Gaps Strand  
 307 bits (166) 2e-80 172/175 (98%) 0/175 (0%) Plus/Plus

```

Query 1 TGTTTGAGAATGACTTTTCTGAGTTTGATTCCACCCAGAATAATTTCTCTCTAGGCCTG 60
      |||
Sbjct 152 TGTTTGAGAATGACTTTTCTGAGTTTGATTCCACCCAGAATAATTTCTCTCTAGGCCTG 211

Query 61 AGTGTGCTATTATGGAGGAGTGC GGGATGCCGCAGTGGCTCATCCGTTTGTATCACCTTA 120
      |||
Sbjct 212 AGTGTGCTATTATGGAGGAGTGC GGGATGCCGCAGTGGCTCATCCGTTTGTATCACCTTA 271

Query 121 TAAGGTCTGCGTGGATCTTGCAGGCCCGAAGGAGTCACTGCGAGGGTTTTGGAA 175
      |||
Sbjct 272 TAAGGTCTGCGTGGATCTTGCAGGCCCGAAGGAGTCTCTGCGGGGGTTCTGGAA 326
  
```