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THE RELATIONSHIP BETWEEN LINGUISTICS AND OTHER SCIENCES IN INDIA*

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§1. Introduction.

Classical India has various language sciences. Three of the six traditional auxiliary sciences of the Veda ($ved\bar{a}niga$) — phonetics ($siks\bar{a}$), etymological explanation (nirukta), grammar ($vy\bar{a}karana$) — deal with language. The various Prātisākhyas — which precede the surviving treatises of $siks\bar{a}$, and are its authentic representatives according to Renou¹ — deal with Vedic phonetics. Vedic hermeneutics ($m\bar{m}\bar{n}ms\bar{a}$), too, can be looked upon as a language science, and so can certain developments of Indian philosophical thought. But grammar was most widely studied. Grammar, according to the grammarian Patañjali (2nd cent. B.C.E.), is the most important among the six auxiliary sciences. We will therefore confine our attention to grammar ($vy\bar{a}karana$) — and in particular to the oldest surviving, and most important, text of this genre: Pānini's Astādhyāyī — in its relationship to other sciences.

Grammar did not interact with mathematics and the natural sciences (astronomy and medicine), or at least not strongly.² The suggestion that Pāṇini's "linguistic zero" caused or influenced the introduction of zero in mathematics has no evidence to support it.³ Note however that the expression "natural sciences" is apt to be misleading in the classical Indian context: physics and part of chemistry have their closest parallels in what are commonly referred to as schools of Indian philosophy. Other Indian sciences, often without parallel in the modern world, include: etymological explanation (*nirukta*); ritual science (*kalpa*), like etymological explanation one of the auxiliary sciences of the Veda; ⁴ Vedic hermeneutics (*mīmāṃsā*); poetic science (*kāvyaśāstra*).

Two kinds of relationship between grammar and other sciences will be primarily considered: (1) another science influenced grammar, and (2) grammar influenced

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¹ Renou, 1963: 167.

² Pierre-Sylvain Filliozat (1995) does not succeed in proving the reverse.

³ See Ruegg, 1978.

⁴ Frits Staal (1982; 1989: 349 f.) argues for the scientific status of the "science of ritual".

another science. In reality the interaction was often less unidirectional, and in some cases the bi-directional nature of the interaction will be mentioned. For the earliest period forms of "knowledge" that had not yet been systematised into "sciences" will have to be taken into consideration.

§2. The origin of grammar.

Grammar arose in circles connected with Vedic ritual. Does it preserve traces of this early connection? The classical publication is Louis Renou's "Les connexions entre le rituel et la grammaire" (1942), which is more circumspect in its formulations than are some more recent publications. It draws attention to various parallels between the two sciences, such as the shared aphoristic (sūtra) style,⁵ the presence in both of general interpretative rules (paribhāsā) — sometimes similar ones —, and the elements of vocabulary which they have in common. However, as Renou himself admits, these parallels do only in certain cases allow us [167] to conclude that ritual influenced grammar rather than vice-versa. The influence considered is moreover limited to details, and hardly justifies the conclusion that grammar in India owes its existence, or its specific nature, to ritual science.

One of the less doubtful antecedents of grammar is the early preoccupation with the correct preservation of Vedic texts. The Rgveda, for example, has been preserved in many different forms of recitation, two of which are of particular interest here: the padapātha "word for word recitation" and the samhitāpātha "continuous recitation". Neither of these two (nor indeed any of the other ones) represents the original form of the Rgveda. The padapātha separates the words (and certain components of words) of the text, the samhitāpātha joins them in sandhi (called samhitā in Vedic literature and Pānini's grammar). The padapātha of the Rgveda is older than Pānini (he refers to it), its samhitāpātha appears to be younger (it applies rules of sandhi which destroy the original meter, where Pānini's rules preserve it). The question as to how the samhitāpātha is formed on the basis of the padapātha is a central concern of the Prātiśākhyas, and early reflections of this nature contributed no doubt to the creation of grammar. Reflections about details of sandhi also gave rise to "mystical" speculations.⁷

Other aspects of grammar arose for different reasons. The Sanskrit term for grammar, vyākarana, provides a clue. This means literally "separation, distinction", and this is often taken to refer to the fact that grammar distinguishes roots, suffixes, and

See also Renou, 1963: 175 f.
 See Bronkhorst, 1981; 1991: 75 f.

⁷ E.g. Aitareya Āranyaka 3.2.6; Śāṅkhāyana Āranyaka 8.11; Rgveda Prātiśākhya 1.2 f.

prefixes. Paul Thieme (1982: 11 [1178]) has however rightly pointed out that Pānini's grammar does not analyse. This grammar rather presupposes constituent functional elements and shows how they are to be combined. Thieme proposes "[word-]formation" for vyākarana, which is not convincing. He overlooks the fact that grammar, though not separating the constituent elements of words, does separate words and their meanings. This, at any rate, is a theme that recurs a number of times in Vedic literature, frequently in passages that use precisely the verb $vy-\bar{a}-kr$ -, from which $vy\bar{a}karana$ is derived. These passages speak about the separation of name (nāman) and shape (rūpa). Brhadāranyaka Upanisad 1.4.7 — to cite but one example — states: "All this was unseparated (indistinguishable) (avyākrta) [in the beginning of creation]. Then it became separated (distinguished) (vyākriyata) by name and shape [so it became possible to say]: 'This particular one is of the name NN and of such and such a shape.' Therefore, even to-day distinction is made (vyākriyate) by name and shape: 'This particular one is of the name NN [and] of such and such a shape'." (tr. Thieme). Passages like this could be looked upon as the mythological counterpart of an important feature of Panini's grammar (and for the grammars that existed before him, we may assume), which shows how the now separated meanings and word-forms are related to each other. Early thinkers about language, we are led to believe, were interested in the details of the separation of words and things reported in their mythology.

§3. Interaction with 'etymological explanation' (*nirukta*).

The background of another aspect of grammar is elucidated by its relationship with the Vedic auxiliary science of 'etymological explanation' (*nirukta*). This science is presented in a systematised form in Yāska's work called, precisely, Nirukta — a text which may belong to the period between Pāṇini (after 350 B.C.E.) and Patañjali (around 150 B.C.E.) —, ¹⁰ but the practice of etymologizing is extremely common in the earlier Vedic Brāhmaṇas. These Vedic etymologies do not concern the histories of words — and cannot, therefore, be compared with modern linguistic etymologies —, but have altogether different aims. As a rule they reveal hidden connections with the mythological realm, which can be multiple. (In practice this means that one word can have several different 'etymologies'.) Knowing them brings advantages, as does knowing other hidden truths.

⁸ So e.g. Scharfe, 1977: 83.

⁹ Bronkhorst, 1980.

¹⁰ For the date of Pāṇini, see Hinüber, 1989: 34; Falk, 1993: 304. For Patañjali's date, see Cardona, 1976: 263 f.

The 'etymologies' in Yāska's Nirukta are their secularised descendants. 'Etymologizing' has here become a method for finding the meaning of unknown words. Two presuppositions underlie it: (1) The meaning of a word (primarily noun or adjective) is the result of a combination of the meanings of its parts. (2) The meanings of those parts are not assigned to them by convention, they intimately belong to them.¹¹ [168]

These same presuppositions appear to underlie Pānini's grammar. Here, as we have seen (§2), constituent functional elements of words are combined, and the meaning of the resulting word is considered to be the combination of the meanings attaching to (or, in view of the above: separated from) those elements. The complementary character of grammar and 'etymological explanation' is confirmed by Yāska, who describes 'etymological explanation' in his Nirukta (1.15) as the 'complement of grammar'. But whereas 'etymological explanation' concentrates on cases that resist analysis, grammar normally confines itself to words the relationship of which with other words seems obvious and regular. 12 The analytical aspect of grammar, the search for the constituents of words, we must conclude, derives from the preoccupation with 'etymological' connections characteristic of much of Vedic literature.

The interaction of grammar with 'etymological explanation' was not unidirectional. Yāska refers in his Nirukta to grammar, and it seems likely that Pānini's Astādhyāyī was known to him. 13 He justifies the procedures of 'etymological explanation' — such as ignoring, modifying, or inverting sounds — by pointing at similar practices in grammar (Nirukta 2.1). It appears that 'etymological explanation', when it tried to attain the status of a science besides grammar, drew inspiration from the latter.

§4. Influence from philosophy.

Pānini's grammar shows the traces of Vedic religious thought, as we have seen. Philosophical systematic thought did not exist in India at his time, as far as we can tell. Influence from that side can be discerned in the two earliest surviving commentaries, Kātyāyana's vārttikas and Patañjali's Mahābhāsya, especially the latter. A systematised world view was being developed at that time — for the first time in India, it seems — in the Buddhist school called Sarvāstivāda, which was deeply interested in questions of

¹¹ Bronkhorst, 1981a. ¹² Bronkhorst, 1984.

¹³ Thieme, 1935; Bronkhorst, 1984: 8 f.

existence. For reasons connected with the historical development of Buddhism, a list of so-called dharmas came to be looked upon as the complete list of all there is. These dharmas were considered to be the ultimate constituents of persons and things. The persons and things themselves, being collections of dharmas, were not believed to really exist. Sarvāstivāda introduced a number of dharmas whose function it was to solve certain theoretical difficulties. Most of these theoretically useful dharmas were given a place in the category of dharmas called "separated from mind" (cittaviprayukta). Three of these dharmas are of particular interest. They are padakāya, nāmakāya and vyañjanakāya. This could be translated as 'sentence', 'word' and 'phoneme' respectively, where it is to be kept in mind that these linguistic units are here conceived of as dharmas, i.e., as partless, ultimate, really existing entities. It seems likely that originally — i.e., around the time of Patañjali — only two of these three dharmas were recognised, the word and the phoneme.

Possibly influenced by Sarvāstivāda, Patañjali introduces two new notions into grammatical discourse, adapting them to their new Brahmanical environment: the word and the phoneme as single, independent entities. Both are eternal, contrasting in this respect with the momentary Buddhist dharmas. In connection with the phoneme Patañjali introduces a term which will play an important role in later linguistic speculation: *sphoṭa*. But, as in Sarvāstivāda, the word and the phoneme are unitary, indivisible entities, different from the sound that expresses them. ¹⁴ And where for Pāṇini morphemes were the basic units of language, Patañjali assigns them a derived meaning at best. ¹⁵

§5. Language and philosophy.

The role of grammar in Indian thought has regularly been emphasised. Louis Renou, for example, made the often cited statement "To adhere to Indian thought means first of all to think like a grammarian" (*Adhérer à la pensée indienne, c'est d'abord penser en grammairien*; Renou, 1953: 86); and again: "Indian thought has as substructure reasonings of a grammatical nature" (*La pensée indienne a pour substructure des raisonnements d'ordre grammatical*; Renou, 1942: 164). Frits Staal, following D.H.H. Ingalls (1954), has made the claim that Pāṇini's grammatical method is characteristic of much of Indian philosophy, just as Euclid's mathematical method is characteristic of much of Western philosophy (Staal, 1960; 1963; 1965). This is

¹⁵ Bronkhorst, forthcoming a.

¹⁴ Bronkhorst, 1987.

supposed to explain that scientific developments have taken different directions in India and the West. Bimal Krishna Matilal refers to the role of grammar in Indian philosophy, e.g., in the title of his book [169] Epistemology, Logic, and Grammar in Indian Philosophical Analysis (1971).

When it comes to substantiating these claims, one is disappointed. It is true that systematic abbreviations (a characteristic of Pānini's grammar) occur in mathematics, astronomy, and in other grammars; that the simplicity criterion and the algebraic sūtra style (both also typical of Pāṇini's grammar, but perhaps first used in ritual science) are used in many philosophical works. It is also true that grammar was part of the curriculum of every educated Indian, so that grammatical discussions are to be found in practically all commentaries, whatever the nature of the text they comment upon, and elsewhere. 16 But does this touch the heart of the matter? Does this interest in grammar go beyond the correct formation of words and sentences, and affect the contents of the treatises concerned? There are some, but not so many cases where grammatical analysis is used to reach a philosophical conclusion. ¹⁷ The situation is complicated by the fact that many Indian authors looked upon the Sanskrit language as providing preferential access to reality, quite independently of any considerations of grammar. Something must be said about this.

Two phases are to be distinguished. During the first one language came to be considered — partly no doubt under Buddhist influence — as reflecting — or even creating / organising — phenomenal reality. Such a position has philosophical consequences, which were worked out in greatest detail in the Brahmanical system of philosophy called Vaisesika. The conviction that there is a direct correspondence between words and things might be called an axiom of this system. It justifies the ontological conclusions based on verbal usage common in the writings of this school.¹⁸ But the influence of grammar on this school remains small. One may suspect such influence in its three main categories substance (dravya), quality (guna) and movement (karman), which correspond to nouns, adjectives and verbs respectively. But did the Vaisesikas need grammar in order to arrive at this division of words? The triple division into nouns, adjectives and verbs is not fundamental in Pānini's grammar.

The second phase is characterised by what has been called the 'correspondence principle', 19 which can approximately be formulated as follows: "the words of a statement correspond, one by one, to the things that constitute the situation described by that statement". The principle is plausible in the case of many, perhaps most,

¹⁶ Filliozat, 1988: 19 ff.

¹⁷ For some examples, see Torella, 1987.

18 Bronkhorst, 1992; 1996.

19 Bronkhorst, 1996a; forthcoming.

statements, but leads to serious difficulties in the case of certain others. Statements of the form "he makes a pot" become problematic, because they do not describe a situation that contains a pot; the pot is still being made. These and related difficulties have been extensively discussed in Indian philosophical literature, and various solutions have been proposed and maintained by different authors and schools. Indeed, there are reasons to think that these discussions have led to several fundamental philosophical positions (such as the *satkāryavāda*, and the theory of denotation of certain schools), which are therefore based on certain views about language, not on grammar.

The correspondence principle is visible, perhaps for the first time, in a number of the contradictions presented by the Buddhist thinker Nāgārjuna in his important Mūlamadhyamakakārikā (2nd cent. C.E.?). Since some of these have been claimed to be based on grammar, they deserve some attention. Nāgārjuna claims that the statement "[The road] that is being travelled is being travelled" (gamyamānaṃ gamyate) implies that there must be two actions of travelling in the situation described. This is a direct consequence of the correspondence principle, given that the root gam "to travel" is used twice over in this statement. It is also a paradox, given that the statement does not describe a situation where there are two actions of travelling. A following verse adds that if there are two actions of travelling, there must be two travellers, another conclusion that is in contradiction with the intention of the initial statement.

These arguments can be satisfactorily explained with the help of the correspondence principle. K. Bhattacharya does not agree, and has argued in a number of articles that the argument of the second verse considered ("if there are two actions of travelling, there must be two travellers") is based on grammar. It is grammar which maintains that an action resides either in an agent or in an object, and that the activity of travelling, more in particular, resides in its agent. This is true, but grammar does not specify that two actions cannot reside in one and the same agent. This is Nāgārjuna's own [170] conclusion. The link between his argument and grammar is therefore far less obvious than it is claimed to be. Indeed, the only possible influence from grammar in these arguments is that here, exceptionally, the correspondence principle is applied to verbal roots rather than to whole words.

§6. Bhartrhari.

We turn to Bhartṛhari (5th cent. C.E.), the "philosopher of grammar". To what extent is his thought determined by grammar? We will not discuss the numerous

²⁰ Most recently Bhattacharya, 1995.

passages where Bhartrhari deals with grammatical issues, but try to determine what influence grammar has exerted on his philosophy as a whole.

This philosophy as a whole concerns the nature of reality, in which Bhartṛhari, contrary to the Buddhists, recognises the existence of composite objects. Or rather, composite objects are not really composite, they are indivisible entities that exist besides 'their' parts. More precisely again, the more encompassing a thing is, the more it is real. Highest reality, for Bhartṛhari, is the totality of all there is, has been, and will be. The words of language divide this reality into (not really existing) parts.

So far Bhartrhari's philosophy is an interesting adaptation of the ideas described above: the objects of phenomenal reality correspond to the words of language. New is that these objects are considered to be less real than their totality. This way Bhartrhari could do justice to some traditional Brahmanical points of view, which looked upon the absolute as being the totality of all there is. Influence from grammar is not obvious here.

It seems clear nevertheless that grammar has contributed to this vision of reality. Consider first that Bhartrhari applies a similar reasoning to language: words are more real than the constituent morphemes (mainly stems and suffixes), sentences more real than the words they are made up of. More exactly, words are independent entities that are not constituted of morphemes, and sentences are not made up of words. It is only through artificial analysis of words that morphemes are invented by grammarians, and words on the basis of sentences.

It is clear that Bhartṛhari draws here inspiration from Patañjali's Mahābhāṣya which, perhaps under the influence of Sarvāstivāda, had given ontological priority to words over stems and suffixes (see above). But Bhartṛhari goes further and establishes an ontological hierarchy: words are more real than their morphemes, sentences more real than their words, and the Veda as a whole more real than its sentences. Patañjali's argument concerning the higher ontological status of words with regard to their stems and suffixes, now extended, allows in this way to climb the ontological ladder, so as to arrive at the highest insight, which is beyond words, and which concerns undivided reality. This insight brings about liberation, and in this way grammar is "the door to liberation", as Bhartṛhari puts it.²¹ Grammar has thus obtained an own philosophy, including an (in the Indian context important) liberating insight. But this philosophy is not based on the analysis of language implicit in Pāṇini's grammar, but quite on the contrary on the understanding that this analysis is not ultimately "real".

Bhartrhari is especially remembered for his link with the *sphoṭa*, which in his case is primarily the indivisible word, different from the manifesting sounds. Later thinkers, both inside and outside the grammatical tradition, discuss and elaborate this

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²¹ This has been argued in Bronkhorst, 1996b; cp. Bronkhorst, 1992a.

concept. Modern scholars — foremost among them John Brough (1951) — see in the *sphota* a concept of general linguistics, "simply the linguistic sign in its aspect of meaning-bearer (*Bedeutungsträger*)". In so doing they overlook the philosophical and ontological dimension of this concept, predominant in its original context.²²

§7. Understanding the meaning of a sentence.

There is an area of thought where Pāṇini's analysis of the Sanskrit language has exerted a clear and unmistakable influence. It is the attempted description of the knowledge which a listener derives from hearing a sentence, the so-called verbal cognition (śābdabodha), which came to occupy an important place in the three schools of thought called Mīmāṃsā (Vedic hermeneutics), Navya-Nyāya (the New Logic), and Vyākaraṇa (grammar as a school of philosophy).²³

The self-imposed task of Mīmāmsā was to interpret Vedic sentences. Its thinkers had come to think that injunctions are the crucial parts of Vedic texts. These injunctions do not express the intention of their author, for they have none (and nor do any other Vedic sentences), this because the Veda was believed to have no beginning in time. How, then, do Vedic injunctions enjoin? Reflections of this kind led the Mīmāmsakas to interpret, and paraphrase, the injunctions in ways that suited their purposes.²⁴ Such paraphrases are already found in Sabara's Mīmāmsā Bhāsya (5th cent.?), but a connection with the Paninian analysis of words makes its appearance in a commentary on this work, Kumārila's Tantravārttika (7th cent.). This connection remained however incomplete, as can be seen from the following example. Sabara paraphrases the injunction svargakāmo yajeta "he who wishes to attain heaven should sacrifice" as yāgena svargam bhāvayet "by means of the sacrifice he should effect [the attainment of] heaven", which deviates rather profoundly from the Pāninian assignment of meanings.²⁵ Kumārila, presenting the position of the system (śāstra), assigns the general meaning "productive operation" (bhāvanā) to the verbal ending (ta in the case of yajeta). This deviates from the meanings assigned to the verbal ending by Pānini (primarily 'agent'), but takes the latter's formal analysis of the verb for granted. Panini's formal analysis of the remainder of the sentence, on the other hand, does not play a role in Kumārila's discussions. It gains in importance in some of the subsequent refinements introduced in

²² Bronkhorst, 1991a.

²³ For a general presentation, see Rao, 1969: esp. ch. I; Matilal, 1988; 1990: 53 f.; Coward and Kunjunni Raja, 1990.

²⁴ See Frauwallner, 1938.

²⁵ Śabara on MīS 2.1.1.

the school.²⁶ The constituent elements of a statement like *rāmah odanam pacati* "Rāma cooks rice" — $r\bar{a}ma + s$ - anna + am - pac + ti — give rise to a paraphrase which gives each element its due, and which has the following (simplified) form: "The productive operation ($bh\bar{a}van\bar{a}$; meaning of ti) happening at present, which is done through the instrumentality of cooking that has rice as its object goal, and this efficient force is qualified by Rama as its agent."

The Mīmāmsā points of view were subsequently taken into consideration, but combated, by Gangesa, a key figure of Navya-Nyāya (14th cent.).²⁷ He and his followers, too, present a paraphrase of verbal cognition which remains close to the Pāninian analysis of the sentence. Indeed, the reality of Pāninian morphemes is so much taken for granted by this school, that they refer to them as 'words' (pada). The main qualificand here is not the meaning of the verbal ending (as with the Mīmāmsakas), but that of the word with the nominative ending. The meaning of the sentence rāmah pacati "Rāma cooks" is here approximately paraphrased as: "Rāma who is qualified by the effort that is conducive to cooking." The verbal ending is given the meaning 'effort', which is, again, different from Panini's meaning 'agent'.

Only the grammarians maintain the Pāninian meaning 'agent' for the (active) verbal ending. Following Bhartrhari, 28 they look upon the meaning of the verbal root as the main qualificand. Kaunda Bhatta (17th cent.) — an important representative of this school — assigns the meaning 'activity conducive to the result' to verbal roots; the substratum of the activity is the agent, the substratum of the result the object. The sentence "Rāma cooks rice" (rāmah odanam pacati) is therefore to be paraphrased, in a simplified manner, as: "Present activity whose substratum is Rāma, which is conducive to the softening whose substratum is rice."²⁹

In all these reflections and debates Pānini's analysis of the Sanskrit language is used as point of departure, even though the meanings assigned by him to the morphemes are only fully accepted by the grammatical philosophers.

§8. Conclusion.

The importance of grammar in Indian classical culture cannot be overestimated. The extent to which it has exerted a determining influence on the Indian sciences is less easy to estimate, and exaggerated assessments have become all too common. The

²⁶ Cp. Bhatta, 1994; Edgerton, 1929.

²⁷ See the description of the contents of his chapter on verbal testimony in Potter and Bhattacharyya, 1992: 239-312, which coincides in part with Vidyabhusana, 1920.

²⁸ Cp. Vkp 3.8.40 ff.; tr. Bandini, 1980.

²⁹ Cp. Joshi, 1993; 1995 (esp. p. 22 ff.).

search for the fundamental nature of the Indian sciences, or of Indian thought in general, as being based on the supposedly all-important influence of grammar, is not likely to lead beyond more or less attractive slogans. This does not mean that there has not been intensive interaction between grammar and the other sciences, nor [172] that this interaction has not left its traces. Bringing those traces to light will require continued detailed philological research.

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Abbreviations:

AAWL	Abhandlungen der Akademie der Wissenschaften und der Literatur,
	Mainz, Geistes- und Sozialwissenschaftliche Klasse
ABORI	Annals of the Bhandarkar Oriental Research Institute, Poona
ALB	The Brahmavidyā, Adyar Library Bulletin, Madras
AS	Asiatische Studien, Études Asiatiques, Bern
ASS	Ānandāśrama Sanskrit Series, Poona
BSAI	Beiträge zur Südasienforschung, Südasien-Institut, Universität
	Heidelberg
EW	East and West, Roma
HIL	A History of Indian Literature, ed. J. Gonda, Wiesbaden 1973ff.
IIJ	Indo-Iranian Journal, Den Haag, Dordrecht
IL	Indian Linguistics, Pune
JA	Journal Asiatique, Paris
JIP	Journal of Indian Philosophy, Dordrecht
JORM	Journal of Oriental Research, Madras
KlSchr	Kleine Schriften (Serie der Glasenapp-Stiftung), Wiesbaden, Stuttgart
MīS	Mīmāmsā Sūtra, ASS vol. 97.
PEW	Philosophy East and West
StII	Studien zur Indologie und Iranistik
TPS	Transactions of the Philological Society, Oxford
Vkp	Bhartrhari, Vākyapadīya, ed. W. Rau, Wiesbaden 1977
WŻKM	Wiener Zeitschrift für die Kunde des Morgenlandes, Wien
WZKS	Wiener Zeitschrift für die Kunde Südasiens, Wien
ZDMG	Zeitschrift der Deutschen Morgenländischen Gesellschaft, Leipzig, later
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