

# Seismically-induced unclogging in fluid-saturated faults

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## Key Points:

- We compute the magnitude of seismically-induced effective pore velocity to assess the unclogging potential in fluid-saturated fault zones
- Fluid pressure diffusion processes triggered by passing seismic waves are responsible of initiating unclogging in fault systems
- The unclogging mechanism operates at high frequencies and seismic strains but is controlled by the fault width and physical properties

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13 **Abstract**

14 Evidence shows that flow-driven unclogging of pore spaces is correlated with per-  
 15 meability variations in fluid-saturated porous rocks. Due to the well-established ability  
 16 of seismic waves to induce transient fluid flow in porous media, permeability changes due  
 17 to seismically-induced unclogging have been proposed to explain hydrogeological phe-  
 18 nomena commonly associated with distant earthquakes. In an effort to demonstrate the  
 19 effects of seismically-induced unclogging, laboratory experiments of forced oscillatory flow  
 20 in centimetre-scale samples have been performed. However, the corresponding extrap-  
 21 olation of the observations to the field scale has yet to be addressed. In this work, we  
 22 model the coupling between the strains imposed by propagating seismic body waves and  
 23 the development of transient flow in porous media following Biot's theory of poroelas-  
 24 ticity. To assess the potential of seismically-induced unclogging, we use previously re-  
 25 ported flow velocity thresholds for which measurable permeability variations were ob-  
 26 served. We show that only diffusive waves can induce flow velocities in the order of those  
 27 capable of initiating unclogging. In heterogeneous media, diffusive waves are created as  
 28 energy conversion from passing seismic waves at the interfaces separating two porous phases  
 29 of the medium. We investigate this mesoscale process for body waves propagating across  
 30 a fault zone as a function of the energy density, frequency, and incidence angle of the waves.  
 31 Seismically-induced unclogging potential in fault zones increases with frequency and im-  
 32 posed strain, although this relation is strongly affected by the incidence angle of the sei-  
 33 mic wave, the fault thickness, and the stiffness contrast between the fault and the em-  
 34 bedding background.

35 **1 Introduction**

36 Connected pores and fractures govern the flow of fluids in the subsurface. Fluid  
 37 flow in porous media tends to carry colloids (e.g., fault gouge, precipitates, mineral grains,  
 38 crushed proppants), which gradually clog flow paths, thus, decreasing the overall per-  
 39 meability of the rock formations (Wang et al., 2009; Manga et al., 2012). Correspond-  
 40 ingly, the detachment from the surfaces of pores and mobilization of colloids (i.e., un-  
 41 clogging) associated with transient pore fluid pressure perturbations can enhance per-  
 42 meability as shown by field (Brodsky et al., 2003; Wang et al., 2009), laboratory (Bergendahl  
 43 & Grasso, 2000; Li et al., 2005; Chen et al., 2018), and theoretical studies (Bai & Tien,  
 44 1997; Kutay & Aydilek, 2009; Bedrikovetsky et al., 2012). Seismic waves are capable of  
 45 inducing strong pore pressure gradients in porous and fractured media due to the pres-  
 46 ence of regions with dissimilar stiffness such as, for example, a fault zone and the sur-  
 47 rounding intact host rock (Müller et al., 2010; Pride et al., 2008; Barbosa, Hunziker, et  
 48 al., 2019). Field observations suggest that permeability increases due to seismically in-  
 49 duced unclogging can be of the order of 50% to 250% (Elkhoury et al., 2006; Xue et al.,  
 50 2013). However, the conditions under which seismically-induced fluid flow is capable of  
 51 initiating unclogging in the subsurface remain rather enigmatic.

52 As far as the authors know, mesoscale experiments investigating unclogging effects  
 53 and carried out under well-controlled, reproducible, and comparable environmental con-  
 54 ditions as those prevailing in field-scale reservoirs have not been performed. The only  
 55 attempts to reproduce the unclogging effects of seismically-induced fluid flow have been  
 56 performed at the lab scale, by imposing sinusoidal flow oscillations to fluid-saturated porous  
 57 samples (Elkhoury et al., 2011; Candela et al., 2014). In both experimental studies, the  
 58 flow was driven by oscillating the pore pressure on the top of a fluid-saturated Berea sand-  
 59 stone while holding the pore pressure constant at the bottom of the sample. The exper-  
 60 iments showed that permeability of intact and fractured rocks can change as a result of  
 61 transient changes in fluid flow. The permeability enhancement reported by Candela et  
 62 al. (2014) ranged from 1 to 60% for measured strain amplitudes between  $6 \times 10^{-7}$  and  
 63  $7 \times 10^{-6}$ . The unclogging mechanism was proposed by Candela et al. (2014) to explain

64 the permeability changes mainly based on the evidence of absence of permanent deforma-  
65 tion of the sample after stimulation ceases (i.e., effects are not related to opening or  
66 closing of cracks), the direct observation of downstream mobilization of gouge particles  
67 along the fracture plane, and the recovery of the initial permeability after stimulation  
68 (i.e., reclogging of flow paths). Candela et al. (2015) pointed out that the permeability  
69 changes correlate with the volumetric averaged flow rate in the sample, regardless of whether  
70 flow rate variations are driven by varying pressure gradient amplitude or frequency of  
71 oscillation. Bedrikovetsky et al. (2012) showed that abrupt flow rate changes are par-  
72 ticularly effective in mobilizing particles.

73 Previously reported experimental studies provide the basis for understanding the  
74 implications of seismically-induced permeability changes in the subsurface through un-  
75 clogging. There is evidence to suggest that seismicity can be triggered by remarkably  
76 low dynamic stress levels associated with regional and distant earthquakes (well below  
77 1 MPa), which, in turn, can be best explained by seismically-induced permeability en-  
78 hancements (Brodsky et al., 2003; Brodsky & Prejean, 2005; Van Der Elst & Brodsky,  
79 2010; Guglielmi et al., 2015; Lupi, Fuchs, & Saenger, 2017; Parsons et al., 2017). The  
80 unclogging mechanism has been proposed to be particularly relevant when gouge cre-  
81 ated during fracturing reduces the permeability and, thus, provokes an increase in pore  
82 pressure in a fault zone. In this scenario, seismic waves may break seals between com-  
83 partments with different pressure, leading to a pressure re-equilibration and induced sei-  
84 smicity through effective stress changes (Xue et al., 2013; Parsons et al., 2017). Seismically-  
85 induced unclogging has also been evoked as a key mechanism for explaining other hy-  
86 drogeological phenomena observed in the field after the passage of seismic waves from  
87 distant earthquakes. The most commonly reported example corresponds to co-seismic  
88 drops of the water level in wells (Brodsky et al., 2003; Elkhoury et al., 2006; Wang et  
89 al., 2009; Y. Shi et al., 2019).

90 The laboratory evidence also supports the stimulation of reservoirs with low-amplitude  
91 seismic stresses as a possible method for soft permeability enhancement, which could have  
92 a potential impact in areas such as, for example, geothermal and hydrocarbon resource  
93 exploitation, environmental remediation (Wang et al., 2009; Manga et al., 2012). Soft  
94 stimulation techniques aim at minimizing the level of induced seismicity while maximiz-  
95 ing permeability enhancement and productivity. Examples of soft stimulation techniques  
96 include cyclic hydraulic fracturing, multi-stage hydraulic stimulation, chemical stimu-  
97 lation, and thermal stimulation (Huenges et al., 2018; Zang et al., 2019; Brehme et al.,  
98 2018). In view of this, and of the absence of relevant mesoscale experiments, a necessary  
99 first step towards understanding and predicting seismically-induced permeability changes  
100 due to unclogging either for naturally or artificially created seismic waves, is the extrap-  
101 olation of small-scale laboratory experiments to the field scale (i.e., seismic wavelength  
102 scale). As mentioned before, faults are particularly interesting structures to study the  
103 potential of permeability enhancement through unclogging because pore pressure and  
104 effective stress changes, and, thus, fault stability, are highly affected by permeability vari-  
105 ations.

106 In this work, we model the coupling between the shaking associated with the prop-  
107 agation of seismic waves and the development of transient pressure gradients in fluid-  
108 saturated fault zones. To do so, we follow Biot's theory of poroelasticity to model seismically-  
109 induced fluid flow in porous media and analyze the conditions under which seismic waves  
110 striking a fault can produce unclogging. We model both the fluid flow associated with  
111 the propagation of classical body waves (i.e., P- and S-waves) and diffusive slow P-waves.  
112 The latter are generally created by propagating seismic waves in the presence of hetero-  
113 geneities such as, for example, faults or fractures, layering, patchy fluid saturation, as  
114 a result of the energy conversion prevailing at the interfaces between heterogeneities. To  
115 quantitatively assess the capability of the seismically-induced fluid flow to unclog pore  
116 spaces, we use theoretical and experimental results establishing threshold pore flow ve-

117 locities for the occurrence of unclogging. On this basis, we provide a comprehensive para-  
 118 metric analysis of the unclogging potential in a fault zone by varying petrophysical prop-  
 119 erties of the medium (e.g., fault stiffness contrast, porosity), seismic wave characteris-  
 120 tics (e.g, wave mode, incidence angle, frequency, imposed strain), and fault thickness.

## 121 2 Methodology

122 In this section, we first present the dynamic equations of wave propagation in the  
 123 context of poroelastic media. Then, we apply this theory to model seismically-induced  
 124 fluid flow and to explain the experimental results in which permeability enhancement  
 125 due to unclogging was observed (Candela et al., 2014).

### 126 2.1 Seismic wave propagation in the framework of Biot’s theory of poroe- 127 lasticity

128 Crustal rocks can be represented as porous media (Cheng, 2016), that is, a system  
 129 composed of a skeletal material, herein referred to as the solid matrix, and a pore space,  
 130 which is typically saturated with fluids. Biot’s theory of poroelasticity (Biot, 1962) is  
 131 the most widely adopted framework to investigate seismic wave propagation in fluid-saturated  
 132 porous rocks. Assuming a porous medium characterized by an elastic and isotropic solid  
 133 matrix, a single viscous fluid phase that is continuous throughout the pore-space, and  
 134 small fluid and solid displacements, which is generally valid for seismic studies, the cou-  
 135 pled equations describing seismic wave propagation in porous media in the space-frequency  
 136 domain can be written as (Biot, 1962; Pride, 2005)

$$137 \quad \boldsymbol{\tau}(\mathbf{u}, \mathbf{w}) = 2\mu_m \boldsymbol{\varepsilon} + \mathbf{I}([K_u - \frac{2\mu_m}{3}] \nabla \cdot \mathbf{u} + \alpha M \nabla \cdot \mathbf{w}), \quad (1a)$$

$$138 \quad p_f(\mathbf{u}, \mathbf{w}) = -\alpha M \nabla \cdot \mathbf{u} - M \nabla \cdot \mathbf{w}, \quad (1b)$$

$$139 \quad \nabla \cdot \boldsymbol{\tau} = -\omega^2 \rho_b \mathbf{u} - \omega^2 \rho_f \mathbf{w}, \quad (1c)$$

$$140 \quad -\nabla p_f = -\omega^2 \rho_f \mathbf{u} + i\omega \frac{\eta}{\kappa(\omega)} \mathbf{w}, \quad (1d)$$

142 where  $\mathbf{I}$  is the identity matrix,  $i$  is the imaginary unit, and  $\omega$  is the angular frequency.  
 143 The poroelastic fields involved are the displacement of solid phase  $\mathbf{u}$ , the relative displace-  
 144 ment of the fluid phase  $\mathbf{w}$ , the pore fluid pressure  $p_f$ , the total stress tensor  $\boldsymbol{\tau}$ , and the  
 145 strain tensor  $\boldsymbol{\varepsilon} = \frac{1}{2}(\nabla \mathbf{u} + (\nabla \mathbf{u})^T)$ . Eqs. 1a and 1b correspond to the constitutive equa-  
 146 tions of the porous medium, Eq. 1c is the total balance of forces acting on the fluid-solid  
 147 system, and Eq. 1d is the generalized Darcy’s law of the relative fluid motion in the pores.  
 148 The constitutive constants of an isotropic porous medium in Eqs. 1a and 1b are the shear  
 149 modulus of the bulk material  $\mu_m$ , the undrained bulk modulus  $K_u$ , the so-called Biot  
 150 effective stress coefficient  $\alpha$ , and the Biot fluid-storage modulus  $M$ , which can be obtained  
 151 from the relations

$$152 \quad \alpha = 1 - \frac{K_m}{K_s}, \quad (2a)$$

$$153 \quad M = \left( \frac{\alpha - \phi}{K_s} + \frac{\phi}{K_f} \right)^{-1}, \quad (2b)$$

155 where  $\phi$  is the effective porosity of the matrix and  $K_s$ ,  $K_m = K_u - \alpha^2 M$ , and  $K_f$  de-  
 156 note the bulk moduli of the solid grains, the dry matrix, and the pore fluid, respectively.  
 157 The other properties characterising the fluid phase are the density  $\rho_f$  and shear viscos-  
 158 ity  $\eta$ . The rest of the constants in the dynamic equations are the bulk density  $\rho_b = (1 -$   
 159  $\phi)\rho_s + \phi\rho_f$ , with  $\rho_s$  being the solid grains bulk modulus, and  $\kappa(\omega)$  the dynamic perme-  
 160 ability. The latter is a complex-valued frequency-dependent quantity describing the be-  
 161 havior of the relative fluid motion in the pores (Johnson et al., 1987). Its frequency de-  
 162 pendence results from the fact that at relatively low and high frequencies, the drag that

163 the solid matrix exerts on the fluid is dominated by viscous and inertial effects, respec-  
 164 tively, and can be expressed as (Johnson et al., 1987; Pride, 2005)

$$\kappa_d(\omega) = \kappa \left( \sqrt{1 + \frac{4i\omega}{n_j\omega_B} + \frac{i\omega}{\omega_B}} \right)^{-1}. \quad (3)$$

165 In Eq. 3,  $n_j$  is a parameter related to the permeability, the electrical formation factor,  
 166 and the pore geometry of the rock. Johnson et al. (1987) also derived an expression for  
 167 the characteristic frequency  $\omega_B$  at which inertial forces dominate over viscous forces

$$\omega_B = \frac{\eta\phi}{\kappa_0 S \rho_f}, \quad (4)$$

169 where  $S$  is the tortuosity and  $\kappa_0$  is the permeability typically employed to characterize  
 170 fluid flow in porous media in the context of Darcy's law. We refer to  $\omega_B$  as the Biot char-  
 171 acteristic frequency, which in general is well above the seismic frequency band (Pride,  
 172 2005). Moreover, in this work, we assume (and verify using Eq. 4) that the frequencies  
 173 at which unclogging takes place are in the frequency range where viscous forces dom-  
 174 inate the flow in the pore space (i. e.,  $\omega \ll \omega_B$ ). As a result, the relative fluid motion  
 175 is governed by Poiseuille flow and the dynamic permeability reduces to the real-valued  
 176 hydraulic permeability  $\kappa_0$ .

177 A fundamental prediction of Biot's dynamic theory is that in addition to the clas-  
 178 sical body waves (S- and P-waves), a third wave mode commonly referred to as the slow  
 179 P-wave propagates in porous media. The slow P-wave is a highly dispersive wave that,  
 180 in the low-frequency regime, behaves as a diffusion process. In the presence of mesoscale  
 181 heterogeneities, that is, heterogeneities whose characteristic size is much smaller than  
 182 the seismic wavelength and much larger than the pore scale, the mechanical perturba-  
 183 tion associated with the passing seismic wave-field induces fluid pressure gradients due  
 184 to the stiffness contrast between the different porous phases of the medium. The fluid  
 185 motion associated with the subsequent fluid pressure diffusion (FPD) process produces  
 186 viscous friction at the pore scale, which, in turn, manifests itself in the form of atten-  
 187 uation and velocity dispersion in seismic records. This so-called mesoscopic wave-induced  
 188 fluid flow phenomenon is a dominant attenuation mechanism in rocks of the shallower  
 189 parts of the Earth's crust (Pride et al., 2004; Müller et al., 2010). The FPD process can  
 190 be thought of as the body wave energy conversion at the interfaces of the heterogeneities  
 191 to diffusive slow P-waves. Heterogeneous media can be described as a composition of piece-  
 192 wise homogeneous porous media (i.e, porous phases) for which Biot's equations are lo-  
 193 cally valid (White et al., 1975; Berryman & Wang, 2000; Pride & Berryman, 2003). In  
 194 this kind of approach, Biot's equations are complemented by interface conditions relat-  
 195 ing the poroelastic fields on both sides of a surface separating two dissimilar phases (Deresiewicz  
 196 & Skalak, 1963; Gurevich & Schoenberg, 1999).

## 197 **2.2 The role of slow P-waves in permeability changes due to colloidal** 198 **mobilization**

199 Up to date, the only attempts to reproduce the effects of seismically-induced un-  
 200 clogging were performed in the laboratory and consisted of applying low-magnitude pore  
 201 pressure changes on centimetre-scale rock samples (Roberts, 2005; Liu & Manga, 2009;  
 202 Elkhoury et al., 2011; Kocharyan et al., 2011; Candela et al., 2014, 2015). In particu-  
 203 lar, Candela et al. (2014) computed permeability changes in intact and fractured water-  
 204 saturated Berea sandstones while applying pore pressure oscillations at a frequency  $f=0.05$   
 205 Hz. In the experiment, the authors first impose a driving-flow background pressure drop  
 206 between the two ends of the probed sample, which is used to measure permeability. On  
 207 top of the background pressure gradient, they apply pore pressure oscillations to study  
 208 transient changes in the permeability of the sample. Red dots in Fig. 1a show the re-  
 209 lation between the observed permeability changes and the ratio between the oscillatory

210 pressure gradients and the background pressure gradient driving flow (“normalized pres-  
 211 sure”) for a water-saturated Berea sandstone.

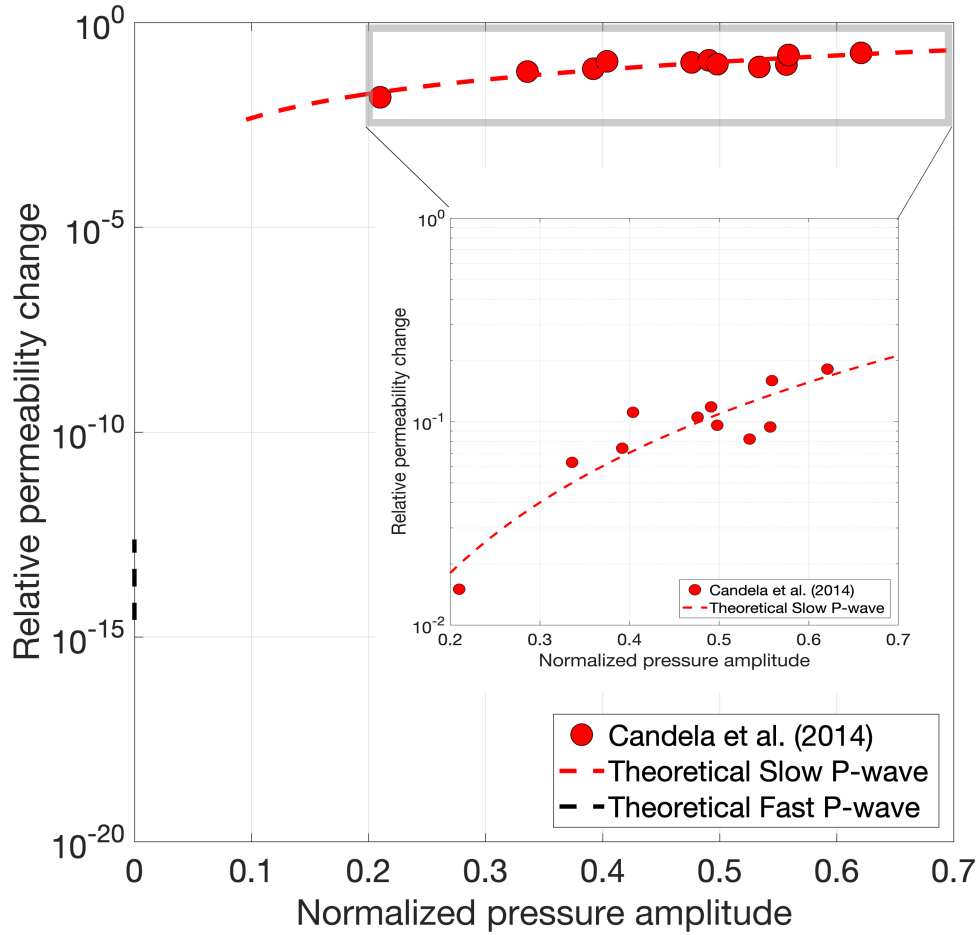
212 Arguably the most important result of the work of Candela et al. (2014) is that the  
 213 relative permeability enhancement is positively correlated with the normalized ampli-  
 214 tude of pressure oscillations (Fig. 1). Although these results had been previously shown  
 215 by Elkhoury et al. (2011) for fractured Berea sandstones, Candela et al. (2014) showed  
 216 that this relation holds true for both intact and fractured rocks. In other words, colloidal  
 217 mobilization driven by transient pressure changes can be an efficient method of perme-  
 218 ability enhancement in porous media in general. The relation shown in Fig. 1 can be ap-  
 219 proximated by (Elkhoury et al., 2011)

$$220 \quad \frac{\Delta\kappa}{\kappa_0} = a \left( \frac{\nabla p_f(\omega)}{\nabla p_f^0} \right)^b, \quad (5)$$

221 where  $\Delta\kappa$  and  $\kappa_0$  denote the absolute change in permeability and the initial permeabil-  
 222 ity of the sample, respectively.  $\nabla p_f(\omega)$  and  $\nabla p_f^0$ , denote the oscillatory and background  
 223 pressure gradients, respectively. The fitting parameters  $a$  and  $b$  in Elkhoury et al. (2011)  
 224 were 0.7 and 1.7, respectively. We found that Eq. 5 also holds for the experiments of Candela  
 225 et al. (2014) and estimated the fitting parameters to be  $a = 0.42$  and  $b = 1.96$ .

226 In order to assess the ability of seismic waves to induce permeability changes such  
 227 as those observed in Fig. 1, we compute the pressure gradients associated with slow P-  
 228 and P-waves by solving Biot’s equations in a homogeneous isotropic medium (see sec-  
 229 tion 2.1). Most of the physical properties of the sample utilized by Candela et al. (2014)  
 230 necessary for the poroelastic modelling are unknown. To estimate them, we use the re-  
 231 ported permeability of the sample ( $10^{-14.553}$  m<sup>2</sup>) and assume a sample porosity in the  
 232 order of 0.05 following relations reviewed by Bourbié et al. (1987) for clean sandstones.  
 233 Note that if we follow the Kozeny-Carman relation ( $\kappa = \beta d^2 \phi^3 / (1 - \phi)^2$ ), the chosen  
 234 permeability-porosity pair can be obtained by considering  $\beta=0.0009$  (geometric factor)  
 235 and a grain diameter  $d=150\mu\text{m}$ . We use the relations between porosity and matrix mod-  
 236 uli proposed by Pride (2005), which are  $K_m = K_s(1 - \phi)/(1 + c\phi)$  and  $\mu_m = \mu_s(1 -$   
 237  $\phi)/(1 + 3c\phi/2)$ , and we assume  $c=4$  (consolidation parameter),  $K_s=37$  GPa (grain bulk  
 238 modulus), and  $\mu_s=44$  GPa (grain shear modulus). To quantify the tortuosity  $S$  in Eq.  
 239 4, we consider the relation  $S = \phi^{1-m}$ , with  $m$  being a cementation exponent equal to  
 240 1.5, which is typical of sandstones (Pride, 2005). For the parameter  $n_J$  in Eq. 3 we use  
 241 a value of 8, which is also a common choice for sandstones (Pride, 2005). The full list  
 242 of properties representative of a water-saturated Berea sandstone is given in Table 1. Re-  
 243 garding the characteristics of the waves, the pressure gradients were computed for strains  
 244 of the same order of those measured by Candela et al. (2014), that is, between  $\sim 5\text{e-}7$  and  
 245  $\sim 5\text{e-}6$  and for a frequency of 0.05 Hz. Once the wave-induced pressure gradients are com-  
 246 puted for slow P- and P-waves, we use Eq. 5 to model the associated permeability changes.  
 247 In Eq. 5, we normalize the pressure gradients using  $\nabla p_f^0 = 4$  MPa/m, which is represen-  
 248 tative of the experimental results of Candela et al. (2014) shown in Fig. 1. The very good  
 249 agreement between the red dashed line and the dots in Fig. 1 implies that the effects ob-  
 250 served by Candela et al. (2014) are mainly related to the action of diffusive slow P-waves.  
 251 But more importantly, that the relative permeability changes associated with the clas-  
 252 sical P-waves are largely negligible compared with the effects associated with slow P-waves.

253 Gurevich et al. (1994) showed that, for frequencies below Biot’s characteristic fre-  
 254 quency (Eq. 4), which for the properties given in Table 1 is in the ultrasonic frequency  
 255 range, the plane-wave solution of the set of Eqs. 1 can be approximated by the follow-



**Figure 1.** Theoretical predictions of the experiment of Candela et al. (2014) based on Biot's theory of poroelasticity. Red dots show the relation between imposed pressure oscillations normalized by the background pressure drop driving flow and the measured permeability changes in the sample from Candela et al. (2014). Red and black dashed lines denote permeability changes predicted for slow P- and P-waves (Eq. 5), respectively, as a function of the normalized pressure gradient created by each wave. The frequency of the waves is set to 0.05 Hz as in the experiment of Candela et al. (2014). The inset shows the prediction for the slow P-wave in more detail.

**Table 1.** Physical properties of a Berea sandstone utilized for the analysis of normalized pore pressure changes as function of seismic strains of slow P- and P-waves.

<b>Berea sandstone</b>	
Grain bulk modulus ( $K_s$ )	37 [GPa]
Grain shear modulus ( $\mu_s$ )	44 [GPa]
Grain density ( $\rho_s$ )	2650 [kg/m <sup>3</sup> ]
Matrix bulk modulus ( $K_m$ )	29.3 [GPa]
Matrix shear modulus ( $\mu_m$ )	32.15 [GPa]
Porosity ( $\phi$ )	0.05 [-]
Permeability ( $\kappa$ )	0.0028 [D]
Fluid Viscosity ( $\eta$ )	0.0016 [Pa·s]
Fluid bulk modulus ( $K_f$ )	2.25 [GPa]
Fluid density ( $\rho_f$ )	1090 [kg/m <sup>3</sup> ]
Tortuosity ( $S$ )	$\phi^{0.5}$
$n_J$	8

256 ing wave-numbers ( $k$ )

257 
$$k_P \simeq \frac{\omega}{V_P}, \tag{6}$$

258 
$$k_S \simeq \frac{\omega}{V_S}, \tag{7}$$

259 
$$k_{P_{slow}} \simeq \frac{\sqrt{-i}}{L_D}, \tag{8}$$

260 where  $V_S = \sqrt{\frac{\mu_m}{\rho_b}}$  and  $V_P = \sqrt{\frac{H_u}{\rho_b}}$  correspond to the S- and P-wave velocities in the  
 261 low-frequency regime, respectively, with  $H_u = K_u + 4/3\mu_m$ . The diffusion length in  
 262 the equation of the slow P-wavenumber (denoted by  $P_{slow}$  in Eq. 8) is

263 
$$L_D = \sqrt{\frac{D}{\omega}}, \tag{9}$$

264 with  $D = \frac{\kappa}{\eta} (M - \frac{\alpha^2 M^2}{H_u})$  denoting the diffusivity of the medium.  $L_D$  is the distance  
 265 at which the amplitude of the pore pressure induced by the slow P-wave decays approx-  
 266 imately by half. It is well known that, for frequencies in the seismic range, slow P-wave  
 267 effects are negligible a few meters away from their source (Pride et al., 2008). This con-  
 268 dition implies that even if it were possible to create slow P-waves with relatively high  
 269 seismic strains (e.g., in a stimulation well), the energy of these waves would decay and  
 270 become negligible rapidly (i.e.,  $L_D$  can be of the order of a few meters at most). Cor-  
 271 respondingly, permeability changes due to seismically-induced colloidal mobilization are  
 272 limited to the vicinity of the source of the diffusive waves. Far from the seismic source  
 273 ( $r \gg 1$  m), the seismic energy can only be carried by P- and S-waves, which, in turn,  
 274 produce negligible effects on colloidal mobilization (Fig. 1). However, as mentioned in  
 275 Section 2.1, when P- and S-waves propagate through a medium exhibiting stiffness con-  
 276 trasts (e.g., due to layering, fracturing, patchy distribution of fluids, etc), additional pres-  
 277 sure gradients, which equilibrate through FPD, are created by the passing waves. In other  
 278 words, slow P-waves are created at the interface between two dissimilar porous phases  
 279 of the medium. In such scenario, provided the seismic energy of the incident wave is high  
 280 enough, the effects of the triggered diffusive waves may be sufficiently large to unclog  
 281 regions of the pore space and change permeability. In this work, we address this ques-  
 282 tion in the particular case of P- and S-waves striking a fault zone.



**Table 2.** Minimum effective pore fluid velocity correlated with observable effects of unclogging in porous media.

Authors	$v_{eff}$ [mm/s]	Origin
Bergendahl and Grasso (2000)	1.82	Flow through porous medium
Brodsky et al. (2003)	1	Rayleigh waves
Wang et al. (2009)	0.2-0.9	S and Love waves dynamic strains
Kocharyan et al. (2011)	0.5-1	Surface waves
Candela et al. (2014), Candela et al. (2015)	0.1-1	Controlled pressure oscillations

### 2.3 Criterion for initiation of unclogging

In this section, we define a criterion for which unclogging is expected to take place and produce observable effects on permeability. Assuming that unclogging initiates by rolling of colloids, it is expected to occur when the resistance to rolling is overcome by the applied moment from hydrodynamic forces (Bergendahl & Grasso, 2000). For a Poiseuille-type fluid flow in the pores (i.e., frequencies in the seismic range and below), the hydrodynamic shear experienced by a colloid attached to a pore wall is proportional to the effective pore velocity  $v_{eff}$  defined as (Bergendahl & Grasso, 2000; Brodsky et al., 2003)

$$v_{eff} = \frac{Q}{\phi A_{cross}} = \frac{\dot{w}}{\phi}, \quad (10)$$

where  $Q$  is the flow rate across an area  $A_{cross}$  of the porous medium and  $\dot{w}$  is the magnitude of the fluid velocity relative to the solid matrix in a unit volume of porous medium. High pore velocities generated due to the presence of large local pressure gradients, producing large  $Q$  values, directly affect the magnitude of the hydrodynamic shear acting on the colloids. It is important to mention that the latter is also a function of the effective pore diameter and the colloidal radius (Bergendahl & Grasso, 2000). Eq. 10 is particularly useful because it links the fluid motion at the pore scale ( $v_{eff}$ ) with macroscopic quantities such as  $Q$  and  $\dot{w}$ . In particular, the fluid relative velocity  $\dot{w}$  is a poroelastic variable that can be computed from the pressure gradients imposed by propagating seismic waves (magnitude of the time derivative of  $\mathbf{w}$  in the set of Eqs. 1).

In Table 2, we provide a list of experimental studies in which unclogging in porous media has been observed or inferred and the corresponding estimated pore fluid velocity. Bergendahl and Grasso (2000) presented a mathematical model to predict hydrodynamic conditions leading to initiation of colloidal detachment in a porous medium using a constricted tube model. In addition, the authors performed a fluid flow experiment across a column of porous medium while increasing the flow rate sequentially from 5 to

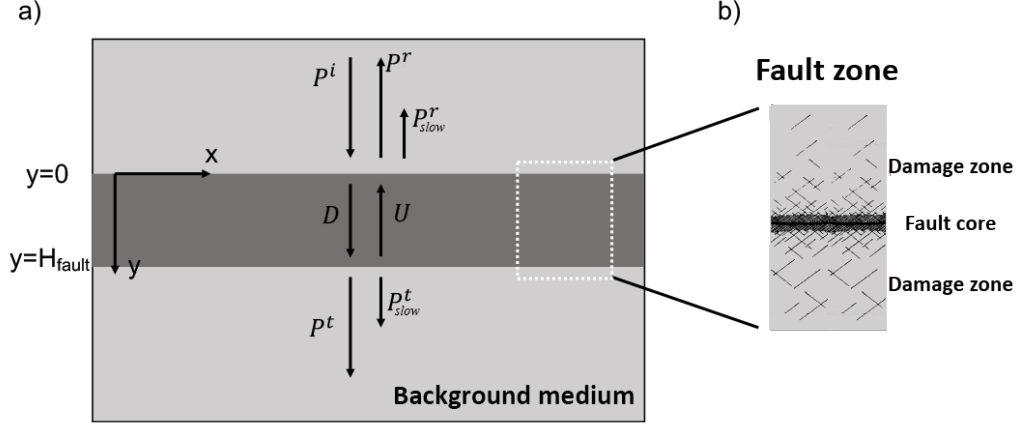
100 ml min<sup>-1</sup>. A spectrophotometer provided continuous optical density readings, which were converted to concentration of colloids. The authors quantified the hydrodynamic forces in the fluid that explained the observed colloidal removal-flow rate relation. Bergendahl and Grasso (2000) observed colloidal mobilization at flow rates above 30 ml/s, corresponding to  $v_{eff}=1.82$  mm/s. In the case of transient fluid motion, Wang et al. (2009) estimated that  $v_{eff}$  must be greater or equal than 0.03 mm/s in order to create sufficient hydrodynamic shearing to initiate unclogging. They found that at an epicentral distance of  $\sim 2000$  km of a magnitude 7.9 earthquake, oscillatory groundwater flow associated with passing Rayleigh, Love, and S-waves was strong enough (0.2 to 0.9 mm/s) to unclog pores and increase aquifer permeability. Brodsky et al. (2003) and Kocharyan et al. (2011) estimated that pore fluid velocities of the order of 1 mm/s were sufficient to unclog large fractures based on water level changes in wells in response to the passage of surface waves. Using Eq. 10, we have estimated the effective pore fluid velocity for the oscillatory pressure experiments of Candela et al. (2014) to be in the range 0.1-1 mm/s by taking representative values of  $Q$  of their experiment between  $10^{-7.5}$  and  $10^{-8}$  m<sup>3</sup>/s for  $A_{cross} = 45 \times 29$  mm<sup>2</sup>. Based on the above-mentioned evidence, in this work we assume a threshold value for  $v_{eff}$  of 0.1 mm/s in order to quantitatively assess the feasibility of seismically-induced unclogging in fluid-saturated porous media.

We note here that seismically-induced changes in physical properties of the medium are not quantified or accounted for in this work. In particular, permeability changes are not modeled for various reasons. First, as far as the authors know, there are no analytical or empirical models relating permeability changes through unclogging with seismically-induced fluid flow. The empirical laws given by Elkhoury et al. (2011) and Candela et al. (2015) for Berea sandstones are not universal but are meant to explain the results of pressure oscillation experiments on small scale samples in which a background flow is perturbed by harmonically oscillating the pore pressure. Moreover, empirical laws such as the one given in Eq. 5 require knowledge of (and are scaled by) the static background pressure gradient. Second, according to Roberts and Abdel-Fattah (2009), different types of colloidal mobilization can follow an abrupt change in fluid flow, including detachment from pore walls, expulsion from dead-end pores, and pore throat fouling breakup. The latter case is expected to induce the largest permeability variations but, a priori, it is not possible to quantify and differentiate the corresponding effects. Third, unclogging effects on permeability sometimes operate with other mechanisms affecting permeability. In fractured rocks, a prominent example is when the seismic stressing produces permanent deformation associated with a change in fracture aperture (Liu & Manga, 2009; Shokouhi et al., 2019). The interrelation between different mechanisms can cause permeability to either increase or decrease (Z. Shi et al., 2018; Y. Shi et al., 2019). For these reasons, in this work, we focus on analyzing the ability of seismic waves to initiate unclogging.

### 3 Seismically-induced unclogging in faults

#### 3.1 Single-layer scattering problem

In this section, we study how energy is converted from P- and S-waves into slow P-waves when the former propagate across a fluid-saturated fault zone. By conceptualizing the fault zone as an isotropic, compliant layer embedded in a stiffer background medium (i.e., the host rock), the problem reduces to modelling the scattering of seismic waves at a single layer. Fig. 2 illustrates the reflected and transmitted wave-fields at a single layer for the case of P-wave normal incidence. We consider the Cartesian coordinate system shown in Fig. 2, which allows us to study the wave propagation in the  $x$ - $y$  plane while wave propagation in the  $z$ -direction is not considered. We use the methodology described in Barbosa et al. (2016) to compute the amplitudes of the scattered wave fields for P- and S-wave incidence at arbitrary incidence angles. We acknowledge that, in general, faults have complex architectures involving damage zones surrounding a fault



**Figure 2. Schematic illustration of the fault reflectivity problem for normal P-wave incidence.** a) The arrows indicate the positive directions of wave propagation.  $P$  and  $P_{slow}$  refer to the P- and slow P-waves, respectively. The superscripts  $r$  and  $t$  denote reflected and transmitted waves in the background medium, respectively. Letters  $D$  and  $U$  denote down-going and up-going wave fields inside the fault, respectively. We consider an isotropic, compliant, poroelastic layer representing a fault, which is a simplification of a fault zone composed by a fault core surrounded by a damage zone (b). Fault structure adapted from Chester et al. (1993). The fault is embedded in a background medium having similar properties to those of the Berea sandstone utilized by Candela et al. (2014).

360 core (Fig. 2b). This implies that pressure gradients can be created not only at the in-  
 361 terface between the fault as a whole but also within mesoscale heterogeneities inside the  
 362 fault zone that are larger than the pore size but smaller than the size of the fault (e.g.,  
 363 interconnected fractures as shown in Barbosa, Hunziker, et al. (2019)). Under the as-  
 364 sumption that frequencies are low enough so that fluid pressure has enough time to equi-  
 365 librate between heterogeneities within the fault zone during the passage of the seismic  
 366 wave (“relaxed state”), we can approximate the response of the fault zone with the one  
 367 of an effective poroelastic layer as in Fig. 2a. Given that the interior of the fault is com-  
 368 posed of fractures and background medium, the assumption above is valid as long as the  
 369 diffusion length of the slow P-waves inside the fault zone is much larger than the char-  
 370 acteristic size of the fractures. Within the validity of this model, we can assess the mag-  
 371 nitude of the seismically-induced fluid flow, and the corresponding unclogging potential,  
 372 associated with the slow P-waves created when seismic waves propagate through the fault  
 373 zone.

### 374 3.1.1 Fault properties

375 At given seismic wave frequency and strain, the amplitude of the slow P-waves cre-  
 376 ated at the interfaces of the fault (Fig. 2a) is controlled by the mechanical and hydraulic  
 377 contrast between the fault and the embedding medium, and by the thickness of the fault  
 378 zone. In order to parameterize the stiffness contrast between the fault and the embed-  
 379 ding background medium we use the following relations

$$380 K_m^{fault} = K_m^b / \alpha, \quad (11)$$

$$381 \mu_m^{fault} = \mu_m^b / \beta, \quad (12)$$

**Table 3.** Physical properties of the fault, which are related to the properties of the background. Given that both fault and background properties are characterized as a function of background porosity, we show the velocity associated with each porosity value used in the study.

	Stiff fault	Soft fault
P-wave velocity ( $V_p$ )	4670 [m/s]	2610 [m/s] ( $\phi^b = 0.05$ )
	4205 [m/s]	2460 [m/s] ( $\phi^b = 0.1$ )
	3850 [m/s]	2350 [m/s] ( $\phi^b = 0.15$ )
S-wave velocity ( $V_s$ )	3050 [m/s]	1450 [m/s] ( $\phi^b = 0.05$ )
	2680 [m/s]	1275 [m/s] ( $\phi^b = 0.1$ )
	2390 [m/s]	1140 [m/s] ( $\phi^b = 0.15$ )
Bulk density ( $\rho_b$ )	2275 [kg/m <sup>3</sup> ]	2275 [kg/m <sup>3</sup> ]
$E^b/E^{fault}$	1.5	$\sim 8$

with  $\alpha$  and  $\beta$  dimensionless parameters larger than 1. For our analysis, we assume two possible relations to define the elastic properties of the fault layer: (a)  $\alpha=12.5$ ,  $\beta=6.6$ , which in the following is referred to as the “soft fault”; and (b) a “stiff fault” case with  $\alpha=\beta=1.5$ . It is important to note that the properties of the fault depend on the properties of the background medium. In the following, in those cases where the background properties are changed, the fault elastic moduli are changed according to Eqs. 11 and 12. Table 3 summarizes the range of variation of the properties of the soft and stiff faults considered in this work. Jeanne et al. (2017) provide measurements as well as a compilation of literature values of Young modulus ( $E$ ) variations along faults from the background medium to the fault core. Jeanne et al. (2017) showed that the factor decay of the Young modulus ( $E^b/E^{fc}$ ) from the host rock (superscript  $b$ ) to the fault core (superscript  $fc$ ) can vary between 1.5 and 12.5. Table 3 shows that both for the soft and stiff faults, this ratio is in the range of the observations of Jeanne et al. (2017). Further, the properties chosen for the soft fault case, representing the largest compressibility contrast, are in the range of those used by Lupi et al. (2013) to model faults in the Lusi mud eruption and its hydrothermal system ( $V_p=2325$  m/s,  $V_s=1531$  m/s, and  $\rho_b=2000$  kg/m<sup>3</sup>). Note that the above-mentioned works, and thus the fault properties given in Table 3, provide values representative of upper-crust structures (i.e, up to few kilometers depth). Regarding the hydraulic contrast between the fault and the background, we have fixed the porosity and permeability of the fault to 0.25 and  $0.5e-12$  m<sup>2</sup> ( $\sim 0.5$  D), respectively. For all cases, the fault is more permeable and with higher diffusivity than the embedding background medium. As a reference scenario, the background medium has the properties of the Berea sandstone listed in Table 1, but we also consider other two cases in which the background porosity is increased to 0.1 and 0.15. For these additional cases, the permeability and elastic moduli of the background are defined from the background porosity values following the same relations described in Section 2.2. Finally, the grain and fluid properties in the fault are assumed to be the same as in the background medium (Table 1). It is important to remark that for the background and fault properties described above,  $\omega_B$  (Eq. 4) is always in the sonic to ultrasonic frequency range.

We assume reference scenarios for the fault zone thickness between 0.1 m and 1 m. For the soft fault properties given in Table 3 and a fault thickness of 0.1 m, the dry normal ( $\eta_N=H_{fault}/(K_m+4/3\mu_m)$ ) and tangential compliances ( $\eta_T=H_{fault}/\mu_m$ ) characterizing the mechanical response of the faults are in the range  $[1.1-1.8]\times 10^{-11}$  m/Pa and  $[2-3.3]\times 10^{-11}$  m/Pa, respectively. These compliance values are in the order of those ex-

416 pected for faults of tens of meters lengths according to the corresponding relation shown  
 417 in previous works (Hobday & Worthington, 2012; Barbosa, Caspari, et al., 2019). From  
 418 a structural point of view, a fault zone thickness of 0.1 m corresponds to a fault displace-  
 419 ment of the same order (Faulkner et al., 2011; Savage & Brodsky, 2011). Using the em-  
 420 pirical relation between fault displacement and fault length reviewed by Kim and Sander-  
 421 son (2005), fault lengths are expected to be in the order of tens of meters, which is con-  
 422 sistent with the fault mechanical properties considered.

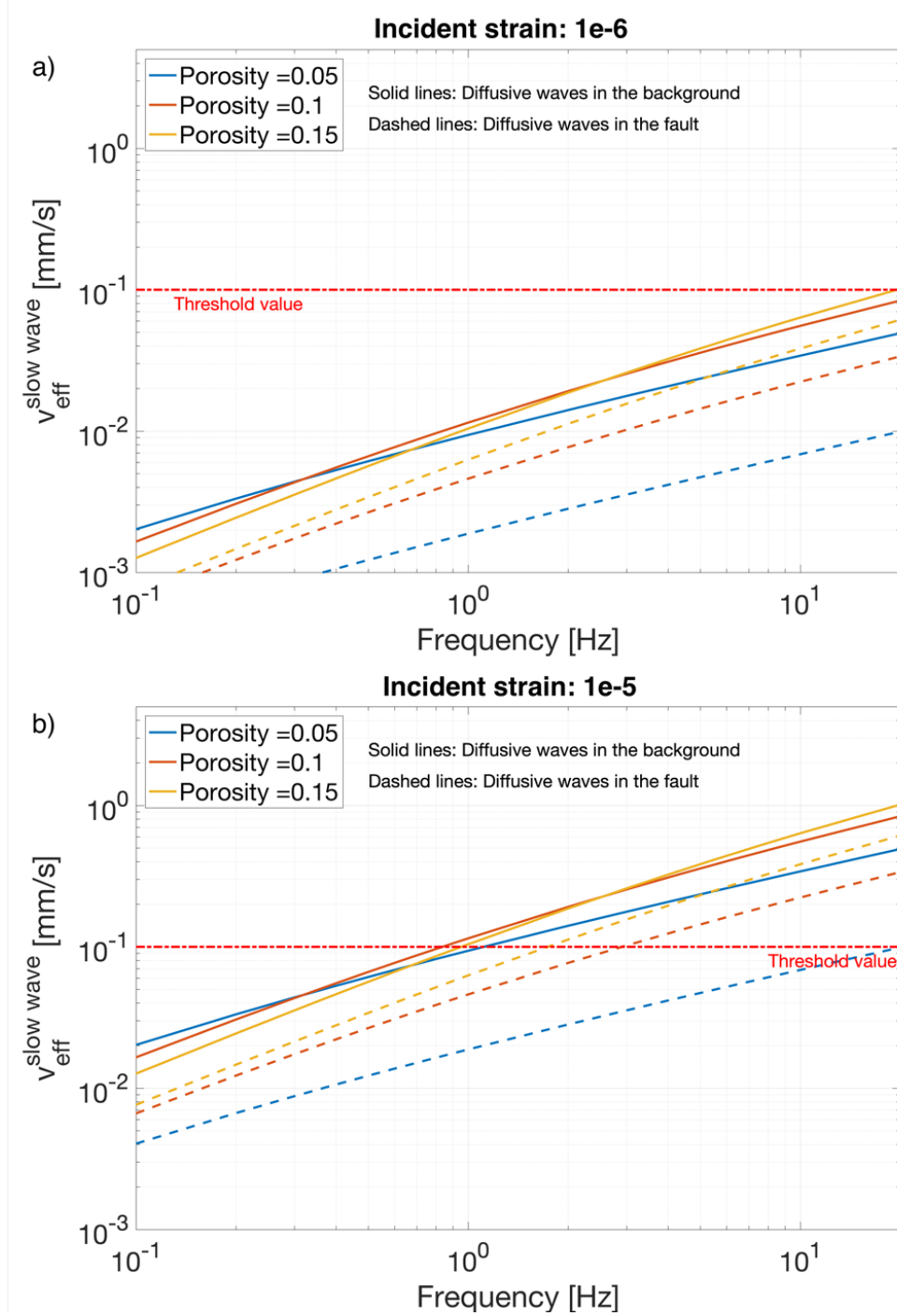
### 423 **3.1.2 Seismic wave properties**

424 The fluid flow associated with slow P-waves is also a function of the strain and fre-  
 425 quency of the incident P- or S-wave. To define an upper bound for the seismic strain,  
 426 we follow the results of Lupi et al. (2013) and Lupi, Frehner, et al. (2017) on dynamic  
 427 processes associated with the passage of body waves released from regional earthquakes.  
 428 In these studies, seismic strains of up to  $2e-5$  for frequencies around 1 Hz were inferred  
 429 from dynamic displacements recorded at the surface. For our study, we consider seismic  
 430 strains ( $\varepsilon$ ) in the range of  $1e-6$  to  $1e-5$ . We consider frequencies between 0.1 and 20 Hz,  
 431 which, together with the chosen strain values, cover the typical ranges used in labora-  
 432 tory experiments investigating unclogging as well as those observed for seismic waves as-  
 433 sociated with regional events. Using that  $\varepsilon = iuk$ , where  $u$  is the seismically-induced  
 434 solid displacement, the maximum seismic wave energy density  $e$  can be computed as  $\rho_b(\varepsilon\omega/2k)^2$   
 435 (Lay & Wallace, 1995). Considering Eqs. 6 and 7, it follows that  $e_P = \rho_b(\varepsilon V_P/2)^2$  and  
 436  $e_S = \rho_b(\varepsilon V_S/2)^2$ , which are independent of the frequency. For the strains considered  
 437 above,  $e_P$  and  $e_S$  range from  $0.01 \text{ J/m}^3$  to  $1 \text{ J/m}^3$ . These values are within the typical  
 438 range at which regional earthquakes can induce water level in wells and spring temper-  
 439 ature changes (Wang & Manga, 2010). In the following, we analyze first the case of nor-  
 440 mal P-wave incidence and then generalize the analysis to P- and S-wave oblique incidence.

### 441 **3.2 Normal P-wave incidence**

442 The fault seismic reflectivity problem illustrated in Fig. 2 represents an upscaled  
 443 field analogue to the laboratory experiment of Candela et al. (2014) in which slow P-waves  
 444 are created as a result of scattering of the seismic wave energy of an incident body wave.  
 445 Fig. 3 shows the frequency dependence of the effective pore velocity (Eq. 10) associated  
 446 with the scattered slow P-waves at the fault interface  $y=0$  m (Fig. 2) and propagating  
 447 into the host medium ( $P_{slow}^r$  in Fig. 2) and into the fault (associated with both down-  
 448 going  $D$  and up-going  $U$  fields in Fig. 2). As a reference, we plot the threshold effective  
 449 pore velocity  $v_{eff}^0$  (red dashed line) adopted for this work. We consider three sets of prop-  
 450 erties for the background medium, which are parameterized as functions of the poros-  
 451 ity. For this, we consider  $\phi_1=0.05$ ,  $\phi_2=0.1$ , and  $\phi_3=0.15$  ( $\phi=0.05$  corresponds to the prop-  
 452 erties given in Table 1). The fault properties are those corresponding to the soft fault  
 453 in Table 3 and the thickness  $H_{fault}$  is set to 0.1 m.

454 Let us first analyze the sensitivity of  $v_{eff}$  associated with the slow P-waves to the  
 455 strain of the incident P-wave. We consider incident P-wave strains equal to  $1e-6$  (Fig.  
 456 3a) and  $1e-5$  (Fig. 3b). Fig. 3 shows that  $v_{eff}$  inside the fault increases with the back-  
 457 ground porosity. Given that faults are parameterized in a way such that only their elas-  
 458 tic properties are allowed to change, the dependence of  $v_{eff}$  with background porosity  
 459 inside the fault implies that the compressibility contrast between the fault and embed-  
 460 ding background is effectively maximal for the case of 0.15 porosity. Furthermore, the  
 461 sensitivity of  $v_{eff}$  to the changes in properties is more important in the fault than in the  
 462 background medium (compare dashed and solid lines in Fig. 3). In general, slow P-waves  
 463 induce higher  $v_{eff}$  towards the background medium than inside the fault. This suggests  
 464 that unclogging is more likely to be more efficient from the background to the fault than  
 465 inside the fault. At a given strain magnitude,  $v_{eff}$  increases with the frequency and its  
 466 frequency dependence in the fault and in the background medium is similar. Regarding



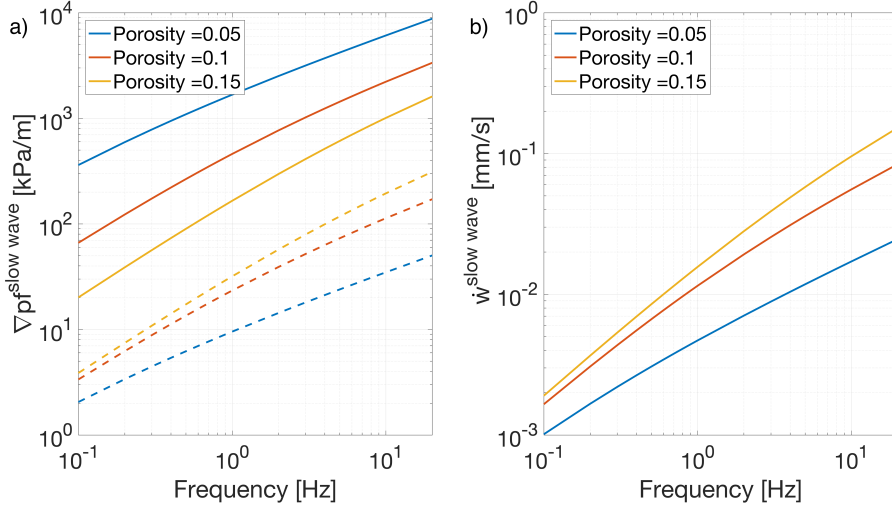
**Figure 3.** Effective pore velocity in the fault (dashed lines) and in the background medium (solid lines) as a function of frequency for normal P-wave incidence. The red dashed line denotes the threshold value  $v_{eff}^0$  above which unclogging has been observed in other studies. Incident strain is equal to a) 1e-6 and b) 1e-5. Fault thickness is 0.1 m.  $v_{eff}$  tends to be smaller in fault than in the background due to the larger fault diffusivity.

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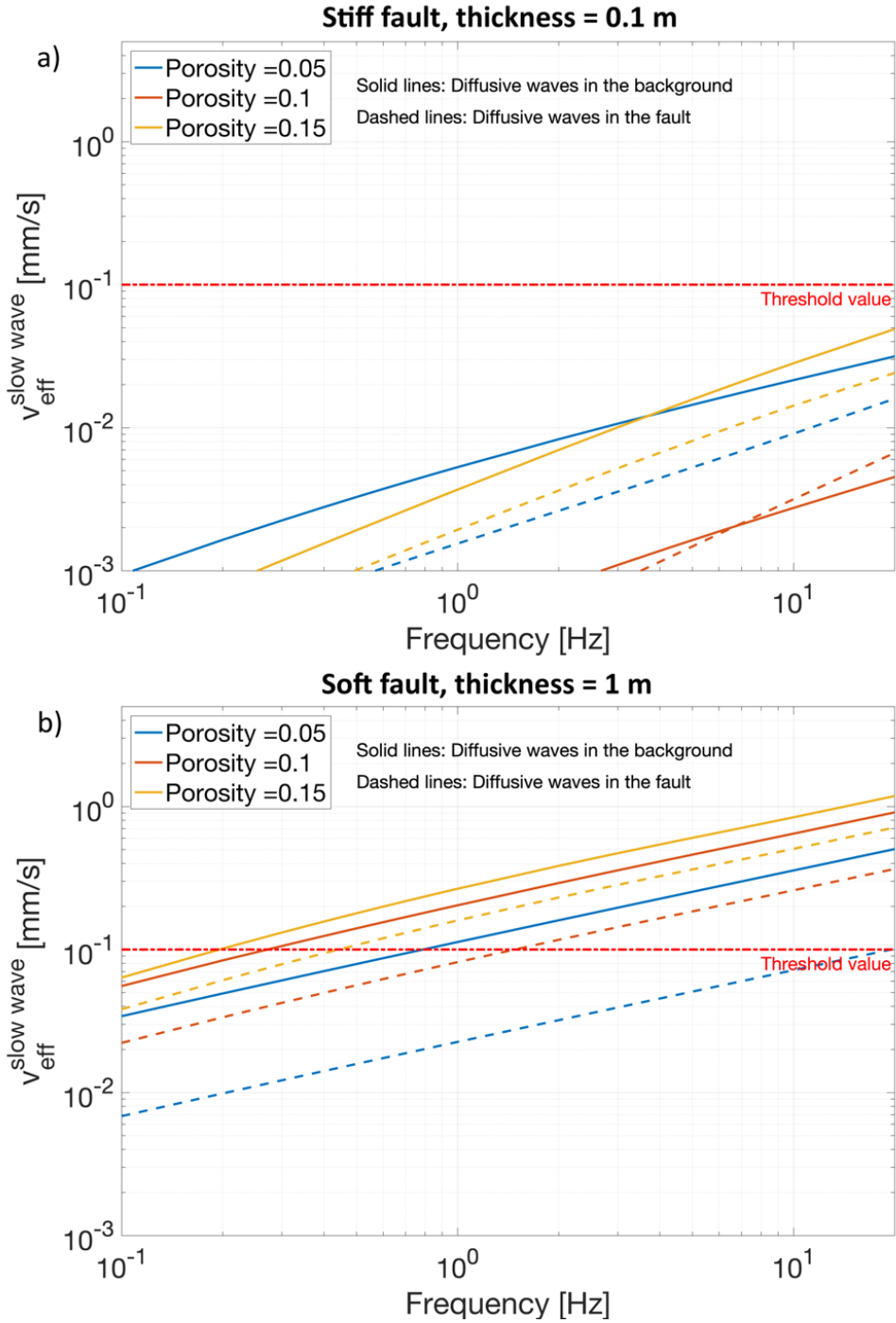
the effect of the incident strain, an order of magnitude increase in seismic strain results in an order of magnitude increase in  $v_{eff}$ . For 1e-6 incident strain, the threshold value

469 ( $v_{eff}=0.1$  mm/s) is reached neither in the background nor the fault. For  $1e-5$  incident  
 470 strain,  $v_{eff}$  is above the threshold for frequencies above 1 Hz and all cases considered  
 471 both inside the fault and in the background medium, except for the case of porosity equal  
 472 to 0.05 (Fig. 3b). Note that the curves in Fig. 3a and Fig. 3b represent the response for  
 473 incident seismic energy densities close to  $0.01$  J/m<sup>3</sup> and  $1$  J/m<sup>3</sup>, respectively, regardless  
 474 of the frequency considered. These results show that the strain of the incident wave is  
 475 a key parameter controlling the seismically-induced unclogging potential in fault zones.



**Figure 4.** (a) Pressure gradient and (b) Darcy velocity associated with the slow P-wave as functions of frequency. The pressure gradient is computed at the interface between the background (solid lines) and the fault (dashed lines). The strain of the incident P-wave and the petrophysical properties are those used in Fig. 3b.

476 To better understand the seismically-induced  $v_{eff}$ , Fig. 4 shows the frequency dependence  
 477 of the fluid pressure gradient and Darcy velocity at the interface between the background  
 478 medium and the fault. The properties of the incident wave, as well as those of the  
 479 medium, are the same as in Fig. 3b. In the background medium, the incidence of P-waves  
 480 at the fault is able to induce particularly strong pressure gradients. As a consequence,  
 481 transient pressure gradients associated with the FPD (e.g., blue curve in Fig. 4a)  
 482 can be several orders of magnitude higher than natural pressure gradients producing  
 483 an effective velocity of  $\sim 5$  m/day in conductive fractures (Brodsky et al., 2003; Kocharyan  
 484 et al., 2011), which are in the order of several kPa/m. In the background medium, the  
 485 highest pressure gradients occur for the model with 0.05 porosity but this model produces  
 486 the lowest Darcy velocity (Fig. 4b). This is due to the fact that the Darcy velocity is  
 487 not only proportional to the pressure gradient but also to the permeability of the  
 488 medium, which is smaller for the case of 0.05 porosity. In the fault, the pressure  
 489 gradients associated with the FPD process are smaller due to its higher diffusivity. Unlike  
 490 in the background, the pressure gradients increase with the porosity of the host rock.  
 491 As mentioned before, given that the fault hydraulic properties are the same in the three  
 492 cases, this observation implies that the compressibility contrast between the fault and the  
 493 embedding background effectively increases with the background porosity. Based on the  
 494 comparison between Fig. 3b and Fig. 4a, pressure gradients of the order of hundreds of  
 495 kPa/m are necessary to reach  $v_{eff}^0$  in the background and in the fault. Note that the  
 496 pressure gradients imposed in laboratory experiments are in this range (Elkhoury et al.,  
 497 2011; Candela et al., 2014, 2015).



**Figure 5.** Effective pore velocity in the fault and in the background medium as a function of frequency for normal P-wave incidence. The red dashed line denotes the threshold value of  $v_{eff}^0 = 0.1$  mm/s. Panels a) and b) correspond to a 0.1 m thick stiff fault and a 1 m thick soft fault, respectively.

498 As previously noted, another critical parameter for the magnitude of the seismically-  
 499 induced pressure gradients is the fault compliance, which relates to its elastic moduli and  
 500 thickness. In order to illustrate the effect of fault compliance on  $v_{eff}$ , in Fig. 5a, we con-



501 consider a fault with the stiff properties given in Table 3. For a 0.1 m thick fault, the com-  
 502 pliabilities  $\eta_N$  and  $\eta_T$  are in the range  $[0.21-0.33]\times 10^{-11}$  m/Pa and  $[0.47-0.76]\times 10^{-11}$  m/Pa,  
 503 respectively. That is, the compliance of the stiff fault is approximately one order of mag-  
 504 nitude lower than the soft fault that was analyzed up until now. In Fig. 5a we consider  
 505 an incident strain of  $1e-5$  ( $e_P \sim 1$  J/m<sup>3</sup>), which was the most favorable case in Fig. 3.  
 506 As expected, the compressibility contrast between the fault and the embedding medium  
 507 plays a major role in the magnitude of  $v_{eff}$  associated with the scattered slow P-waves.  
 508 A stiff fault is associated with  $v_{eff}$  values below  $v_{eff}^0$  regardless of the frequency of the  
 509 wave, which implies that its unclogging potential is much lower than for the considered  
 510 soft faults. Note also that the behavior of  $v_{eff}$  with changing porosity is not as direct  
 511 as for the soft fault (Fig. 3b).

512 Fig. 5b shows the case of a 1 m thick fault with the soft properties given in Ta-  
 513 ble 3. Due to the increase in  $H_{fault}$ , the fault in Fig. 5b represents an order of magni-  
 514 tude increase in effective compliance with respect to the one in Fig. 3b and, in turn, is  
 515 representative of tens to hundreds of meters length faults (Kim & Sanderson, 2005; Faulkner  
 516 et al., 2011; Savage & Brodsky, 2011). Although background properties in Figs. 3b and  
 517 5b are the same, the larger thickness and compliance of the fault considered in Fig. 5b  
 518 with respect to those considered in Fig. 3b result in significantly higher  $v_{eff}$  both in the  
 519 interior of the fault and in the background medium. As a result, for example, in Fig. 5b  
 520 values of  $v_{eff}$  above 0.1 mm/s are reached below 1 Hz. The reason for this increase is  
 521 that the energy conversion from the incident P-wave to slow P-waves at the edge of the  
 522 fault depends on the ratio between the incident wavelength and the thickness of the fault.  
 523 As the ratio increases, the reflectivity of the fault decreases. For a fault thickness of 1 m,  
 524 the amplitude of the converted slow P-waves and, consequently, the induced  $v_{eff}$  is larger  
 525 than the values obtained for 0.1 m. This implies that if the thickness of the fault changes  
 526 along its plane, unclogging effects are expected to occur in the thickest sections. How-  
 527 ever, in a poroelastic approach, the reflectivity of a layer depends not only on the ratio  
 528 between the wavelength and the thickness but, also, on the relation between the wave  
 529 frequency and the characteristic frequency of the mesoscopic FPD process occurring be-  
 530 tween the fault and the background medium ( $f_c$ ). An example of these competing ef-  
 531 fects can be observed by comparing the responses for the 0.1 m soft fault (Fig. 3b) and  
 532 the 1 m stiff fault (Fig. 5b) when the background porosity is 0.05. We observe that they  
 533 are practically identical. This similarity implies that the magnitude of  $v_{eff}$  depends both  
 534 on  $H_{fault}$  and  $f_c$ .

535 Fig. 6 provides further details on the relation between  $v_{eff}$  and the thickness of  
 536 the fault. Top and bottom panels of Fig. 6 correspond to fixed frequencies of 0.1 Hz and  
 537 1 Hz, respectively. The fault properties are equal to the soft fault properties given in Ta-  
 538 ble 3 regardless of the thickness of the fault. We observe that as  $H_{fault}$  increases,  $v_{eff}$   
 539 at the interface between the fault and the background increases. However, at some point  
 540 the value of  $v_{eff}$  stabilizes. The thickness at which the curves change their slope can be  
 541 estimated from  $f_c$ , which is given by (Müller & Rothert, 2006)

$$\omega_c = 2\pi f_c = \left( \frac{2}{H_{fault}} \right)^2 D_{eff}^{fault}, \quad (13)$$

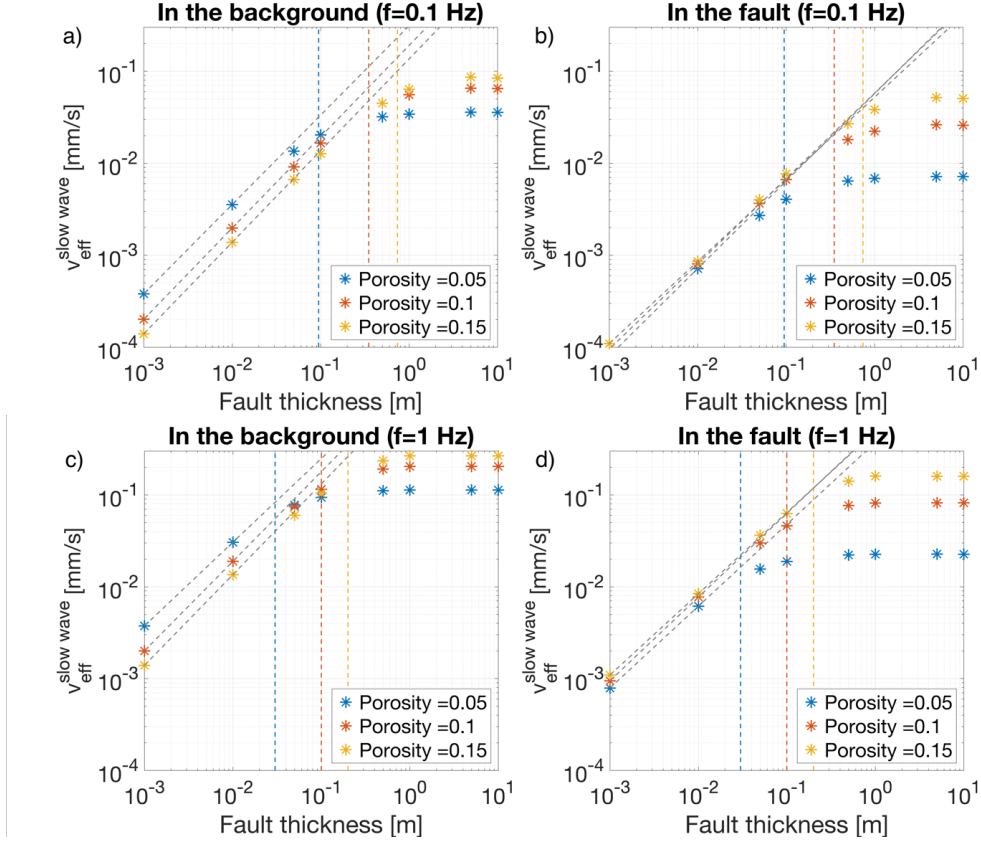
542 where the effective fault diffusivity  $D_{eff}^{fault}$  is defined as

$$D_{eff}^{fault} = \left( \frac{e_b^2}{e_f^2 + e_f e_b} \right) D^f, \quad (14)$$

543 with the effusivity

$$e = \frac{\kappa}{\eta\sqrt{D}}. \quad (15)$$

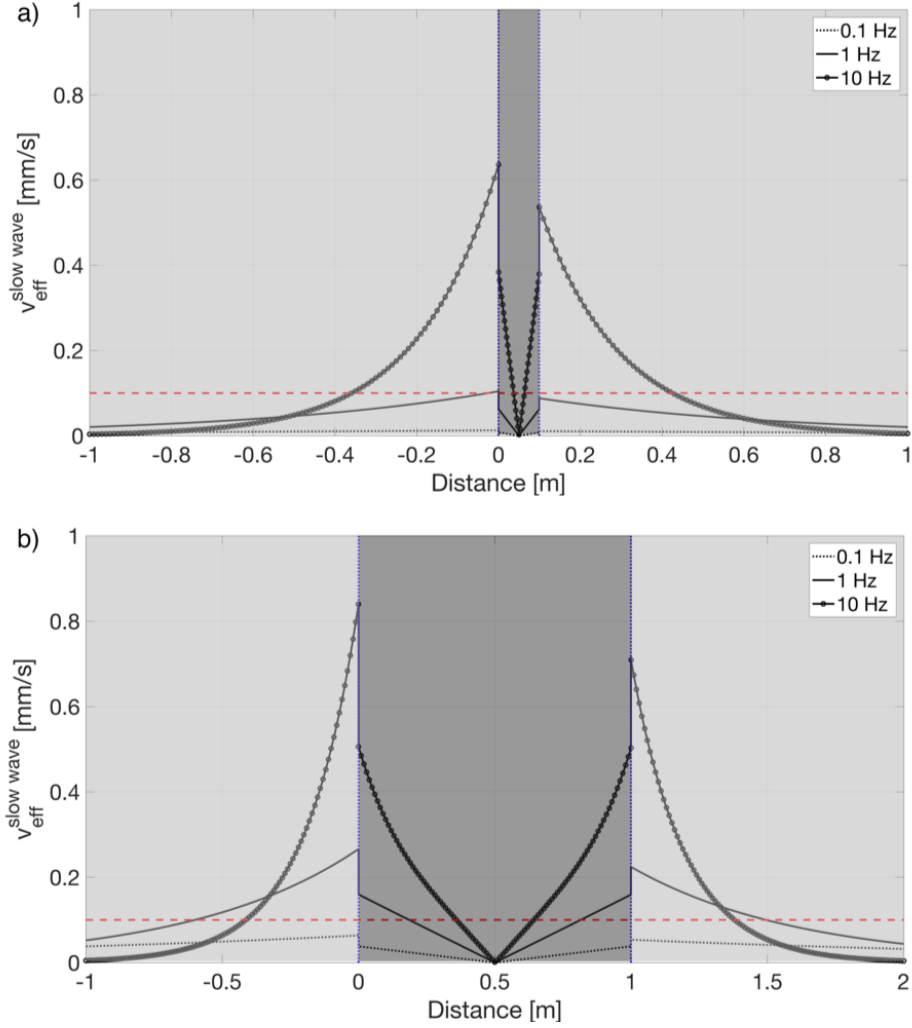
544 In Eqs. 14 and 15, the subscripts  $b$  and  $f$  refer to background and fault properties, re-  
 545 spectively. From Eqs. 9 and 13, it is clear that  $\omega_c$  is related to an effective diffusion length



**Figure 6.** Effective pore velocity in the fault and in the background medium as a function of fault thickness for normal P-wave incidence. Top and bottom panels correspond to seismic wave frequencies of 0.1 Hz and 1 Hz, respectively.

546  $L_{eff}$  equal to half the fault thickness. In Fig. 6, we have computed the fault thickness  
 547 at which  $f_c$  is equal to 0.1 Hz and 1 Hz for the different scenarios defined by the back-  
 548 ground porosity values. In other words, we have computed the minimum fault thickness  
 549 for which the diffusion process inside the fault can fully develop for the considered fre-  
 550 quency. The corresponding thickness values are plotted in Fig. 6 with vertical dashed  
 551 lines and colors denoting the different porosity cases. We observe that for 0.1 Hz,  
 552 the thickness at which the slope of the curves decreases is between 0.1 m and 1 m. For 1 Hz,  
 553 the curves approach the flat regime at smaller thicknesses. There is good agreement be-  
 554 tween the thickness values computed using Eq. 13 for the different frequencies consid-  
 555 ered and the inflection points of the curves. This means that we can interpret the lack  
 556 of sensitivity of  $v_{eff}$  to the fault thickness as the latter becoming larger than two dif-  
 557 fusion lengths inside the fault.

558 Recall that the values shown in Figs. 3 to 6 correspond to those induced at one of  
 559 the fault's edges ( $y=0$  m in Fig. 2). As the slow P-wave travels into the background or  
 560 the fault zone, its amplitude and the corresponding unclogging potential decay. The  
 561 associated effects for a 0.1 m and 1 m thick fault for three frequencies are shown in Fig.  
 562 7. The seismic strain is fixed to  $1e-5$  regardless of the frequency considered. For illu-  
 563 stration purposes, we only consider one of the scenarios shown in Figs. 3 to 5, which cor-  
 564 responds to a soft fault embedded in a background with a porosity of 0.15 (the most fa-  
 565 vorable case for unclogging). Eq. 9 shows that as the frequency of the incident wave in-  
 566 creases, the diffusion length decreases, which is translated in Fig. 7 to a sharper decrease



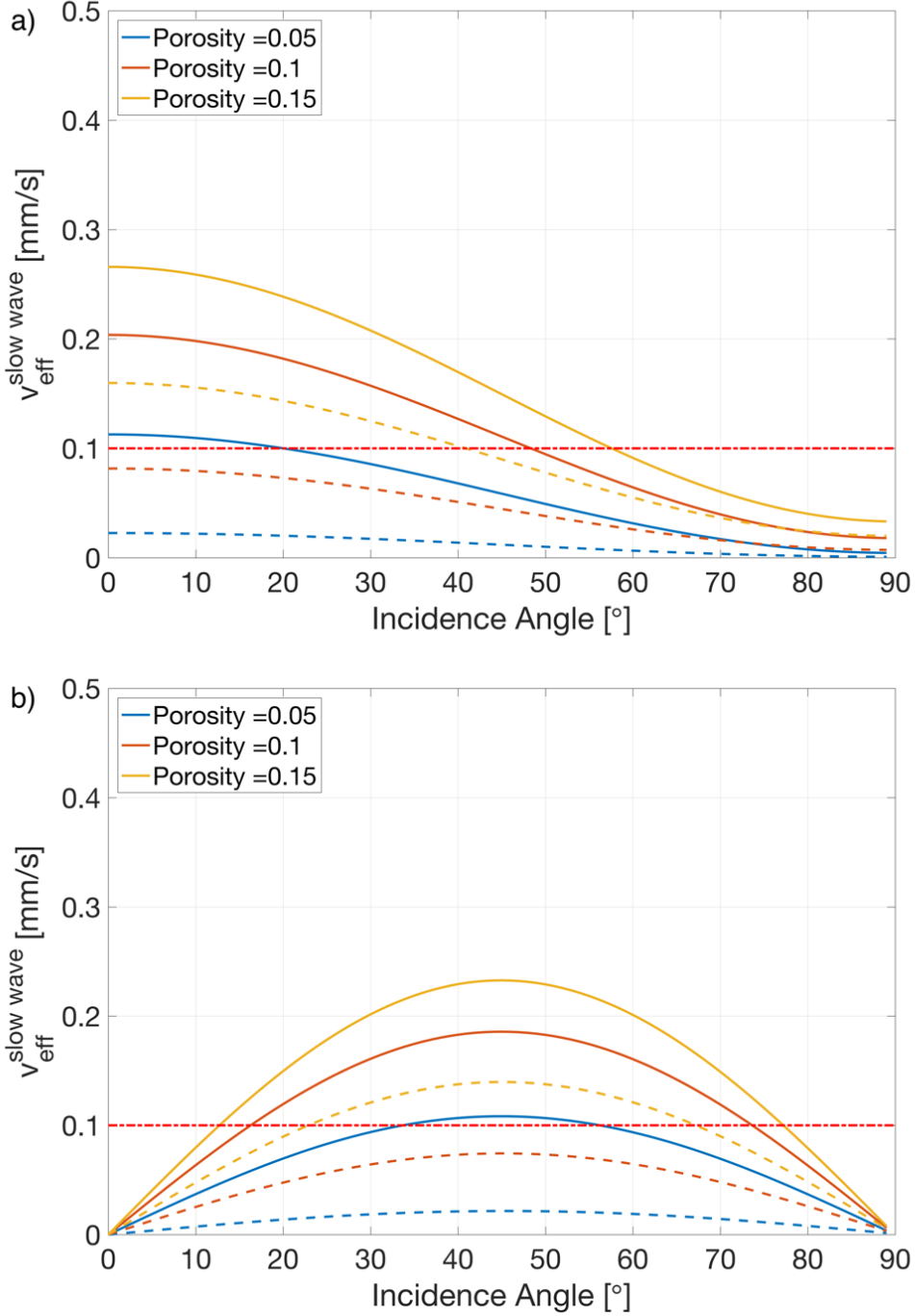
**Figure 7. Effective pore velocity profile across the fault for normal P-wave incidence.** The red dashed line denotes the threshold value of  $v_{eff}^0=0.1$  mm/s. Panel a and b correspond to 0.1 m and 1 m thick soft faults, respectively. We utilize the same code color as in Fig. 2 to delimit the fault and background regions.

567 in  $v_{eff}$  for higher frequencies. In spite of this, we have verified that the integral of  $v_{eff}$   
 568 from the fault's edge to a fixed distance to the fault is still larger for higher frequencies.  
 569 In this regard, Candela et al. (2015) showed that this integral is indicative of the over-  
 570 all expected permeability enhancement, which, for frequencies between 0.05 Hz and 1 Hz,  
 571 was positively correlated with frequency. These results indicate that seismically-induced  
 572 unclogging should preferentially occur in the vicinity of the fault's edge and that the cor-  
 573 responding effects should increase with the frequency.

574 An interesting feature of Fig. 7 is that  $v_{eff}$  is not continuous across the fault's inter-  
 575 faces. The reason for this is that although Darcy velocity is continuous across the fault  
 576 interfaces (Deresiewicz & Skalak, 1963), different porosity values in the fault and in the  
 577 background are used to compute  $v_{eff}$  (Eq. 10). This also explains the increase in  $v_{eff}$   
 578 at  $y = H_{fault}$  from the fault towards the background medium. On the other hand, the  
 579 lower  $v_{eff}$  at  $y = H_{fault}$  compared with  $y = 0$  m is due to the loss of P-wave seismic

580 energy inside the fault zone mainly related to the energy split prevailing at the interface  
 581  $y = 0$  m.

582 **3.3 P- and S-wave oblique incidence**



**Figure 8.** Effective pore velocity at the edge of the fault as a function of a) P- and b) S-wave incidence angle. Frequency is fixed at 1 Hz. We consider a 1 m-thick soft fault. The red dashed line denotes the threshold value of  $v_{eff}=0.1$  mm/s.

583 Fig. 8 extends the analysis of Section 3.2 to P- and S-wave oblique incidence. In  
 584 order to compare  $v_{eff}$  for different directions of wave propagation, we consider that the  
 585 strain associated with a seismic wave is the same for all incidence angles and equal to  
 586  $1e-5$ . For P- and S-waves, this condition implies a fixed extensional and shear strain, re-  
 587 spectively, in the direction of wave propagation. For the analysis, we consider the soft  
 588 fault of 1 m thickness and fixed the frequency to 1 Hz. We compute the seismically-induced  
 589  $v_{eff}$  at the edge of the fault located at  $y = 0$  m in Fig. 2. Finally, only the  $y$ -component  
 590 of the Darcy velocity ( $\dot{w}_y$ ) is used to compute  $v_{eff}$  as the diffusion process associated  
 591 with the slow P-waves is mainly normal to the fault's plane (Barbosa et al., 2017) and  
 592 thus  $\dot{w}_x \rightarrow 0$ . Fig. 8a shows that, for P-wave incidence,  $v_{eff}$  decreases as the incidence  
 593 angle gets closer to the horizontal direction (parallel to the fault). This is due to the de-  
 594 creased compression imposed by the incident wave and consequent reduction of the in-  
 595 duced pressure gradient between the fault and the background medium. The inflection  
 596 point of the angle dependence occurs at  $45^\circ$  for all cases. Nevertheless, for the case of  
 597 0.15 porosity,  $v_{eff}$  is above  $v_{eff}^0$  for incidence angles up to around  $55^\circ$ . Fig. 8b shows  
 598  $v_{eff}$  induced by the incidence of S-waves at different angles. In this case, maximal un-  
 599 clogging potential is reached at intermediate incidence angles ( $\sim 45^\circ$ ). Comparing the  
 600 cases of 0.15 porosity for P-wave and S-wave incidence shows that S-waves can produce  
 601  $v_{eff} > v_{eff}^0$  over a larger range of angles ( $\sim 12.5^\circ$  to  $\sim 77.5^\circ$ ).

## 602 4 Discussion

### 603 4.1 Pore scale heterogeneities

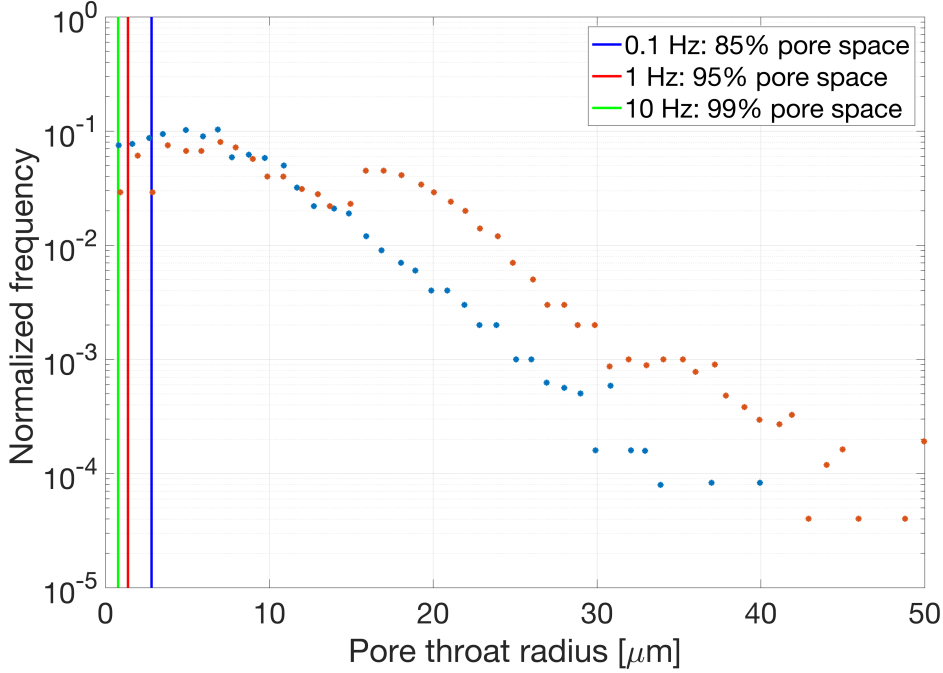
604 We have used Eq. 10 to compute the effective pore velocity as a result of the fluid  
 605 flow associated with slow P-waves diffusing in a fault zone. For homogeneous media (e.g.,  
 606 background medium in our model),  $v_{eff}$  given by Eq. 10 is the sum of all pore veloc-  
 607 ities (averaged over the pore radius) divided by the number of pores. If all pores have  
 608 the same radius, the computed effective velocity is the same as the individual pore ve-  
 609 locities. However, when the medium exhibits a non-uniform pore radius distribution, the  
 610 link between the estimated Darcy velocity and the actual pore fluid velocities is more  
 611 complex than the one given in Eq. 10. One way to account for such heterogeneity is by  
 612 considering a pore radius distribution for the medium and analyze which pores are more  
 613 sensitive to the transient flow and thus more prone to be unclogged. Let us assume that  
 614 the pore space of the medium can be conceptualized as a network of capillary tubes that  
 615 are subjected to the same seismically-induced pressure gradient. The Hagen-Poiseuille  
 616 flow solution for the average fluid velocity in a pore of radius  $r$  is given by

$$617 \quad v_{pore}(r) = \frac{|\nabla p_f| r^2}{8\eta}. \quad (16)$$

618 By imposing  $v_{pore} = 0.1$  mm/s and using the pressure gradients associated with the slow  
 619 P-waves created by the incidence of a body wave to a fault, we can compute the min-  
 620 imum radius ( $r_{min}$ ) for which the pore velocity threshold is reached.

621 Fig. 9 shows the pore throat distribution for a Berea sandstone estimated by Dong  
 622 and Blunt (2009). The two sets of dots correspond to two different methods to extract  
 623 pore networks from micro-CT 3D images. The porosity of the sample used by Dong and  
 624 Blunt (2009) is around 0.19. In Fig. 9, we also show the minimum radius ( $r_{min}$ ) at which  
 625 the transient flow associated with the diffusive waves exceeds  $v_{pore}^0 = 0.1$  mm/s. Given  
 626 that  $\nabla p_f$  depends on the frequency, we have computed  $r_{min}$  at  $f = 0.1, 1, 10$  Hz. In Fig.  
 627 9 we consider the case of 0.15 porosity as it is the closest one to the sample of Dong and  
 628 Blunt (2009) and the rest of the properties of the model correspond to those used in Fig.  
 629 5b.

630 We observe that as the frequency increases,  $r_{min}$  decreases. In other words, a larger  
 631 number of pores exhibit  $v_{pore} > v_{pore}^0$ . According to Fig. 5b, in the background medium,



**Figure 9. Pore throat radius distribution for a Berea sandstone of 0.19 porosity (Dong & Blunt, 2009).** Vertical lines indicate the minimum radius  $r_{min}$  at which  $v_{pore} > v_{pore}^0$  for three frequencies. These radii are used to compute the percentage of the pore space satisfying the condition  $v_{pore} > v_{pore}^0$ .

632  $v_{eff} > v_{eff}^0$  at 1 Hz but not at 0.1 Hz. From the pore radius distribution and the  $r_{min}$   
 633 estimates at 0.1 Hz and 1 Hz, we get that at 0.1 Hz, the condition  $v_{pore} > v_{pore}^0$  is met  
 634 for 85% of the pores. For a frequency of 1 Hz, on the other hand, the condition is met  
 635 for 95% of the pore space. Interestingly, Fig. 9 shows that although for 0.1 Hz the con-  
 636 dition  $v_{eff} > v_{eff}^0$  is not met at the macro-scale, a significant portion of the pore space  
 637 may still be affected by unclogging.

## 638 4.2 Mesoscale heterogeneities

639 The problem studied in this work represents a case of mesoscopic FPD in the sense  
 640 that it deals with body wave energy conversion at the interfaces of a fault to diffusive  
 641 slow P-waves. However, fault zones may also be highly heterogeneous at the mesoscale.  
 642 Typical fault structures exhibit a fault core and a highly fractured damage zone surrounded  
 643 by intact host rock (Fig. 2b). In this work, we have assumed that seismic wave frequen-  
 644 cies are low enough so that the fluid pressure has enough time to equilibrate internally  
 645 between the different regions in the fault zone. Consequently, the fault has been repre-  
 646 sented with an effective poroelastic medium for which an average response to the inci-  
 647 dence of a body wave is modeled. In the simplest case of a damage zone composed by  
 648 parallel fractures, for example, the “relaxed” assumption is valid as long as the diffusion  
 649 length in the fault is much larger than the characteristic aperture and separation of the  
 650 fractures (Gurevich, 2003). For the fault diffusivities and frequencies considered in this  
 651 work, the low-frequency approximation is valid as long as the spacing between fractures  
 652 within the damage zone of the fault is in the order of tens of centimeters or smaller.

653 In a more general scenario, when a seismic wave propagates through a region of the  
654 fault containing fractures, the compressibility contrast between fractures and the em-  
655 bedding rock can cause the development of additional pressure gradients and FPD. Pride  
656 and Berryman (2003) developed a so-called double-porosity analytical model, which con-  
657 sists of a mixture of two dissimilar porous phases (e.g., host rock and fractures) that be-  
658 haves isotropically as a whole. They showed that when one porous phase is fully embed-  
659 ded in the other one, which is typically the case in fractured media, the double poros-  
660 ity model can be reduced to the classical “single-porosity” theory (set of Eqs. 1). In such  
661 case, the drained and undrained moduli as well as the Biot effective stress coefficient be-  
662 come complex-valued to allow for mesoscopic FPD between the fractures and the em-  
663 bedding background medium, herein referred to as fracture-to-background FPD (FB-FPD).  
664 Moreover, an effective permeability of the fractured medium is used in Darcy’s law (Eq.  
665 1d). If, in addition, the fracture network contains hydraulically connected fractures, seis-  
666 mic waves can induce heterogeneous fluid pressure response within connected fractures  
667 depending on their orientation and compliance contrast. Recently, Barbosa, Hunziker,  
668 et al. (2019) showed that for seismic wave characteristics similar to those considered in  
669 this work, mesoscopic FPD associated with seismically-induced pressure gradients be-  
670 tween connected fractures (i.e., fracture-to-fracture FPD or FF-FPD) can be sufficiently  
671 strong to initiate unclogging within the fractures. The equations governing seismic wave  
672 propagation used in this work (set of Eqs. 1), ignore any FB- and FF-FPD effects oc-  
673 ccurring inside the fault zone as well as their impact on the scattered waves at the fault  
674 interfaces. Nevertheless, our results represent order-of-magnitude estimates of the effects  
675 that diffusive waves can have in faults and a generalization of the fault reflectivity prob-  
676 lem accounting for other mesoscopic FPD effects will be part of future studies.

### 677 4.3 Reservoir scale heterogeneities

678 The fault model considered in this work represents a single fault embedded in a ho-  
679 mogeneous rock formation. The effects that body waves can have on faults and the as-  
680 sociated unclogging potential can be affected by additional structural complexity in the  
681 model such, as for example, the presence of anticlines and piercement geological struc-  
682 tures that may act like acoustic lenses focusing and amplifying the incoming seismic en-  
683 ergy (Davis et al., 2000; Lupi, Frehner, et al., 2017). Such effects are particularly influ-  
684 enced by the impedance contrast between rock formations as well as by the geometri-  
685 cal characteristics of the subsurface structures. In addition, fault systems often exhibit  
686 multiple faults whose elastic and hydraulic interaction may also affect their seismic re-  
687 sponse. Ultimately, these factors may operate on the frequency content, seismic energy  
688 density, and incidence angle of the waves arriving at the fault of interest, which, as shown  
689 in this work, strongly affect the fault unclogging potential. Investigating the associated  
690 processes requires numerical simulations of wave propagation in poroelastic media at the  
691 reservoir or basin scale that are able to handle the large range of feature scales that may  
692 be present in the model. This kind of study requiring tailored geological models, which  
693 is computationally challenging, is beyond the scope of our work. Finally, seismically-induced  
694 permeability changes have been typically associated with teleseismic events and conse-  
695 quently with the propagation of long-period surface waves (Brodsky et al., 2003; Wang  
696 & Manga, 2010; Manga et al., 2012). Extending our analysis to the propagation of sur-  
697 face waves across fault zones will be part of our future studies.

## 698 5 Conclusions

699 Seismically-induced unclogging of pore spaces is one of the mechanisms of perme-  
700 ability changes in fluid-saturated porous media typically evoked to explain the co-seismic  
701 hydrogeological response of reservoirs. In this work, we have investigated the potential  
702 of seismic body waves to induce sufficiently strong fluid flow in fault zones to unclog the  
703 pore space. We first showed that relative permeability changes through unclogging typ-

704 ically observed in laboratory experiments are associated with slow P-waves while effects  
705 associated with the propagation of classical body waves are largely negligible.

706 We have shown that the unclogging potential of a fault zone that is subjected to  
707 the dynamic deformation imposed by P- and S-waves strongly depends on the incom-  
708 ing energy density, incidence angle, and frequency of the seismic waves as well as on the  
709 compliance and thickness of the fault zone. Depending on the combined effect of these  
710 properties, pressure gradients associated with slow P-waves created after the passage of  
711 body waves across faults can be several orders of magnitude higher than typical natu-  
712 ral pressure gradients in the subsurface. Inside highly conductive faults, seismically-induced  
713 pressure gradients diffusing towards the interior of the fault are smaller than those dif-  
714 fusing towards the embedding background medium. This result suggests that unclog-  
715 ging is more likely to be more efficient removing blockages in the surrounding background  
716 than inside the fault.

717 For a given incoming seismic wave energy density, the unclogging potential always  
718 increases with the frequency. Our results imply that, for frequencies between 0.1 and 20  
719 Hz, incident seismic energy densities of the order of  $0.1 \text{ J/m}^3$  to  $1 \text{ J/m}^3$  (i.e., strains close  
720 to  $1e-5$ ) are necessary for unclogging to be expected both inside the fault and in the em-  
721 bedding background medium. Seismically-induced pressure gradients of the order of hun-  
722 dreds of kPa/m are generally necessary to reach the minimum pore velocities to unclog  
723 a significant portion of the pore space. As a consequence, faults exhibiting mild to low  
724 stiffness contrast with respect to the intact host rock are not likely to experience unclog-  
725 ging. We have also shown that the energy conversion from incident P- and S-waves to  
726 slow P-waves at the edge of the fault strongly depends on the ratio between the incident  
727 wavelength and the thickness of the fault. In general, this dependence implies that thicker  
728 fault sections are more prone to unclogging than thinner ones provided they have the  
729 same petrophysical properties.

730 Finally, for P-wave incidence, the unclogging potential decreases as the incidence  
731 angle gets closer to parallel to the fault plane as the deformation imposed by the P-wave  
732 to the fault decreases. S-waves, on the other hand, induce maximal pressure gradients  
733 between the fault and its surroundings at intermediate angles of incidence. For equal im-  
734 posed strains, the maximal effective pore velocities induced by P- and S-waves are sim-  
735 ilar. However, the range of incidence angles at which the effective pore velocities is above  
736 the unclogging threshold of  $0.1 \text{ mm/s}$  is larger for S-waves than for P-waves.

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