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Title

Effect of water immersion on multi- and mono-metallic VMD

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Highlights

- * Quantitative/Qualitative study involving silver, sterling silver, and gold/zinc VMDs
- * Immersion of items has limited impact on the quality of VMD-processed fingerprints
- * Immersion of items may lead to contrast reversal for polyethylene (conventional VMD)
- * Immersion of items leads to changes in fingerprint color shades (monometallic VMDs)
- * Monometallic VMDs appear more adapted to PVC than conventional VMD

Abstract

The use of vacuum metal deposition (VMD) for fingerprint detection has been known for almost 40 years. The technique is applicable on a wide variety of substrates and on wetted items. Several publications compare the relative efficiency of VMD (conventionally based on a successive vaporization of gold followed by zinc) with other detection techniques, or its ability to detect marks on difficult substrates, but few are known about the application of monometallic VMDs and about the impact of immersion on the detection performances. This study aims at partially filling that gap by offering a quantitative and qualitative glance at three VMD processes (*i.e.*, gold/zinc, silver, and sterling silver) applied to dry and wetted substrates. The impact of immersion on the detection process has been studied by using split marks (one half kept dry, the other one wetted). On immersed substrates, a modification of color shades has been observed with monometallic VMDs (on all substrates considered) and of contrast with conventional VMD (on polyethylene). In terms of ridge details, a relatively good resistance of secretion residue towards immersion has been emphasized (in regards with VMD). This study provides original data, which will hopefully help getting a better understanding of the VMD detection mechanism.

Keywords - Forensic science, Fingerprint, Detection, Vacuum metal deposition, Contrast

1 Introduction

2 Vacuum metal deposition (VMD) is part of the currently available fingerprint detection
3 techniques [1]. It is mostly characterized by its versatility of application (*i.e.*, range of
4 compatible substrates) and its efficiency, especially regarding difficult cases (*e.g.*, problematic
5 substrates, adverse conditions). The technique is based on the vaporization of one or two
6 metal(s) under vacuum, towards the item to be processed. Fingermarks becomes visible by the
7 formation of a metallic film on the substrate (normal development) or on the secretion residue
8 (reverse development), most likely due to a differentiated condensation mechanism. VMD was
9 initially introduced in the forensic field in 1968 to detect fingerprints on paper [2], and was
10 then optimized to be fully operational in the late seventies [3]. The conventional VMD process
11 is based on the successive vaporization of gold and zinc (VMD_{Au/Zn}). Monometallic alternatives
12 were also developed and offer the advantage of establishing a visible contrast in one step.
13 They are complementary to VMD_{Au/Zn} for they can develop fingerprints on substrates for which
14 VMD_{Au/Zn} results in poor performances. Monometallic VMDs can be based on silver (VMD_{Ag}) [4-
15 8], copper (VMD_{Cu}) [8, 9], aluminium (VMD_{Al}) [7, 10], or palladium (VMD_{Pd}) [7], to cite a few.

16
17 In terms of contrast, VMD_{Au/Zn}-processed fingerprints will most likely results in transparent
18 ridges opposed to a metal-coated substrate (Figure 1a). This kind of contrast is not common in
19 the field of fingerprint detection, since detection techniques generally result in stained ridges
20 (colored or luminescent) opposed to a passive substrate. In some cases, VMD_{Au/Zn} can result in
21 fingerprints presenting ridges coated with a metal film. In that case, we speak of a "reverse"
22 development, in regards with VMD. Finally, some processed fingerprints may present a normal
23 contrast but no inner ridge details ("empty marks") – Figure 1a. In this paper, the obtained
24 contrasts (*i.e.*, normal or reverse) are qualified in regards with a conventional VMD_{Au/Zn} result,

25 that is, "coated substrate vs transparent ridges". This distinction hardly applies to
26 monometallic VMDs, which mostly result in colored contrasts (Figure 1b).

27

28

< INSERT FIGURE 1 HERE >

29

30 A strength of the VMD is its versatility of application, for it is compatible with an extended
31 range of substrates (*e.g.*, porous, non-porous, metals, adhesives, wetted substrates) among
32 which challenging ones, such as banknotes [9, 11, 12] or fabrics [5, 13, 14]. The use of VMD is
33 compatible with "touch DNA" profiling [15, 16] and it complements the conventional
34 techniques as it can be introduced in detection sequences; even if no consensus does exist
35 regarding its relative position with other techniques, especially cyanoacrylate fuming [12, 17-
36 19]. The technique nevertheless suffers from its cost (*i.e.*, a specific and costly equipment is
37 required), the necessity to gain experience with its handling before obtaining acceptable
38 detection results, and a detection mechanism which remains partially understood [20, 21]. This
39 results in substantial variations of efficiency according to the substrate composition, especially
40 polymers/plastics and surface treatments [19, 20, 22-24]. Guidelines and best practice
41 recommendations can be provided to users but they don't overcome all these difficulties [17,
42 19, 25]. Research in the field of VMD is consequently a valuable source of information for
43 people willing to gain a better understanding of the technique.

44

45 This study originated from a detection course we organized about mono-/bi-metallic VMD.
46 During this course, a hand mark (fingers and palm) was left on a PVC plastic sheet that was
47 then briefly and partially immersed in water (half the substrate remained dry). Once dried, the
48 whole plastic sheet was processed with VMD_{Ag}. As a result, half of the hand mark appeared
49 with yellow/blue color tones (dry half) while the other half appeared with blue/purple tones

50 (wetted half). This change of color upon immersion has not been reported in the literature yet,
51 to the authors' knowledge. Some research has been performed on wetted items processed
52 with VMD_{Au/Zn} [26], but no systematic study regarding the impact of immersion or the use of
53 monometallic VMD in this context. This contribution consequently aims at exploring this
54 phenomenon and providing original data that may help getting a better understanding about
55 the intrinsic VMD detection mechanism.

56

57 **Materials and methods**

58

59 - Substrates and fingerprint collection

60 Three non-porous substrates were chosen: white polyethylene (PE containing 50% recycled
61 material; official state garbage bag), transparent polyvinylchloride (HiClear PVC; GBC), and
62 glass (microscopy slides; VWR). Fingermarks were collected from three donors who were
63 asked to leave natural marks [27]. Natural marks were exclusively used in this study, to offer a
64 more realistic approach since secretions are not artificially enriched with sweat or sebum. The
65 only recommendations that the donors received were to act normally, at the exception of
66 washing their hands (prohibited 30 minutes before the deposition). To allow a direct
67 comparison (*i.e.*, Situation A vs Situation B), halved marks were used. For that, fingermarks left
68 on plastics (PE and PVC) were cut after deposition; for glass, donors were asked to leave
69 fingermarks between two slides put aside. Finally, replicates were also considered in that
70 sense that donors were asked to give more than one fingerprint for a specific comparative
71 study (all other parameters being set). All the substrates bearing fingermarks were stored in
72 the dark until being used (immersed and/or processed with VMD).

73

74

75 - Detection techniques

76 Three kinds of VMDs were considered in this study: the conventional one based on the
77 successive vaporization of gold and zinc ($VMD_{Au/Zn}$) and two monometallic ones based on silver
78 (VMD_{Ag}) and sterling silver ($VMD_{Sterling}$). The vaporization chamber was a VMD 360 from West
79 Technology (Bristol, UK). All metals were of high purity and provided by West Technology (gold
80 wire \varnothing 0.25mm, zinc spheres \varnothing 3mm, silver wire \varnothing 0.5 mm, and sterling silver wire \varnothing 2mm).

81

82 - Immersion procedures

83 Three studies were conducted: (Study 1) Influence of the immersion time; (Study 2) Influence
84 of the age of the fingermarks upon immersion; (Study 3) Difference between VMDs when
85 immersed items are to be processed. Experimental details are summarized in Table 1.

86

87 < INSERT TABLE 1 HERE >

88

89 For the influence of the immersion time (Study 1), all the marks were aged for 48H. Then, one
90 half of each fingermark was kept dry (reference) whereas the corresponding half was
91 immersed for 1H or 24H in a dish filled with tap water. When the immersion time was reached,
92 all wet halves were removed from water and left for drying under ambient temperature for 24
93 hours at least before being processed for detection using VMD. For the influence of the age of
94 the marks upon immersion (Study 2), one half of each fingermark was immersed 24H after
95 deposition (1D-old) whereas the corresponding half was immersed 1 week after deposition
96 (1W-old). After being immersed for 24H, the marks were removed from water and dried for 24
97 hours at least before being processed with VMD. For the influence of the metal (VMD_x , x being
98 Ag, Sterling or Au/Zn; Study 3), all halved fingermarks were aged for 48H before being
99 immersed for 24H in tap water. Afterwards, the marks were dried for 24 hours at least and

100 processed in accordance with the scheduled comparisons. For all the experiments: half marks
101 were processed using either VMD_{Ag} , $VMD_{Sterling}$, or $VMD_{Au/Zn}$. After VMD processing, the
102 corresponding halves were put aside and captured under white light for further
103 characterization.

104

105 - Characterization of the detected fingermarks

106 The quality of all half fingermarks was assessed in terms of ridge quality (identification
107 purposes) by considering an absolute scale (Table 2) [28]. To avoid any bias during the scoring
108 procedure, each reconstructed fingermark was enhanced (*i.e.*, contrast, levels) and converted
109 into greyscales. The images were then cropped to allow the recording of each half
110 independently from the other. Finally, right halves were horizontally inverted so that they look
111 like left halves. At the end of this process, the assessors were provided with a series of left-
112 handed half-marks in greyscales, which were beforehand shuffled. This way of doing prevents
113 an assessor to associate a half mark with a specific comparative study or a specific VMD. The
114 scoring procedure was conducted by two independent assessors familiar with fingermark
115 detection and identification, each one assessing the totality of the marks. At the end of the
116 process, the scores were averaged to provide conclusions about each study.

117

118 < INSERT TABLE 2 HERE >

119

120 Besides the quality of their ridges, the marks processed with VMD_{Ag} and $VMD_{Sterling}$ were
121 characterized by their color shades (ridges vs background). For $VMD_{Au/Zn}$, the contrast type was
122 reported (*i.e.*, normal or reverse).

123

124 **Results**

125 After their detection, all the half marks were assessed quantitatively (quality score) and
126 qualitatively (color shades or contrast). This characterization step allowed to get an overview
127 of the effect of immersion on the VMD results (dry vs wet), but also of the differences between
128 substrates (for a same VMD) and between VMDs for a same substrate (VMDx vs VMDy).

129

130 - Fingerprint quality

131 The results of the three studies are illustrated in Figures 2 to 4 and summarized in Table 3.

132

133 < INSERT FIGURES 2 TO 4 HERE >

134 < INSERT TABLE 3 HERE >

135

136 Study 1 provides information about the effect on the fingerprint quality of an immersion (1H-
137 long or 24H-long) compared to non-wetted halves (Figure 2). On PE, the overall impact of the
138 immersion is very limited, with scores similar to the dry halves (exception: pronounced
139 detrimental effect observed for VMD_{Ag} after a 24H immersion). On PVC, the overall impact is
140 negative, with decreasing scores (exception: VMD_{Ag} after a 1H immersion). About PVC, the
141 processed of wetted items with VMD_{Au/Zn} resulted in several empty marks. Finally, the effect is
142 mixed for glass: increase of quality after a 1H immersion for VMD_{Ag}, decrease of quality for
143 VMD_{Sterling}, and limited effect for VMD_{Au/Zn}.

144 Study 2 aimed at assessing if older marks (*i.e.*, 1W-old) resist better to immersion compared to
145 fresh ones (*i.e.*, 1D-old), both being immersed for 24H before being processed. By averaging
146 the difference of scores between corresponding halves (Score_{1W} – Score_{1D}), an overall trend
147 can be obtained for each substrate and VMD (Figure 3). A negative value means that older
148 halves (*i.e.*, 1W-old) led to lower quality scores compared to the corresponding fresh halves
149 (*i.e.*, 1D-old). On PE, older marks resulted in lower quality marks compared to fresh ones, for

150 all three VMDs. On PVC, the trend is mixed: better quality for fresh marks with VMD_{Sterling},
151 negligible impact of the age with VMD_{Ag}, and better quality for older marks with VMD_{Au/Zn}. On
152 glass, the overall trend is the opposite as for PE, for older marks led to higher quality scores
153 compared to fresh ones.

154 Study 3 provides a direct comparison between the three VMDs as they were applied to half
155 marks having followed the same detrimental process (*i.e.*, aged for 48H, immersed for 24H,
156 then processed with VMD). Figure 4 illustrates the trends that were obtained by averaging all
157 the quality scores associated with a specific substrate and VMD process. On PE, all three VMDs
158 performed equally in terms of overall quality of development. On PVC, VMD_{Au/Zn} led to several
159 empty marks, explaining why its scores are so low. VMD_{Ag} and VMD_{Sterling} performed well, with
160 a preference for VMD_{Ag}. On glass, the trend is the opposite: VMD_{Au/Zn} gave better results
161 compared to VMD_{Ag} and VMD_{Sterling}.

162

163 - Contrast and color shades

164 While the conventional VMD (VMD_{Au/Zn}) is monochromatic, monometallic VMDs offer a range
165 of color shades influenced by the substrate, the donors, and the adverse conditions (Figure 5).
166 An overview of the categories of contrasts that were observed after having processed all the
167 fingermarks with VMD_{Ag}, VMD_{Sterling}, and VMD_{Au/Zn} is provided in Figures 6 to 8, respectively. In
168 addition to the qualitative information, the treemap representations provide a visual
169 information about the proportion of marks presenting a specific contrast (*i.e.*, a sub-area is
170 proportional to the % of marks characterized by the illustrated contrast, each main rectangle
171 being equal to 100%) [29]. It should be noted that these charts provide information about the
172 observed contrasts but not about the quality of the fingermarks.

173

174

< INSERT FIGURE 5 HERE >

< INSERT FIGURES 6 to 8 HERE >

175

176

177 The following explains some of the images of Figure 6, providing some clues to decipher the
178 treemap representations: on PE not exposed to water (*i.e.*, "Dry"), 100% of the marks
179 processed with VMD_{Ag} were characterized by yellow-orange ridges on a purple background; on
180 dry PVC (dry glass), 50% (ca. 60%) of the marks were characterized by the same contrast and
181 50% (ca. 40%) were of yellow-orange ridges on blue background. When PE exposed to water
182 (*i.e.*, "Wetted") was processed with VMD_{Ag} , various contrasts were obtained among which
183 purple ridges on colorless background (ca. 30%), yellow-orange ridges on purple (ca. 20%),
184 light yellow on purple (ca. 20%), and light blue on purple (ca. 20%) to cite the four main
185 classes. The other rectangles (and sub-rectangles) should be read on the same basis. When
186 referring to Figures 6 to 8, it appears that monometallic VMDs applied to dry substrates
187 generally result in one or two contrast configurations: "yellow-orange ridges on purple/blue
188 background" for VMD_{Ag} and "yellow-orange/brownish ridges on blue/purple background" for
189 $VMD_{Sterling}$ (Figures 6 and 7). However, when the substrates bearing fingermarks are immersed
190 in water, the set of tonalities is increased (Figures 6 and 7). It should also be noted that: (i)
191 some cases of reverse developments (*i.e.*, stained ridges on light background) were observed
192 with VMD_{Ag} and $VMD_{Sterling}$ on wetted PE; (ii) purple ridges on light background were observed
193 with VMD_{Ag} for wetted PE only; (iii) blue ridges on light background were observed $VMD_{Sterling}$
194 for wetted PE only; (iv) if all fingermarks left on dry substrates were detected, some wetted
195 samples resulted in no ridge detection, especially on glass (crosses in Figures 6 and 7).

196

197 Regarding $VMD_{Au/Zn}$, reverse development was systematically obtained with the dry PE bags
198 (Figure 8). For wetted PE, a range of mixed developments were obtained (*e.g.*, partially stained

199 ridges, dark ridges on dark background). For all other substrates and conditions (dry or wet), a
200 normal contrast was obtained.

201

202 **Discussion**

203 On overall, our results are in good agreement with the studies dedicated to VMD (applied to
204 dry substrates, for most of them). The color tones obtained with VMD_{Ag} are in accordance with
205 the findings of Philipson and Bleay [8], who have compared $VMD_{Au/Zn}$ with various
206 monometallic VMDs using plastics (among which PVC- and PE-based cling films, uPVC, low-
207 density PE, and high-density PE). Yellow ridges on a pink/purple/blue background were
208 obtained with VMD_{Ag} . They explained the difference between purple and blue background by
209 the quantity of silver used during the detection process [8]. A purple background was
210 associated with an optimal detection process, while a blue background may be the indication
211 of an over-development. Yellow ridges on purple background were also obtained by Lucius
212 when VMD_{Ag} was applied on glass [7]. No information being published about $VMD_{Sterling}$ yet, it
213 is only possible to discuss the fact that its behaviour is quite close to VMD_{Ag} , which is awaited
214 given that sterling silver is mostly composed of silver.

215

216 On PVC and glass, $VMD_{Au/Zn}$ resulted in normal development (*i.e.*, metallized substrate and
217 transparent ridges), as expected. Reverse contrast was observed with the PE-based garbage
218 bags only (Figure 8). In their study, Jones *et al.* observed that reverse development occurred
219 with $VMD_{Au/Zn}$ on LDPE, but never on HDPE [23]. The same observation was made by Grant *et al.*
220 *al.* when processing drug-related PE plastic bags [24]. In regards with the obtained results, we
221 can emit the supposition that our PE-based garbage bags were made of LDPE. The poor
222 performances of $VMD_{Au/Zn}$ on PVC (*i.e.*, average value close to 1 in Study 1, after immersion,
223 and close to 0 in Study 3) were mostly due to empty marks. This phenomenon was reported in

224 the literature [7, 8, 19]. In their study, Jones *et al.* discussed this phenomenon for PET and PVC
225 [19]. They explain the obtaining of empty marks by the fact that a fraction of the secretion
226 residue may migrate into the inter-ridge area, preventing the condensation of zinc. They add
227 that it is more likely to occur with fresh and rich fingermarks. The fingermarks used in this
228 study were not artificially enriched and were aged for 24 hours to 1 week before being
229 processed. We are consequently not strictly speaking about "fresh and rich" secretion residue.
230 Nevertheless, fingermarks resulting from a controlled deposition may lead to fingermarks
231 richer than those obtained in pseudo-operational trials or in caseworks. About empty marks,
232 Philipson and Bleay reported that ridge details could be retrieved if VMD_{Ag} is applied
233 subsequently to $VMD_{Au/Zn}$ [8]. This option has not been explored in this study. On overall, these
234 observations reflect the difficulty to detect fingermarks on PVC using $VMD_{Au/Zn}$, whereas
235 monometallic VMDs seem more appropriate (quality scores close to 2).

236

237 - Impact of the immersion on the quality of the fingermarks

238 The quality scores on dry substrates are close to 2 on average, for all three VMDs (Figure 2),
239 meaning that ridges are visible on almost the whole area of the marks and that second-level
240 characteristics can be retrieved. In Study 1, it was observed that immersion in water resulted
241 in a negligible impact or in a limited quality decrease (Table 3). This observation is in good
242 agreement with the secretion residue fraction that is supposedly involved in the VMD
243 detection mechanism, that is, the non-water-soluble fraction of the secretion residue (NSW
244 fraction) and more specifically the lipids. The limited impact of immersion on the performance
245 of VMDs is consequently explained by the fact that the NWS fraction (and lipids) persist after
246 immersion. This is quite logic since VMD is known for its ability to process wetted items.
247 However, the non-negligible ratio of undetected marks (especially on glass – Figures 6 to 8)
248 indicates that immersion remains a detrimental event and that secretion residue can still be

249 washed out during the process. In their study, Nic Daéid *et al.* reported the use of $VMD_{Au/Zn}$
250 and white powder suspension (WPS) to process wetted non-porous dark substrates [26]. 24H-
251 Old natural fingermarks were immersed for 6 hours in still tap water, dried and further aged
252 (from 2 days to 28 days) before being processed with $VMD_{Au/Zn}$ and WPS. Comparable results
253 were obtained for both techniques, with recovery rates varying according to the substrates
254 (*i.e.*, "identifiable" marks recovered by $VMD_{Au/Zn}$: 86% of for sandwich bags, 66% for black bin
255 bags, 58% for carrier bags, and 18% for cowlings). It should be noted that most of the
256 development contrasts were normal, in accordance with our observations with immersed
257 items (Figure 8). Finally, no clear trend was obtained regarding the age of the fingermarks
258 upon immersion. One could think that older marks would be more resistant to immersion (due
259 the hardening of the secretion residue). However, as illustrated in Figure 3, it is not the case
260 for all the substrates/experiments. This observation should however be weighted by the fact
261 that the differences are less than one unit (+ or -) and that 1-day-old half marks were
262 compared to 1-week-old ones, limiting by the same way the impact of the age. Extended
263 research are required if this aspect is to be investigated.

264

265 - Impact of the immersion on the contrast/color tones

266 The impact of immersion on color tones (VMD_{Ag} and $VMD_{Sterling}$) and contrast ($VMD_{Au/Zn}$) is
267 certainly the most striking observation of this study. Indeed, pronounced changes of tonalities
268 were observed for monometallic VMDs on all substrates, as well as a contrast reversal on
269 wetted PE (Figures 6 and 7). For $VMD_{Au/Zn}$, contrast reversal was also observed for wetted PE
270 (Figure 8). As a matter of fact, the immersion step modified the secretion residue and/or the
271 underlying substrates in such a way that it affected the VMD outcome. These observations are
272 extremely valuable for they have not been reported in the literature yet. The mechanisms
273 leading to the condensation of metallic vapour atoms under vacuum constitute a starting point

274 to try bringing elements of answers. First, VMD is a physical vapour deposition process.
275 Readers interested in detailed information about PVD can refer to [30]. Briefly: such process
276 starts with the vaporization of solid metal under vacuum, using high temperature, followed by
277 a rectilinear motion of the vapour atoms in the vacuum chamber and their condensation on
278 the target surface. The formation of a metal film on a surface is the result of three successive
279 steps [31]: (1) condensation (after impact and heat releasing), (2) nucleation into clusters, and
280 (3) film growth. An incident vapour atom reaching the surface can be reflected from its impact
281 location, be physically or chemically adsorbed on the surface, or associate itself with atoms
282 already present to create metal clusters (involving some lateral migration). Consequently, a
283 metal cluster appears only if the right energetic conditions are met. Otherwise, an incident
284 atom or an existing cluster may desorb the surface. The main parameter driving the
285 stabilization of an incident atom or existing cluster is consequently the surface energy [31]. If
286 the surface energy is not adequate, the formation of a metal film may be prevented or deeply
287 impacted. This explains why most of the traditional vacuum coating processes (e.g., to produce
288 reflective metal films or anti-reflection coatings on glass) are carried out on cleaned surfaces,
289 ensuring a uniform and predictable coating. The presence of contaminants (e.g., fats/grease,
290 salt) can substantially modify the surface energy and hence locally modify the rate of growth
291 and the size of stable clusters. In that context, different parameters have been identified as
292 having a major role in the growth and structure of a metal film [31], among which: the nature
293 of the substrate, the presence of impurities or defects on the surface, and the presence of
294 electrostatic charges. In the field of fingerprint detection, the target surface is not "clean" as it
295 encompasses the substrate bearing secretion residues. In addition to that, some substrates
296 can be treated during the manufacturing process (e.g., silicon release agents or added
297 properties, such as facilitated surface printing and stability to UV). The difference in surface
298 energy between the substrate and the fingerprint explains the ability of VMD to detect

299 fingermarks. This explanation is in agreement with Jones' observations [19], who characterized
300 the deposition of gold and zinc on plastic-based items in terms of clusters and gold counts.
301 Gold clusters of the adequate size and density can be formed on the substrate (e.g., plastic)
302 and not necessarily on the secretion residue, leading to (or preventing) the subsequent
303 deposition of zinc. The display of colours reflected by a metal film can also be influenced by
304 the cluster sizes, the presence of defects, and the film thickness [32], mostly due to Rayleigh or
305 Mié scattering. It explains the difference of colors between the substrate and the fingermarks
306 observed for monometallic VMDs. Regarding this study, water immersion can consequently be
307 seen as a major event affecting both the substrate and the secretion residue. The solubilization
308 of impurities or of water-soluble components from the secretion residue and coated surfaces
309 (such as PE) induces a modification of their composition and of their surface energy. When
310 comparing both situations (i.e., non-wetted items and items having been wet), the respective
311 items are modified in such a way that the formation of metal films is impacted as well as the
312 resulting colours. These observations assuredly constitute supplemental data towards a better
313 understanding of the way the vaporized metals interact with the fingermarks and the
314 underlying substrates.

315

316 - IFRG Guidelines

317 The aim of this study was to explore the impact of immersion on VMD-processed items. The
318 limiting parameters were: the size of the VMD chamber (VMD 360 from West Technology; max
319 useable area: 28.5 cm x 48.0 cm), the fact that three different VMDs were compared (which
320 requires to modify the heating boats accordingly), and the will to provide a methodology
321 fulfilling as best as possible the recommendations of the International Fingerprint Research
322 Group (IFRG) regarding fingerprint detection [27]. In regards with these latest, were
323 considered: only natural secretions (to avoid any bias caused by artificial enrichment), fresh

324 and older marks (1-day-old and 1-week-old), split marks (to allow a direct comparison), three
325 different donors (maximum possible in regards with the workload), fingerprint replicates, and
326 two independent assessors. No sensitivity assessment was scheduled, explaining why no
327 depletion series were considered in this study.

328

329 About the assessors, it should be noted that they were consistent in their scoring (performed
330 independently from each other): 72.3% of their grades were identical for a given half mark,
331 and 99.4% of their grades were contained in a ± 1 interval. These values are in accordance with
332 the findings of Fritz *et al.* about the reliability of assessors in a fingerprint grading process [33].
333 Asking 11 evaluators to assess 80 fingerprints, they observed that 67% of the scores were the
334 same as the median grade and 99% within 1 unit. The concordance between assessors in this
335 study is worth being cited.

336

337 **Conclusions**

338 This study aimed at gathering information about the impact of immersion on the performance
339 of three kinds of VMDs: $VMD_{Au/Zn}$ (conventional), VMD_{Ag} and $VMD_{Sterling}$ (monometallic). The
340 methodology was based on the use of half marks which allowed a direct comparison between
341 two distinct situations (*e.g.*, dry vs wetted, fresh vs old marks, VMD_x vs VMD_y). All the
342 processed marks were quantitatively and qualitatively characterized (quality score and color
343 shades/contrast, respectively). As a result, it was shown that the immersion has a limited
344 impact on the quality of fingerprints (no impact or slight degradation), but a more pronounced
345 effect on their appearance (change of color tones/contrast between dry and wetted halves).
346 Additionally, monometal VMDs were shown to be more adapted to the processing of PVC, as
347 opposed to $VMD_{Au/Zn}$. Overall, the obtained results are in agreement with what has been
348 published about VMD so far (recalling that a majority of these papers deal with dry substrates).

349 This study brings original and valuable data to the community: impact of the immersion on the
350 quality/contrast of fingermarks left on non-porous substrates, use of monometallic VMD on
351 wetted items, use of monometallic VMD based on sterling silver. Even if this study could not
352 provide answers to all the emphasized effects, it participates to the strengthening of the
353 fingerprint detection field. It is expected that these results will provide a step forward towards
354 a better understanding of the VMD detection mechanism.

355

356 **Figure captions**

357 **Figure 1** – (a) Illustration of the three main results obtained with $VMD_{Au/Zn}$ - From left to right:
358 normal contrast (substrate = glass), reverse contrast (substrate = polyethylene), and hollow
359 mark (substrate = polyvinylchloride); b) Example of color shades that may result from the
360 application of monometallic VMDs: VMD_{Ag} (substrate = glass) and $VMD_{Sterling}$ (substrate =
361 polyvinylchloride).

362

363 **Figure 2** – Radar representation linked to Study 1. Each radar map is associated with one kind
364 of VMD (top labels). The reported values are the averaged scores obtained from the half marks
365 associated with the different configurations of substrates (*i.e.*, polyethylene – PE,
366 polyvinylchloride – PVC, and glass) and adverse conditions (*i.e.*, dry, immersed for 1H, and
367 immersed for 24H). For details about Study 1, please refer to Table 1.

368

369 **Figure 3** – Chart linked to Study 2, reporting the difference of scoring (average) associated with
370 1-week-old half-marks (1W-old) compared to the corresponding 1-day-old ones (1D-old). For
371 details about Study 2, please refer to Table 1.

372

373 **Figure 4** – Chart linked to Study 3, reporting the averaged scores obtained from the half marks
374 associated with the different configurations of substrates (*i.e.*, polyethylene – PE,
375 polyvinylchloride – PVC, and glass) and VMDs (*i.e.*, VMD_{Ag} , $VMD_{Sterling}$, and $VMD_{Au/Zn}$). For
376 details about Study 3, please refer to Table 1.

377

378 **Figure 5** – Non-exhaustive set of contrasts and color shades observed during these studies: (a)
379 VMD_{Ag} (PE, dry) vs VMD_{Ag} (PE, wet-1H), (b) $VMD_{Sterling}$ (PE, dry) vs $VMD_{Sterling}$ (PE, wet-1H), (c)
380 $VMD_{Au/Zn}$ (PE, dry) vs $VMD_{Au/Zn}$ (PE, wet-1H), (d) VMD_{Ag} (PE, wet-24H) vs $VMD_{Sterling}$ (PE, wet-

381 24H), (e) VMD_{Ag} (glass, wet-24H) vs $VMD_{Sterling}$ (glass, wet-24H), (f) $VMD_{Sterling}$ (glass, 1D-old,
382 wet-24H) vs $VMD_{Sterling}$ (glass, 1W-old, wet-24H).

383

384 **Figure 6** – Treemap representation of the color shades observed after processing the
385 fingerprints with VMD_{Ag} . Notes: the areas are proportional to the % of marks sharing the
386 illustrated contrast (whole rectangle area = 100%); fingerprint icon made by Freepik from
387 www.flaticon.com.

388

389 **Figure 7** – Treemap representation of the color shades observed after processing the
390 fingerprints with $VMD_{Sterling}$. Same remarks as for Figure 6.

391

392 **Figure 8** – Treemap representation of the contrasts observed after processing the fingerprints
393 with $VMD_{Au/Zn}$. Same remarks as for Figure 6.

394

395 **Table captions**

396 **Table 1** – Experimental details of the three studies. The total number of fingerprints
397 considered for each study (*) is obtained by multiplying the number of comparative studies
398 with the number of donors (3), the number of substrates (3), the VMD_x considered (if
399 applicable), and the number of replicates (*i.e.*, number of fingerprints a donor is asked to leave
400 for a specific comparison).

401

402 **Table 2** – Quantitative scale used to characterize the quality of detection of all marks
403 processed in this study. Source: [28]

404

405 **Table 3** – Summary table of the conclusions of Studies 1 to 3. The symbols correspond to an
406 increase of efficiency/score (\nearrow), a decrease (\searrow), or similar values/no effect (\cong) in regards with
407 the purpose of the study (top labels). PE and PVC stand for polyethylene and polyvinylchloride,
408 respectively. For details about each study, please refer to Table 1.
409

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411

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511

512

Figure 1
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Figure 2
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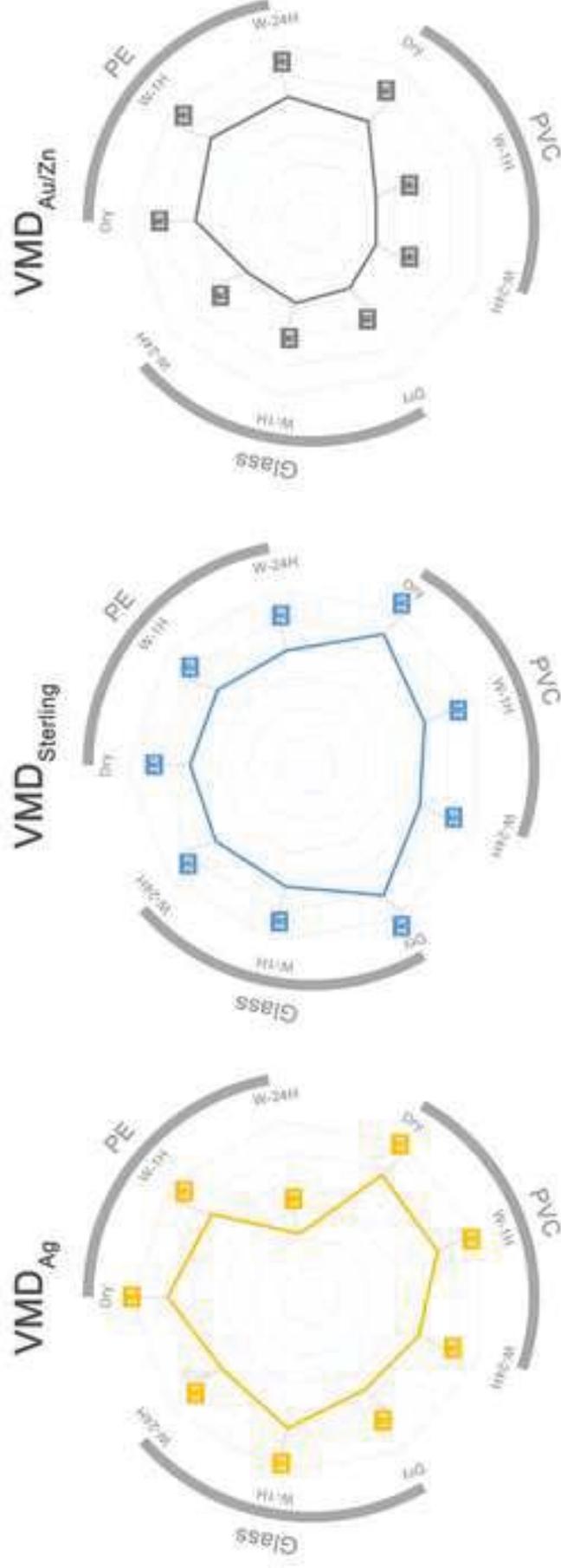


Figure 3
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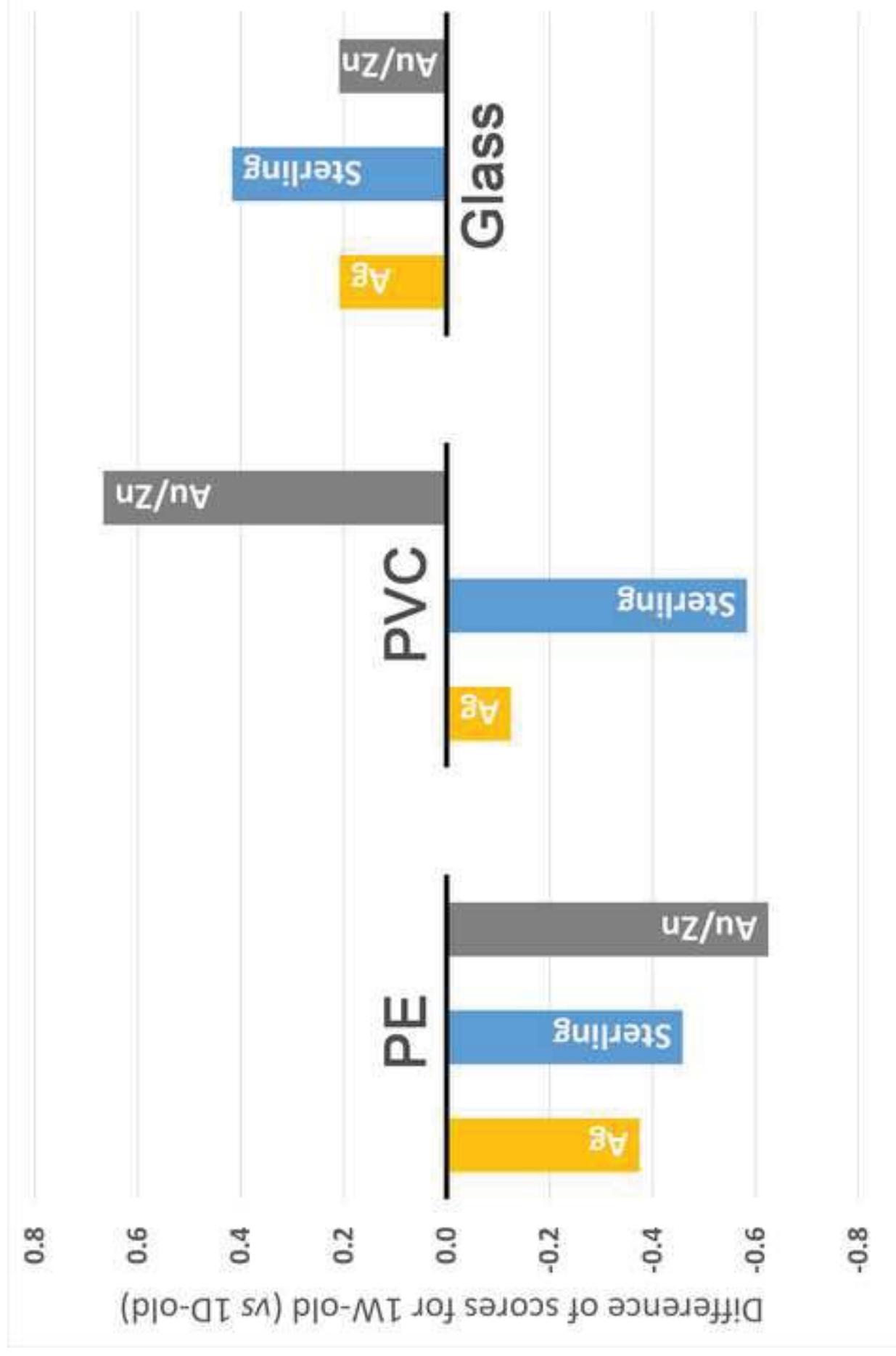


Figure 4
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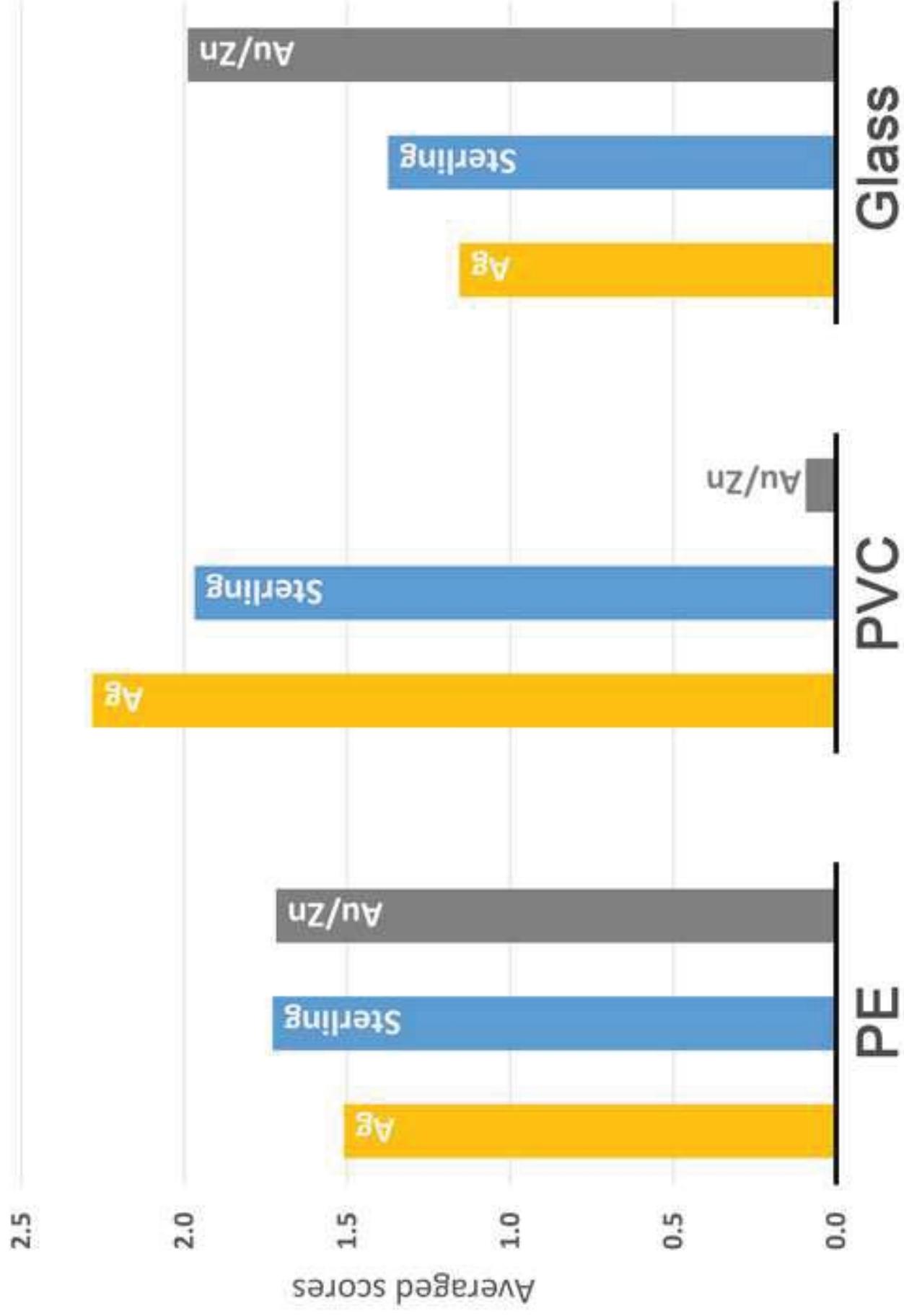
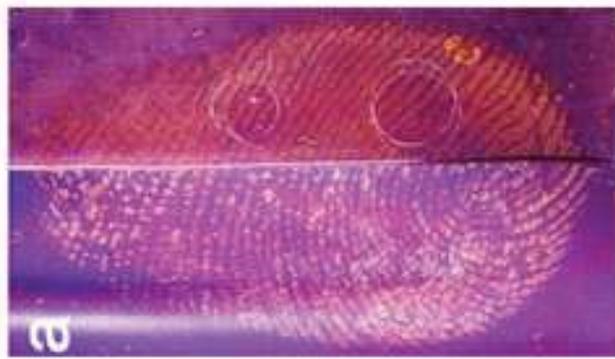
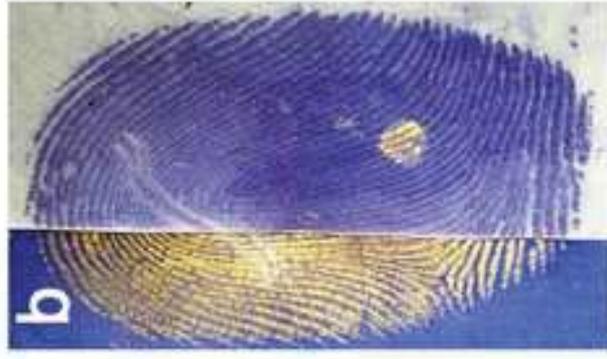
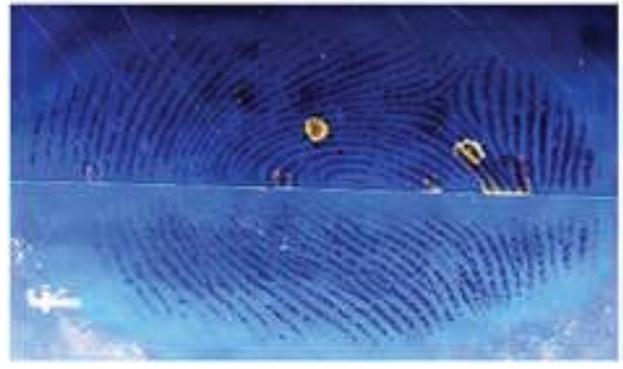


Figure 5
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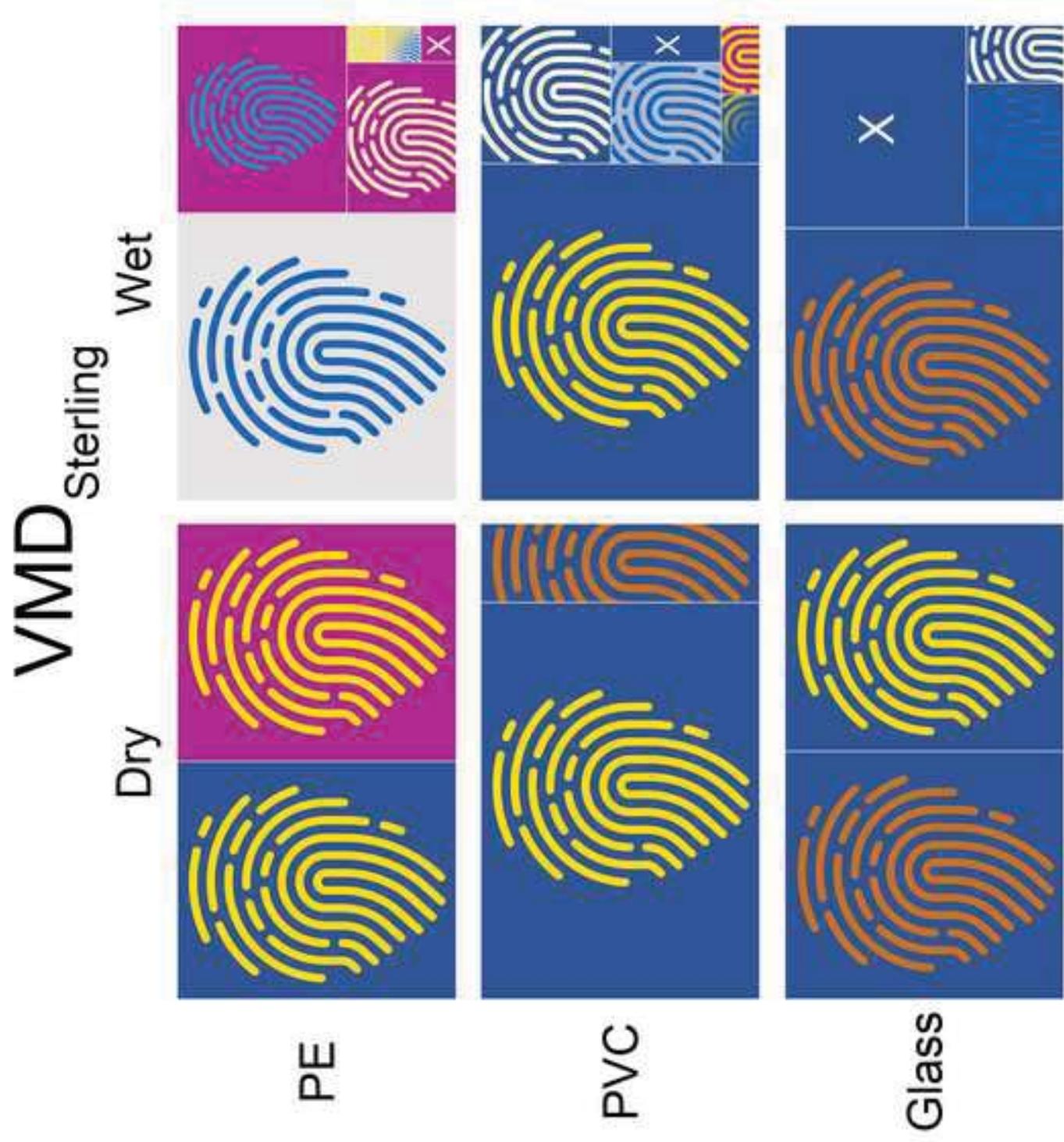


Figure 7
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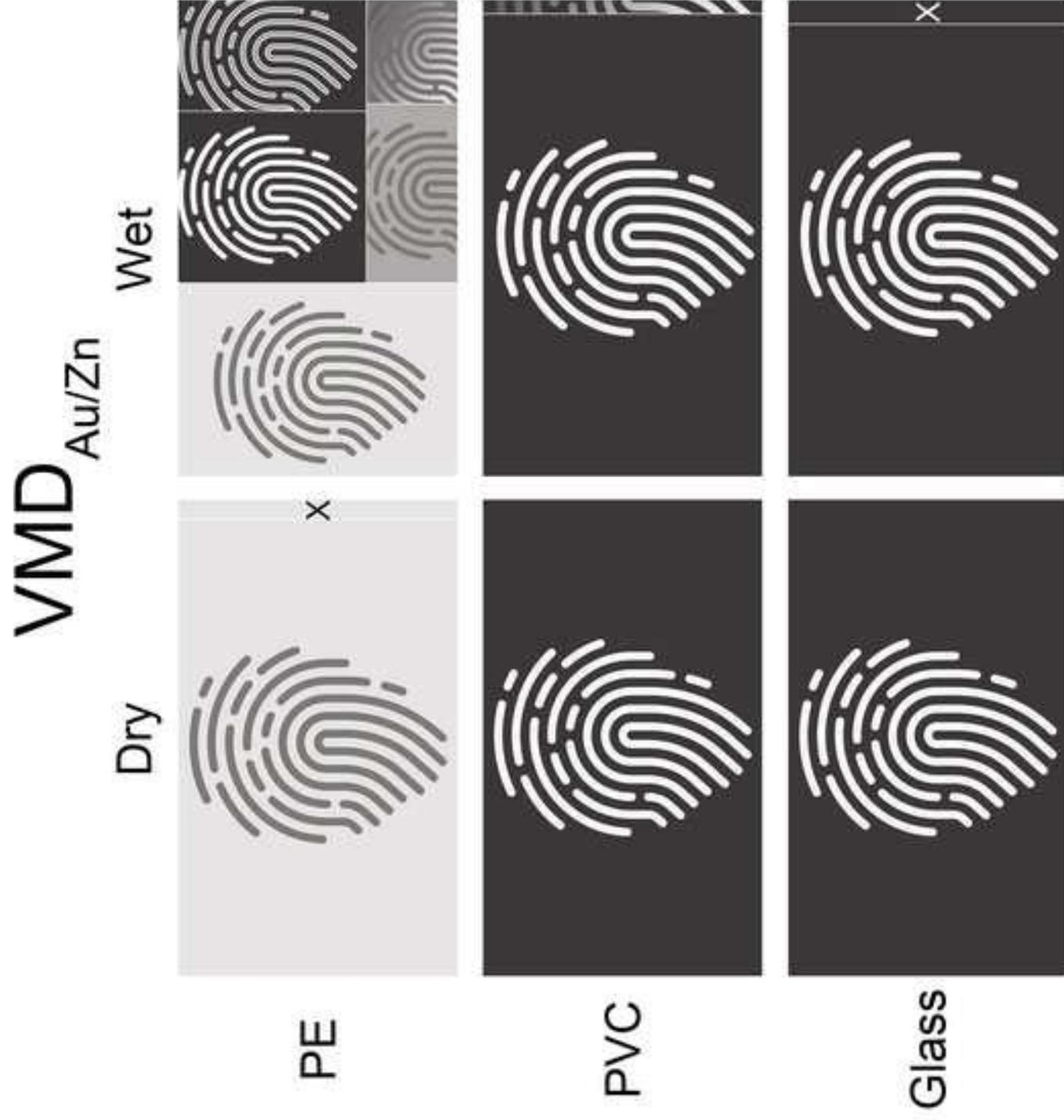


Figure 8
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VMD_{Ag}

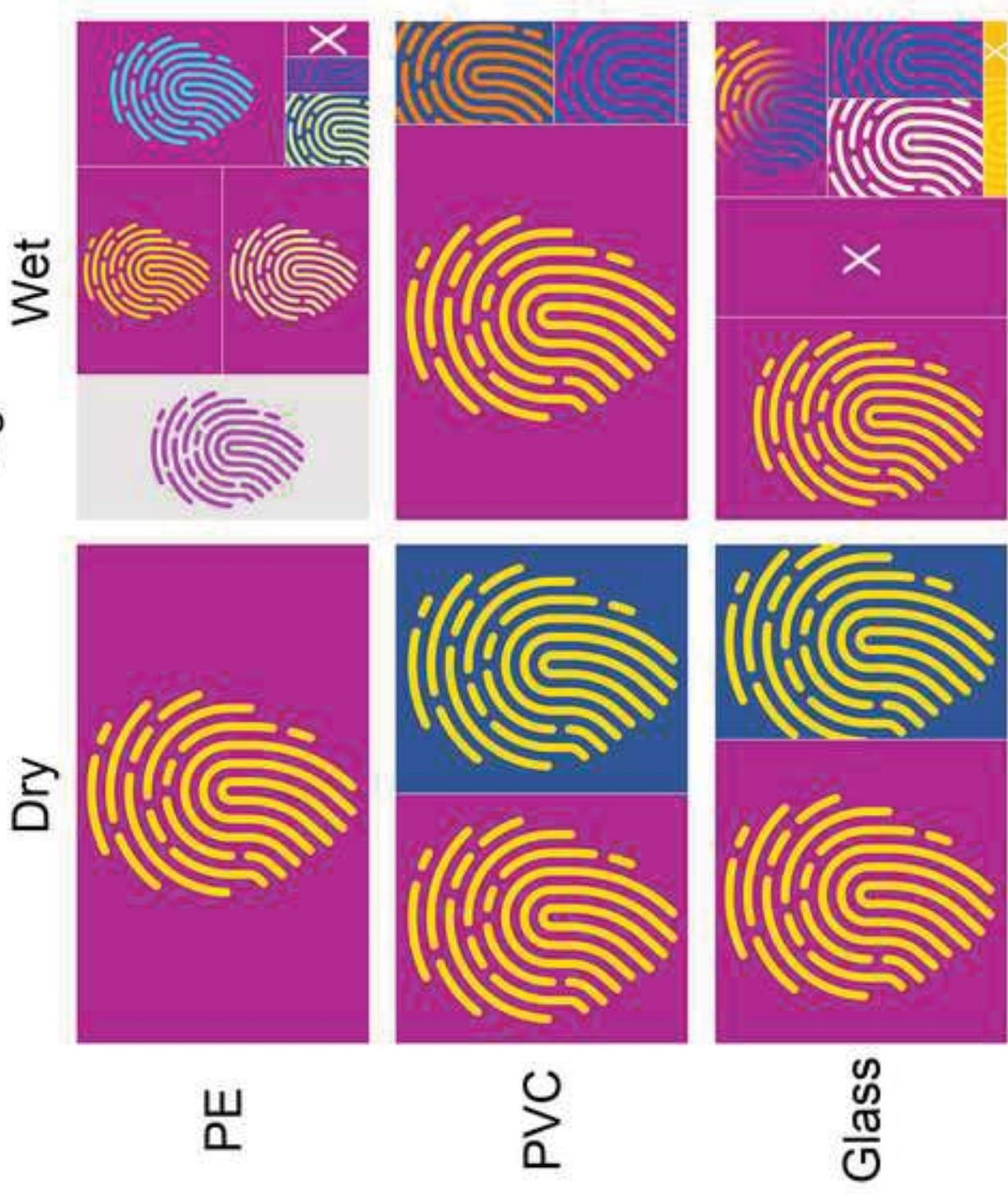


Figure 6
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Table 1

Study # / Influence of	Age of the fingermarks (upon immersion)	Immersion time	Comparative studies (L-half vs R-half)	Replicates	Total number of fingermarks (*)	Total number of half marks
1/ Immersion time	48H	1H or 24H	Dry (reference) vs Immersed (1H) Dry (reference) vs Immersed (24H)	4	216	432
2/ Age of the fingermarks	24H or 1 week	24H	"24H" vs "1W"	4	108	216
3/ Metal (VMD _x)	48H	24H	Au/Zn vs Ag Au/Zn vs Sterling Ag vs Sterling	8	216	432

Table 2

Score	Description
0	No ridges are visible at all, no sign of fingerprint.
1	Ridges are visible over a small area of the mark or over the whole mark, but it is extremely difficult to retrieve second-level characteristics (such as minutiae) due to extremely poor ridge details.
2	Ridges are visible on almost the whole area of the mark, and second-level characteristics can be retrieved. Nevertheless, the quality is not optimal due to a low contrast (strong background staining or faint ridges).
3	Ridges are very well defined on the whole mark. Second-level characteristics can easily be retrieved. The contrast is optimal with no (or extremely faint) background staining.

Table 3

Study 1	Effect of 1H immersion (vs Dry)	Effect of 24H immersion (vs Dry)
PE	Ag \cong ; Sterling \cong ; Au/Zn \cong	Ag \searrow ; Sterling \cong ; Au/Zn \cong
PVC	Ag \cong ; Sterling \searrow ; Au/Zn \searrow	Ag \searrow ; Sterling \searrow ; Au/Zn \searrow
Glass	Ag \nearrow ; Sterling \searrow ; Au/Zn \cong	Ag \cong ; Sterling \searrow ; Au/Zn \cong
Study 2	Relative scores for 1W-old marks (vs 1D-old) (wetted items)	
PE	Ag \searrow ; Sterling \searrow ; Au/Zn \searrow	
PVC	Ag \cong ; Sterling \searrow ; Au/Zn \nearrow	
Glass	Ag \nearrow ; Sterling \nearrow ; Au/Zn \nearrow	
Study 3	Comparison between metals (wetted items)	
PE	Ag \cong Sterling \cong Au/Zn	
PVC	Ag \geq Sterling \gg Au/Zn (hollow marks)	
Glass	Ag \cong Sterling $<$ Au/Zn	