1 <u>Title Page</u>

2 Full Title

3 Fully Automated Detection, Segmentation, and Analysis of In Vivo RPE Single Cells

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- 31 research.
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33 Conflict of Interest

- 34 The authors TL, MK, FBC, and CM are involved in a company (EarlySight SA) aiming at35 commercializing the TOPI technology.
- 36

37 Running title

- Automated Analysis of In Vivo RPE Single Cells
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- 42 Computer-assisted image analysis
- 43

44 <u>Abstract</u>

45 Objective: To develop a fully automated method of retinal pigmented epithelium (RPE) cells
46 detection, segmentation, and analysis based on *in-vivo* cellular resolution images obtained with the
47 transscleral optical phase imaging method (TOPI).

48 Methods: 14 TOPI-RPE images from 11 healthy individuals were analysed. The developed image 49 processing method encompassed image filtering and normalization, detection and removal of blood 50 vessels, cell detection, and cell membrane segmentation. The produced measures were cellular density 51 of RPE layer, cell area, number of neighbouring cells, eccentricity, circularity, and solidity. 52 Additionally, we proposed coefficient of variation (CV) of RPE cellular membrane (CMD_{CV}) and the 53 solidity of the RPE cell membrane-shape as new metrics for the assessment of RPE single cells.

Results: The observed median cellular density of the RPE layer was 3,743 cells/ μ m² (interquartile rate (IQR) 1,687), with a median observed RPE cell area of 193 μ m² (IQR 141). The mean number of neighbouring cells was 5.22 (standard deviation (SD) 0.05) per RPE cell. The mean RPE cell eccentricity was 0.67 (SD 0.02), median circularity 0.83 (IQR 0.01), and median solidity 0.92 (IQR 0.00). The median CMD_{cv} was 0.19 (IQR 0.02). The method is characterized by a median image processing and analysis time of 48 seconds (IQR 12) per image.

60 Conclusions: The present study provides the first fully automated quantitative assessment of human

61 RPE single cells in-vivo. The method provides a baseline for future research in the field of clinical

62 ophthalmology, enabling characterization and diagnostics of retinal diseases at the single cell level.

63 Introduction

64 The retina is the vitreal-most ten-layered light-sensitive nervous tissue membrane of the eye. Its
65 role is to convert the received light stimuli into nerve impulses and send them with the optic nerve to
66 the visual centres of the brain. The retinal pigmented epithelium (RPE) is the scleral-most monolayer
67 of pigmented retinal cells.

Although they are located outside of the neurosensory retina, RPE cells play some crucial roles,
such as light absorption, epithelial transport, and maintenance of the visual cycle¹⁻⁵. Some RPE cell
morphology characteristics, namely cell density, number of neighbours, eccentricity, and form factor,
are postulated to differ depending on cell maturation and condition^{2,6-9}. Some other studies report
RPE cell loss caused by diseases of the eye and aging¹⁰⁻¹³.

Although several diagnostic imaging modalities allow for in vivo assessment of the human eye (e.g., optical coherence tomography (OCT)^{15–17}, scanning laser ophthalmoscopy (SLO)^{18,19}, and fundus autofluorescence^{20,21}) these methods do not allow for the diagnosis of retinal diseases at their early stage because the minuscule changes in RPE cell morphology cannot be detected. Furthermore, RPE layer in vivo imaging at the single cell level is challenging due to several factors, namely, the low contrast between neighbouring cells, motion artefacts, retinal layer non-linearity, and difficulties with the image's focal point identification.

80 Transscleral optical phase imaging (TOPI), proposed in 2017, is a novel non-invasive, in vivo,
81 high-resolution retinal imaging modality. The use of both adaptive optics and oblique illumination of
82 the retina enhances the contrast of RPE cells^{2,9}. The resultant superior imaging resolution enables
83 discerning single RPE cells' cellular membranes.

84 In this paper, we present a novel fully automated method of RPE cell detection, segmentation,85 and analysis at both layer-level and single cell level for the TOPI-obtained retinal images.

86 <u>Materials and methods</u>

87 Study population

The study population included 11 healthy individuals (4 women and 7 men), from which 14 TOPI-RPE images of the left eye were obtained. The mean age of the examined individuals was 29 years (standard deviation (SD) 8). The conducted study adheres to the tenets of the Declaration of Helsinki. The study was approved by the Ethical Committee of the Swiss Department of Health on research involving human subjects (CER-VD N°2017-00976). Informed consent was obtained from all the participants.

94

95 TOPI image acquisition

TOPI relies on high-angle oblique illumination of the retina, combined with a flood illumination adaptive optics fundus camera, to enhance cell contrast and correct for ocular aberrations. Transscleral illumination of the retina was performed using two near-infrared light-emitting diodes (wavelength, λ 99 = 810 nm) located on the nasal and temporal side of the eye. The acquired images encompass a fieldof-view of 4.4° x 4.4°. More detailed information on the used TOPI setup has been published previously by our group².

102 A single TOPI-obtained RPE layer image is characterized by a low signal-to-noise ratio (SNR). 103 Therefore, prior to image analysis, the SNR is first increased by acquiring several raw images (around 104 100 per acquisition), then registered, and averaged into a single TOPI image. Image acquisition and 105 registration were performed following the protocol described in detail by Laforest et al.². The acquired 106 image stacks were exported as .tif files. These images are characterized by a black border, resultant 107 from the registration padding process. To remove such padding, first, the image gradient is calculated. 108 Second, vertical and horizontal borders that presented an average local intensity gradient value below 109 10% of the image's gradient SD were removed, cropping the image to the final size. The eccentricities

from the fovea of the imaged retinal areas ranged between 2.5° and 13.44° with a consistent field-ofview of 5°. The final TOPI-RPE images were exported as 1975 by 1906 pixels and with a digital sampling between 0.73µm and 1.0µm per pixel. Supplementary Table 1 presents detailed information on imaging parameters, registered images, and imaged volunteers.

114

115 Image processing

The fully automated TOPI-obtained RPE image processing and analysis is divided into four stages. First, the images are normalized in terms of contrast/attenuation, unevenness of the RPE layer and noise, and any out-of-focus (*OoF*) areas are discarded. Second, the shadow of retinal vasculature present in the innermost (vitreal-most) retinal layers is detected and removed from the final image. Third, cells are individually detected and segmented. Finally, the fourth and last step consists of characterizing the RPE layer in general and single RPE cells (Figure 1).

122 Image filtering and normalization

123 In order to adjust for the unevenness of the RPE layer background, flat-field correction with a 124 two-dimensional Gaussian smoothing kernel (σ 10 pixels) was applied. Subsequently, to clean the 125 image from noise, Butterworth highpass filtering (B_{bpl}) and Gaussian filtering (*Gauss*) were performed. 126 The first-order Butterworth filter used a cut-off radius of 50 pixels. The Gaussian filter used a 127 smoothing kernel with a σ of 20 pixels (Figure 1A). To prevent the filtering out of essential RPE 128 morphology, both in the spatial and frequency domain, the filter sizes, thresholds, and values 129 implemented throughout the image processing and analysis methodology, were obtained 130 experimentally and based on previously published literature in the assessment of ex-vivo and in-vivo 131 morphology of RPE cells. The usual RPE cell size varies between 10 and 14 μ m (14 – 19 pixels with a digital sampling of $0.73 \,\mu\text{m}$)^{7,26,27}. 132

A lower RPE cell edge contrast characterizes the OoF areas compared to the in-focus part of the image. To remove the defocused areas, we implemented the channel-prior method²⁸ with adaptive gamma correction, which increases the remaining image (*deH*) contrast. The method allows for singleimage enhancement without a priori knowledge of its' quality or high contrast standard images. Moreover, the channel-prior method produces a distance map (*Dist*) (an estimation of the haze thickness at each pixel), necessary for the vascular outline approximation.

139 Detection and removal of blood vessels

140 The detection of blood vessels is performed by using the four previously obtained images (B_{lph}) 141 Gauss, deH, and Dist) (Figure 1B). Each image is subjected to Subroutine A (SubA). SubA begins with 142 square-shaping the image, and its quadtree decomposition (QuaD) returns a sparse matrix 143 subsequently reconstructed as a block-map. The QuaD threshold is applied at 3*SD of the image. 144 *OuaD* is a common methodology in several fields, including image processing, being used from multiresolution decomposition and analysis²⁹⁻³¹, to compression^{32,33} and machine learning^{34,35}. 145 146 Application of *QuaD* for RPE cells segmentation is a novel approach developed specifically for this 147 project. The QuaD square blocks of ≥ 8 pixels and $\leq 10\%$ of the original image size are included in 148 the subsequent image processing. After inverting (image complement), the obtained square blocks 149 maps, small and interconnected structures at their external borders are discarded using morphological 150 filtering (erosion with a discoid element of 4-pixel radius) followed by dilation with the same discoid 151 element. Finally, the last step of SubA is reshaping of the resultant mask to the original's image size. 152 Supplementary Figure 1, Block B, presents a more in-depth depiction of the process, where Gauss 153 image is an example input.

The OoF mask obtained during image filtering and normalization stage is summed with the binary
mean of SubA(B_{bpl}), SubA(Gauss), SubA(deH), and SubA(Dist), forming the vessel-OoF mask (VOoF).
VOoF mask is used to eliminate the infravascular RPE cells from further image processing.

157 Cell detection

Cell centre detection is based on the method proposed by Khamidakh et al.³⁶, henceforth named 158 159 Subroutine B (*SubB*). In case the distance between adjacent cellular centres is ≤ 10 pixels, the individual 160 cells are detected as the same cell. We applied SubB to B_{bpf} , to the contrast-limited adaptive histogram equalized B_{hpf} , and to the highpass filtered ($\frac{1}{8}$ of the original image sized kernel) B_{hpf} . One more time, 161 cellular centres within ≤ 10 pixels are fused. Finally, cellular centres in the distance of ≤ 10 pixels from 162 163 the image border are removed to prevent the inclusion of non-fully-imaged cells in the image analysis (Figure 1C). Supplementary Figure 1, Block C, presents a more in-depth graphical demonstration of 164 165 the cell detection method, with B_{hpf} as its input.

166 *Cell membrane segmentation*

Detection of the cellular membrane at the single cell level begins with convolving B_{bbf} with a 167 168 discoid structuring element (radius of 4 pixels). The resultant blurring of the image removes any 169 possible local salt-and-pepper noise that might occur during the transformation from the Fourier to 170 the spatial domain. Then, the image is convolved with a star-shaped mask (size 7 pixels). The 171 convolution enhances local vertical, horizontal, and diagonal edges in the image. The final filter is a 7x7-pixel Mexican hat. With these three filtering stages followed by zero-crossing in the spatial 172 173 domain, a binary mask representing the cellular membrane is developed. Finally, the mask is 174 skeletonized and cleaned from sporadic branches, while single pixels are discarded. The inverted mask 175 is convolved with a discoid structuring element (radius of 4 pixels) and re-inverted (Figure 1D). Such 176 a procedure improves the separation of the cells and prevents their possible overlapping. An example 177 of the process of the cell membrane segmentation method is presented in Supplementary Figure 1, Block D, with the B_{hpf} as input. Cellular masks not corresponding with respective cellular centres 178 179 identified during the "Cell detection" step are subsequently classified as artefacts and discarded from further analysis. 180

181 Supplementary Figure 2 presents an example of the outputs and intermediate results obtained182 throughout the segmentation and analysis process.

183

184 Data analysis

185 Cells with area or centre overlapping with the VOoF mask were discarded from the analysis of 186 cellular characteristics.

187 Using the previously created cellular masks and the original TOPI-obtained image, 188 morphological, and neighbourhood characteristics of individual RPE cells were assessed (Figure 1E). 189 MATLAB regionprops function was used to obtain basic morphological characteristics of RPE cells 190 (area, centroid and weighted centroid, eccentricity, solidity, intensity, and circularity). Additionally, 191 assessed characteristics included the coefficient of variation (CV) of RPE cellular membrane 192 $(CMD_{CL})^{37,38}$, number of neighbouring cells, and the cellular density of the RPE layer. To decrease the 193 possible risk of assessment bias, RPE cells immediately adjacent to the VOoF mask were discarded 194 from the number of neighbours' evaluation. A descriptive analysis was conducted for each image. 195 Supplementary Table 2 presents the full list of the assessed metrics, along with their definitions and 196 formulas.

197 The normality of variables was assessed with Shapiro-Wilk's test (p > 0.10) and histogram 198 skewness (skewness -0.5 - 0.5).

The image processing pipeline and the underlying algorithms were developed and tested, as well as data management, on a DELL workstation (DELL XPS 13 9380, Windows 10, 64 bits, 2 1.80 GHz, 16.0 GB RAM) equipped with the MATLAB (version R2019, with Bioinformatics ToolboxTM, Financial ToolboxTM, and Statistics and Machine Learning ToolboxTM). Image registration was performed with ImageJ 1.52 with a modified macro from Laforest et al.², with the plugins TurboReg³⁹ and Template Matching⁴⁰. For boxplots generation and statistical analysis, we used R studio 1.2.1335
with gmodels, e1071, readxl, and xlsx packages.

206 <u>Results</u>

207 Figure 2 presents the resultant analysis maps for an example sample (number 6), where the RPE208 coverage was 88%.

209

210 Characteristics of the RPE layer

In all obtained image samples, the algorithm analysed a significant image area (median 90%, interquartile rate (IQR) 9%). The discarded parts of the image areas were either blood vessels or blurred/hazed. After discarding cells belonging to the VOoF areas (example in Supplementary Figure 2 - VOoF subpanel), the mean number of cells analysed per sample was 6,864 (SD 869). The median observed cellular density of the RPE layer was 3,743 cells/ μ m² (IQR 1,687) (Table 1, Supplementary Table 3 and 4, and Supplementary Figure 3).

217

218 Characteristics of RPE single cells

219 The median observed RPE cell area was 193 μ m² (IQR 141). The mean number of neighbouring 220 cells was 5.22 (SD 0.05) per RPE cell. The mean RPE cell eccentricity was 0.67 (SD 0.02). The RPE 221 cell circularity was at a median 0.83 (IQR 0.01). The median CMD_{CV} , denoting the distance of each 222 cellular membrane-depicting pixel to the cellular centroid, was 0.19 (IQR 0.02). The median solidity 223 of the RPE cells was 0.92 (IQR 0.00). The median RPE cell normalized image intensity value was 0.44 224 (IQR 0.04). The mean pixel distance between morphology-based and intensity-based RPE cellular 225 centroids was 0.29 pixels (SD 0.06) (Table 1, Supplementary Table 3 and 4, and Supplementary Figure 226 3).

227

228 Performance of the image processing algorithm

The median image processing time was 48 seconds (IQR 12) per image. It included digital image transformations, image segmentation and analysis, rendering and saving metrics, figures, and graphs. The most time-consuming stage was image processing and analysis pertaining to single cell detection and cellular membrane identification, accounting for 65% of the total processing time. The second most tedious stage was metrics calculation (23% of the total processing time) (Table 1, Supplementary Table 3 and 4, and Supplementary Figure 3).

235 <u>Discussion</u>

236 Comparison of the results with the literature

237 The aim of the presented research was the development of an algorithm enabling automated238 segmentation and analysis of in vivo TOPI-imaged RPE cells at the single cell level.

To the knowledge of the authors, fully automated and user-independent in vivo RPE single cell
imaging, segmentation, and analysis has not been achieved before, primarily because high-resolution
RPE images were not available.

The most akin method presented in the literature was a protocol for the automated segmentation
of RPE cells images obtained with adaptive optics SLO, developed by Rangel-Fonseca et al. ^{43,44}. Some
other authors presented semi-automatic protocols for the detection and segmentation of RPE cells
based on the localization of cone cell centers^{25,45}, non-specific for the actual underlying RPE cells layer.
Furthermore, unlike ours, none of the three previous methods proposes a fully automated system of
vascular outline elimination from the image processing and analysis. Finally, our method discards the *OoF* region automatically.

The observed characteristics of the RPE layer and RPE single cells are comparable with previously published literature (Figure 3 and Supplementary Table 3); however, the published research data on RPE statistics are all based on ex vivo or in vitro observations. It is thus interesting to compare the results between human in-vivo and ex-vivo/in vitro.

The observed median cellular density $(3,743 \text{ cells}/\mu\text{m}^2)$ was within the range reported previously in the literature $(3,000-5,500 \text{ cells}/\mu\text{m}^2)^{44,46,47}$. Similarly, the median observed RPE cell area at 193 μm^2 is within the reported studies²⁷(140-840 μm^2). The mean number of neighbouring cells was 5.22. Since mature, confluent RPE cell colonies or layers, are commonly described in the literature as hexagonal cobblestone conformation, denoting six neighbours per RPE cell^{6-8,25,48-50} (Supplementary Table 3), this result is somehow unanticipated. Further studies in healthy subjects and different retinaleccentricities need to be undertaken.

The observed mean eccentricity at 0.67 was 27% higher than in the literature². The median circularity at 0.83 was in line with the previously published circularity of 0.74 and matching well with the theoretically ideal circularity of 0.84 shown by Bhatia et al. ⁷(Supplementary Table 3). To our knowledge, both CMD_{CV} and cell solidity were used for the first to characterize RPE cells. Hence, a comparison of our findings with previously published literature was not possible.

265

266 Strengths and limitations of the study

The presented image processing method is dependent on the high quality of the TOPI-obtained retinal images. Albeit all images are normalized and filtered, imaging is still dependent on various parameters, both intrinsic and extrinsic to the TOPI setup. Conditional external factors to the TOPI setup include morphological heterogeneity of eye dependent on visual impairment, age, and illumination conditions.

The primary strength of the study is the fully automated analysis of the TOPI images, which allows for reproducibility and replicability of the results. Another strength of the presented image processing algorithm is a short analysis time, acceptable both for research and for clinical purposes (48 seconds per image). All 14 samples (from 11 individuals) were able to be analysed and provide metrics both at the cell and colony level.

The small sample size can somewhat decrease the generalizability of the findings regarding RPE
cells characteristics. Moreover, as the whole study population was composed of healthy volunteers,
the performance of the developed image-processing pipeline on patients with degenerative diseases
cannot yet be assessed.

281

282 Significance of the findings and suggestions for future research

283 To our knowledge, this work is the first fully automated image processing pipeline developed for284 high resolution TOPI-obtained RPE images both at layer-level and single cell levels.

The presented results are a step towards the possible implementation of automated RPE cell analysis in clinical practice for diagnostics of several retinal diseases. The use of non-invasive, realtime, and fully automated evaluation of the retinal cells can facilitate the work in both ophthalmological research and practice. Furthermore, the RPE cells' assessment method proposed in this paper is time-efficient (median of 48 seconds per image). Finally, the analysis of the retinal cells' morphology both on layer-level and single cell level opens new paths for eye health assessment and follow-up.

In the future, associations between different morphological features of the retinal cells and the type of vision impairment should be assessed. Cross-sectional studies with larger study populations should be conducted to validate and assess the performance of the developed method in adapting to the variability of the in vivo RPE layer. Furthermore, to assess the system and analysis pipeline utility in the follow-up and surveillance measure, prospective cohort studies need to be undertaken.

297 <u>Conclusions</u>

In this study, a new fully automated image processing method for segmentation and analysis of high-resolution TOPI-imaged RPE cells was presented. It is the first report on the RPE single cells in vivo characteristics. The present study lays the groundwork for future research in the field of clinical ophthalmology, enabling characterization and diagnostics of retinal diseases on the single cell level.

303 <u>Supplementary Information</u>

304 Supplementary information is available at Eye's website. Colour figures are available on the online

305 version of the manuscript, as also supplementary figures and tables.

306

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439 <u>Titles and legends to figures</u>

440	Figure 1. TOPI-RPE image processing diagram. From acquisition to single cell masks for an example		
441	sample. Cyan boxes represent 9x zoomed areas of the underlying structures. (Other example zoomed		
442	regions from each step are presented in Supplementary Figure 3) (For the colour version, please refer		
443	to the online version of the article)		
444	SubA: subroutine A.		
445	SubB: subroutine B.		
446	VOoF: Vessel and out-of-focus mask		
447			
448	Figure 2. Sample 6: Analysis results, with final metrics maps. Area of the assessed RPE layer: 88%.		
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456 Data Availability

457 The image processing codes and datasets generated and or analysed during the current study are
458 available from the corresponding author on reasonable request and subject to the ethical approvals in
459 place and material transfer agreements.

460

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465

466 <u>Author contributions</u>

- FLCS: Study concept and design, analysis and interpretation of data, drafting the manuscript,
 critical revision of the manuscript for important intellectual content, statistical analysis, and
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 content;
- 472 MK: Acquisition of data and critical revision of the manuscript for important intellectual
 473 content;
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- FBC: Critical revision of the manuscript for important intellectual content and study
 supervision;
- 477 CM: Critical revision of the manuscript for important intellectual content, obtained funding,
 478 and study supervision.

479 <u>Abbreviations and Acronyms:</u>

480	$\mathrm{B}_{\mathrm{hpf}}$	Butterworth highpass filtered image
481	$\mathrm{CMD}_{\mathrm{CV}}$	cellular membrane mask coefficient of variation
482	CV	coefficient of variation
483	deH	dehazed image
484	Dist	distance map
485	FLIO	fluorescence lifetime imaging ophthalmoscopy
486	FOV	field of view
487	Gauss	Gaussian filtered image
488	IQR	interquartile rate
489	OCT	optical coherence tomography
490	OoF	out-of-focus mask
491	QuaD	quadtree decomposition
492	RPE	retinal pigmented epithelium
493	SD	standard deviation
494	SLO	scanning laser ophthalmoscopy
495	SNR	signal-to-noise ratio
496	SubA	subroutine A
497	SubB	subroutine B
498	ТОРІ	transscleral optical phase imaging
499	VOoF	vessel & out-of-focus mask