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An ancient and eroded social supergene is widespread across Formica ants	
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Running title: Supergene evolution in ants	

46 **Summary:** Supergenes, clusters of tightly linked genes, play a key role in the evolution 47 of complex adaptive variation [1,2]. While supergenes have been identified in many 48 species, we lack an understanding of their origin, evolution and persistence [3]. Here, we 49 uncover 20-40 MY of evolutionary history of a supergene associated with polymorphic 50 social organization in *Formica* ants [4]. We show that five *Formica* species exhibit 51 homologous divergent haplotypes spanning 11 Mbp on chromosome 3. Despite the 52 supergene's size, only 142 single nucleotide polymorphisms (SNPs) consistently 53 distinguish alternative supergene haplotypes across all five species. These conserved 54 trans-species SNPs are localized in a small number of disjunct clusters distributed across 55 the supergene. This unexpected pattern of divergence indicates that the *Formica* 56 supergene does not follow standard models of sex chromosome evolution, in which 57 distinct evolutionary strata reflect an expanding region of suppressed recombination (e.g. 58 [5]). We propose an alternative "eroded strata model," in which clusters of conserved 59 trans-species SNPs represent functionally important areas maintained by selection in the 60 face of rare recombination between ancestral haplotypes. The comparison of whole 61 genome sequences across 10 additional Formica species reveal that the most conserved 62 region of the supergene contains a transcription factor essential for motor neuron 63 development in Drosophila [6]. The discovery that a very small portion of this large and ancient supergene harbors conserved trans-species SNPs linked to colony social 64 65 organization suggests that the ancestral haplotypes have been eroded by recombination, 66 with selection preserving differentiation at one or a few genes generating alternative 67 social organization.

68

69 **Results and Discussion:**

70

Each year, new systems with tightly linked clusters of genes are discovered, pointing to the importance of supergenes in the evolution of certain classes of complex traits, including mimetic coloration in butterflies, self-incompatibility in plants, mating strategies in birds, mating types in fungus, and social organization in ants [1, 2, 7-10]. While the prevalence and impact of supergenes is increasingly clear, there are still large

76 gaps in our understanding of how they evolve, whether they tend to be transient or stable,

and how much of the non-recombining region actually shapes the trait of interest.

78 Using a comparative approach, we investigate the evolutionary history of an 79 autosomal supergene associated with colony social organization in the Alpine silver ant 80 Formica selysi [4]. First, we examine whether this supergene system is stable or 81 ephemeral by investigating whether it is present and has a similar function in five socially 82 polymorphic Formica species, representing an estimated 20-40 MY of independent 83 evolutionary history (Figure S1). This divergence time exceeds the age of inversion-84 based autosomal supergenes described so far [3]. Second, we use phylogenetic 85 comparisons across the five species to infer how the supergene evolved. Specifically, we 86 assess whether recombination was suppressed at different times across the length of the 87 supergene and identify conserved trans-species single-nucleotide polymorphisms (SNPs) 88 associated with social organization.

In *F. selysi*, alternative haplotypes of the supergene are associated with alternative colony social organization, namely whether the colony is headed by one queen (= monogyne) or by multiple queens (=polygyne) [4]. Monogyne colonies exclusively harbor individuals carrying one haplotype, Sm, whereas polygyne colonies always harbor individuals bearing at least one copy of the alternative haplotype, Sp [4, 11]. Queen number is also associated with a suite of individual and colony-level traits, including body size, colony size and reproductive strategy [12].

Many other *Formica* species are socially polymorphic [13-18]. So far, no genetic
polymorphism associated with colony social organization has been documented outside
of *F. selysi*. This absence may reflect phenotypic plasticity in colony queen number.
Alternatively, a genomic basis to social organization may have remained undetected in
previous studies based on few genetic markers [15, 16, 18].

101We tested whether social organization was controlled by a conserved ancestral102supergene across socially polymorphic *Formica* species. We collected ddRADseq

103 population genomic data on five focal polymorphic species (Table S1): *F. truncorum*

104 (subgenus *Formica* sensu stricto, 20 individuals, 24,431 sites, mean depth 17.9), *F*.

105 exsecta (Coptoformica, 41 individuals, 24,577 sites, mean depth 17.2), F. selysi

106 (Serviformica, 83 individuals, 21,554 sites, mean depth 14.2), F. cinerea (Serviformica,

107 161 individuals, 44,427 sites, mean depth 27.7), and F. lemani (Serviformica, 65 108 individuals, 64,260 sites, mean depth 15.3). In each species, we find elevated 109 differentiation between individuals of monogyne and polygyne origin at chromosome 3 110 compared with other chromosomes (Figure 1), suggesting that an ancestral supergene is 111 present and associated with colony queen number in the five species. Through principal 112 component analysis (PCA) of variation on this chromosome, we show that individuals of 113 monogyne origin are usually homozygous for one supergene haplotype, while individuals 114 of polygyne origin are usually either heterozygous or are homozygous for an alternative 115 haplotype (Figure S2). This association is perfect in F. selysi, F. exsecta, and F. 116 *truncorum*, while mismatches between social structure and supergene genotype are 117 observed in 5 of 39 F. lemani and 35 of 96 F. cinerea individuals with known social 118 structure. Nonetheless, association between the presence of an Sp haplotype and 119 polygyne social structure was significant even in the latter two species (Fisher's exact test 120 p = 0.00002 in F. cinerea, p = 0.000002 in F. lemani). To further investigate the 121 relationship between each haplotype across species, we selected homozygous workers or 122 haploid males for subsequent whole genome sequencing. For F. lemani, we included 123 three individuals, representing homozygotes for three alternative haplotypes (Figure S2). 124 Sex chromosomes are the most widely known and best understood class of 125 supergenes [2, 19], and may provide a model for the evolution of autosomal supergenes. 126 In the old and highly conserved sex chromosomes of birds and mammals, the regions of 127 suppressed recombination have expanded over time, as new adjacent regions were 128 inverted or otherwise rearranged [20, 21]. Blocks of the Z/W and X/Y chromosomes 129 wherein recombination ceased at the same time during their evolutionary history are 130 known as evolutionary 'strata.' For instance, comparisons of genome sequences from 17 131 bird species distributed across the phylogeny revealed that avian sex chromosomes have 132 one small region where suppressed recombination predates the divergence of ratites from 133 other birds. A large region of the Z and W chromosomes continues to recombine in 134 ratites, while additional non-recombining strata accumulated over time in other avian 135 lineages [5]. Whether this "expanding strata" model applies to autosomal supergenes 136 remains an open question.

The supergene shared by multiple *Formica* species provides a great opportunity to reconstruct how alternative haplotypes evolved. We identify regions of the supergene that are consistently differentiated between social forms across the *Formica* species. By mapping conserved trans-species SNPs associated with social organization and reconstructing the phylogenetic topology across the *Formica* social supergene, we investigate whether recombination was suppressed at different times across the length of the supergene, forming evolutionary strata.

144 If the *Formica* supergene evolves according to the expanding strata model, one 145 region of the supergene is expected to exhibit an 'old strata' topology, wherein the 146 haplotypes of all five species cluster by social form. Other regions might exhibit 147 intermediate strata topologies, wherein alternative supergene haplotypes cluster among 148 closely related species but not distantly related species. The recombining ends of the 149 supergene are expected to follow a 'young strata' topology, wherein individuals cluster 150 by species regardless of social form. Moreover, the expanding strata model predicts that 151 the old and intermediate strata would span entire inversions, such that each inversion 152 would be acquired sequentially during the evolutionary history of the supergene. In 153 contrast, models of genome evolution within single inversions predict that only inversion 154 breakpoints and loci under selection will remain differentiated in very old inversion 155 polymorphisms [22, 23].

156 We sequenced the genomes of representatives of each social form from the five 157 focal *Formica* species, aligned them to a new chromosome-level genome assembly for F. 158 selysi, and plotted the number of trans-species fixed differences per 1 kbp window 159 between the monogyne- and polygyne-associated haplotypes (Figure 2). Moreover, we 160 identified transitions in phylogenetic topology across the supergene with a hidden 161 Markov model implemented in Saguaro [24]. Contrary to the predictions of the 162 expanding strata model, we found multiple very small regions containing 142 conserved 163 trans-species SNPs that clustered by social form (Figure 2A). These regions matched 164 sections of the supergene with 'old strata' topologies (Figure 2B). The cumulative length 165 of these small disjunct conserved regions with 'old strata' topologies was 136 kbp, which 166 amounts to only 1.2% of the non-recombining supergene or 0.96% of the entire

167 chromosome. No such conserved trans-species SNPs were found on any other

168 chromosome, across a total of 11.4 million SNPs genome-wide.

169 Small regions with trans-species fixed SNPs could be due to balancing selection 170 or physical constraints (e.g. inversion breakpoints) that prevent recombination from 171 homogenizing these genomic regions [22]. To distinguish between these hypotheses and 172 further test the expanding strata model, we identified genomic rearrangements between 173 the alternative supergene haplotypes. We constructed high density linkage maps using 174 ddRAD genotypes from the female offspring of two Sp/Sp F. selvsi queens (112 175 offspring total, 1792 and 3688 markers, mean sequence depth 36.6). We also constructed 176 a linkage map from the male offspring of one Sm/Sm F. exsecta queen (67 offspring, 177 4603 markers, mean sequence depth 17.3) to determine whether the structure of the Sm 178 haplotype is conserved across species. We mapped the positions of the old, intermediate, 179 and young strata onto the F. selvsi Sm genome. We then aligned the genome to the 180 linkage maps. The Sm haplotype of F. exsecta was collinear with that of F. selysi (Figure 181 3). The conserved gene order on the Sm haplotype suggests that this haplotype is 182 ancestral. In contrast, the alignment of the Sp haplotype of F. selysi to the Sm genome 183 revealed at least four inversions along the length of the supergene (Figure 3). Regions of 184 the supergene exhibiting the 'old strata' topologies were not localized on a single 185 inversion, but instead were distributed across the supergene (Figures 2, 3), suggesting 186 that haplotypes spanning the entire non-recombining region began to diverge prior to the 187 divergence of all the Formica species we examined. At least some trans-species fixed 188 SNPs were not close to inversion breakpoints based on a qualitative assessment of the 189 linkage maps, suggesting that balancing selection, and not exclusively structural 190 constraint, plays a role in maintaining these SNPs. Occasional recombination is suggested 191 by intermediate strata topologies that were patchily distributed across the length of the 192 supergene (Figure 3). As expected, the recombining regions at the ends of the supergene 193 followed the 'young strata' pattern (Figures 2, 3). 194 Overall, the pattern of differentiation within the Formica supergene differs

strikingly from the predictions of the expanding strata model (Figures 2-4). We propose
that the *Formica* supergene results from a long history of rare recombination [25] and/or
gene conversion [26, 27]) between alternative haplotypes in different lineages (Figure 4).

198 We previously observed evidence of rare recombination between Sm and Sp haplotypes 199 in F. selvsi [4], and similar observations have been recorded in the fire ant supergene 200 system [28] and in a newly described inversion polymorphism in the great tit [29]. Under 201 this alternative "eroded strata model", an initial event, such as an inversion, greatly 202 reduced recombination across the length of the supergene in the common ancestor of the 203 focal species (Figure 4). Next, occasional recombination homogenized the monogyne-204 and polygyne- associated haplotypes in portions of the chromosome, while selection on 205 functionally important genes and regulatory regions, or structural constraints at inversion 206 breakpoints, maintained small regions with the old strata topology. Over time, rare 207 recombination events in regions not under selection eroded the ancestral strata, breaking 208 up associations between alleles within each alternative haplotype and leaving only small 209 disjunct areas with conserved trans-species polymorphisms (Figure 4). This model is 210 consistent with analytical results obtained in models of genome evolution on single 211 inversions [22]; our results provide empirical support for this model and scale it to a large 212 supergene harboring multiple inversions.

213 Trans-species SNPs associated with a trait of interest can point to genomic 214 regions responsible for the trait [30-32]. To characterize the most conserved trans-species 215 SNPs and identify candidate genes determining alternative social organization, we 216 sequenced the genomes of 10 additional European *Formica* species (Figure 2). Six of 217 these additional species spanning three subgenera matched the Sm haplotype for 126 out 218 of the 142 conserved SNPs associated with social organization in the initial comparative 219 analysis of 5 focal species. One Formica sensu stricto matched the Sp haplotype across 220 113 of 135 conserved SNPs. Finally, three species had excess heterozygosity across the 221 whole supergene and were heterozygous at a subset of the conserved SNPs (Figure 2). 222 Overall, only 20 SNPs were conserved across all 15 Formica species. All but one of these 223 conserved SNPs were located in the last exon and 3' untranslated region of the gene 224 Knockout (Figure 2). The gene Knockout is a storkhead-box transcription factor essential 225 for motor neuron development in Drosphila [6]. Additional SNPs conserved across all 226 species except F. picea occurred in an intron of serine-threonine kinase STK32B, an exon 227 of mitochondrial ribosomal protein MRPL34, and regions just downstream of the genes 228 *RPUSD4* and *G9A*.

229 Whether other supergenes follow the eroded strata model is not yet clear, but 230 several common characteristics suggest that some might do so. The Formica social 231 supergene and the ruff autosomal supergene appear to differ from ancient sex 232 chromosomes by the occurrence of rare events of recombination between alternative 233 haplotypes [4, 33, 34]. The independent supergenes underlying coloration and mating 234 strategies in ruffs and white-throated sparrows likely originated from inversions [33-35]. 235 Both of these avian supergenes are much younger than the Formica supergene and are 236 only found in a single species, which limits the possibility to test whether they follow an 237 eroded strata model. Alternative haplotypes at the supergene underlying mimetic 238 coloration in *Heliconius numata* apparently evolved sequentially, with one alternative 239 haplotype containing a single inversion and a second alternative haplotype harboring the 240 initial inversion and an adjacent second inversion [36]. The diversity of color patterns can 241 be traced to a relatively small number of genetic 'modules' that underlie different color 242 patches on butterfly wings [37]. As in the *Formica* supergene, the 'modules' often span 243 very small portions of the genome and exhibit a different evolutionary history from one 244 another and from whole genome patterns. Jay et al. [10] demonstrate that these 245 alternative topologies result in some cases from introgression of modules between 246 species. The contribution of introgression to evolutionary patterns in the Formica 247 supergene remains to be investigated. 248 Recent studies discovered that independent, convergent supergenes underlie 249 polymorphisms in social organization in at least three ant lineages (Solenopsis invicta, 250 [38]; Formica selysi, [4]; Leptothorax acervorum, [39]). We do not yet know the extent 251 of similarities in the evolutionary history of these convergent 'social' supergenes [4, 38]. 252 An analysis of divergence between S. invicta SB and Sb haplotypes revealed no evidence

of evolutionary strata [40], despite the presence of at least two inversions [41]. However,

an early analysis of the odorant binding protein gene Gp9, which was subsequently found

- to be contained within the *Solenopsis* supergene, identified conserved polymorphisms
- across several *Solenopsis* species [42], and this was confirmed in a recent comparative
- 257 genomic analysis of *S. invicta, S. richteri*, and *S. quinquecuspis* [43]. The combination of
- a lack of strata, multiple inversions, and trans-species polymorphism suggests that an

expanded multi-species analysis in *Solenopsis* would provide an interesting point ofcomparison with the *Formica* supergene.

261 Our study of the *Formica* supergene suggests several directions for future 262 research. So far, we have investigated the DNA sequence differences between alternative 263 supergene haplotypes in multiple species; comparison of gene expression patterns 264 between individuals with each genotype across different species, both in general and 265 within the candidate genes identified herein, could provide insights into the functional 266 differences of each haplotype. Moreover, we have not analyzed copy-number variation 267 in the Formica supergene haplotypes, but identifying haplotype-specific duplication or 268 deletion of genes, or insertion of transposable elements, could point to variants that affect 269 the different functions of the Sm and Sp haplotypes (e.g., [33, 43]). Both of these future 270 directions would be enhanced by the development of high quality genome assemblies for 271 additional *Formica* species, which would allow more precise identification of inversion 272 breakpoints on the Sp haplotype (e.g., [43]) and enable researchers to test the robustness 273 of our results when aligning to different genomes. Given the variation in genetic control 274 and haplotype diversity uncovered in F. lemani and F. cinerea, it would also be valuable 275 to examine non-genetic influences on social structure in these species, and to more 276 broadly investigate geographic variation in the strength of association between the 277 supergene and social organization by sampling a larger number of species across their 278 range.

279 Overall, this comparative analysis revealed that at least five species of the genus 280 Formica separated by up to 20-40 MY of independent evolution harbor an ancient 281 supergene that contributes to polymorphism in social organization. This ancestral 282 supergene followed an unusual evolutionary trajectory. We suggest that rare 283 recombination between alternative haplotypes in different lineages reduced trans-species 284 divergence, resulting in patterns of genetic differentiation that differ markedly from the 285 expanding strata expected under standard models of sex chromosome evolution. The 286 genomic signature of this novel "eroded strata model" is the presence of very small 287 clusters of conserved trans-species SNPs that consistently differ between alternative 288 haplotypes across multiple species. Across the *Formica* genus, these conserved trans-289 species SNPs highlight regions of the supergene that likely have an important function

- both in its inception and in the ongoing control of colony social organization. The great
- 291 diversity in origin, structure, size, and evolution of autosomal and sex-linked supergenes
- is intriguing. Further comparisons will reveal which key biological differences send
- 293 supergenes on divergent evolutionary trajectories.
- 294

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304 Author Contributions

- 305 Conceptualization, AB, JP, MC; Formal Analysis, AB, JP, PTV, JZ; Investigation, AB,
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- 307 Writing review and editing, JP, AB, MC, HH, JZ, PTV; Visualization, AB, JP, JZ;
- 308 Supervision, MC, JP; Funding acquisition, MC, JP.
- 309

310 **Declaration of Interests**

- 311 The authors declare no competing interests.
- 312

313 Figure Legends

314

315 Figure 1: An ancestral supergene is associated with colony social organization

316 across five polymorphic *Formica* species. In each of the five species (A-E), elevated 317 differentiation (F_{ST}) occurred between individuals of monogyne and polygyne origin

differentiation (F_{ST}) occurred between individuals of monogyne and polygyne origin
 across much of chromosome 3, in contrast to lower levels of differentiation in the rest of

- the genome based on population ddRAD data. The phylogenetic relationships between
- 320 the species based on genome-wide SNP data, excluding chromosome 3, is indicated on
- 321 the right (F). Note that the maximum differentiation between monogynes and polygynes
- 322 is influenced by the ploidy and the population genetic structure of the sequenced
- 323 individuals (Table S1). See also Figures S1, S2 and Table S1.
- 324

325 Figure 2: Evolution of alternative haplotypes of the social supergene across species 326 of the genus Formica. Fixed differences between Sm and Sp haplotypes across five focal 327 species, based on whole-genome sequence data, are concentrated in small regions across 328 chromosome 3 (A; number of conserved trans-species SNPs associated with social 329 organization in 1 kbp windows; n=142). In several small regions distributed across the 330 center of the chromosome, the sequences cluster by social form (red, "old strata" 331 topology), while in large regions at the chromosome ends the sequences cluster by 332 species (blue, "young strata" topology) (B; Hidden Markov Model of tree topology 333 implemented in Saguaro). The 142 SNPs with fixed differences between Sm and Sp 334 haplotypes across the five focal species were sequenced in single representatives of 10 335 additional species (C), with alleles matching the Sm haplotype shown in green and alleles 336 matching the Sp haplotype shown in orange. Only a single region of 1,021 bp (positions 337 11,910,116 – 11,911,137) harbors SNPs that are consistently fixed between Sm and Sp 338 haplotypes across all 15 species. See also Figure S1 and Table S1.

339

340 Figure 3: Structural rearrangements between alternative haplotypes of the *Formica*

- 341 supergene. The chromosome-level Formica selvsi genome assembly for the Sm 342 supergene haplotype (middle; PacBio long read sequencing combined with linkage map) 343 is collinear with the Sm haplotype of F. exsecta (top; linkage map from a F. exsecta 344 Sm/Sm family). In contrast, the Sp haplotype of F. selysi reveals several inversions and 345 rearrangements compared to the Sm haplotype (bottom; merged linkage map from two F. 346 selvsi Sp/Sp families). Lines between bars connect the RADtags in the linkage maps to 347 their position in the F. selvsi genome assembly. Colored bars along the Sm haplotype of 348 F. selysi indicate the strata topologies inferred by Saguaro from whole-genome sequence 349 data across five *Formica* species. Blue bars represent regions of the supergene where 350 sequences cluster by species (young strata, B). Red bars show sections of the supergene 351 where sequences cluster by social form across all five species (old strata, C). Purple bars 352 show sections where sequences cluster by social form in the three Serviformica species, 353 and, separately, cluster by social form in F. truncorum and F. exsecta (D). Green bars 354 represent sections where sequences cluster by social form in the three Serviformica
- species, but cluster by species for *F. truncorum* and *F. exsecta* (E). See also Figure S1.
- 356

Figure 4: Comparison of the eroded strata model and the expanding strata model. In
the eroded strata model (left panel), an initial inversion in one chromosome (basal blue
rectangle) greatly reduces recombination between two alternative haplotypes (red bars).
As new species form, this ancestral polymorphism is maintained, but occasional

361 recombination or gene conversion events (colored lines) homogenize sections of the

362 region in some lineages. The time series of plots at left represent the trans-species

363 divergence pattern expected under the eroded strata model, with disjunct regions

364 containing conserved trans-species polymorphisms. In contrast, in the expanding strata

365 model (right panel), new non-recombining regions appear sequentially in diverging

366 lineages, resulting in a pattern wherein young strata exhibit lower trans-species

367 differentiation than old strata (time series of plots at right). The topologies in the central

panel show the relationships between haplotypes and species for the young and old strata

369 scenarios, with colors matching the strata colors shown in each tree.

371 STAR Methods:

- 372 Detailed methods are provided in the online version of this paper and include the following:
- 373 Key Resources Table
- 374 Lead Contact and Materials Availability
- 375 Experimental Model and Subject Details
- 376 Method Details
- 377 Supergene presence in multiple species
- 378Linkage maps
- Genome assembly
- 380 Whole-genome resequencing
- 381 Phylogeny and dating
- 382 Quantification and Statistical Analysis
- 383 Data and software availability
- 384

385 Key Resource Table

- 386 Attached as separate document
- 387

388 Lead Contact and Materials Availability

- 389 Further information and requests for resources and reagents should be directed to and will
- 390 be fulfilled by the Lead Contact, Alan Brelsford (<u>alan.brelsford@ucr.edu</u>). There are
- 391 restrictions to the availability of tissue and DNA samples due to the lack of an external
- 392 centralized repository for their distribution and our need to maintain the stock. We are
- 393 glad to share oligonucleotides with reasonable compensation by requestor for processing
- and shipping.

395

396 Experimental Model and Subject Details

- 397 With the exception of the linkage map of the *F. selysi* Sp haplotype, all ants used in this
- 398 study were collected in the wild (sample sizes and localities for each species in Table S1).
- 399 For the *F. selysi* Sp haplotype linkage map, we obtained captive-reared offspring of two
- 400 mature queens from polygynous field colonies in Finges, Switzerland. The supergene
- 401 genotype of these two queens had been previously determined to be Sp/Sp [11]. Queens
- 402 were kept in isolated plastic nest boxes (15 x 13 x 6 cm) containing a tube with water and
- 403 ad libitum access to ant food consisting of agar, egg, and sugar, with at least 20 nestmate
- 404 workers, and left to produce eggs. These queens produced 35 and 77 newly emerged
- 405 worker offspring, respectively, and we collected these for linkage mapping. All ants used
- 406 in this study were stored in 100% ethanol prior to DNA extraction.

407

408 Method details

409 Supergene presence in multiple species

410 We collected workers and males from colonies of four *Formica* species (F. 411 cinerea, F. exsecta, F. lemani, F. truncorum; sample sizes and countries of origin in 412 Supplementary Materials Table S1). DNA was isolated from the head and thorax of each 413 ant using a DNeasy Blood and Tissue kit (Qiagen). We collected ddRAD sequence data 414 on these individuals using the protocol of [59], using restriction enzymes EcoRI and 415 MseI. Briefly, we digested genomic DNA with EcoRI and MseI, ligated barcoded 416 adapters to the resulting fragments, removed short fragments with AMPure magnetic 417 beads, amplified fragments using PCR primers incorporating an index sequence, pooled 418 the resulting amplicons, selected fragments of 300-500 bp by agarose gel electrophoresis, 419 and performed a final AMPure bead cleanup on the pooled, size-selected library. 420 Libraries were sequenced at the Lausanne Genomic Technologies Facility on an Illumina 421 HiSeq 2500 with 100bp single-end reads. For a subset of individuals, colony social 422 structure had been previously determined through parentage analysis of microsatellite 423 genotypes [13, 16, 18, 60, 61] or by direct observation of multiple queens during sample 424 collection. For subsequent steps, we reanalyzed previously published data for male F. 425 selvsi [4] as well as new data from the four additional species. 426 Reads were demultiplexed using the process radtags module of Stacks 1.19 [44]. 427 We mapped reads to the F. selvsi genome using Bowtie 2.3.4.1 [45], called variants 428 separately for each species with Samtools 0.1.19 [46], and filtered the resulting variants 429 with VCFtools 0.1.13 [47], excluding indels and retaining SNP markers with missing 430 data <20%, and minor allele frequency >5%. For each species, we extracted variants on 431 linkage group 3, which contains the social supergene in F. selvsi, and performed a 432 principal component analysis using PLINK 1.90 [48]. Additionally, we estimated 433 heterozygosity (F_{IS}) per individual and Weir and Cockerham's [62] F_{ST} between workers 434 from monogynous and polygynous colonies across the entire genome in sliding 400 kbp 435 windows with 300 kbp overlap between adjacent windows, using VCFtools 0.1.13 [47]. 436 Linkage maps

437 We collected ddRAD sequence data on offspring of two F. selvsi homozygous 438 Sp/Sp queens (77 and 35 newly emerged workers, respectively) and 67 males collected 439 from a monogyne F. exsecta colony. Library preparation, sequencing, and SNP calling 440 were carried out as described above in the Supergene presence in multiple species 441 section. We filtered raw variant calls separately for each mapping family using VCFtools 442 version 0.1.13 [47], retaining genotypes of SNP and indel variants with quality score ≥ 20 , 443 and variants with <20% missing data per family and per-family minor allele frequency 444 >15%. We then inferred linkage maps for each family using MSTmap [49], using the 445 Kosambi mapping function and p-value cutoffs of 5e-5 for the smaller F. selysi family 446 and 5e-6 for the F. exsecta family and larger F. selvsi family; full parameter sets are 447 reported in Table S2. Linkage maps for two Sp/Sp families were merged using 448 MergeMap [50], weighting each map by the number of individuals used to construct it. 449 Genome assembly

We collected 20 males from a single monogyne colony. High molecular weight DNA from head and thorax of the males was extracted following [63]. Briefly, cells were lysed with an SDS-based lysis buffer, proteins precipitated by addition of potassium acetate, DNA bound to SeraMag beads and washed with ethanol before elution. PacBio sequencing libraries were prepared with a SMRTbell Template Prep Kit sequenced on 26 SMRT cells of PacBio RSII (Pacific Biosciences) using P6-C4 chemistry at the Lausanne Genomic Technologies Facility.

457 Raw PacBio reads were error corrected, trimmed and de novo assembled with 458 CANU v1.7 [51] using default parameters. The genome assembly was decontaminated 459 with BlobTools v1.0 [52] under the taxrule 'bestsumorder'. The hit file was obtained by 460 blastn v2.7.1+ alignment to the NBCI nt database, searching for hits with an e-value 461 below 1e-25 (Parameters: -max target seqs 10 -max hsps 1 -evalue 1e-25). Coverage 462 information was taken from the contig headers supplied by CANU. Only contigs with no 463 hits or at least one arthropod hit were retained in the decontaminated assembly. 464 Subreads were mapped against the decontaminated genome assemblies using pbalign v0.3.0 and Samtools v1.4 [46] in order to perform a polishing step. 465 466 The polishing step was done using the GenomicConsensus v2.2.2 package with the 467 Quiver method. Finally, additional filtering steps were applied: redundant polished

- 468 contigs were removed using Redundans v0.13c [53] and low-coverage (<15X) contigs
- 469 were removed. Output statistics are provided in Table S3.

470 Assembled contigs were joined into chromosome-level scaffolds using a 471 consensus linkage map, constructed using MergeMap [50] on three F. selvsi families (one 472 SmSm, [4]; two SpSp, this study) and one *F. exsecta* family (SmSm, this study), 473 weighting each map by the number of individuals used to construct it. The two SpSp 474 families were excluded for Scaffold 3. We extracted 1 kbp of sequence surrounding each 475 mapped marker from the highly fragmented Illumina genome assembly [4], and aligned 476 these sequences to the PacBio contigs using Blastn. All contigs containing at least two 477 markers with different positions on the linkage map were placed and oriented on the 478 linkage map; scaffolds were constructed manually based on contig order and orientation 479 on the linkage map.

480 Whole-genome sequences

481 Based on the PCA results, we selected haploid or homozygous exemplars of the 482 Sm and Sp haplotypes in each species for whole-genome sequencing (Tables S1, S4). We 483 sequenced one individual for each of the two Sp haplotypes found in F. lemani. 484 Additionally, we sequenced the genomes of one individual from each of ten additional 485 species and three outgroup species (Iberoformica subrufa, Polyergus vinosus, Polyergus 486 *mexicanus*) to an average depth of 9.6x. Library preparation and sequencing were 487 performed at the Lausanne Genomic Technologies Facility and the UC Berkeley Vincent 488 Coates Genome Sequencing Laboratory (see Table S4 for sample ID, sequencing 489 platform, and read depth). 490 We mapped reads to the F. selvsi genome using Bowtie2 2.3.4.1 [45], called

491 variants with Samtools 0.1.19 [46], and filtered variants with VCFtools 0.1.13 [47], 492 excluding indels and retaining SNP variants with sequence depth >2 in all 11 Formica 493 individuals. We used VCFtools to identify SNPs with fixed differences between the Sm 494 and Sp haplotypes in the five focal species by calculating Weir and Cockerham's [62] F_{ST} 495 between the six Sp and five Sm individuals, selecting the SNPs with F_{ST} equal to 1. To 496 identify the overlapping or nearby genes for these SNPs, we extracted 10 kbp 497 surrounding each SNP from the F. selvsi reference genome using the getfasta command 498 in Bedtools 2.27 [54], and queried these sequences against the Camponotus floridanus

499 reference genome and the NCBI nr database using blastn v2.7.1+. Finally, we extracted

500 the genotypes of these fixed SNPs in the ten additional *Formica* species, to determine

501 which regions of the supergene continue to exhibit an "old strata" pattern even with

502 increased species sampling.

503 We used a Hidden Markov Model implemented in Saguaro [24] to identify 504 regions of linkage group 3 with phylogenetic tree topologies matching the "old strata" 505 expectation, and regions with topologies matching the species tree, in the five focal 506 species.

507 *Phylogeny and dating*

508 To obtain aligned sequences in fasta format suitable for phylogenetic analyses, we 509 ran the vcf2fq command in the vcfutils.pl module of Samtools 0.1.19 [46] on each bam 510 file resulting from the previously described Bowtie2 alignment of whole-genome 511 sequence data to the F. selvsi reference genome. We extracted the chromosome 1 512 consensus sequence from each individual and concatenated these into a single aligned 513 fasta file.

514 The phylogeny of the 18 species (15 ingroup species of *Formica* and three

515 outgroup species of *Polvergus* and *Iberoformica*) was reconstructed using the

516 chromosome 1 sequence alignment. Phylogenetic reconstruction was performed using

517 maximum likelihood (ML) criterion with IQ-TREE version 1.6.3 [55] and the model

518 GTR+G+I. Ultrafast bootstrap analysis with 1000 replicates was conducted to assess

519 node support in IQ-TREE version 1.6.3 [64].

520 To generate a small dataset for BEAST analysis, we first split the scaffold one 521 sequence alignment into 10 kbp non-overlapping windows. After removing the windows 522 that only contain uncalled bases or one taxon, 1532 windows were retained for further 523 analyses. The ML tree and 100 rapid bootstrap replicates were then inferred for each 524 window in RAxML version 8.2.8 [56] using the model GTR+G. The BEAST analysis 525 was conducted on a dataset that contains the top 50 windows with the highest average 526 bootstrap support and all 18 taxa.

527 Divergence times were estimated by Bayesian Markov Chain Monte Carlo 528 (MCMC) analysis using the relaxed (uncorrelated lognormal) molecular clock model and 529 GTR+G+I model in BEAST v2.4.5 [57] with the topology fixed to the ML tree from the

- above IQ-TREE analysis. Using the known fossil records of *Formica* in Baltic ambers
- 531 [65], we placed one calibration point at the MRCA of *Iberoformica* and *Formica*
- 532 (lognormal distribution with offset = 42 Ma, median = 60 Ma, 95% quantile = 90 Ma; see
- 533 [66]). The analysis was run for 60 000 000 generations (trees sampled at every 2000
- generations). Tracer v1.7.1 [59] was used to check when the MCMCs had reached a
- stationary distribution by visual inspection of plotted posterior estimates. Trees sampled
- during the first 12 000 000 generations (20%) were removed as burn-in and the remaining
- trees (24 001 in total) were summarized in TreeAnnotator v2.5.2 [57] using the
- 538 'Maximum clade credibility tree' and 'Mean heights' options, and then displayed with
- age in millions of years using FigTree v1.4.3. The 95% highest probability density (95%
- 540 HPD) values were summarized.

541 Quantification and Statistical Analysis

- 542 For the two species with observed mismatches between supergene genotype and social
- 543 structure (*F. lemani* and *F. cinerea*), we tested the significance of association between the
- 544 presence of an Sp haplotype (Sp/Sp homozygotes and Sm/Sp heterozygotes were both
- 545 coded as "present") and polygynous social origin using Fisher's exact test implemented
- 546 in R 3.3.1.

547 Data and code availability

- 548 The *F. selysi* genome assembly has been deposited to NCBI Genome (Bioproject
- 549 PRJNA557079). PacBio sequence data has been deposited to NCBI SRA (Bioproject
- 550 PRJNA559791). All new ddRAD and whole-genome sequence data has been deposited to
- 551 NCBI SRA (Bioproject PRJNA557080). Previously published *F. selysi* sequence data for
- used in this study is available on NCBI SRA under Bioprojects PRJNA260443 (whole-
- genome) and PRJNA260459 (ddRAD). Linkage maps and a table of oligonucleotides
- used in ddRAD library preparation have been deposited to the Dryad data repository
- 555 (DOI 10.6086/D1KD40).
- 556

557

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KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Biological Samples		
Genomic DNA; Formica exsecta (n=108), F. cinerea (n=161), F. selysi (n=195), F. truncorum (n=20), F. lemani (n=65), F. tombeuri (n=1), F. fusca (n=1), F. fuscocinerea (n=1), F. lugubris (n=1), F. sanguinea (n=1), F. rufibarbis (n=1), F. picea (n=1), F. pressilabris (n=1), F. fennica (n=1), F. pratensis (n=1), Polyergus mexicanus (n=1), P. vinosus (n=1).	This paper	SRA PRJNA557080
Genomic DNA; <i>Iberoformica subrufa</i> (n=1)	M. Borowiec, U. Idaho	SRA SAMN13065562
Chemicals, Peptides, and Recombinant Proteins		
EcoRI-HF	New England Biolabs	R3101
SbfI-HF	New England Biolabs	R3642
Msel	New England Biolabs	R0525
T4 DNA Ligase	New England Biolabs	M0202
Q5 Hot Start polymerase	New England Biolabs	M0493
ATP 100 mM	Thermo Fisher	R0441
dNTP mix	Thermo Fisher	R0192
Sodium Chloride Biotechnology Grade	VWR	97061
1M TRIS, pH 8.0 biotechnology grade	VWR	E199
0.5M EDTA, sterile solution biotechnology grade	VWR	BDH7830
Sodium Dodecyl Sulfate (SDS), 20% Solution Biotechnology Grade	VWR	97062
Polyvinylpyrrolidone average mol wt 40,000	Sigma	PVP40
Sodium metabisulfite ReagentPlus®, ≥99%	Sigma	S9000
Potassium acetate for molecular biology, ≥99.0%	Sigma	P1190
Polyethylene Glycol 8000 (PEG)	Fisher Scientific	BP233
Sera-Mag SpeedBead magnetic carboxylate modified particles, DSMG-CM, 1 um, 5% solids	Fisher Scientific	09-981
RNase A 100 mg/ml	Qiagen	19101
Ethanol absolute AnalaR NORMAPUR® ACS, Reag. Ph. Eur. analytical reagent	VWR	10107
Critical Commercial Assays		
SMRTbell Template Prep Kit 1.0	PacBio	100-259-100
DNeasy Blood and Tissue extraction kit	Qiagen	69506
Agencourt AMPure XP	Beckman Coulter	A63882
TruSeq Nano library preparation kit	Illumina	FC-121-4001

Deposited Data		
Formica selysi genome assembly	This study	PRJNA557079
Linkage mapping RADseq data, <i>F. selysi</i> (n=112) and <i>F. exsecta</i> (n=67).	This study	PRJNA557080
Population RADseq data, F. selysi	[4]	PRJNA260459
Whole-genome sequence data, F. selysi Sm haplotype	[4]	SRX695613
Whole-genome sequence data, <i>Formica exsecta</i> (n=2), <i>F. cinerea</i> (n=2), <i>F. selysi</i> (n=1), <i>F. truncorum</i> (n=2), <i>F. lemani</i> (n=3), <i>F. tombeuri</i> (n=1), <i>F. fusca</i> (n=1), <i>F. fuscocinerea</i> (n=1), <i>F. lugubris</i> (n=1), <i>F. sanguinea</i> (n=1), <i>F. rufibarbis</i> (n=1), <i>F. picea</i> (n=1), <i>F. pressilabris</i> (n=1), <i>F. fennica</i> (n=1), <i>F. pratensis</i> (n=1).	This study	PRJNA557080
Oligonucleotides		
ddRAD barcoded adapters and primers	[4, 59]	Dryad DOI 10.6086/D1KD40
Software and Algorithms		
Stacks 1.19	[44]	N/A
Bowtie 2 3 4 1	[45]	N/A
Samtools 0 1 19	[46]	N/A
VCEtools 0.1.13	[47]	N/A
Plink 1.90	[48]	N/A
MSTMap	[49]	N/A
MergeMap	[50]	N/A
Canu 1.7	[51]	N/A
BlobTools 1.0	[52]	N/A
Pbalign 0.3.0	https://github.com/Paci ficBiosciences/pbalign	N/A
Samtools 1.4	[45]	N/A
GenomicConsensus 2.2.2	https://github.com/Paci ficBiosciences/Genomi cConsensus	N/A
Redundans0.13c	[53]	N/A
Bedtools 2.27	[54]	N/A
Saguaro 0.1	[22]	N/A
IQ-TREE 1.6.3	[55]	N/A
RAxML 8.2.8	[56]	N/A
BEAST 2.4.5	[57]	N/A
Tracer 1.7.1	[58]	N/A
TreeAnnotator 2.5.2	[57]	N/A
FigTree 1.4.3	http://tree.bio.ed.ac.uk /software/figtree/	N/A
R 3.3.1	http://www.R- project.org	N/A









Figure 3





Figure S1. Time-calibrated Phylogeny of 15 Formica Species, Related to Figures 1, 2, 3. The *Formica* species examined here span an estimated 30 million years of evolutionary history. This phylogeny, implemented in BEAST (see STAR Methods), shows the 15 species investigated here as well as three outgroups. The calibration point is shown as the red star, and 95% highest posterity density (HPD) intervals are indicated with blue bars. Species examined in Figures 1, 2A and B, and S2 are shown in bold. The remaining 10 species, examined in Figure 2C, are also shown. Species that do not exhibit socially parasitic behaviors (sometimes called *Serviformica*) are shown in blue, temporary social parasites in orange (including both *Formica* sensu stricto and *Coptoformica*), and facultative slave-making species in red (*Raptiformica*). Outgroups are shown in black.



Figure S2. Principal Component Analyses of SNPs from Population ddRAD Data on Chromosome 3, Related to Figure 1. PCAs show two to six clusters in each species, with cluster membership strongly associated with colony social organization. Each panel represents an independent PCA in one species: *F. exsecta* (A), *F. selysi* (B), *F. truncorum* (C), *F. lemani* (D), and *F. cinerea* (E). Each dot corresponds to an individual worker or male; supergene heterozygotes tend to have excess heterozygosity resulting in a strongly negative F_{IS} value. In *F. lemani* and *F. cinerea*, we found evidence for a third supergene haplotype. Two of the three alternative supergene haplotypes in both species were much more common in individuals of polygyne origin compared to those of monogyne origin; we therefore infer that these systems contain two alternative Sp haplotypes and one Sm haplotype. In both systems, we also find mismatches between supergene genotype and colony social structure.

Species	Origin	RADsea	RADsea	WGS
species	ongin	(population)	(linkage map)	
		83 males	112 workers	
Formica selysi	Switzerland	(monogyne and	(polygyne)	2 males (Sm, Sp)
·		polygyne)		
	Finland	161 workers		1 male (from
F cinaraa	Fillianu,	(monogyne,	NΛ	Switzerland: Sm) 1
T. cinereu	Switzerland	polygyne, and	INA	worker (from Italy:
	Switzerland	unknown)		Sp/Sp)
	Finland	65 workers		3 workers (from
F lemani	Snain	(monogyne,	NA	Switzerland:
1.00110110	Switzerland	polygyne, and		$Sm/Sm, Sp_1Sp_1,$
	5 Witzerfahre	unknown)		Sp_2Sp_2)
		12 males		1 1 (0) 1
F. exsecta	Finland	(monogyne) and	6/ males	I male (Sm) , I
		29 workers	(monogyne)	worker (Sp/Sp)
		(polygyne)		
		J markers		
F truncorum	Finland	(monogyne) and	NΔ	2 males (Sm and Sn)
1.11411014111	1 manu	10 males	117	2 marcs (Sin and Sp)
		(polygyne)		
	a .	(F - 5/85)	274	1 worker
F. tombeuri	Spain	NA	NA	(inferred Sm/Sm)
E fusea	Switzerland	NΛ	NΛ	1 worker
r.juscu	Switzerland	INA	INA	(inferred Sm/Sm)
F fuscocinerea	Switzerland	NA	NA	1 worker
1 .juscocinercu	5 Witzerfahra	1111	1111	(inferred Sm/Sm)
F. lugubris	Switzerland	NA	NA	1 worker
0				(inferred Sm/Sm)
F. sanguinea	Germany	NA	NA	l worker
Ŭ				(Interred SIII/SIII)
F. rufibarbis	Portugal	NA	NA	(inferred Sm/Sm)
				1 worker (polygyne
F. picea	Finland	NA	NA	inferred Sm/Sp)
F 11.1 1	TP ¹ 1 1	NT 4		1 worker (polygyne,
F. pressilabris	Finland	NA	NA	inferred Sm/Sp)
E familia	Finland	N A	NI A	1 worker (polygyne,
r . jennica	rinana	INA	INA	inferred Sm/Sp)
F pratonsis	Finland	ΝA	NA	1 male (polygyne,
r. praiensis	Timanu			Sp)

Table S1. List of Species Used in Analyses, Country of Origin, and Samples Used for ddRAD Sequencing (RADseq) and Whole Genome Sequencing (WGS), Related to Figures 1 and 2 and STAR Methods

MSTMap Parameter	F. selysi Sp/Sp	F. selysi Sp/Sp	<i>F. exsecta</i> sM	
	queen, colony 191	queen, colony 192	brothers, colony	
			FE63	
Distance_function	Kosambi	Kosambi	Kosambi	
Cut_off_p_value	0.00005	0.000005	0.000005	
No_map_dist	30	30	30	
No_map_size	1	1	1	
Missing_threshold	0.1	0.1	0.1	
Estimation_before_clustering	No	No	No	
Detect_bad_data	Yes	Yes	Yes	
Objective_function	ML	ML	ML	
Number_of_loci	1792*	3688*	4603*	
Number_of_individuals	35	77	63	
Output				
Total number of linkage	29*	48*	31*	
groups (incl. unplaced loci)				
Number of unplaced loci	3 in 2 LGs	15 in 9 LGs	5 in 5 LGs	

* Number of loci and number of linkage groups shown here are the true input and output numbers; in order to account for the unknown allele phase in each queen, we duplicate each locus in the input file, recoding each allele as 'A' or 'B'. This results in duplicated linkage groups, which are then manually compared and removed.

Table S2. Parameters and Results for Linkage Map Construction, Related to STAR Methods

Output Statistic	Value
Pacbio sequence depth	100x
Assembly Length	290 Mbp
Contig N50	5.7 Mbp
Scaffold N50	7.9 Mbp
Number of Scaffolds Assigned to Chromosomes	27
Length of Scaffolds Assigned to Chromosomes	227 Mbp
Number of Scaffolds Not Assigned to Chromosomes	471
Length of Scaffolds Not Assigned to Chromosomes	63 Mbp

Table S3. Genome Assembly Results, Related to STAR Methods.

Species	Sample ID	Origin	Sex	Supergene genotype	Sequencer	read length	depth
F. selysi	F92M2	Switzerland	М	Sm	HiSeq 2000, Lausanne	100bp PE	15.5
F. selysi	079M2	Switzerland	М	Sp	HiSeq 2500, Lausanne	100bp PE	19.6
F. cinerea	FcBra10	Switzerland	М	Sm	HiSeq 2500, Lausanne	100bp PE	12.5
F. cinerea	FcQuin3	Italy	F	Sp/Sp	HiSeq 2500, Lausanne	100bp PE	11.8
F. exsecta	FE-MM5	Finland	М	Sm	HiSeq 2500, Lausanne	100bp PE	10.9
F. exsecta	FE-PW10	Finland	F	Sp/Sp	HiSeq 2500, Lausanne	100bp PE	8.8
F. lemani	FL-BG13A	Switzerland	F	Sm/Sm	HiSeq 2500, Lausanne	100bp PE	9.2
F. lemani	FL-BG25W1	Switzerland	F	Sp/Sp	HiSeq 2500, Lausanne	100bp PE	10.5
F. lemani	FL-BG9W1	Switzerland	F	Sp/Sp	HiSeq 2500, Lausanne	100bp PE	9.9
F. truncorum	FT-MM1	Finland	Μ	Sm	HiSeq 2500, Lausanne	100bp PE	9.6
F. truncorum	FT-PM6	Finland	Μ	Sp	HiSeq 2500, Lausanne	100bp PE	10.4
F. picea	Fpic1-2	Finland	F	Sm/Sp	Lausanne	100bp PE	13.0
F. rufibarbis	PortoA	Portugal	F	Sm/Sm	HiSeq 2500, Lausanne	100bp PE	10.2
F. tombeuri	ainc1w9	Spain	F	Sm/Sm	HiSeq 4000, Berkeley	150bp PE	9.3
F.fusca	bg22w1	Switzerland	F	Sm/Sm	HiSeq 4000, Berkeley	150bp PE	7.5
F. fuscocinerea	furka4w1	Switzerland	F	Sm/Sm	HiSeq 4000, Berkeley	150bp PE	9.6
F. lugubris	lugc1w1	Switzerland	F	Sm/Sm	HiSeq 4000, Berkeley	150bp PE	6.9
F. sanguinea	fsanw1	Germany	F	Sm/Sm	HiSeq 4000, Berkeley	150bp PE	6.8
F. fennica	ob6pol4w1	Finland	F	Sm/Sp	HiSeq 4000, Berkeley	150bp PE	6.7
F. pressilabris	br6pol4w1	Finland	F	Sm/Sp	HiSeq 4000, Berkeley	150bp PE	7.9
F. pratensis	fp43m1	Finland	Μ	Sp	H1Seq 4000, Berkeley	150bp PE	9.1
Iberoformica subrufa	D1135	Spain	F	n/a	HiSeq 4000, Berkeley	150bp PE	4.9
Polyergus vinosus	scrc2w15	USA	F	n/a	HiSeq 4000, Berkeley	150bp PE	3.8
Polyergus mexicanus	slac1m1	USA	М	n/a	HiSeq 4000, Berkeley	150bp PE	6.1

Table S4. Details of Individual Samples Used for Whole-Genome Sequencing, Related to STAR Methods